# Advances in Medicinal and Aromatic Plants

Production, Processing, and Pharmaceutics



Amritesh C. Shukla | Sunita Facknath Debashis Mandal | Bernadette Montanari Editors



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VOLUME 1



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### Volume 1

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# ADVANCES IN MEDICINAL AND AROMATIC PLANTS

## Volume 1

### **Production, Processing, and Pharmaceutics**

Edited by Amritesh C. Shukla, PhD Sunita Facknath, PhD Debashis Mandal, PhD Bernadette Montanari, PhD



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## Contents

Со	ntributorsxiii
Abi	breviationsxv
Pre	zfacexix
1.	Achillea (Yarrow): Diversity, Trade Potential, and Ethnomedicinal Uses
2.	Acorus calamus L. (Sweet Flag): Bioactive Compounds, Medicinal Properties, and Biological Activity21 Sabyasachi Banerjee, Karan Kumar Das, Goutam Pal, and Sankhadip Bose
3.	Ocimum spp. (Basil): An Incredible Plant
4.	<i>Dioscorea</i> <b>spp.: Medicinal Plant with Pharmaceutical Uses165</b> Raza Waris, Priyanka Agnihotri, Debashis Mandal, and Amritesh C. Shukla
5.	<i>Eucalyptus</i> spp.: A Wonder Tree185 Moumita Malakar
6.	<i>Commiphora</i> spp. (Guggul): A Wonder Ayurvedic Drug261 Jai Malik and Subash C. Mandal
7.	<i>Kaempferia galanga</i> L. (Aromatic Ginger): A High Potential Zingiberaceae Species
8.	Lavandula spp. (Lavender): A Herb More Than Just a Relaxing Scent
Ind	lex



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# Abbreviations

АА	arachidonic acid
AFM	atomic force microscopy
BGC	blue gum chalcid
BMI	body mass index
BOP	bleeding on probing
BP	blood pressure
BSA	bulked segregant analysis
BSEP	bile salt export pump
C4H	cinnamate 4-hydroxylase
CAL	clinical attachment level
Camp	cyclic adenosine monophosphate
CCoAOMT	caffeoyl coenzyme A O-methyltransferase
CDK	cyclin-dependent kinase
CDRI	Central Drug Research Institute
CIMAP	Central Institute of Medicinal and Aromatic Plants
CITES	convention on international trade in endangered species
CLX	chlorhexidine
CLA CNS	central nervous system
COMT1	•
COM11 COX-2	caffeate/5-hydroxyconiferaldehyde O-methyltransferase 1
COX-2 CPM	cyclooxygenase-2
CPM	continuous passive motion
	c-reactive protein
CSF	cerebrospinal FLUID
DAP	days after planting
DMAPP	dimethylallyl pyrophosphate
DTH	delayed-type hypersensitivity
EAC	Ehrlich ascites carcinoma
ECHA	European Chemical Agency
ECS	endocannabinoid system
EEG	electroencephalography
EO	essential oil
EST	expressed sequence tag
FU	5-fluorouracil
FXR	farnesoid X receptor
GGPPS	geranylgeranyl pyrophosphate synthase, precursor of diterpenes
GI	gingival index
GK	glucokinase

CDDC	
GPPS	geranyl pyrophosphate synthase
GRAS	generally recognized as safe
GSTP1	glutathione S-transferase-pi
HA	hemagglutination
HCC	hepatocellular carcinoma
HCV	hepatitis C virus
HDLP	high-density lipoproteins
HK	hexokinase
IC	inhibitory concentration
ICBN	international code of botanical nomenclature
IPP	isopentenyl pyrophosphate
IPRs	intellectual property rights
IRAP	Institute of Roses and Aromatic Plants
IUCN	International Union for Conservation of Nature
JA	jasmonic acid
JNK	c-Jun NH <sub>2</sub> -terminal kinase
LPS	lipopolysaccharides
MAPK	mitogen-activated protein kinases
MAS	marker-assisted selection
MDMs	monocyte-derived macrophages
MGMT	methylguanine-DNA methyl transferase
MI	myocardial infraction
MIC	minimum inhibitory concentration
MMP-9	matrix metalloproteinase 9
MRSA	methicillin-resistant Staphylococcus aureus
MTT	methyl thiazol tetrazolium
NFE2	nuclear factor erythroid 2
NGF	nerve growth factor
NI	North India
NO	nitric oxide
NPK	nitrogen, phosphorus, potassium
NSAIDS	non-steroidal anti-inflammatory drug
OMC	organic matter content
PAL	phenylalanine ammonia-lyase
PC	prostate cancer
PCA	principal component analysis
PCR	polymerase chain reaction
PD	probing depth
PFK	phosphofructokinase
PGE2	prostaglandin E2
PGIMS	Post Graduate Institute Memory Scale
PI	plaque index
РКА	protein kinase A

xvi

#### Abbreviations

PLA	plaque accumulation
РОН	perillyl alcohol
PPAR	peroxisome proliferator-activated receptor
PSQI	Pittsburgh sleep quality index
PVPFR	plant variety protection and farmers right
QTL	quantitative trait locus
RANKL	receptor activator of NF-kB ligand
RBC	red blood cells
RH	relative humidity
RIFA	red imported fire ant
ROS	reactive oxygen species
SBP	systolic blood pressure
SCAR	sequenced characterized amplified region
SEM	scanning electron microscope
SFE	supercritical fluid extraction
SRAP	sequence-related amplified polymorphism
SRBCs	sheep red blood cells
SSCP	single-strand conformation polymorphism
SSR	simple sequence repeat
SWE	Subcritical-water extraction
TALGA	The Australian Lavender Growers Association
TCI	total calories intake
TKR	total knee replacement
TLRs	toll-like receptors
UP	Uttar Pradesh
UPGMA	unweighted pair group method with arithmetic mean
VAS	visual analog scale
VCAM-1	vascular cell adhesive molecules
VRE	vancomycin-resistant Enterococcus faecalis
VSMC	vascular smooth muscle cells
WBC	white blood cell
WHO	World Health Organization
WTO	World Trade Organization



### Preface

We are witnessing massive development in science and technology today, which has contributed to positive changes in the way of living. In tune with that, medical science has also become more technology-driven and sophisticated. Robotics nano-sciences are the latest in this regard. However, the most ancient form of medical therapy involving herbs, which was neglected a few decades back, is now gaining enormous popularity because of its effectiveness and reduced side-effects. Nature, from where we developed, has all sorts of protective medicinal compounds within its huge bioresources, which are either being identified or yet to be identified for our health and remedies.

Herbs containing aroma, because of the essential oil, also have medicinal uses apart from being used as dependable sources of natural fragrance for cosmetics, perfumery, and food industries competing with synthetic aroma chemicals.

Although a large number of books, monographs, scientific articles, and papers dealing with reports of therapeutic properties are available for certain identified plants and listed biomolecules, and are used for the management of specific or multiple ailments, however, they are scattered. Therefore, the exclusively compiled volumes of this book, are an effort to categorically provide detailed information on natural habit and habitat, taxonomy, detailed cultivation practices, processing of essential oil, active ingredients, biomolecules, mode of action, drug development, value additions as well as traditional and industrial uses for marketing purposes.

The current volume of this book, Advances in Medicinal and Aromatic Plants: Production, Processing, and Pharmaceutics (Vol. 1), is uniquely written, focusing on some highly demanding medicinally important plants such as Achillea spp. (yarrow), Acorus calamus (sweet flag), Ocimum spp. (basil), Dioscorea spp., Eucalyptus spp., Commiphora spp. (guggul), Kaempferia galanga (aromatic ginger) and Lavandula spp. (lavender).

This book contains precisely referenced chapters, emphasizing not only the plant history, taxonomy-botany, and production technologies involving advanced propagation, nutrition, moisture management, inter-cultivation, and plant protection but also the postharvest technology, processing-value addition, and marketing-trade. The book attempts to present emerging lowcost and environmentally friendly phytoconstituents/plant biomolecules and herbal drugs that are free from side effects studied in the overlapping disciplines of medicinal chemistry, biochemistry, microbiology, and pharmacology.

-Editors

# *Achillea* (Yarrow): Diversity, Trade Potential, and Ethnomedicinal Uses

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#### ABSTRACT

Achillea L. is one of the important medicinal plants, belongs to the family Asteraceae, and is distributed worldwide. Since long back, various Achillea species have been used for the treatment of various ailments, including wound healing, bleeding, headache, flatulence, dyspepsia, body pains, inflammation, and spasmodic diseases. Further, phytochemical studies of Achillea spp. have also reported several bioactive constituents, viz., terpenoids (monoterpenes, diterpenes, triterpenes, and sesquiterpenes), flavonoids, sterols, phenolic acids, and coumarins; which have strong efficacy. Besides this, the plant has already been mentioned in the traditional system of medicine. Keeping these views in mind, the present chapter reviews the diversity, market potential, and ethnomedicinal uses of *the Achillea* genus, which have been explored based on systematic validation, including *in-vitro* and *in-vivo* investigations.

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#### **1.1 INTRODUCTION**

Since human existence on earth, man has been surrounded by ample plants that serve several purposes, including food, shed, and shelter, as well as a source of oxygen to sustain their life. The significance of plants in support of well-being is progressively perceived to the degree that the line between food and medication proved unable, in some cases, to be separated. For instance, *Allium sativum* (garlic) is a world-renowned medicinal plant species, but at the same time, it has been used for flavor in the food industry and is also one of the best natural antibiotics known in traditional medicine (Simonetti, 1990). Plants play an important and unique role in disease prevention and management.

It has been deductively shown that eating certain vegetables with cell reinforcement properties, particularly those that have a place with the Brassicaceae bunch like cabbage (*Brassica oleracea* L.) or certain Liliaceae, for example, garlic or onion, has the ability to oppose the improvement of some degenerative sicknesses like malignant growth or different illnesses of the circulatory framework (Rupp, 2011). Lycopene, a flavonoid that is available in tomatoes, has an extraordinary ability to forestall or defer the headway of diseases (Khan et al., 2008). Similarly, *Malus domestica* Borkh (apples) and *Cucumis melo* (watermelon), as they are rich in antioxidant composition, guard the digestive system against colorectal cancer (Guaâdaoui et al., 2015). Besides this, *Citrus sinensis* (oranges), *Citrus limonum* (lemon), and other species of citrus, which are rich sources of vitamin C and pectin, have shown protecting ability on the digestive system against various diseases, including cancer (Mazed et al., 2009).

Further, medicinal plants and plant parts have been used for the treatment of various ailments. In developed nations like the United States, a critical number of Americans utilize medicinal plants to fight against specific sicknesses.

Several years back, about 25% of the medicines contained plant-inferred bioactive constituents, and the quantity of visits to suppliers of customary medication surpassed the number of visits to all primary care doctors (WHO, 1999a; Kong et al., 2003). In Pakistan, it is assessed that about 52% of the populace picked complementary and alternative medication to deal with their diseases (Shaikh et al., 2009). In Japan, around 60–70% of allopathic specialists suggested customary medications for their patients. Despite this, in China, conventional medication represents about 40% of all medical

services therapies (WHO, 1999b, 2002). This review focuses on the research on *Achillea* species diversity, trade potential, and ethnomedicinal uses.

#### 1.2 THE ASTERACEAE FAMILY

Asteraceae or Compositae is an enormous and far-reaching group of blooming plants within excess of 23,600 at present acknowledged species, spread across 1,620 genera and 13 subfamilies (Tamokou et al., 2017). The larger part of the individuals from the Asteraceae family are yearly or perpetual species, however remarkable number are likewise plants, bushes, and trees. The family has overall conveyance, from the polar areas to the jungles, colonizing a wide assortment of natural surroundings. It is almost entirely expected in the parched and semiarid locales of subtropical and lower temperate latitudes (Barkley et al., 2006).

The Asteraceae family includes a diverse gathering of plant spp. which are important for human food, oil creation, ornamentals, insect poisons, therapeutic uses, and trade applications (Dempewolf et al., 2008). Asteraceae is of a financially significant family, giving items like cooking oils, sunflower seeds, espresso substitutes, natural teas, lettuce, artichokes, and improving specialists. Other than this, a few genera are of green significance, like *Echinacea* (coneflowers), pot marigold (*Calendula officinalis*), different daisies, fleabane, chrysanthemums, dahlias, zinnias, and heleniums. Asteraceae are significant in-home-grown medications, including *Grindelia*, yarrow, and numerous others (Anonymous, 2019a). Various species are considered obtrusive, including, most remarkably in North America, dandelion (*Taraxacum officinale*), which was initially presented by European pioneers who utilized the young leaves as a serving of mixed green salad (Anonymous, 2019b).

#### **1.3 PHARMACOLOGY AND PHYTOCHEMISTRY OF ASTERACEAE**

Asteraceae species have been used medicinally to treat a variety of illnesses and symptoms, including inflammation, colds, flu, and pulmonary and respiratory diseases. Further, ethnobotanical records suggested that various plant/plant parts have been used for the treatments of pulmonary illnesses, viz., asthma, bronchitis, colds, coughs, chest constrictions, pneumonia, and sinus attacks (Moerman, 2003). The rationale behind bioactivity research of plant secondary metabolites is often based on these ethnobotanical reports. For instance, *Echinacea* species are often used in traditional medicine to treat colds, coughs, and flu (Barrett, 2003). Further, the anti-inflammatory properties of *Echinacea* extracts on 5-lipoxygenase (5-LOX) were reported to have a considerable inhibitory effect (Merali et al., 2003). Research determining the anti-inflammatory properties of the genus recorded noteworthy inhibitory effects of *Echinacea* extracts on 5-lipoxygenase (5-LOX) activity (Merali et al., 2003).

This enzyme is liable for leukotriene amalgamation, bringing about bronchoconstriction and mucous creation in different pneumonic conditions. At the point when hindered, these physiological side effects would diminish. By looking at the aspiratory applications inside Asteraceae, this chapter further examines the customary utilization of North American species as pneumonic and respiratory medicines (Kachura, 2018). Within this family, the Anthemideae tribe comprises 110 genera scattered transcendently all around the Mediterranean, Southern Africa, and Central Asia; however, there is also the profusion of North American species. Over half of the species are classified into five genera, including *Artemisia*, the largest of the group, as well as *Achillea* (Watson et al., 2000). Several *Artemisia* species are widespread across North America, though many are located in the western United States and Canada.

Achillea and Artemisia are two genera commonly utilized for treating pneumonic diseases and their side effects, like respiratory contaminations, colds, hacks, stiffness, and asthma, dependent on North American ethnobotanical reports. A few Artemisia spp. have been tried for bioactivity and contain phytochemicals liable for these therapeutic properties. The three main classes of components within Anthemideae are flavonoids, polyacetylenes, and sesquiterpene lactones (Greger, 1977). Luteolin, a flavonoid found in Artemisia afra, contributes to the bronchodilatory effects of this species. Using an isolated perfused lung system to test the effects of A. afra and the traditional method of steam inhalation, extracts were found to decrease tidal volume and lung resistance, both involved in the bronchodilatory process (Mjiqiza et al., 2013). Further, Artemisia has been broadly used to take care of pulmonary symptoms, however, extracts of varrow have not yet been tested in respiratory models. Here, it is important to assess different plant parts and organs for discrepancy in bioefficacy, not only due to the ethnobotanical records show the selective use of separate plant parts for medicinal uses but because different plant parts and organs generally differ in their phytoconstituent (Kachura, 2018).

For quite a long time, the advancement of societies and their particular uses of medicinal plants have prompted the cutting-edge disclosure of bioactive constituents and the exact relationship of various natural products to explicit physiological functions. With medicinal plants, such pharmacological activities are generally mediated by secondary metabolites, which vary widely in their structure and distribution among plant taxa. These phytochemicals are frequently the evolutionary components of plant–animal or plant–microbe interactions (e.g., for plant defense). Several herbivorous insects have adapted to these defenses and, in some cases, may sequester them for their protection. For example, *Longitarsus* beetles sequester and metabolize pyrrolizidine alkaloids found in Asteraceae host plants and use them for their defense, while the same phytochemicals effectively deter herbivory by another insect (Dobler et al., 2000; Macel et al., 2005). Plant bioactive compounds used as herbal medicine (or as pesticides) are rooted in, and in some cases comparable to, such co-evolution of plants and insects, providing another basis of reasoning for investigating the bioactivity of plant secondary metabolites (Kachura, 2018).

The phytochemical analysis of plants within the Asteraceae family has been studied comprehensively. There are several general phytochemical groups that are a feature of this family, including alkylamides. Further, various produce of these plants have already been used in several systems of traditional medicines; alkylamides are recorded in more than 100 spp. from 26 plant families, with the majority from the Asteraceae family (Boonen et al., 2012). The three most common species of Echinacea (Heliantheae) such as Echinacea angustifolia, Echinacea pallida, and Echinacea purpurea, contain a wide range of bioactive compounds, including alkylamides (Bauer et al., 1989; Woelkart et al., 2005). Alkylamides are secondary metabolites with anti-inflammatory properties, often acting as agonists to receptors in the endocannabinoid system (ECS). This mechanism is responsible for the significant immunomodulatory and anti-inflammatory properties of medicinal plants such as Echinacea (Raduner et al., 2006; Woelkart et al., 2005). Given the close taxonomic relationship of Heliantheae and Anthemideae tribes, it is possible that alkyl amides are present in several genera of Anthemideae and contribute to reduced soreness rampant in pulmonary diseases. It was hypothesized that higher levels of alkylamides exist in the most used North American Anthemideae species selected for pulmonary treatments. A systematic review of the online Alkamid database (Boonen et al., 2012) identified 92 alkylamide compounds isolated within the Anthemideae. Further, on comparing these data to North American Anthemideae, as preferred for pulmonary aid, a total of 21 distinct alkyl amides were recorded in two of the pulmonary species, viz., Achillea millefolium and Artemisia dracunculus.

According to this database, the majority of known alkylamides are recorded in *Achillea* spp., while only 03 have been extracted in *A. dracunculus*. However, the Alkamid database is dubious and an extensive list. Further phytochemical research should be completed on Anthemideae to provide updated reports (Kachura, 2018).

#### 1.4 THE GENUS ACHILLEA

*Achillea* procured its name after the legendary Greek person, Homer's saint Achilles,' who found the therapeutic miracles of this plant (Thieret, 2001) and disseminated it among his warriors to stanch the blood from their injuries. Its common name, Yarrow, began from the Scots language, meaning 'rough stream,' attributable to Scotland's Yarrow River (Armitage, 1987). The genus *Achillea* comprises of 200 types of perennial herbs distributed throughout Europe, North and West Asia, and North Africa (Gabbrielli et al., 1988).

Achillea commonly represents Achillea millefolium, and it roughly translates to Eurasia and is widely naturalized in temperate and boreal zones of the Northern Hemisphere, and slighter extent to southern regions. These plants are widespread and tend to become weedy and invasive (Armitage, 1987).

Yarrow's recorded standing for recuperating wounds made it famous among the military, and this affiliation prompted a large number of its common names: knight's milfoil, Herbe Militaris, staunchweed, bloodwort, and warrior's woundwort (Grieve, 1974; Leyel, 1972).

Yarrow has delighted in shifting prominence as a cure through the ages. Its ethics were recorded first by Dioscorides (Kremers, 1921) and later in numerous herbals and books on therapeutic plants (Angier, 1978; Grieve, 1974; Hutchens, 1969; Hylton, 1974; Krochmal & Krochmal, 1973; Levy, 1966; Lewis & Elvin-Lewis, 1977; Leyel, 1972; Moerman, 1977; Thomson, 1978; Tobe, 1969; Weiner, 1972). The dried leaves and blooming tops were true in the United States Pharmacopeia from 1836–1882 for tonic, energizer, and emmenagogue purposes (Vogel, 1970). Yarrow is as yet recorded in the pharmacopeias of Austria, Hungary, Poland, and Switzerland, and its unstable oil is recorded in the Romanian Pharmacopeia and The Extra Pharmacopeia (Wade, 1977). Some have asserted that the American plant, *A. lanulosa*, is more medically potent than its European counterpart (Millspaugh, 1974; Vogel, 1970).

#### 1.5 CULTIVATION AND PROPAGATION

*Achillea* spp. are raised in many plant nurseries as a decorative plant. The plant is a normal component of butterfly nurseries and natural landscaping of different environments. The plant grows very well in drained soil with full sun but can also be grown in less ideal soil conditions (Anon, 2020).

Propagation through seed germination is the best method of plant cultivation. However, optimal seed germination occurs when the plantation is made at a distance of 30 to 46 cm (12–18 in), not deeper than 6 mm, and at an average temperature of  $18^{\circ}$ C to  $24^{\circ}$ C.

Some of the ornamental cultivars species are Calistoga (white), Credo, Cerise Queen, Island Pink (pink), Lachsschönheit (Galaxy Series), Lansdorferglut, Martina, Paprika, Red Beauty, Red Velvet, Saucy Seduction, Sonoma Coast (white) and Strawberry Seduction (red). Besides this, some of the hybrids species are Appleblossom, Fanal, Hoffnung, and Moonshine (Anon, 2020).

#### **1.6 TRADE POTENTIAL OF ACHILLEA**

Medical and aromatic plants have been an essential part of healthcare throughout since the origin of man on this planet (Schippmann et al., 2002). Recently, there has been a normal assessment that synthetic substances/ manufactured materials that are frequently used in the food sector and drug industries cause several side effects and diseases. This has augmented worldwide demand for organic and natural forms of the medication. As a result, healing herbs through natural medicines are commonly used as a part of traditional systems of medicine worldwide. In Australia, 48.5% of the population (MacLennan et al., 1996), in Finland, 33% (Vaskilampi et al., 1993), in Denmark, 10% (Rasmussen, 1990), in Belgium, 31%, in France, 49% (Fisher & Ward, 1994), and in Canada 17% of the population (Wayne, 2001) lean toward alternative medication strategies to some extent one time each year, and consume home grown medications and healing herb. Earlier, local usage of these medicinally important plants was common as part of their traditions, but nowadays, these herbs have become an essential source of national and international trade marketing.

Literature reveals that 50,000–75,000 plant species are being used in traditional and modern systems of medicine globally (Schippmann et al., 2006). In Europe, at least 2000 medical and sweet-smelling spices are utilized in such areas as beauty care products, medication, color, natural tea,

healthful enhancements, alcohol, pesticides and fungicides, fundamental oil items, fragrances, seasoning fluids, and cleaning items. Roughly 1,200–1,300 of these spices are derived from species that are local to Europe (Kathe et al., 2003). Eastern Europe and Southeastern European countries play an important role in the global trade in herbs of Europe. In particular, Albania, Bulgaria, Poland, Hungary, and Turkey are essential source countries for such commodities (Lange, 2001). A great majority of medical and aromatic herbs are obtained from natural environments, including 90% of the natural products traded in Europe and the great majority of those present in Turkey (Coskun & Ozkan, 2005).

Accurate data on the trade volume and business worth of medicinally important plants is provided by the United Nations Comtrade data set. UN Comtrade has recorded exchange information for around 180 nations since 1962 (Lange, 2006). According to data for 2011, total global import value was \$3.05 billion US dollars, and total exports were \$2.86 billion US dollars (Akbulut & Bayramoglu, 2013).

Achillea has a wide array of medicinal uses like spasmolytic, choleretic, treatment of wounds, and anti-inflammatory activities, making it known as an important medicinal plant (Benedek et al., 2007). In addition, some Achillea species were considered new ornamental plants (Armitage, 1992; Evenor & Reuveni, 2004). Achillea is also marketed as fresh fillers and dry flowers in flower arrangements (Small & Catling, 1999). Achillea millefolium plants are characterized as heavy metal accumulators that aid in phytoremediation (Radanovic et al., 2000). A. millefolium is used in beer, wine, and soft drink flavoring. It is an insect repellent that dissuades visits from some ants, flies, and beetles (Schwartz, 2006). Due to these properties, it is thought that the trade potential of yarrow is high.

#### **1.7 PHYTOCHEMISTRY OF ACHILLEA**

Phytochemical characterizations of *Achillea* spp. have revealed that this genus consists of several bioactive components. The main antispasmodic flavonoids, cynaroside I and cosmosiin II, were extracted from *Achillea millefolium* L. (Falk et al., 1975), and the primary natural proazulene, achilicin III, was recognized from the genus *Achillea* (Cuong et al., 1979).

Literature reveals that flavonoids, terpenoids, lignans, amino acid derivatives, unsaturated fats, and alkamides viz., p-hydroxyphenethylamide IV have effectively been recognized in *Achillea* species (Si et al., 2006). In this way, the current chapter manages the therapeutic and industrial uses of the minor or uncommon constituents which have not yet been exposed properly. Among them, alkamides, the lipophilic and nitrogen-containing compounds, are liable for insect spray, antiinflammation, and some immunological exercises of *Achillea* and *Echinacea* plants (Greger, 1984).

The genus *Achillea* consists of flavored species which produce strong essential oils and endures monoterpenes as the most common metabolites in different spp. Further, there are several research on significant amounts of sesquiterpenes in contrast to monoterpenes (Nemeth, 2005; Bakkali et al., 2008). There are also few reports on pharmacological investigations, and the majority of them perceived the presence of azulenogenous sesquiterpene lactones in the oil of *Achillea* species. Results show that tetraploid species are collecting proazulenes viz., achillicin III (Radusiene & Gudaityte, 2006). Further, yarrow (*A. tenuifolia* Lam.) seeds comprise a high essential oil yield, which is rich in linoleic acid (a poly-unsaturated fatty acid. This favors yarrow seed as a possible source of edible oil for consumption by human beings (Goli et al., 2008).

Recently, because of the presence of luteolin V, apigenin VI, and flavonoids, A. millefolium has been introduced as a novel source of natural dye for dyeing wool fabrics. Further, A. millefolium was also recorded to have an excellent source of agronomic potential as a color agent in Iran (Kiumarsi et al., 2009). In the plant, hydroxycinnamoyl forms of quinic acid were recorded as usual end metabolites of the shikimate-phenylpropanoid pathway, however, ferulovl caffeoylquinic acid derivates VII have been isolated only from two types of Achillea (Radulovic et al., 2006). Further, from the airborne pieces of the Achillea spp., betonicine X, betaine XI, choline XII, proline VIII, and stachydrine IX have been identified as significant nitrogen-containing compounds (Mehlfuhrer et al., 1997; Saeidnia et al., 2004). Other than this, betaines, containing the super durable positive charge on the quaternary ammonium moiety, belong to the class of naturally occurring compounds and function as compatible solutes or osmoprotectants, have also been isolated (Wood et al., 2002). These constituents have revealed immuno-suppressive action in the test animals (Zhang et al., 1996; Hamidi et al., 2010).

#### **1.8 ETHNOMEDICINAL USES OF ACHILLEA**

Yarrow is one of the known oldest botanicals used among humans (sensu lato) and is one of the six medicinally important plants whose pollens were

recorded in Homo-neanderthalensis grave at Shanidar, dated to 65,000 B.P. (Leroi-Gourhan, 1975; Solecki, 1975; Leroi-Gourhan, 1998). The most established enduring writings to record the utilization of yarrow in European traditional medical practices are by Pliny the Elder and Dioscorides, both during the primary century A.D. These literatures put forth a lower line on the time of yarrow's use among Western cultures, while, concerning most botanicals, people use in an oral practice might have since a long time ago went before the plant's appearance in perceptive medication (Applequist & Moerman, 2011).

Dioscorides portrayed the spice Achilleios, or Millefolium (among different names), as being valuable to quit dying, including from wounds and unusual feminine dying, and diminish irritation; however, decoction can be used as douche for menstrual bleeding and could also be inebriated for diarrhea (Osbaldeston & Wood, 2000). Pliny's Natural History demonstrated that a plant is presumably recognizable as yarrow was recognized by names including achilleos, sideritis, and millefolia and that a few groups additionally utilized the previous two names for other few species, some very divergent in portrayal, with all being viewed as significant for wounds. Pliny likewise revealed *Achillea* to be helpful for "looseness of the bowels," bleeding, extreme menstruation (sometimes given as a sitz bath), and ear infection (Jones, 1956).

In the Persian language, Bumadaran is the well-known name of several *Achillea* species. The species are reported as anti-inflammatory, diaphoretic, diuretic, antispasmodic, tonic, and emmenagogic agents, as well as used for the treatment of pneumonia, hemorrhage, rheumatic pain, and wound healing properties in traditional Persian literature (Zargari, 1996; Saeidnia et al., 2005). However, in Spanish (New Mexico and Southern Colorado), *Achillea millefolium* L. is known as plumajillo, or "little feather," due to the shape of the leaves. Further, local Americans and early settlers utilized yarrow as an astringent, making it efficient in anti-bleeding and wound healing (Dodson & Dunmire, 2007).

*Achillea* species are quite possibly the most monetarily significant local plants of Anatolia. Additionally, in Turkey, natural teas arranged from some *Achillea* species are generally utilized for stomach agony and farting (Honda et al., 1996).

Comparable use of yarrow stays alive till day: usually, European people utilize are intended for gastrointestinal issues and loss of hunger, for feminine issues, and as a diaphoretic, and topically as a poultice for healing wounds, external bleeding, and for washing skin inflammations (Wichtl, 2004). However, Germany's Commission E authoritatively endorsed oral yarrow prescriptions just for gentle gastrointestinal aggravations, dyspepsia, and loss of craving (Blumenthal et al., 1998).

Italy utilized yarrow primarily for gastrointestinal conditions (Guarrera, 2006) and also for menstrual problems, urinary problems/diuretics, toothache, as well as a sedative. Further, in Hungary, the plant is well-known as cickafark or cat tail and has been utilized for internal sicknesses and pertains to wound and burn healing properties (Kolos & Kolosné, 1956). Furthermore, it is also used as a tea for treating bronchitis, diarrhea, vomiting, and urinary and kidney problems (Sulyok & Siklos, 1998).

Over 33% of the 125 records of traditional use of *Achillea* spp. (yarrow) In Britain and Ireland, treatment of blood-related ailments, including nosebleeds, bleeding wounds, uterine discharge, and hypertension; however, a large percentage is involved in respiratory infections, fevers, and rheumatic complaints (Allen & Hatfield, 2004).

In China, yarrow has been used for the treatment of various ailments such as treatment of sores and bleeding, wounds, hemorrhoids, dysmenorrhea, varicose veins, snakebite, and tuberculosis (Duke & Ayensu, 1985).

Chandler et al. (1982) compiled from 15 references a list of 30 medical uses for yarrow among 22 indigenous American tribes. The uses were diverse but could be gathered into four distinct classifications: (i) colds, fever, and sore throat; (ii) digestive problems; (iii) skin injuries or disorders, wounds, bruises, and bleeding conditions; and (iv) general tonic use.

Moerman's authoritative (1998) compilation identified 377 distinct medicinal use reports for yarrow by Native American groups, the most for any plant. The medicinal use of yarrow was used by more than 76 tribes. The wider uses reported by Moerman (1998) incorporated utilizations for skin issues and wounds, respiratory sicknesses and stomach-related issues, toothaches, and eye issues.

#### 1.9 SUMMARY

Bioactivities of different *Achillea* species consist of angiogenic (Demirci et al., 2011), antifungal (Kordali et al., 2009; Amjad et al., 2014), antibacterial (Alfatemi et al., 2015), antimicrobial (Tunca-Pinarli et al., 2023; Bozyel et al., 2017; Azaz et al., 2008; Sokmen et al., 2004), hepatoptotective (Al-Said et al., 2016), herbicidal (Kordali et al., 2009), insecticidal (Tabanca et al., 2011; Khani, 2012), antioxidant (Tunca-Pinarli et al., 2023; Alfatemi et al., 2023; A

2015; Al-Said et al., 2016), antiradical (Sokmen et al., 2004), protective effects against oxidative stress (Baser, 2016; Dadkhah et al., 2015), estrogenic (Innocentia et al., 2007), antiulcer (Niazmand & Khoshnood, 2010; Potrich et al., 2010), antitumoral (Lopes et al., 2005), antisecretory (inhibiting intestinal motility) (Babaei et al., 2010), immunomodulatory (Sharififar et al., 2009), fibrinogenic (Hemmati et al., 2011), and anti-inflammatory (Salvagnini et al., 2006; Lakshimi et al., 2011).

The majority of these therapeutical uses have already been confirmed on the basis of investigational and clinical studies. The utilization of herbal teas from various *Achillea* species, particularly for the treatment of the gastrointestinal tract, is a usual process in traditional medicine (Skocibusic et al., 2004).

#### **KEYWORDS**

- Achillea spp.
- alternative medicine
- antioxidant
- Asteraceae
- cultivation
- ethnomedicinal uses
- herbal drugs
- phytochemistry

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# *Acorus calamus* L. (Sweet Flag): Bioactive Compounds, Medicinal Properties, and Biological Activity

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# ABSTRACT

Acorus calamus L. (Sweet flag) is a flowering plant species, the Acoraceae family's tall wetland monocot. It's a perennial herbaceous vine. These are the only plants that grow bear flowers in water. The various portions of this herb, such as rhizomes and leaves, are historically utilized in various herbal formulations to treat numerous ailments, inclusive of neuralgia, arthritis, diarrhea, kidney, and liver disorders, dyspepsia, eczema, sinusitis, cough, asthma, hair loss, bronchitis, and other disorders. In addition, it has also been found that this plant is active against the most common and troubling chronic ailments like cancer, heart diseases, and diabetes. Biochemical analyzation of the plant has uncovered an enormous number of secondary metabolites that might be accountable for its therapeutic benefits. The methods by which it exerts its therapeutic efficacy have been uncovered through basic scientific

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study. Other than its pharmacological properties, it additionally acts as a pesticide and helps in phytoremediation. Many active components from this plant have been procured and characterized for their wider range of pharmacological actions. Moreover, investigating the pharmacological actions of individual components present in *A. calamus* would bring novel and safer alternative therapeutics for a wider range of ailments. In this chapter, the botanical descriptions, ethno-therapeutic uses, phytochemical components, and biological action of plant sections. And the molecular targets of sweet flag are included which might furnish as a suitable foundation for future research on this herb.

#### 2.1 INTRODUCTION

Acorus calamus L. is likewise referred "calamus" or "sweet flag" which originates from the family called Acoraceae (Mukherjee et al., 2007; Chandra et al., 2017; Umamaheshwari et al., 2018). The description of this plant was found to be narrated in the papyrus VI of Chester Beatty, since 1300 BC (approximately). The primitive Egyptians once in a while referenced the herb in medicinal contexts, however this was mostly utilized in making fragrance (Manniche, 2006). The herb was acquainted with Britain in the late 16th century. Originally Acorus calamus grew up in Britain during leastways of 1596, as per the record of Catalogue, which is a list of plants was cultivated at Holborn in John Gerard's Garden. Gerard says, "It prospereth exceedingly well in my garden, yet beareth neither blooms nor stalketh." Gerard records the Latin appanage Acorus verus, yet it is apparent there was still suspicion on its veracity: in his 'Herbal or General Historie of Plantes,' 1597, he reported the common name of that plant in English, i.e., bastard calamus (Jackson, 1876). Sauer et al. announced the tuber to have been utilized by Indians of America (North) during the period of Europe discovering (Sauer, 1969). This plant has some other names also like myrtle flag, sweet sedge, flag root, cinnamon sedge, sweet root, sweet myrtle, myrtle sedge, and gladdon (Balakumbahan et al., 2010). This plant has long tenuous leaves, grass-like or sword-shaped, that fan from a pinkish base. Typically, the mid-vein is off-center. A tangerine-like soft scent is extracted from bruised leaves. The scape, sometimes called the flower stem, grows from the base of the outer leaves. Tiny yellowish-green to brown flowers pack the marginally bent spadix. 1-3 oblong seeded angular green berries yield the herb. The most critical component of this plant is the rhizomes. The rhizomes are branched, woody, light-brownish or occasionally orange-brownish in color, cylindrical

to flat with particular nodes and internodes. The rhizomes have a heavy, distinctive, and aromatic odor, and they taste bitter. Cream with a pinkish tinge is the transversely cut surface of the rhizome and is separated into smaller cortical and broader stellar areas. Microscopically, the epidermis comprises cells with intensely thickened borders that are radially prolonged. The cortical area consists of chains of slender-walled parenchymatous cells, with greater intercellular space, sheathed vascular collateral bundles, and fiber patches. With ample starch grains, the stellar area has single barrel-shaped endodermal cells. Endodermal cells are structured in barrels and have various starch grains. In the ground tissue of both cortex and stele, large oil cells with yellowish contents, cells containing dark-brownish oleoresin and starch grains are dispersed. In any cell of the stored row of cells running parallel to the fibers, calcium oxalate in the form of solitary polygonal crystals is available (Mukherjee et al., 2007).

The rhizomes and scented leaves of this plant are utilized as traditional medicine, apart from that dried rhizomes which are crushed into powder form containing a spicy flavor which is utilized as a substitute for cinnamon, nutmeg, and ginger for its odor (Umamaheshwari et al., 2018; Balakumbahan et al., 2010). Nowadays, an enormous population of developing nations are depending on the traditional medicines for their health needs (Chandra et al., 2017; Ramakrishna et al., 1984). The plant is very renowned for its medicinal value. The rhizomes have diverse different pharmacological properties like expectorant, antispasmodic, anthelmintic, carminative, aromatic, sedative, abdominal pain, dysentery (Umamaheshwari et al., 2018; Paithankar et al., 2011). Besides, it is a significant herb in Ayurveda medicine, and is utilized for rejuvenating brain and nervous system (Balakumbahan et al., 2010).

#### 2.2 AREA AND PRODUCTION

Calamus or sweet flag mostly found to be grown near to the locations of Indian villages, trails or camping places. It is thought that the origin of calamus is the central of Asia or in India and also surround areas of Himalayas. Because of plantation, calamus has spread all through the globe. Throughout the 16<sup>th</sup> century, it was known in the central Europe regions (Lokesh, 2004).

## 2.3 MARKETING AND TRADE

Calamus is a trade item for numerous societies from a huge number of years. Calamus forms a valuable adjunct to several stimulants and tonics. In

addition to other respiratory disorders such as bronchitis, it forms a popular treatment for cold and cough. Sweet flag protects digestive system and functions over flatulent colic, vomiting, and dyspepsia. *A. calamus* used to depresses the CNS (central nervous system) and also a popular compound in the preparations for psycho-somatic ailments like epilepsy. The calamus vapors have insect repelling activity. Every year, importers, domestic consumers, producers, western medicine experts, Ayurvedic and Siddha medicine manufacturers rush to the markets to acquire this factory. Indeed, its domestic demand is great. As agriculture in India is excessively smaller, there is profound scope for the internal market itself.

Some point to be considered for Acorus calamus, like:

- Prices are determined according to the governing market rates.
- The yields rely upon appropriate implementation of package of practices.
- Financial aspects of cultivation extraordinarily enhance scale of operation.
- This action provides tax-free high returns. Also, various government support schemes are available.
- Economics may fluctuate time to time due to volatile medicinal plant market.

# 2.4 COMPOSITION AND USES

Acorus calamus L. comprises flavonoids, alkaloids, phenols, lectins, gums, mucilage, saponins, quinone, triterpenes (steroids), tannins, and sugars (Ahmad et al., 2007). There are numerous chemical and morphological variations of A. calamus in the Finnish and Czech gene bank collection. The plants gathered from the natural destinations in Czech Republic and make comparison to the calamus growing in Canada, Slovenia, and Finland, which are conserved in the Gene Bank collection in Finland. Calamus species from Slovenia, Finnish, and Czech were shown to be phenotypically and chemically very resembling. All of those illustrate Acorus calamus var. calamus of European triploid type. The mean essential oil content was produced from 1.91% and 1.50% of arid materials in the Czech Republic and Finland, respectively. According to the Czech Radix calami consistency level (minimum quantity is 2%), this quantity is not sufficient, but the carcinogen  $\beta$ -asarone material, which was formed in all of the examined sweet sedges at 9-21% in the essential oil, did not surpass the maximum recommended value of 0.5% of dry drug mass (Dušek et al., 2007) (Tables 2.1 and 2.2).

Nation	Locality	Rhizo Cross-S Thickne	ectional	Heig	Cross-Sectional Height of Rhizomes (mm)		Leaf Lengths	
		x	S	x	S	x	S	
	Horka na Moravě	19.0	1.8	-	-	1.1	0.1 m	
	Červený Kostelec	22.0	2.6	-	-	1.0	0.1 m	
	Opatov	22.0	1.8	-	-	1.1	0.1 m	
	Ospělov	17.0	1.9	-	-	0.8	0.1 m	
	Bojanov	15.0	4.3	14.0	3.5	1.1	0.2 m	
	Křemže	17.0	7.6	14.0	5.6	0.8	0.3 m	
	Pičín	19.0	6.8	16.0	3.6	1.0	0.1 m	
	Slavče	20.0	5.3	18.0	3.5	1.0	0.1 m	
	Stupná	15.0	3.5	13.0	2.5	1.2	0.1 m	
	Bohouškovice	25.0	5.1	21.0	4.1	1.1	0.2 m	
olic	Žehrovka-Nebákov	15.0	1.9	14.0	1.6	0.8	0.1 m	
Czech Republic	Rudice	17.0	4.8	15.0	3.8	0.9	0.1 m	
Rej	Karolín	18.0	6.0	18.0	6.4	1.5	0.1 m	
sch	Lelekovice-Útěchov	21.0	3.6	18.0	2.5	1.2	0.2 m	
CZ	Kostice	20.0	4.0	17.0	2.8	1.0	0.1 m	
	Lednice	18.0	6.5	15.0	4.5	1.0	0.2 m	
	Rybniště	20.0	1.6	_	-	1.2	0.2 m	
	Visalaje-Obidova	16.0	2.8	13.0	2.1	0.6	0.1 m	
	Staré Hamry	16.0	2.7	13.0	2.1	0.8	0.1 m	
	Horní Bečva	14.0	4.3	12.0	3.0	0.7	0.1 m	
	Karlovy Vary	23.0	3.5	18.0	3.1	0.8	0.1 m	
	Kamýk	18.0	10.6	16.0	10.4	0.8	0.1 m	
	Mýto	20.0	4.1	18.0	3.9	1.1	0.2 m	
	Dolní Paseky	15.0	2.2	13.0	2.3	1.2	0.1 m	
	Mean	18.4	4.1	15.6	3.8	1.0	0.2 m	
	Marttila	11.7	3.9	20.1	2.1	0.9	0.1 mm	
	Aura	13.1	2.2	15.7	2.0	0.7	0.1 mm	
	Pori	16.6	1.3	22.0	2.4	0.8	0.1 mm	
_	Paimio	15.5	4.1	19.9	1.3	0.8	0.1 mm	
and	Piikkiö	12.9	2.7	18.5	1.3	0.7	0.1 mm	
Finland	Turku I	18.4	3.9	20.3	2.4	0.8	0.1 mm	
4	Turku II	15.6	2.0	19.3	1.7	0.8	0.1 mm	
	Mean	14.8	2.9	19.4	1.9	0.8	0.1 mm	
	Ontario (CAN)	13.6	1.4	17.5	2.1	0.9	0.1 mm	
	Zalec (SLO)	16	1.9	22.2	3.0	0.8	0.1 mm	

**TABLE 2.1** Morphological Characteristics from the Republic of Czech and Finland

Nation	Elevation (m)	Locality	Oil Cont	tent (%)	β-Asarone (%)	
Nat			x	s	x	
	425	Bojanov	2.4	0.1	18.2	
	518	Slavče	1.5	0.1	14.8	
	380	Ospělov	1.3	0.2	11.3	
	435	Červený Kostelec	1.2	0.1	17.4	
	420	Pičín	2.1	0.0	19.8	
	430	Opatov	1.7	0.2	21.3	
	385	Horka na Moravě	1.8	0.1	18.4	
	528	Stupná	1.9	0.1	15.2	
	325	Žehrovka-Nebákov	1.6	_	18.9	
	572	Bohouškovice	2.8	0.1	20.3	
lic	521	Křemže	2.6	0.2	18.5	
qnc	462	Karolín	2.5	0.4	14.1	
Czech Republic	463	Rybniště	1.7	0.2	17.9	
ech	155	Kostice	1.9	0.1	15.2	
Č	420	Rudice	1.4	0.1	17.6	
	312	Lelekovice-Útěchov	1.3	0.1	12.5	
	680	Horní Bečva	1.7	0.1	13.2	
	740	Visalaje-Obidova	1.3	0.1	12.6	
	173	Lednice	1.8	0.0	19.7	
	447	Karlovy Vary	2.1	0.1	18.2	
	600	Staré Hamry	2.2	0.3	13.1	
	500	Dolní Paseky	2.2	0.2	13.7	
	443	Mýto	2.0	0.0	11.9	
	472	Kamýk	2.9	0.1	12.8	
		Mean	1.9	0.1	16.1	
	0	Turku I	1.3	0.1	9.0	
	0	Piikkiö	1.5	0.1	10.7	
	41	Aura	1.7	0.1	11.5	
_	20	Paimio	1.2	0.1	10.0	
and	40	Marttila	1.8	0.1	11.1	
Finland	20	Pori	1.8	0.1	9.7	
_	0	Turku II	1.9	0.1	9.7	
		Mean	1.5	0.1	10.2	
	Reintroduction	Ontario (CAN)	1.1	0.1	Traces	
	Reintroduction	Zalec (SLO)	1.3	0.1	10.0	

**TABLE 2.2** Chemical Features from Czech Republic and Finland

The applications are as follows for the sweet flag plant: the rhizome is candied and turned into a sweet beef (Hedrick, 1972; Grieve, 1984). To extract the bitterness, it might be scraped and washed before being consumed fresh alike a fruit (Coon, 1975; Reid, 1977). It prepares a delicate vegetable when roasted (Emboden, 1979) and can likewise be utilized as a flavoring agent (Usher, 1974). The roots comprise around 1% essential oil, which is utilized in food preparations as a spice, and is also rich in starch (Triska, 1975). The root additionally comprises a bitter glycoside (Reid, 1977). The powdered dry rhizome is utilized for spicy flavor, as substitute of cinnamon, nutmeg, and ginger (Grieve, 1984; Harris, 1973). A pinch of rhizome powder enhances the flavor of tea (Manandhar, 2002). The small and tender inflorescence, because of its beauty, is often consumed by infants (Grieve, 1984). Fresh leaves comprise 0.078% of oxalic acid (Chopra et al., 1986). Calamus leaves may be utilized for flavoring custards, similarly as vanilla pods (Phillips et al., 1990). Young stems are eaten raw in the inner portion (Elias et al., 1982). It prepares a delicious salad (Facciola, 1998).

Calamus is used for various medicinal properties in Cree Indians of Northern Alberta, including as analgesic in headache or toothache, to maintain oral hygiene by cleaning and sterilizing the teeth, the fight the impacts of exhaustion or fatigue, and to help prevent/cure a hangover. Calamus has likewise be utilized for various valuable reasons from last 2000 years in Asia. The primitive Chinese utilized this to treat edema and constipation.

Ayurvedic medicine practice has utilized the magical root to heal fevers, asthma, bronchitis, and sedative in India. In addition, the root was used by the primitive Greeks and used in many other European cultures' traditional remedies.

Sweet flag was utilized in the holy incenses of both the primitive Egyptians and Sumerians and remnants of the herb were discovered onto the Tutankhamun tomb. The fragrant leaves were used as insecticides and efficient air-fresheners in houses and medieval churches by placing on the floors.

Calamus has a long history of therapeutical usage in a variety of pharmacognostical criteria. It is broadly utilized in modern herbal medicines as a mild tonic and aromatic stimulant (Grieve, 1984). In herbal drug system it is exceptionally worthy as a renovator for the nervous system, brain, and as a solution for digestive problems (Chevallier, 1996). The calamus root is aphrodisiac, anodyne, aromatic, diaphoretic, emmenagogue, carminative, febrifuge, hallucinogenic, expectorant, sedative, stimulant, hypotensive, stomachic, vermifuge, and mildly tonic (Chiej, 1984; Grieve, 1984; Launert,

1981; Mills, 1985; Lust, 1983; Chopra et al., 1986; Weiner, 1980). It is utilized internally to treat bronchitis, digestive disorders, sinusitis, and so on (Bown, 1995). It is mentioned to have amazing tonic powers to stimulate and normalize appetite. In smaller doses it diminishes stomach acidity while in larger doses it increases stomach secretion (Chevallier, 1996) and in this way, it is, suggested in anorexia nervosa treatment (Phillips et al., 1990). Calamus is also utilized externally in treatment of neuralgia, skin eruptions and rheumatic pains (Bown, 1995). The root infusion could bring about an abortion (Weiner, 1980) while chewing of root mitigates toothache (Weiner, 1980). In the folk medicinal system, it is applied to treat cancer, convulsions, arthritis, epilepsy, diarrhea, dyspepsia, etc. Chewing the root is reported to mask the taste for tobacco (Duke, 1985). Two to three years old roots are generally utilized as older roots tend to become hollow and tough (Grieve, 1984). They used to harvest during early spring or late autumn and are dried for future use (Grieve, 1984). The dry root mislays 70% of its weight, but it has an enhanced flavor and odor (Phillips et al., 1990). It does, however, deteriorate in case stored for long time (Phillips et al., 1990). From the roots, a homeopathic cure is made (Launert, 1981). It is also utilized to treat dyspepsia, flatulence, anorexia, and gall bladder disorders (Launert, 1981) (Table 2.3).

#### 2.5 ORIGIN AND DISTRIBUTION

Present in ditches, river edges, marshes, and wetlands in wet soils and shallow water (Phillips et al., 1990, 1991). It is located in and naturalized in, Britain, Europe, Asia, New Guinea, Australia, South Africa, North America, Réunion (Clapham et al., 1962). In various subtropical and temperate regions of North America, Asia, and Europe, including India, this plant is used (Mukherjee et al., 2007). It is cultivated all over in India, climbing to altitude of around 2,200 meters. It is cultivated mainly in Shirmaur (Himachal Pradesh), Kashmir, Naga Hills and Manipur. It is routinely cultivated in the koratag (Umamaheshwari et al., 2018). Acorus calamus var angustatus is a tetraploid type that is native to Asian nations, from Japan to India along with Philippines as well as from Siberia to Indonesia. In the northern subarctic of North America and isolated disjunct areas throughout the Mississippi Valley, the diploid type Acorus calamus var americanus or Acorus americanus is present and diploids are also found in central Siberia (Buryatia), Mongolia, India's northern Himachal Pradesh and Pakistan's Gilgit-Baltistan. In certain parts of the USA (United States) and Canada, it is extinct. It may not be endemic to any of these countries, it is believed to have been spread through

Nation Name	Ailment Name	Plant Parts Used/Dosage Forms	Administration Route	References
India	Skin-diseases	<i>A. calamus</i> and <i>C. aromatica</i> rhizome paste are applied with <i>Argemone mexicana</i> seed paste.	Oral	Quraishi et al. (2017)
	Eczema	Rhizomes paste of sweet flag offered with rhizomes paste of <i>Curcuma aromatica</i> and <i>Azadirachta indica</i> leaves.		
	Cough, ulcer, scab, dermatitis, stuttering, fever, sores.	Rhizomes		Pradhan et al. (2008)
	Fever, cough, cold	Two teaspoon of herbal powder comprising sweet flag rhizomes, <i>Calonyction muricutum</i> flower pedicles, <i>Boerhaavia diusa</i> roots, <i>Ipomoea muricate</i> seeds, <i>Cassia fistula</i> fruits pulp, Senna leaves, <i>Curcuma longa</i> rhizomes, black pepper, <i>Mentha arvensis</i> leaves and <i>Helicteres isora</i> fruits, is taken with lukewarm water.		Dwivedi et al. (2006)
		<i>A. calamus</i> rhizomes paste is given to children with mother's milk, <i>Calunarejan spinosa</i> , and <i>Myristica fragrance</i> fruits.		Sharma et al. (2004)
	Disorders in GIT	Rhizomes paste of sweet flag is given with cow milk.		Usher (1984)
	Flavoring, carminative, tonic, and head lice infestations.	Dried rhizome infusion (procured and stored throughout the autumn season).		Usher (1984); Ghosh (2008); Natarajan et al (2000)
	Epilepsy, dysentery, kidney diseases, diarrhea, mental illnesses and liver diseases.	Rhizomes paste of sweet flag is served with honey.		Nisha et al. (2010)
	Wounds, fever, body pain.	Rhizomes		Ragupathy et al. (2008); Tomar (2009)
	Stimulant	A. calamus dry powder is given with honey.		Barbhuiya et al. (2009

## **TABLE 2.3** Country Wise Ethnomedicinal Uses of A. calamus

**TABLE 2.3** (Continued)

Nation Name	Ailment Name	Plant Parts Used/Dosage Forms	Administration Route	References
	Dysentery	Calamus rhizomes, freshly crushed, are combined with hot water and administered for three days		Rajith et al. (2010)
	Analgesic	Rhizomes of A. calamus are given with cinchona bark.		Sher et al. (2011)
	Gastrointestinal, emmenagogue, respiratory, anthelmintic	Rhizomes		
	Arthritis, paralysis	Castor oil is used to apply with rhizomes ash.		
	Prolonger labor.	Rhizomes are used in conjunction with saffron and horse milk.		
	Neurological disorder, respiratory, gastrointestinal, increases menstrual flow, contraceptive, analgesic.	Rhizomes		Poonam et al. (2009); Shrestha et al. (2003) Khatun et al. (2011)
	Analgesic, gastrointestinal, herpangina, respiratory, neurological disorder.			Dastur (1951)
	Injuries	Outer implementation of A. calamus rhizomes.	Dermal	Kadel et al. (2008)
	Stomach ache	Paste of the A. calamus rhizomes' ash.		Boktapa et al. (2010)
	Otitis externa	Roots paste of <i>A. calamus</i> is administered along with coconut husk extract.		Kingston et al. (2007)
	Cough, fever, and cancer	Juice of <i>A. calamus</i> roots is given with honey and <i>Myristica dactyloides</i> .		Yabesh et al. (2014)
	Lotion	A. calamus fresh leaves		Jain et al. (2007)
Pakistan	Diarrhea and colic	Whole plant	Oral	Satyavati et al. (1976)

TABLE 2.3	(Continued)			
Nation Name	Ailment Name	Plant Parts Used/Dosage Forms	Administration Route	References
Nepal	Cough, sore throat, headache, pain, and snake bite.	Rhizomes		Malhi et al. (1972)
	Blood pressure	A. calamus root infusion.		Jain (1968)
	Dysentery	Juice of rhizomes is given with hot water.		
	Neurological, respiratory	Rhizomes		Singh et al. (1979)
Malaysia	Rheumatism, dyspepsia, diarrhea, and hair loss.	Whole plant		Kirtikar et al. (1975)
Tibet	Cancer	Rhizomes		Burang (1979)
	Fever, gastrointestinal	Dried rhizomes are given with <i>Ferula foetida</i> , <i>Saussurea lappa</i> , <i>Terminalia chebula</i> , <i>Inula racemosa</i> , <i>Cuminum cyminum</i> , and <i>Zingiber officinale</i> .		Lama et al. (1979)
China	Gastrointestinal, respiratory, analgesic, neuroprotective, cancer, contraceptive	Rhizomes		Wallnofer et al. (1965); Agarwal et al. (1956); Duke et al. (1985)
	Detoxifications	Sweet flag rhizome along with <i>Alpinia galanga</i> , vinegar, <i>Zingiber purpureum</i> .		Perry et al. (1980)
	Anti-pyretic and ear disease	Rhizomes were administered together with squeezed <i>Coccinia cordifolia</i> stems and water.		
	Analgesic	The rhizome herbal baths.	External	
	Hemorrhage	Rhizomes paste		Boissya et al. (1980)
	Hallucinations	Rhizomes are applied by combining with <i>Podophyllum pleianthum</i> and Indian hemp.	Oral	Li (1977)
	Aphrodisiac	Rhizomes		Dragendor (1898)
	Fair skin	Artemisia vulgaris is administered with sweet flag leaves.	Dermal	Shih-Chen (1973)

31

ontinued)

Nation Name	Ailment Name	Plant Parts Used/Dosage Forms	Administration Route	References
Indonesia	Gastrointestinal	Rhizomes	Oral	Hirschhorn (1983)
England		Rhizomes blended with magnesium oxide and chalk.		Wren (1956)
	Gastrointestinal, antibacte- rial, analgesic	Rhizomes		Grieve (1971)
	Chronic catarrh, dysentery, and neurological.	Sweet flag rhizomes are administered along with <i>Gentiana</i> campestris L.		
		Rhizome		
	Malaria			Wheelwright (1974)
Europe	Obesity, influenza, gastrointestinal, respiratory			Moerman (1981); Jochle (1974)
South African Republic	Gastrointestinal, tooth powder, aphrodisiac, tonic			Watt et al. (1962)
Sweden	Liquor			Kantor (1916)
Germany	Increases menstrual flow, gastrointestinal.			Herrmann (1956); Burkill (1966)
Java	Lactation			Motley, 1994
Lithuania	Chest pain, diarrhea.	Leaves and rhizomes are taken with sugar.		Grieve (1971)
	Relieves pain, rheumatism, gout.	Decoction of leaves	External	Krochmal et al. (1975)
New Guinea	Miscarriage	Rhizomes	Oral	El'Yashevych et al. (1972)
Philippines	Gastrointestinal, rheumatism			Watt et al. (1962)

TABLE 2.3	(Continued)			
Nation Name	Ailment Name	Plant Parts Used/Dosage Forms	Administration Route	References
Russia	Syphilis, typhoid, fever, baldness, cholera.			Barton et al. (1877)
Thailand	Blood purifier, fever			Mokkhasamit et al. (1971)
Turkey	Wound healing, tuberculosis, cough		External and oral	Krochmal et al. (1975)
	Gastrointestinal		Oral	Harris (1972); Lindley (1838)
Arab countries	Gastrointestinal, tuberculosis			Caius (1986); Clymer (1963)
Brazil	Destroys parasitic worms.			Clymer (1963)
Argentina	Dysmenorrhea			Manfred (1947)
Korea	Improving memories as well as life span.			Kumar et al. (2013b)
United States	Gastrointestinal, tonic, abortifacient, stimulant, respiratory disorder			Dobelis (1986)
Sri Lanka	Worm infestation, cough	Rhizomes pastes are given with milk.		Napagoda et al. (2019)

areas of the USA by Pre-Columbian people (Motley, 1994; Packer et al., 1984).

According to the Global Biodiversity Information Facility, *Acorus calamus* L. is discovered regionally across 42 countries (Quraishi et al., 2017; Global Biodiversity Information Facility, 2020). The details of the nations where it is distributed are India, Nepal, USA, Japan, Germany, Bangladesh, Myanmar, Thailand, Cambodia, Sri Lanka, Philippines, Papua New Guinea, Malaysia, Indonesia, Vietnam, China, Mongolia, Kazakhstan, Uzbekistan, Georgia, Turkey, Italy, Bulgaria, Greece, Albania, Croatia, Hungary, Switzerland, France, Belgium, Netherland, Czechia, Poland, Belarus, Denmark, United Kingdom, Ireland, Norway, Sweden, Finland, Canada, Korea (Sharma et al., 2020).

#### 2.6 BOTANY AND TAXONOMY

Acorus calamus L. having common name calamus, with many other common names internationally like, in English its known as sweet cane, sweet flag; in Spanish it's called Acoro; in French: Acore vrai, Roseau aromatique; in Portuguese: calamo-aromatico. Apart from that sweet flag are having some local common names, such as in Germany: Kalmus, in Italy: Acoro aromatic, in the Netherlands: Kalmoes, in Sweden: Kalmus (CABI, 2020).

It is a grass-kind of perennial, rhizome forming, that can grow up to two meters. This species generally located at perpetually wet territories like streams edge and around lakes and ponds, in ditches and seeps. It frequently shares habitation with the general cattail.

The cylindrical rhizome of calamus is aromatic, creeping, and widely branched, thick up to 2.5 cm, white internally and purplish brown to pale brown externally. During the rhizome formation, perennial that can develop to two meters resembles like an iris (Umamaheshwari et al., 2018).

Root comprises of long creeping roots which expanse exactly beneath the surface of soil (Umamaheshwari et al., 2018).

The leaves of the erect *A. calamus* are dense and very uniform in appearance like the iris, but the margins are pleated. A solitary prominent mid vein contains the sweet flag leaves, secondary veins on both sides that are marginally elevated and several other fine tertiary veins. It also distinguishes itself from *Acorus americanus*. In certain locations, the leaves are 0.7 to 1.7 cm wide, with an average width of 1 cm. Calamus leaves are sympodial, slightly narrower than vegetative leaves. The free leaves are wavy, alternate, and green; one to three in seeded cylindrical shaped and green colored and have a thin testa (Umamaheshwari et al., 2018). Acorus calamus L. (Sweet Flag)

If this plant is grown, the very seldom grown flowers are around 3–8 cm tall, cylindrical in form, greenish brown in color and surrounded by a multitude of rounded spikes. Tiny, sessile, thickly packed flowers and 5 to 10 cm of spadix. The spadix could achieve a length of between 4.9 and 8.9 cm during growth. Flowers rose on the basis of latitude during the early to late summer, growing wild in moist regions up to altitude 2,000 m in the Manipur, Himalayas, Naga Hills and in some areas of South India (Umamaheshwari et al., 2018).

The small fruits are berry-like; in ecotypes, chemical components differ and contain many seeds. In July, flowering and fruiting occur (Umamaheshwari et al., 2018).

The taxonomic data of A. calamus includes (CABI, 2020):

- **Domain:** Eukaryota.
- Kingdom: Plantae.
- **Phylum:** Spermatophyta.
- Subphylum: Angiospermae.
- Class: Monocotyledonae.
- Order: Arales.
- Family: Acoraceae.
- Genus: Acorus.
- Species: Calamus.

# 2.7 VARIETIES AND CULTIVARS

Some global crop varieties are there which includes:

- 1. *Acorus calamus*: Eurasia and Eastern North America are home to this species. This variety have five feet long leaves and four inch longer elliptical spadix. The plant is perennial and has subterranean rhizomes that grow slowly.
- 2. *Acorus calamus* Variegatus: This variety plant leaves have yellow and cream color.
- **3.** *Acorus gramineus* **Argenteostriatus:** This is intrinsic to Japan. This plant's leaves are 18 inch tall, and the blooms are around three inches. There are clumps that are 2 feet thick and have a sluggish rate of growth.
- **4.** *Acorus gramineus*: This variety has been found in Cascadia. It seems to be an ever-green herb.

- 5. *Acorus gramineus* Golden Pheasant: The leaf color of this variety is golden to chartreuse and 12 to 14 inches in height.
- 6. *Acorus gramineus* Minimus Aureus: The leafage of this plant variety is fine in texture and 4-inch height.
- 7. *Acorus gramineus* Ogon: The golden and green color of the varying leaves of this plant variety is 10 to 12 inch tall.
- **8.** *Acorus gramineus* **Variegatus:** The white and green color of the varying leaves of this plant is 8–12 inches long.
- **9.** *Acorus gramineus* **Yodo-No-Yuki:** The leaf color of this variety of plants is olive-green with a yellowish border.
- **10.** *Acorus gramineus* **Hakuro-Nishiki:** The leaves of this variety of plants are yellowish-green in color.

## 2.8 SOIL AND CLIMATE

*Acorus calamus* L, generally referred to as the sweet flag, occurs with ample moisture or irrigation in almost a large range of soil. This could additionally be cultivated in marshy or waterlogged soils. *A. calamus* grows in 1,800-meter high to 900-meter low altitudes (Sharma et al., 2020). Preferrable pH of this herb ranges from 5.5–7.5 and requires sunny position (Huxley, 1992). The plants can withstand temperatures as low as – 25°C (Phillips et al., 1991). Preferable rainfall should be 70–250 cm. The Tropical to subtropical climate is found to be worthy for this crop. The land is prepared identic to irrigated paddy field. Second, in order to promote impounding water, the lands are dripped with farmyard compost and *Pongamia pinnata* Pierre green leaves compost. March to April is the strongest planting season (Lokesh, 2004).

#### 2.9 PROPAGATION

Propagation is often carried out by rhizomes. First the rhizomes are harvested into small portions and then sprouted rhizomes are cultivated. During planting 44,400 per acre seed are used. As soon the seed gets ripen, it is planted in a cold weather. Stand the pot in about 3 cm of water. As soon as they are too large to work with, pot up young seedlings, holding them moist by fixing the containers in shallows water and overwintering in a cold or greenhouse infrastructure for the 1<sup>st</sup> year. In Britain seed is seldomly produced (Grieve,

1984; Clapham et al., 1962). During spring division starts since the growth start. Easily, it can be carried out effectively at any moment throughout the growth period and could be sown direct into its stable situations.

#### 2.10 PLANTING, IRRIGATION, AND NUTRIENT MANAGEMENT

Developing shoots or living ends of *A. calamus* are procured from past crops upon harvest and stored in a moist or puddle area for 2–3 weeks. This plantation materials are then put in a cultivated land at (30 x 30) cm spacing (Lokesh, 2004). During sowing the rhizomes are sown at depth of 4 cm, and the method of sowing should be direct sowing of seeds or sprouted rhizomes on bed.

It is water-deep grain similar to irrigated paddy, but 10 to 11 months is the length of the sweet flag. So, this herb is much compatible where the water isn't scarce. In preliminary phase of this grain, ground is waterlogged with 5-centimeter-deep water. Since the grain started growing, depth of the water in ground is raised to 10 centimeter till 20 days prior harvest. The total water needed for the period of 10 months is 34.58 million liters (Lokesh, 2004).

When these plants block the rows in a dense canopy, the grain is weeded once every month for the initial four to five months (Lokesh, 2004).

Chemical fertilizers with 45: 12.5: 12.5 kg of NPK/hectare (typically urea/17 All/DAP) are utilized for the top dressing in two or three splitted dosing, 60 days after plantation (Lokesh, 2004). Apply 1/3 portion of nitrogen (N), full portion of phosphorus (P), and potash (K) as basal dose. Residual portion of nitrogen should be applied after 1- and 2-months post plantation (Lokesh, 2004).

#### 2.11 PEST AND DISEASE MANAGEMENT

The common issue in cultivation is pests attack over crops. Likewise, some minor organisms namely *Cerataphis lataniae* (palm aphid), *Fusarium oxysporum* (basal rot), *Lasioderma serricorne* (cigarette beetle) usually attacks sweet flag. Apart from that another fungal pathogen is there, usually called *Gibberella intricans* (damping-off of safflower) (CABI, 2020).

#### 2.11.1 SLUG DAMAGE

It is the harm due to the slug on the herb leaves. They feed themselves on fresh plant leaves. Metaldehyde or iron phosphate is applied to rescue the plant from slugs.

## 2.11.2 MEALY BUGS

It's a kind of harm to the plants caused by *Pseudococcus* and *Lepidocephalus*. Yellowing and withering starts with the leaves. About 10 ml of Methyl parathion or 20 ml of Quinalphos is added to the shoots and roots of the herb in 10 liters of water.

# 2.11.3 LEAF SPOT

The discolored spots occurred on the plant leaves are due to the fungus attack. The leaf spot should be regulated by the application of 10 g of Captan and 20 ml of Chlorpyrifos in 10 liters of water.

## 2.12 HARVESTING AND YIELD

That is a symbol of crop maturity when the leaf tips have begun to turn yellowish. Rhizomes are close to the surface and grow from 30 to 60 cm in height. They were harvested between February and March, when the crop was 10–11 months old (Lokesh, 2004). The best temperature for harvesting is 15–20°C. The most conventional type of harvesting implements is small sickle, big sickle and darat (Verma, 1998).

The average rhizomes yield is 40 qt/ha (quintal/hectare). Better agronomic techniques, on the other hand, might improve production (Lokesh, 2004). In addition, for two continuous years, an examination was attempted to investigate the probability of increasing the yield of *A. calamus* L rhizome by altering the planting period (such as growing in rainy and autumn seasons), retaining separate distances, and harvested in various seasons. Calamus yields were considerably higher when planted in autumn (131.5 qt/ha) than in the rainy season (65.7 qt/ha) (Tiwari et al., 2012). Crop harvesting after one year resulted in greater rhizomes yield of 164.7 qt/ha and 93.7 qt/ha during the autumn and rainy seasons, respectively. In various yield pieces, a similar trend was also seen. Closer planting (20 x 20 cm, 30 x 20 cm) resulted in slightly higher rhizome yields (86.2 qt/ha, 81.2 qt/ha) than a larger 40 x 40 cm (70.3 qt/ha) spacing. This research shows the possibility of maximizing sweet flag rhizome yield by controlling planting and harvesting times while maintaining sufficient plant spacing (Tiwari et al., 2012).

# 2.13 POST-HARVEST HANDLING AND STORAGE

They are harvested into parts that are 5 to 7.5 cm longer and then dried in the sun for one day then battered and rubbed. In the heat, this drying process and

eventual rubbing is followed 2 to 3 times before the leaf scales and fibrous roots are expelled. It's packed to be shipped after rubbing. Cultivators have been using hand-operated or power-operated equipment in recent days to spread the leafy scales and fibrous roots (Lokesh, 2004). It ought to be kept in a cool and dry place, but not >1 year. Following this, the roots lose the majority of their active principles.

# 2.14 PROCESSING AND CHARACTERIZATION OF PHYTO-CONSTITUENTS

The different phytochemical studies of calamus have been disclosed that it contains different phytochemical constituents. The constituents are sterols, phenylpropanoids, triterpene glycosides, sesquiterpenoids, triterpenoid saponins, monoterpenes, and alkaloids, essential oil containing calameon, calamen, asarone, clamenol, and sesquiterpenes. The plant additionally comprises a bitter glycoside namely acorine along with  $\alpha$ -pinene,  $\beta$ -pinene, eugenol, and camphene (Sharma et al., 2020; Mukherjee et al., 2007; Chandra et al., 2017; Imam et al., 2013).

The different studies of volatile oil of calamus obtained in mass spectrometry and gas chromatography has 145 components in the oil from triploid European *Acorus calamus* and 93 components in the oil from tetraploid Indian *Acorus calamus* (Sharma et al., 2020; Umamaheshwari et al., 2018). The theory constituents found in the volatile oil are 2,4,5-trimethoxy-l-propenylbenzene as  $\beta$ -asarone and  $\alpha$ -asarone, chemically classified as trans-and cis-isomers, respectively (Mukherjee et al., 2007; Baxter et al., 1960).

The oil from calamus also comprises lots of chemical compounds in different concentrations (Mukherjee et al., 2007; Raina et al., 2003; Oprean et al., 2001) like:  $\gamma$ -asarone, eugenyl acetate, methyl isoeugenol, isoeugenol, p-cymene, azulene, calamol, dipentene, methyl ether of eugenol, asaronalde-hyde, methyleugenol, 1,8-cineole, terpinolene,  $\alpha$ -caryophyllene, hydrocarbons, and camphor (Mukherjee et al., 2007; Nigam et al., 1990; Srivastava et al., 1997; Mukherjee, 2002). In addition, the oil consists of fatty acids such as palmitic acid and its ester, heptylic acid, and butyric acid ester (Mukherjee et al., 2007; Chaudhry et al., 1957).

## 2.14.1 PHENYLPROPANOIDS

These are having an aromatic ring along with a structurally varied category of secondary plant metabolites derived from phenylalanine (C6–C3), such as

alpha-asarone,  $\beta$ -asarone, eugenol, isoeugenol, etc. (Banerjee et al., 2020a; Bose et al., 2020). Various phenylpropanoids from calamus rhizomes and leaves have been described. The important components of this plant are alpha and  $\beta$ -asarone discovered from the rhizome. There are also records of a collection of aromatic rhizome oils with different structures.

# 2.14.2 SESQUITERPENOIDS

Around 44 sesquiterpenes, inclusive of lactones, were separated and recognized in sweet-flag rhizomes. Sesquiterpene lactones are formed from three units of isoprene and are made up of lactone rings. It is accepted that the alpha- $\beta$  unsaturated alpha-lactonic ring in sesquiterpene lactones is responsible for pharmacological activities (46–99).

# 2.14.3 MONOTERPENES

These are the simplest class terpene (C-10) which is associate with 2 isoprene units (monocyclic, bicyclic, tricyclic, etc.) (Banerjee et al., 2020b). Mono-terpenes may have diverse functional groups, as ketones, aldehydes, esters, phenols, ethers, and alcohols (Benaiges et al., 2007). These organic components spread the characteristic fragrance and flavor of calamus rhizomes and leaves (100–122).

# 2.14.4 TRITERPENOID SAPONINS

Triterpenoid saponins comprise of a pentacyclic terpene (C-30) skeleton (Banerjee et al., 2019). Till now only 2 triterpenoid saponins (123, 124) have been recognized from sweet flag rhizomes.

# 2.14.5 OTHER COMPOUNDS

To date, calamus rhizomes have been described with 1 xanthone glycoside (125), 2 alkaloids (126–127), 1 triterpene glycoside (128), 1 steroid (129), 12 amino acids (130–141), and 4 fatty acids (142–145).

The details of the chemical components of *A. calamus* along with their processing and characterization are portrayed in Table 2.4 and Figure 2.1.

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
Phenylpropanoids	1	a-Asarone	GC-FID, GC-MS	aqueous,	n-hexane,	Mukherjee (2002); Nigam et al. (1990); Kumar et al.
	2	$\beta$ -Asarone			1 /	
	3 y-Asarone ethanol	methanol, ethanol	(2010); Lee et al. (2011); Padalia et al. (2014)			
	4	Eugenyl acetate	GC-MS		Aqueous	Mukherjee (2002); Nigam
	5	Eugenol			extract	et al. (1990); Kumar et al.
	6	Isoeugenol				(2015)
	7	Calamol				
	8	Azulene				
	9	Eugenol methyl ether				
	10	Dipentene				
	11	Asaronaldehyde				
	12	Terpinolene				
	13	1,8-cineole				
	14	Methyl eugenol			n-hexane, ethyl acetate	Srivastava et al. (1997)
	15	Methyl isoeugenol			Hexane	Mukherjee (2002); Nigam et al. (1990); Kumar et al. (2015); Kim et al. (2011)
	16	(E)-Methyl isoeugenol	GC-FID, GC-MS		Aqueous	Lee et al. (2011)
	17	(E)-Isoeugenol acetate			extract	

TABLE 2.4. A. calamus Chemical Constituents Extracted from Various Plant Sections

**TABLE 2.4** (Continued)

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
	18	Cinnamaldehyde			n-hexane,	Srivastava et al. (1997)
	19	Euasarone			ethyl acetate	
	20	Cis-methyl isoeugenol				
	21	Cyclohexanone	GC-MS		Hexane	Kim et al. (2011)
	22	Isoasarone	NMR		Chloroform	Patra et al. (1981)
	23	Acorin				
	24	Safrole				
	25	Z-3-(2,4,5-trimethoxyphenyl)-2- propenal	FTIR, NMR		Ethanol	Saxena (1986)
	26	2,3-dihydro-4,5,7-trimethoxy-1- ethyl-2-methyl-3 (2,4,5-trime- thoxyphenyl) indene				
	27	(Z)-asarone	GC-MS	Leaves	n-hexane	Radušiene et al. (2007)
	28	(E)-caryophyllene				
	29	Estragole		Rhizome	Aqueous	Haghighi et al. (2017)
	30	Carvacrol				
	31	2-cyclohexane-1-one				
	32	Naphthalene				
	33	y-Cadinene				
	34	Aristolene				
	35	1(5),3-aromadenedradiene				
	36	5-n-butyltetraline				

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
	37	4,5-dehydro-isolongifolene				
	38	Calarene				
	39	Isohomogenol				
	40	Zingiberene				
	41	$\alpha$ -Calacorene				
	42	5,8-dimethyl isoquinoline				
	43	Cyclohexane methanol				
	44	Longifolene				
	45	Isoelemicin				
Sesquiterpenoids	46	Calamene				Mukherjee (2002); Nigam
	47	Calamenenol				et al. (1990); Kumar et al.
	48	Calameone				(2015)
	49	Preisocalamendiol				
	50	1,4-(trans)1,7(trans)-acorenone				Özcan et al. (2002)
	51	1,4-(cis)-1,7-(trans)-acorenone				
	52	2,6 diepishyobunone				
	53	$\alpha$ -Gurjunene				
	54	$\beta$ -Gurjunene				
	55	$\alpha$ -Cedrene				Haghighi et al. (2017)
	56	$\beta$ -Elemene				
	57	$\beta$ -Cedrene				Özcan et al. (2002)

**TABLE 2.4** (Continued)

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
	58	$\beta$ -Caryophyllene				
	59	Valencene				
	60	Viridiflorene				
	61	Caryophyllene oxide				
	62	δ-Cadinene				
	63	a-Curcumene				
	64	Elemol				
	65	Cedrol				
	66	Spathulenol				
	67	Acorenone				
	68	α-Cadinol				
	69	Shyobunone				Kumar et al. (2010); Özcan et al. (2002); Nawamaki et al. (1996); Zaugg et al. (2011)
	70	Isoshyobunone				Özcan et al. (2002); Nawamaki et al. (1996); Yamamura et al. (1971)
	71	Humulene oxide II	GC-FID, GC-MS			Lee et al. (2011); Özcan e
	72	a-Selinene				al. (2002)
	73	Humulene epoxide II				Lee et al. (2011)
	74	a-Bisabolol				

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
	75	Asaronaldehyde	NMR		Chloroform	Patra et al. (1981)
	76	Acorone			Hydro alcoholic	Zaugg et al. (2011)
	77	Neo-acorane A			Ethanol	Li et al. (2017)
	78	Acoric acid				
	79	Calamusin D				
	80	1,5-Guaiane-4,10-diol-6-one				Zhou et al. (2012)
	81	Calamusenone	GLC, IR, NMR		Petroleum	Nawamaki et al. (1996)
	82	Isocalamendiol			ether	
	83	Dehydroxyiso-calamendiol				
	84	Epishyobunone				
	85	Dioxosarcoguaiacol	HPLC		Petroleum ether	Yamamura et al. (1971)
	86	7-tetracycloundecanol,4,4,11,11- tetramethyl	GC-MS		Ethanol	Kumar et al. (2010)
	87	4,7-Methano-4-naphth[1,8a-b] oxirene				
	88	Spathulenol				Haghighi et al. (2017)
	89	Vulgarol B				

## TABLE 2.4 (Continued)

**TABLE 2.4** (Continued)

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
	90	Tatanan A	HPLC, NMR		95% ethanol	Yao et al. (2018)
	91	Acoramone				
	92	2-hydroxyacorenone				
	93	4-(2-formyl-5-methoxymethyl pyrrol-1-yl) butyric acid methyl ester				
	94	2-acetoxyacorenone				
	95	Acoramol				
	96	N-transferuloyl tyramine				
	97	Tatarinoid A				
	98	Tatarinoid B				
	99	Acortatarin A				
Monoterpenes	100	<i>p</i> -Cymene	GC-FID, GC-MS	Rhizomes, roots	Aqueous	Lee et al. (2011); Özcan et al. (2002); Haghighi et al. (2017)
	101	a-Pinene	GC-MS			Mukherjee (2002); Nigam
	102	β-Pinene				et al. (1990); Kumar et al. (2015); Özcan et al. (2002)
	103	Camphene				Mukherjee (2002); Nigam et al. (1990); Kumar et al. (2015); Özcan et al. (2002); Haghighi et al. (2017)

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
	104	o-Cymol	·			Haghighi et al. (2017)
	105	y-Terpinene				
	106	a-Terpinolene				
	107	Anethole				
	108	Thymol				
	109	Isoaromadendrene epoxide				
	110	Camphor		Rhizome, leaves, roots	Aqueous, hexane	Özcan et al. (2002); Radušiene et al. (2007)
	111	Sabinene		Roots	Aqueous	Özcan et al. (2002)
	112	Cis-linalol oxide				
	113	Cis-sabinene hydrate				
	114	Trans-linalol oxide				
	115	Terpinen-4-ol				
	116	a-Acoradiene				
	117	$\beta$ -Acoradiene				
	118	$\alpha$ -Terpineol				
	119	2-hexenal				
	120	Limonene				Özcan et al. (2002); Haghighi et al. (2017)
	121	Linalool				Özcan et al. (2002); Radušiene et al. (2007)

**TABLE 2.4** (Continued)

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
	122	Isoborneol		Leaves	Hexane	Radušiene et al. (2007)
Triterpenoid saponins	123	$1\beta,2\alpha,3\beta, 19\alpha$ -Tetrahydroxyurs- 12-en-28-oic acid-28-O- $\{(\beta$ -D-glucopyranosyl $(1-2)\}$ - $\beta$ -D-galactopyranoside	NMR	Rhizome	Ethanol	Rai et al. (1998)
	124	$3-\beta,22-\alpha-24,29$ -Tetrahydroxyolean- 12-en- $3-O-(\beta$ -Darabinosyl(1,3)}- $\beta$ - D-arabinopyranoside				
Xanthone glycosides	125	4,5,8-trimethoxy-xanthone- 2- $O$ - $\beta$ -D-glucopyranosyl (1-2)- $O$ - $\beta$ -D-galactopyranoside				Rai et al. (1999)
Alkaloids	126	Trimethoxyamphetamine,2,3,5	GC-MS			Kumar et al. (2010)
	127	Pyrimidin-2-one,4-[N- methylureido]-1-[4-methyl amino carbonloxy methy]				
Triterpene glycoside	128	22-[(6-deoxy-L-rhamnopyranosyl) oxy]-3,23-dihydroxy-, methyl ester, $(3\beta,4\beta,20\alpha,22\beta)$	NMR	Root, Rhizomes	Ethyl acetate	Wu et al. (2007)
Steroids/Sterols	129	$\beta$ -daucosterol				

Classification	Compounds No.	Chemical Ingredients	Characterization Techniques	Plant Parts	Extracts Used	References
Amino acids	130	Arginine	HPLC	Roots	Ethanol	Vashi et al. (1987); Weber
	131	Lysine				et al. (1994)
	132	Phenylalanine				
	133	Threonine				
	134	Tryptophan				
	135	a-alanine				
	136	Asparagine				
	137	Aspartic acid				
	138	Norvaline				
	139	Proline				
	140	Tyrosine				
	141	Glutamic acid				
Fatty acids	142	Myristic acid	GLC	Rhizome	Petroleum	Asif et al. (1984)
	143	Palmitic acid			ether	
	144	Palmitoleic acid				
	145	Stearic acid				

#### **TABLE 2.4** (Continued)

*Abbreviation:* GC-MS: Gas chromatography–mass spectrometry; GC-FID: Gas chromatography–flame ionization detector; NMR: Nuclear magnetic resonance; GLC: Gas liquid chromatography; FTIR: Fourier-transform infrared spectroscopy; HPLC: High-performance liquid chromatography; and IR: Infrared spectroscopy.

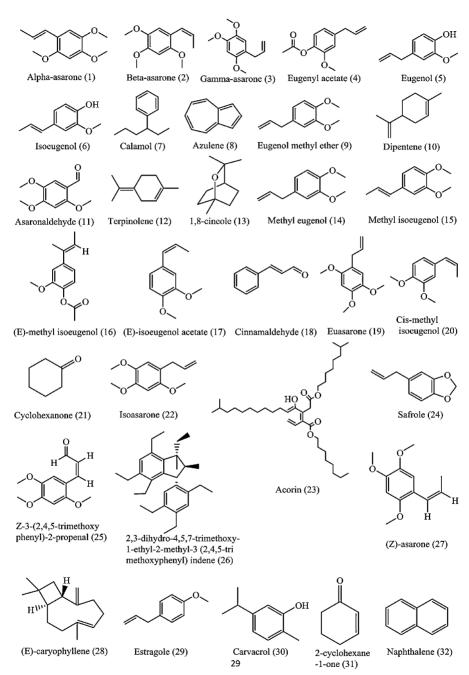
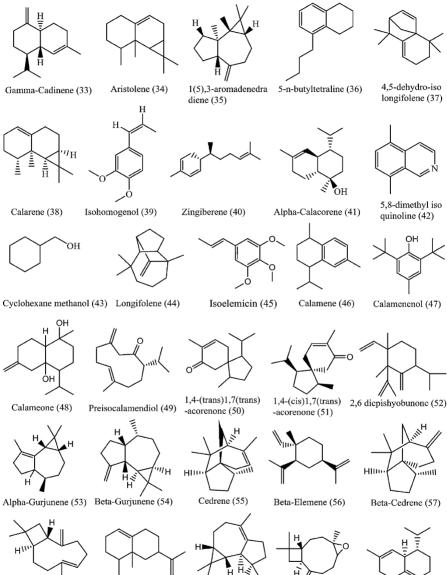


Figure 2.1 (Continued)



Beta-Caryophyllene (58)

- Valencene (59)
- H Viridiflorene (60)
- Caryophyllene oxide (61)
  - Figure 2.1 (Continued)

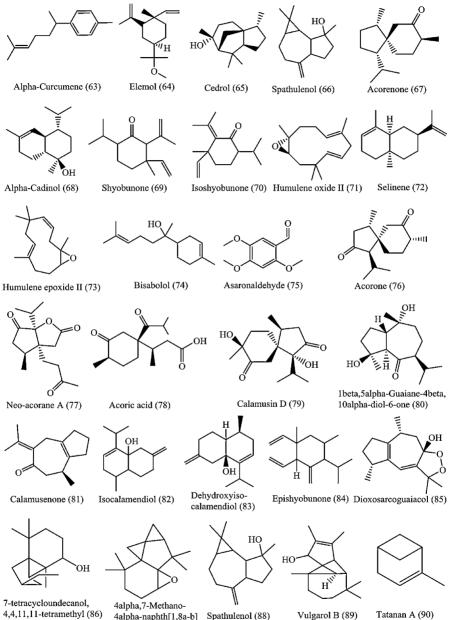








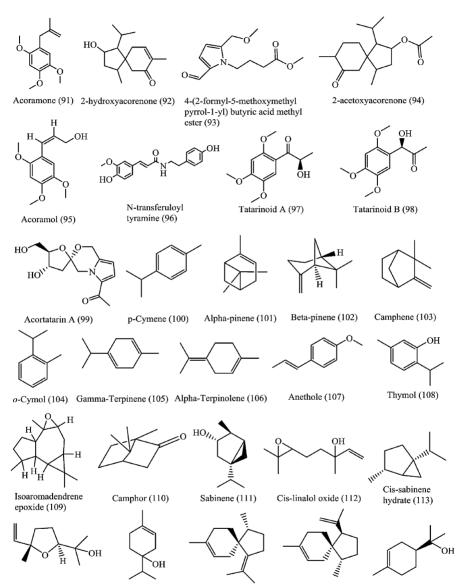
Delta-Cadinene (62)



4,4,11,11-tetramethyl (86)

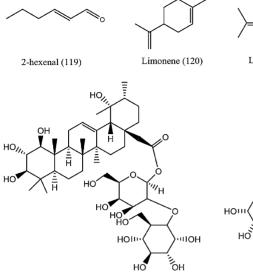
4alpha-naphth[1,8a-b] Spathulenol (88) oxirene (87)

Figure 2.1 (Continued)



Trans-linalol oxide (114) Terpinen-4-ol (115) Alpha-Acoradiene (116) Beta-Acoradiene (117) Alpha-Terpineol (118) Figure 2.1 (Continued)

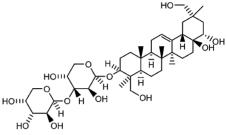
#### Advances in Medicinal and Aromatic Plants, Volume 1





Linalool (121)

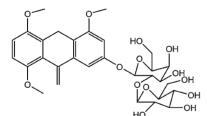
Isoborneol (122)



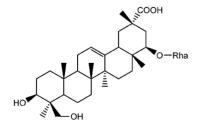
3-beta,22-alpha-24,29-Tetrahydroxyolean-12-en-

3-O-(beta-Darabinosyl(1,3)}-beta-Darabinopyranoside (124)

1beta,2alpha,3beta, 19alpha-Tetrahydroxyurs-12-en-28oic acid-28-*O*-{(beta-D-glucopyranosyl (1-2)}-beta-D galactopyranoside (123)

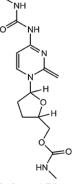


4,5,8-trimethoxy-xanthone-2-O-beta-D-glucopyranosyl (1-2)-O-beta-D-galactopyranoside (125)



H\_\_\_H

Trimethoxyamphet amine,2,3,5 (126)



Pyrimidin-2-one,4-[Nmethylureido]-1-[4methyl amino carbonloxy methy] (127)

22-[(6-deoxy-alpha-L-rhamnopyranosyl)oxy]-3,23dihydroxy-, methyl ester, (3beta,4beta,20alpha,22beta) (128)

Figure 2.1 (Continued)

54

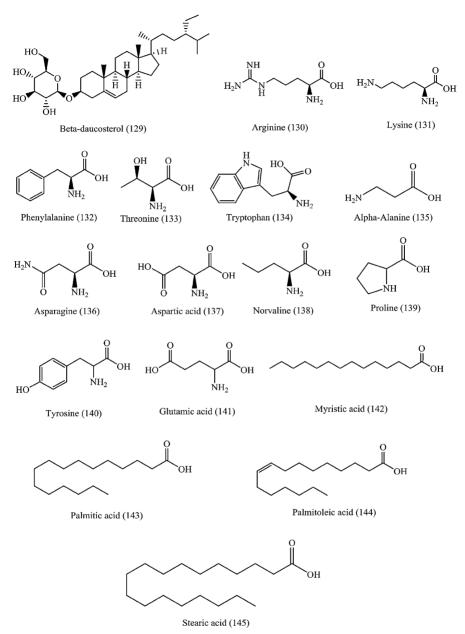


FIGURE 2.1 Phytoconstituents obtained from Acorus calamus.

# 2.15 BIOLOGICAL ACTIVITIES OF ACORUS CALAMUS

## 2.15.1 ANTICANCER ACTIVITY

Now a day's cancer, a major non-infectious disorder is being treated with different medicinal herbs or plants. Acorus calamus is a well-known therapeutic plant which have an anticancer activity. The Acorus calamus rhizome extract possess antioxidant property. Antioxidants are the free radicals which have the ability to prevent and cure the cancer from extremely reactive oxygen compounds which is responsible for cancer. Sweet flag can be implemented in cancer treatment (Gaidhani et al., 2009). The ethanolic and methanolic extracts as well as essential oil of calamus rhizome was evaluated on human gastric cell line (AGS) (Haghighi et al., 2017). Liu et al. revealed in research that treatment with  $\beta$ -asarone diminished the occurrence and tumour formation number against genotoxic colonic chemical carcinogen 1,2-Dimethyl hydrazine in CRJ: CD-1 (ICR) mice (Das et al., 2019). Further research by Wu et al. demonstrates the impacts of  $\beta$ -asarone which suppresses the proliferation in gastric cancer cell and induced early apoptosis. Human colorectal cell lines HT-29 and SW-480 were treated with  $\beta$ -asarone and finally concluded  $\beta$ -asarone inhibits colorectal carcinogenesis (Das et al., 2019).

## 2.15.2 ANTIDIABETIC ACTIVITY

Diabetes, a ceaseless condition related with abnormally increase in sugar levels (glucose) in blood causes in absence or insufficient production of insulin or a disability of the body to appropriately utilize insulin. *Acorus caramus* is extensively utilized in the diabetes treatment in conventional folk medication. An antidiabetic study described that *A. calamus* methanolic extract when administered orally, showed a significant blood glucose level decrease in streptozotocin induced diabetic rat. Blood glucose levels were lowered after 21 days of extract therapy, while plasma insulin levels were dramatically increased (Prisilla et al., 2012).

Prashanth et al. studied that *A. calamus* extract decreases the blood glucose level significantly. Total 54 rats were taken for that experiment on 2 different phases. *A. calamus* extract in diverse doses like 100, 150, and 200 mg per kg to various groups and observed thoroughly. It was shown that *A. calamus* 150 mg/kg induces hypoglycemic action in both alloxan-induced diabetic and non-diabetic rats.

A minor drop in blood glucose level in fasted and glucose-fed normal mice with 200–800 mg/kg *A. calamus* extract was further examined after 1 hour after ingestion (Si et al., 2010a).

### 2.15.3 ANTIMICROBIAL ACTIVITY

Both plant-based and synthetic products from different sources have been recognized over the past 2 decades and found *Acorus calamus* as one such herb alleged to have antimicrobial activity.  $\beta$ -asarone, an antibacterial component, was extracted from methanolic sweet flag leaves essence utilizing different chromatographic methods. Due to pathogens with multi-drug resistance, the clinical efficiency of existing antibiotics is being dangerous (Bandow et al., 2003). So, researchers are thinking to progress better herbal medicine against microbial infections (Manikandan et al., 2010; Murugaian et al., 2009). The study displayed potential antimicrobial action of methanolic *A. calamus* rhizome extract, like it is highly active against filamentous fungi (Phongpaichit et al., 2005).

It is clear from the experiment that *A. calamus* displays antibacterial and antifungal activity, which gives solid evidence of the application of this herb in various drugs (Kumar et al., 2014). Agar disc diffusion process (Bauer et al., 1996) was employed for antimicrobial bioassay. Several past and continuing investigations have shown that calamus rhizomes have antibacterial properties (Mungkornasawakul et al., 2000; McGaw et al., 2002; Grosvenor et al., 1995). It is also traditionally utilized as medicinal herb to treat bacterial infection in India (Panchal et al., 1989). From an *in-vitro* experiment of calamus it can be understood that the compound has remarkable antimicrobial activity (Kaithavalappil et al., 2015). Another experiment reported that *A. calamus* methanol extract displayed inhibition against *Salmonella typhi, Staphylococcus aureus, Klebsiella pneumoniae,* and *Pseudomonas aeruginosa* (Singh et al., 2011).

#### 2.15.4 ANTI-INFLAMMATORY ACTIVITY

Inflammation has been related with many diseases which bring the dangerous effect on the patients, that can cause a threat to his/her life. Numerous anti-inflammatory drugs are obtainable in the market to treat inflammation which are belonging to steroidal and non-steroidal anti-inflammatory drug (NSAIDS). But these drugs have many adverse effects, for example,

gastrointestinal complications, bleeding, and acute renal failure. For this reason. researchers have concentrated their focus on medicinal plants which have the anti-inflammatory property. A. calamus is one of the famed therapeutic herbs which have important anti-inflammatory property. The study had been conducted on plants and these plants had been screened for phytochemicals which have anti-inflammatory property (Chamlagai et al., 2016). In acute, chronic and immunological inflammation models, and compared to hydrocortisone action, an extract of the Acorus calamus rhizome was investigated. The A. calamus extract showed significant anti-inflammatory activity (Kumar et al., 2013a). From the research it is clear that the aqueous A. calamus leaves extract has anti-inflammatory action (Vengadesh et al., 2009). The A. calamus leaves extract was studied on HaCaT keratinocyte cell lines for anti-inflammatory effect (Kim et al., 2009). The calamus root and rhizome extract have been accounted for anti-inflammatory action (Valay et al., 2015). The anti-inflammatory function was demonstrated by the analysis of distilled saponins derived from water and methanolic extracts of A. calamus leaves (Shahzad et al., 2015).

## 2.15.5 ANTIDIARRHEAL ACTIVITY

Diarrhea is a nationwide issue, particularly among kids. Many medicinal plants which are conveniently available had been portrayed to be efficient against diarrhea. Sweet flag is a kind of therapeutic plant that has antidiarrheal properties. It was reported that A. calamus possess many medicinal benefits inclusive of anti-diarrheal property (Rajput et al., 2014). Water boiled with A. calamus ought to be given to patient suffering from diarrhea. In an experiment it significantly declines the severity caused by diarrhea. A. calamus are utilized in traditional folk medicine in order to treat diarrhea (Kapadia et al., 2012). Additional analysis revealed that aqueous and methanolic sweet flag extract displayed anti-diarrheal effect against diarrheal model induced by castor oil (Devi et al., 2014). The A. calamus rhizome is recognized in Avurvedic medicine to have expectorant, aromatic stimulant, emetic, diuretics properties. It's utilized to treat a host of diseases, for example, diarrhea and dysentery (Kirtikar et al., 1987). From the study it is clear that the rhizomes of A. calamus is useful for diarrheal disorder (Jha et al., 1996). An examination was conducted to assess the action of calamus aqueous and methanol extract for anti-diarrheal effect (Mukherjee et al., 2007).

### 2.15.6 ANTHELMINTIC ACTIVITY

Helminthiasis, a macro-parasitic worm disease, is incurred by nematodes. It is amongst the maximal common infection in human affecting a large population worldwide. Many anthelmintics are available in the market but the existing drugs causes adverse effects and made the parasites to drug resistance. There are numerous medicinal herbs which have anthelmintic activity. A. calamus is one of such type of popular medicinal plant. The Acorus calamus fresh leaves extract is implemented to treat worm infections. The rhizomes and roots of this herbs have anthelmintic activity which is reported earlier (Deepa et al., 2008). The rhizomes infusion of calamus used to applied to children to offer relief from intestinal worms (Bhatt et al., 2015). Previous research on sweet flag rhizome extracts found that it has anthelmintic activity (Devi et al., 2014). A study discloses that aqueous A. calamus extract has strong anthelmintic effects in compared to standard Albendazole drug. The phenylpropanoid  $\beta$ -asarone of calamus rhizomes was displayed anthelmintic activity (McGaw et al., 2002). In India, powdered rhizome and rootstock are utilized as anthelmintic (Watt et al., 1962). The investigation indicated that the isolated  $\beta$ -asarone from sweet flag had a stronger anthelmintical impact compared to crude extraction (McGaw et al., 2002). Anthelmintic action was observed in ethyl acetate-chloroform extract of sweet flag rhizomes (Deepa et al., 2008). From the investigation it can be inferred that the Acorus calamus extract displayed significant anthelmintic effect at 100 mg/ml concentration (Deb et al., 2013).

#### 2.15.7 ANTIADIPOGENIC ACTIVITY

Adipogenesis is defined as the procedure by which preadipocytes get separated into adipocytes. The adipocyte, usually termed as fat cells and lipocytes, is essential to the body's energy balance. Some medicinal herbs have antiadipogenic property, *A. calamus* is one of them. Hypolipidemic efficacy of 50% sweet flag rhizome alcoholic extract in conjunction with saponins.  $\alpha$ -asarone shows hypolipidemic activity and  $\beta$ -asarone restrain the separation of 3T3-L1 adipose tissues. The investigation indicated that  $\alpha$ -asarone of calamus has the inhibitory impact on 3T3-LJ adipose tissue cells (Si et al., 2010b) and asarone has been shown to suppress adipogenesis while promoting lipolysis in 3T3-LJ adipose tissues (Lee et al., 2004). The ethanolic  $\alpha$ -asarone extract had described to promote adipocytes differentiation (Lee et al., 2004). The major antiadipogenic component of sweet flag oil was purified and identified as a  $\alpha$ -asarone (Lee et al., 2010).

In the last several years, researchers studying the antiadipogenic properties of *Acorus sp.* have shown that *Acorus calamus* is hypolipidemic in rats (Parab et al., 2002). Treatment of asarone significantly restrained the separation of 3T3-L1 preadipose tissues (Lee et al., 2010). Another study showed that  $\beta$ -asarone of calamus ethanol extract enhances differentiation in mouse adipocytes (Wu et al., 2007). The significant anti-adipocytes compound  $\beta$ -asarone repressed accumulation of lipid in a concentration dependent way (Lee et al., 2010).

### 2.15.8 NEURO-PROTECTIVE ACTIVITY

Central Nervous System (CNS) consists of interconnected networks to form on the basis of neuronal function including thinking, learning, and emotionality. Neuro protection is the active suppression of damaging neuro toxic conditions in the nervous system. The aim of neuro protection is to confine neuronal dysfunction after injury and give effort to maintain the integrity of cellular interaction inside the brain. Diverse medicinal herbs that can be utilized alone or in combinations as a neuro protective. A. calamus is one of those, which is having prominent action on CNS by improving memory, grasping power, intellect, and speech (Ray et al., 2016). An investigation reveals that  $\beta$ -asarone has neuroprotective action. It suppresses neuronal apoptosis by down regulating Bcl-W, Bcl2 and caspase-3 (Kim et al., 2011). The methanol and acetone sweet flag leaves extracts exhibit CNS depressant activity (Umamaheshwari et al., 2018). A study demonstrated that the rhizome and root of the herb have CNS depressant potency (Pandy et al., 2009). An effective neuroprotector that preserved memories by shielding the hippocampus was  $\beta$ -asarone (Venkatramaniah et al., 2016). Acorus calamus is a drug for epilepsy, cognition, enhancing intelligence in children and used to improve brain activities, as suggested by Caraka and Susruta. Acorus calamus can enhance memory and reduce stress, according to experimental and clinical research (Singh et al., 2011). Another experiment showed that  $\beta$ -asarone when administered orally, improved cognitive function (Geng et al., 2010). Rhizomes additionally have defensive mechanisms over acrylamide induced neurotoxicity (Shukla et al., 2002).

## 2.15.9 IMMUNOSUPPRESSIVE ACTIVITY

Immunosuppressive is mostly or totally suppressing the immune response of an individual. Immunosuppressant is the operator that can repress or inhibit immune response, so to treat various autoimmune disorders. Presently the medical herbs and its products have been examined for immunomodulatory effects. Herb essences have been thoroughly researched for possible immunosuppressive effects. The experiment shows the immunosuppressive efficiency of A. calamus rhizome ethanolic extract (Mehrotra et al., 2003). A. calamus extract prevents the activation of the cells which have shown their immunosuppressive effect (Umamaheshwari et al., 2018). In another examination the immunomodulatory action of ethanolic calamus rhizomes extract has been assessed and positive response has been found (Bhatt et al., 2015). A study by McGaw et al. demonstrated A. calamus root, rhizome, and essential oils from rhizomes showed immunosuppressive action (McGaw et al., 2002). A study has described that the A. calamus rhizome ethanolic extract exhibit immunomodulatory actions (Mehrotra et al., 2003). Diverse A. calamus extracts are also utilized as immunosuppressive action over human lymphocytes (Divya et al., 2011). Inhibition of nitric oxide productions, that is essential in the immune systems, in the cell line after incubation with the extract and LPS for the production of nitric oxide (Mehrotra et al., 2003). Diverse investigation reports revealed numerous helpful bioactivities of calamus leaves and rhizomes extracts, essential oils, and separated phytochemicals, for instance, immunosuppressive. The components were seen as highly active in immunosuppressing (Reddy et al., 2018). A. calamus stimulates production of in-vitro 1L-12 and Nitric oxide by murine macrophage (Devi et al., 2014).

#### 2.15.10 INSECTICIDAL ACTIVITY

Various synthetic pesticides have been employed to combat pests during the last five decades. Many synthetic pesticides are available but due to environmental issues and public health, alternatives of synthetic pesticides have been inquired about. According to watt et al. this plant is reported to be useful for insecticides. According to the findings of the study, the insecticidal effect of *A. calamus* rhizome ethanol extract. The study reflects ethanolic calamus extract might be used for limiting the harmful impacts of pesticides (Sharma et al., 2015). The powder of *A. calamus* and 2 bioactive compounds namely alpha and beta-asarone have the repellency and toxicity against the red imported fire ant (RIFA). Sweet flag rhizome extracts in acetone, petroleum ether, and methanol exhibit insecticidal action. In between them petroleum ether calamus extract was found more toxic than that of other extracts in the insect species (Hossain et al., 2008). From the literature survey it has also been found that the A. calamus extract had significant insecticidal activity (Chauhan et al., 1987; Khan et al., 1986; Schmidt et al., 1994). According to one research, the essential oils from A. calamus rhizome and its constituent methyl eugenol, (E)-methylisoeugenol and alpha-asarone is potential insecticides for control of booklice. The A. calamus essential oils have been exhibited to have insecticidal effect over many insect species, e.g., termite, odontotermes, obesus (Tare et al., 2001), the kelpfly, coelopa frigida (Ocampo et al., 1986), the large grain borer, proste phanus truh cates (Schmidt et al., 1994). The plants rhizomes have insecticidal, repellent activity with bioactive compounds like alpha and beta-asarone (Schmidt et al., 1991; Hasan et al., 2006). The study showed that A. calamus may be effective in controlling the stored product in godown.

#### 2.16 PRECLINICAL AND CLINICAL TRIALS

Many preclinical examinations have been performed on the sweet flag plant from a longer period. The performed experiments for preclinical trials are described in Table 2.5.

Furthermore, calamus has been investigated in clinical trials as a monotherapy and in conjunction with other medical plants, as well as in healthy volunteers and patients with distinct neurological and metabolic conditions. The effect of *A. calamus* on depression, obesity, coronary, and neuroprotection disorders has been supervised by most clinical trials. The data procured thus far may be found in chart given in Table 2.6.

#### 2.17 COMMERCIAL/PHARMACEUTICAL PRODUCTS AVAILABLE

The are many herbal drug formulations obtainable in the market, manufactured by numerous companies, which are portrayed in Table 2.7 (Imam et al., 2013; Sharma et al., 2020).

Activity	Plant Part Used	Extract Type	Dose	Animal Models	Results	References
Antidiabetic	Rhizomes	Methanol	50 mg/kg, 100 mg/kg, and 200 mg/kg, p.o.	STZ-induced rats	↓Lipid profile and blood glucose, ↑Plasma insulin, G6PD level and tissue glycogen.	Prisilla et al. (2012)
		Ethyl acetate	150 and 200 mg per kg, p.o.	Alloxan-induced rat	↓Blood glucose level	Prashanth et al. (2017)
			100 mg per kg, p.o.	Genetical obese diabetic C57BL/Ks db/ db mice.	↓Triglycerides and serum glucose levels	Wu et al. (2009)
			100 mg per kg, i.g.	GLP-1 expressions and STZ-induced secretions	↓Blood glucose levels; ↑Secretion of GLP-1	Liu et al. (2015)
			6.25 μg, 12.5 μg, and 25 μg per ml.	In vitro HIT-T15 cell line and $\alpha$ -glucosidase enzyme.	↑Insulin secretion in HIT-T15 cells	Si et al. (2010)
			400 and 800 mg per kg, p.o.	Glucose tolerance mice.	↓Serum glucose, and ↓level of glucose in blood	
Anti-obesity		Ethanol and aqueous	100 mg and 200 mg per kg	HFD-induced rats	↓ Serum cholesterol and triglycerides; ↑lipoprotein fraction levels	Parab et al. (2002)
		Diethyl ether	20 and 40 mg per kg, p.o.	HFD-induced rats	↑Plasma fibrinogen levels; ↓Total cholesterol and lower-density lipoprotein levels	D'Souza et al. (2007)
		Methanol	250 mg and 500 mg per kg	Triton-X-100-induced hyperlipidemic rats	Dose-dependent anti- hyperlipidemic effect	Kumar et al. (2016)
			250 and 500 mg per kg, p.o.	HFD-induced rats	↓Total cholesterol, triglycerides, and LDL; ↑HDL cholesterol level	Arun et al. (2012)

**TABLE 2.5** (Continued)

Activity	Plant Part Used	Extract Type	Dose	Animal Models	Results	References
		Aqueous	100, 200, and 300 mg per kg, p.o.	HFD-induced rats	↓Serum glucose, leptin, and insulin levels;	Athesh et al. (2017)
					↓ Elevated levels of triglyceride, lower-density lipoprotein, total cholesterol, very LDL cholesterol, phospholipids, and free fatty acid	
Antihypertensive		Ethyl acetate	250 mg per kg, p.o.	Clamping the left side kidney artery of rats for 4 h	↓ DBP and SBP, blood urea nitrogen, LPO, and creatinine; ↑Levels of NO, CAT, SOD, GPX	Patel et al. (2012)
		Crude extracts, n-hexane, and ethyl acetate	10, 30, and 50 mg per kg	Blood pressure reduction in normotensive anesthetized rats.	Relaxant effects mediated through Ca <sup>2+</sup> antagonism and NO pathways.	Shah et al. (2009)
		Ethanol and $\alpha$ -asarone	100 and 9 mg per kg, p.o.	Dimethyl sulfoxide- induced noise stress to rats.	↓Destructive impact of stress enlightening the hippocampal morphologic change.	Sundaramahalingan et al. (2013)
Anti-inflammatory	Leaves	Ethanol	100 mg/kg and 200 mg/kg	Carrageenan-induced rat paw oedema.	↓Histamine, 5-HT, and kinins	Jain et al. (2010)
Antioxidant	Rhizomes	α-asarone	3 mg, 6 mg, and 9 mg per kg, i.p.	Noise stress induced to rats.	↑SOD and LPO;↓GPX, CAT, GSH, vitamin C and vitamin E, and protein thiol level.	Manikandan et al. (2005)
	Leaves and rhizomes.	Ethyl acetate and methanol.	200, 100, 80, 60, 40, 20, 10, and 5 μg per ml	DPPH radical scavenging chelating ferrous ions, FRAP	Prominent DPPH scavenging action, chelating Fe <sup>2+</sup> ions, and reducing power.	Devi et al. (2011); Acuña et al. (2002)

**TABLE 2.5** (Continued)

Activity	Plant Part Used	Extract Type	Dose	Animal Models	Results	References
	Rhizomes	Ethanol	250, 500 mg per kg, p.o.	Acetaminophen- induced rats.	↓MDA; ↑SOD, GPX, CAT, GSH levels	Palani et al. (2010)
Anticonvulsant	Roots	Ethanol and $\alpha$ -asarone	35 mg and 20 mg per kg	Kainic acid-induced convulsions.	↓Neuroprotective; epileptic seizure; and regenerative ability	Venkatramaniah et al. (2019)
		Methanol	100 and 200 mg/ kg, p.o.	PTZ-induced convulsion mice	↑Latency period; ↓PTZ-induced seizure time	Jayaraman et al. (2010)
	Rhizomes	Calamus oil	30, 100, and 300 mg/kg, p.o.	MES, PTZ, and MCS mice model.	Calamus oil is found stable	Kaushik et al. (2017)
		Ethanol	250, 500 mg/kg, p.o.	MES and PTZ-induced convulsion mice.	↓Hind limb extension and tonic flexion of forelimbs.	Chandrashekar et al (2013)
		Methanol	250 and 150 mg per kg, p.o.	MES and PTZ-induced rats	↓Immobility time at 250 mg per kg, ineffective at 150 mg/kg	Yende et al. (2009)
Antidepressant			50 and 100 mg per kg, i.p.	TST and FST in mice model.	↓Immobility time in a dose- dependent manner.	Pawar et al. (2011)
	Leaves		50 and 100 mg per kg		↓Immobility time	Pushpa et al. (2013)
	Roots	Aqueous	100, 150, 200 mg/ kg, p.o.			Shashikala et al. (2015)
	Rhizomes	Hydro- alcoholic extract.	75 mg/kg and 150 mg/kg, p.o.		↓Corticosteroid levels	De et al. (2013)
		Ethanol	72 mg/kg, p.o.	HPM and OFB test	No stimulation of postsynaptic 5-HT1A receptors.	Tripathi et al. (2010

**TABLE 2.5** (Continued)

Activity	Plant Part Used	Extract Type	Dose	Animal Models	Results	References
		Acetone and methanol	5 mg/kg, 20 mg/ kg, and 50 mg/ kg, p.o.	Behavioral despair test	↓Spontaneous locomotor action	Pandy et al. (2009)
		$\beta$ -asarone	25, 50, and 100 mg/kg, p.o.	EPM and FST	↓Immobility time	Tiwari et al. (2014)
Neuroprotective		Hydro- alcoholic	10 mg/kg	CCI of sciatic nerve- induced neuropathic pain of rat.	Significantly ameliorated CCI-induced nociceptive pain.	Muthuraman et al. (2012)
			100 and 200 mg/ kg	CCI of sciatic nerve- induced peripheral neuropathy of rat.	<sup>⊥</sup> CCI-induced neuropathy by ↓oxidation and inflammation.	Muthuraman et al. (2013)
	Leaves	Acetone and methanol	20 and 50 mg per kg	Apomorphine-induced stereotypy and haloperidol-induced catalepsy of mice.	Reversed stereotypy induced by apomorphine and significantly potentiated catalepsy induced by haloperidol.	Vengadesh et al. (2009)
	Rhizomes	Ethanol	200 mg/kg and 300 mg/kg to rats.	Spontaneous electrical action and monoamine levels of brain.	Depressive response by altering electrical action, inclusive of changing brain monoamine levels.	Hazra et al. (2003)
		Hydro- alcoholic	25 mg/kg	MCAo-produced brain ischemia of rat.	Improvement in neurobehavioral performance; ↓GSH, SOD, and ↑LPO level.	Shukla et al. (2006)
		Ethanol	5 ppm, 10 ppm, 15 ppm, 20 ppm, 25 ppm concentration.	Methotrexate-induced stress of fruit flies.	↓Elevated SOD, ROS, GPX, and CAT levels.	Fathima et al. (2014

**TABLE 2.5** (Continued)

Activity	Plant Part Used	Extract Type	Dose	Animal Models	Results	References
Cardioprotective		n-hexane, ethyl acetate	0.01 mg/ml	Guinea pig tracheal segments.	↓Force and contraction rates at higher concentrations.	Shah et al. (2010)
	Whole plant	Ethanol	100 mg and 200 mg per kg to rats.	DOX-induced myocardial toxicity.	↓Serum enzyme levels and protected myocardium from DOX's toxic effects.	Kumar et al. (2016)

*Abbreviation:* COX: cyclooxygenase; CCI: chronic constriction injury; CAT: catalase; DBP: diastolic blood pressure; DPPH: 2,2-diphenyl-1-picrylhydrazyl radical; DOX: doxorubicin; EPM: elevated plus maze; FST: forced swim test; FRAP: ferric reducing antioxidant power; GLP-1: glucagon-like peptide-1; GR: glutathione reductase; GPX: glutathione peroxidase; GSH: reduced glutathione; HFD: high-fat diet; HDL: high-density lipoproteins; HPM: high plus maze; i.p.: intraperitoneal; i.g.: intragastric; LDL: low-density lipoprotein; MCAo: middle cerebral artery occlusion; LPO: lipid peroxides; MCS: minimal clonic seizure; MES: maximal electroshock; MDA: malondialdehyde; NO: nitric oxide; p.o.: per oral; OFB: open field behavior; ROS: reactive oxygen species; PTZ: pentylenetetrazol; SOD: superoxide dismutase; SBP: systolic blood pressure; TST: tail suspension test; and STZ: streptozotocin.

Formulations of <i>A</i> . <i>calamus</i>	Study Objects	Study Designs	Interventions	Primary Endpoint	Outcomes	Quality of Evidence	References
Sweet flag rhizome powder	24 hyperlipidemic individuals (both sexes).	A randomized, single-blind control trial.	For one month, 500 mg of powder twice a day after meals.	Body perimeter, BMI, skinfold depth.	Significant decrease in fatigueness, skinfold depth, and excess hunger.	III	Singh et al. (2017)
Davaie Loban capsules (sweet flag, nut grass, ginger, incense, and black pepper).	24 patients of both sexes with Alzheimer's disease.	Randomized double-blind clinical trial.	For three months, 500 mg capsule three times each day.	CDR-SOB and ADAS-cog scores.	At 1 month and 3 months, significantly reduced the CDR-SOB and ADAS-cog scores.		Tajadini et al. (2015)
<i>A. calamus</i> hydro- alcoholic extract (70%).	33 patients of both sexes (20 males and 13 females) with anxiety disorder.	Non-randomized, open-labeled, single-armed study	For two months, one capsule of 500 mg extract twice a day after meals	BPRS score	Significantly decrease in anxiety as well as stress-related disorder.		Bhattacharyya et al. (2011)
Guduchyadi Medhya Rasayana, (A. calamus, Achyranthes aspera, Tinospora cordifolia, Embelia ribes, S. lappa, Convolvulus pluricaulis, T. chebula, Asparagus racemosus, sugar, and cow ghee).	138 individuals with senile memory, all sexes, aged 55–75 years impairment.	Randomized, two-parallel-group study.	Three-gram granules three times a day post-meal for three months.	Mini-mental state examinations, serum acetylcholinesterase estimation, and BPRS score.	Significant improvements in recall memory, amnesia, cognitive impairment, concentration ability, stress, and depression.		Kulatunga et al. (2012)

## **TABLE 2.6** Clinical Trial Claims of A. calamus in Different Diseases

Formulations of <i>A</i> . <i>calamus</i>	Study Objects	Study Designs	Interventions	Primary Endpoint	Outcomes	Quality of Evidence	References
A. calamus aqueous extract.	40 healthy participants, both sexes, aged 18–50 years, with an anesthetic premedicant.	Randomized, open-label, two-parallel-group research.	90 minutes prior to anesthesia, the control group received 0.2 mg intramuscular (IM) glycopyrrolate and a 0.2 mg IM 50 mg tablet of promethazine hydrochloride with water; the second group received 0.2 mg IM glycopyrrolate and 100 mg <i>A. calamus</i> extract.	Blood pressure, pulse rate, body temperature, respiratory rate.	The dried aqua extract has anti-hyperthermic and sedative properties without causing respiratory depression.		Pande et al. (2009)
Shankhapushpyadi Ghana Vati (A. calamus, Bacopa monnieri, C. pluricaulis, C. fistula, A. indica, T. cordifolia, Tribulus terrestris, S. lappa)	20 hypertensive individuals of both sexes.	Randomized single-blind control trial.	One gram twice daily after a meal for two months.	DBP and SBP	Significant reduction in elevated DBP and SBP.		Mishra et al. (2012)
Brahmyadiyoga (A. calamus, Centella asiatica, Saussurea lappa, Rauvolfia serpentina, Nardostachys iatamansi)	Ten individuals with schizophrenia, both sexes, aged 18–40 years.	Non-randomized, open label, singlearm study.	For three months, four pills after a meal three times a day.	Symptoms rating scale.	Significant effects as a hypnotic, brain tonic, tranquilizer, and sedative.		Ramu et al. (1983)

#### **TABLE 2.6** (Continued)

Acorus calamus L. (Sweet Flag)

**TABLE 2.6** (Continued)

Formulations of <i>A</i> . <i>calamus</i>	Study Objects	Study Designs	Interventions	Primary Endpoint	Outcomes	Quality of Evidence	References
Vachadi Churna (A. calamus, Cedrus deodara, Cyperus rotundus, Aconitum Heterophyllum, ginger, T. chebula).	30 obese male and female patients ranging in age from 14 to 50 years.	Non-randomized, open-label, single-arm study.	For one month, three grams of powder with lukewarm water two times a day before meal.	Girth measurement of mid-thigh, BMI, chest, abdomen, and hip.	Significantly improved in body heaviness, extreme sleep, excessive hunger, and fatigueness.		Soni1 et al. (2012)
Vachadi Ghrita (A. calamus, Hedychium spicatum, T. cordifolia, C. pluricaulis, E. ribes, ginger, T. chebula, A. aspera, and cow ghee).	90 healthy men and women between the ages of 40 and 50 for cognition assessment.	Non-randomized positive-control trial.	Ten grams twice daily with lukewarm water for 1 month.	Post Graduate Institute Memory Scale (PGIMS) test.	Marked improvement in mental equilibrium, holding of similar and different pairings, late-immediate memory, and digestion.		Pawar et al. (2018)
Bramhi Vati (A. calamus, C. oluricaulis, B. monnieri, Onosma bracteatum, iron and copper pyrite, mercuric sulfide, N. jatamansi, Piper nigrum)	68 individuals with essential hypertension, all sexes, aged 20 to 70 years.	Randomized, double-blind, parallel-group comparative trial.	For one month, two 500 mg pills twice a day.	Hamilton anxiety rating scale, DBP, SBP, and MAP.	Significantly improved Hamilton anxiety rating scale, DBP, SBP, and MAP.		Mishra et al. (2019)
Tagaradi Yoga (A. calamus, N. Jatamansi, Valeriana wallichii).	24 individuals with insomnia, both sexes, ranging in age from 18 to 75 years.	Non-randomized positive-control trial.	For a fortnight, a 500 mg capsule of hydro-alcoholic extract was twice a day after meals.	Initiating sleeping time, sleep durations, and sleep quality.	Significantly improved initiation of sleeping time, sleep durations, and sleep quality.		Sharma et al. (2017)

Formulations of <i>A</i> . <i>calamus</i>	Study Objects	Study Designs	Interventions	Primary Endpoint	Outcomes	Quality of Evidence	References
Acorus calamus rhizome powder.	20 obese patients of both sexes.	Randomized single-blind trial.	250 mg rhizome powder twice daily for one month.	Body weight, height according to age, waist-hip ratio, and BMI.	Significantly improved extreme sleep, fatigue, body heaviness, and excess hunger.		Paradkar et al. (2019)
Bala compound (A. calamus, Emblica ocinalis, E. Ribes, Piper longum, T. cordifolia, C. rotundus, A. heterophyllum, Glycyrrhiza glabra).	24 neonates, both sexes, 2.5 to 3 kg b.w.	Randomized single-blind control trial.	5 drops 2 times a day orally for half a year.	Changes in serum immunoglobulin levels (IgA, IgG, and IgM).	Significantly improved levels of immunoglobulins after six months.	Ib	Appaji et al. (2009)
Acorus calamus rhizome powder	45 individuals with ischemic heart diseases.	Non-randomized positive-control trial.	For three months, three gms powdered rhizome twice a day.	Serum cholesterol levels, ECG	Improvements in chest discomfort, dyspnea on exertion, body mass index decrease, better ECG: lowered serum cholesterol as well as serum LDL, and higher serum HDL.		Mamgain et al. (1994)

**TABLE 2.6** (Continued)

Formulation Name	Manufacturer	Manufacturer Address	
Acorus calamus herbal extract	Vidya Herbs Private Limited	Bangalore, Karnataka, India	
Ayurvedic and herbal chemicals of <i>Acorus calamus</i>	Jenson Enterprises Pvt. Ltd.	Chengalpattu, Tamil Nadu, India	
Scavon vet cream	The Himalaya Drug Company	Makali, Bangalore, India	
Mentat tablets and syrup			
Abana			
Mentat tablets and syrup			
Muscle and Joint Rub			
Anxocare			
Erina-EP			
Himpyrin, Himpyrin Vet			
Varch oil	Herbotech Pharmaceuticals	Amritsar, India	
Anxi-6	Kalhan Pharmaceuticals Private Limited	Jalandhar, India	
Herbal preparations of <i>Acorus</i> calamus	Sydler Remedies Pvt. Ltd.	Mumbai, India	
Ayurvedic preparations of Acorus calamus	Kebee Pharmachemie Private Limited	Andheri, Mumbai, India	
Botanical insecticide (Vasambu)	Agricultural University and Bhuvicare Private Limited	Tirunelveli, Coimbatore, Tamil Nadu, India	
Stresnil	Universal Pharmaceuticals Limited	Chennai, Tamil Nadu, India	
Nervine tonic, antispasmodic	Salem Impex	Salem, Tamil Nadu, India	
Calamus oil	Modern Natural Products	Mumbai, India	
Natural oil of vacha	Bhagat Aromatics Limited	New Delhi, India	
insecticides	Ajinkya Chemtech Private Limited	Pune, India	
Krush capsules	Prakruti Remedies Pvt. Ltd.	Karnataka (India)	
Brainokan	Kangra Herb Pvt. Ltd.	Kangra, Himachal Pradesh, India	
Calamus essential oil	Katyani Exports	Pitam Pura, New Delhi, India	
Dark Forest Vekhand Powder	Simandhar Herbal Pvt. Ltd.	Dadar, Mumbai, India	
Vacha powder capsule	Heilen Biopharm Pvt. Ltd.	Ahmedabad, Gujarat, India	
Pilochek tablets and gel	Dabur India Limited	Ghaziabad, Uttar Pradesh, India	
Brahm Rasayan			

**TABLE 2.7** Sweet Flag (Acorus calamus) Plant Formulations Available in the Market

Mahasudarsan Churna Janma Ghunti Honey

Formulation Name	Manufacturer	Manufacturer Address
Brahmi Pearls capsules	Kerala Ayurveda Ltd.	Ernakulam, Kerala, India
GT capsules		
Histantin tablets		
Santhwanam oil		
Mahathikthaka Ghrita capsules		
Calamus root tincture	Florida Herbal Pharmacy	LLC Spanish Breeze Ct, Riverview, FL
Vacha capsules	DRWakde's Natural Health Care	London, United Kingdom
Vacha powder	Bixa Botanical	Vashi, Navi Mumbai, India
Amalth	Mcnow Biocare Private Limited	Noida, Uttar Pradesh, India.
Sunarin capsules	SG Phyto Pharma Pvt. Ltd.	Kolhapur, Maharashtra, India
Nilsin capsules		
Dr. Willmar Schwabe <i>India</i> <i>Acorus calamus</i> mother tincture	Dr. Willmar Schwabe India Pvt Ltd.	Noida, Uttar Pradesh, India.
Himalayan calamus root essential oil	Naturalis Essence of Nature	Bangalore, Karnataka, India
Calamus oil	Kazima Perfumers	Patparganj, Delhi, India
Winton tablets and syrup	Scortis Healthcare	Jaipur, Rajasthan, India
Chesol syrup	J&J Dechane Laboratories Pvt. Ltd.	Hyderabad, Telangana, India
Enzo fast	Naturava	Kharadi, Pune, India
Nervocare	Deep Ayurveda	S.A.S Nagar, Punjab, India
Antress tablets	Ayursun Pharma	Surat, Gujarat, India
Grapzone syrup	Alna Biotech Pvt Ltd.	Barwala, Haryana, India
Memoctive syrup	Aayursh Herbal India	Ahmedabd, Gujarat, India
Smrutihills capsules	Ayush Arogyam	Bengaluru, Karnataka, India
Gastrin capsules	Saravana Marundhagam	Chennai, Tamil Nadu, India
Pigmento tablets	Charak Pharma	Mumbai, Maharashtra, India
Paedritone drops		
Vacha Churna	Sadvaidyasala	Mysuru, Karnataka, India
Alert capsules	Vasu Healthcare	Vadodara, Gujarat, India
Brento tablets	Zandu Realty Limited	Mumbai, Maharashtra, India
Livotrit Forte		
Zanduzyme		
Vedic Slim	Vedic Bio-Labs Pvt. Ltd.	Bengaluru, Karnataka, India

## **TABLE 2.7** (Continued)

Formulation Name	Manufacturer	Manufacturer Address
Hinguvachaadi Gulika	Nagarjuna Ayurvedic Group	Kalady, Kerala, India
Norbeepee tablet	AVN Ayurveda Formulations	Madurai, Tamil Nadu, India
Sooktyn tablet	Alarsin Pharma Pvt. Ltd.	Mumbai, Maharashtra, India
Deonac oil	Doux Healthcare Pvt. Ltd.	Panchkula, Haryana, India
Smrutisagar Rasa	Shree Dhootapapeshwar	Mumbai, Maharashtra, India
Yogaraj Guggul	Limited	
Kankayan Bati	Baidyanath Pvt. Ltd.	Nagpur, India
Brahmi Ghrita		
Fat Go	Jolly Healthcare	Jaipur, Rajasthan, India
Divya Mukta Vati	Patanjali Ayurveda	New Delhi, India

**TABLE 2.7** (Continued)

## 2.18 TECHNOLOGY TRANSFER/PATENTS

Three patents are reported over the plant sweet flag. In between, all seems to be process patent. The specifics are shown in the chart beneath (Table 2.8).

## **KEYWORDS**

- Acorus calamus
- antidiarrheal activity
- calamus
- herbaceous perennial plant
- insecticidal activity
- sweet flag
- traditional medicine
- wetland monocot

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Work Done	Special Features	Status	Inventor Name	Date of Patent	References
Processing for preparing 1-Propyl-2,4,5- trimethoxybenzene from toxic $\beta$ -asarone of <i>Acorus calamus</i> or from crude calamus oil containing $\beta$ -asarone.	The process comprises providing crude calamus oil or 3-asarone in a solvent; hydrogenating the solution in the existence of a catalyst; filtration of the catalyst and solvent removal at decreased arc, subjecting the reduced calamus oil to column of Silica gel chromatography utilizing an eluent to obtain 1-Propyl-2,4,5- trimethoxybenzene in liquid form with 85–97% purity.	Patented	Arun Kumar Sinha	04/03/2003	Sinha (2003a)
Process for producing pharmacologically active $\alpha$ -asarone out of toxicant $\beta$ -asarone-rich sweet flag oil.	Process for producing of highly pure and yields trans-2,4,5- trimethoxy cinnamaldehyde, $\alpha$ -asarone, 2,4,5-trimethoxy-phenyl propionone, from the 3-asarone or $\beta$ -asarone rich sweet flag oils comprising $\alpha$ and $\gamma$ -asarones by hydrogenation, following by treatments with dichlorodicyanobenzoquinone (DDQ) with/ without solid supports of alumina or silica gel in dried organic solvent and $\alpha$ -asarone could additionally be procured by treating the hydrogenated products of $\beta$ -asarone or $\beta$ -asarone rich sweet flag with DDQ in an aqua organic solution to achieve an intermediary product 2,4,5-trimethoxy phenyl propionone, which is then is lowered with sodium borohydride to achieve the desired 2,4,5-trimethoxy phenyl propanol and finally treated with a dehydration agent.	Patented	Arun Kumar Sinha; Ruchi Acharya; Bhupendra Prasad Joshi	08/07/2003	Sinha et al. (2003b)
Method of extracting for <i>Acorus calamus</i> root extracts oil including $\beta$ -asarone using Supercritical fluid extraction.	A technique for extraction of sweet flag root extract offers an essential oil comprising a plurality of $\beta$ -asarone by supercritical extracting by adding sweet flag root extract to CO <sub>2</sub> supercritical fluid utilizing a sub-solvent (ethanol) under pressure conditions of 40°C to 60°C and 200 to 400 bar, followed by a stage of concentrate the sweet flag extract.	Patented	Gwicheol Kim	16/10/2013	Kim (2013)

# **TABLE 2.8** Patents Reported Over the Plant Sweet Flag (Acorus calamus)

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# Ocimum spp. (Basil): An Incredible Plant

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#### ABSTRACT

Basil (Ocimum basilicum L.), coined from the Greek word 'basileus' or 'king,' is a popular aromatic plant that belongs to the family Lamiaceae and contains countless herb and shrub species. The common basil is known as 'Tulsi' in India and Nepal widely used as folk medicine. Furthermore, it has a significant role in Ayurveda and for culinary purposes over the centuries. This herb is a reservoir of medicinal compounds which boosted its involvement to heal various diseases and disorders but the therapeutic significance of wide array of phytomolecules yet to be corroborated. It possesses central nervous system (CNS) depressant, anticancer, cardiac stimulant, hepatoprotective, hypoglycaemic, hypolipidemic, immunomodulator, analgesic, anti-inflammatory, antimicrobial, antioxidant, antiulcerogenic, chemomodulatory, and larvicidal activities. Different plant parts of Ocimum basilicum chiefly used for essential oil extraction as a source of methyl eugenol (78.02%),  $\alpha$ -cubebene (6.17%), nerol (0.83%) and  $\varepsilon$ -muurolene (0.74%) components. It is characterized for high content of methyl eugenol. In general, leaves of Ocimum sp., especially employed for chemical and essential oil extraction both eventually contribute for 80% of patents. Volatile oil of O. Minimum holds geranyl acetate (69.48%), terpinen-4-ol (2.35%) and octan-3-yl-acetate (0.72%) are imperative essential oil constituents. In this

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backdrop, range of molecular attributes viz. sequencing of whole nuclear and chloroplast genomes and metabolic potency of this scared herb will be indeed vital. So, the development of new active principle and nutraceutical will be indeed a fascinating venture using basil plant components for drug developments against emerging disease vectors.

#### 3.1 INTRODUCTION

Among all essential culinary items Basil (*Ocimum basilicum* L.) is the most vital annual herb resides to Lamiaceae family (so-called mint family) (Agarwal et al., 2013). The genus *Ocimum* has 50–150 species but variations in range of phonological traits (like whole plant morphology, growth habit, flower color, leaf, and stem characteristics and biochemical composition, etc.) cause uncertainty to calculate the exact number of species under the genus *Ocimum* (Svecova & Neugebauerova, 2010). The tropical regions of Asia and Central and South Africa are considered to be its native place (Ghosh, 1995). Some other plants, namely 'Basil Thyme' [*Acinos arvensis* (Lam.) Dandy] and 'Wild Basil' (*Clinopodium vulgare* L.) create confusion with the 'Sacred Basil' (*Ocimum* sp.) for their identical common name. Reclassification of taxonomic sections of this genus had been made by few plant systamatists for enormous diversity caused for its geitonogamous nature (Paton, 1992).

Vernacular names of basils usually vary based on location. *O. basilicum* it is typically known as 'Basil,' 'Common Basil' or 'Sweet Basil' in English while in India specifically in Hindi and Bengali, it is popular as '*babuitulsi*.' Several other common names of basil are '*Basilica*' (in French and Italian), '*Basilikumor*' (in German), '*Rehan* or *Rihan*' (in Arabic) and '*Albahaca*' (in Spanish) (Kritikar & Basu, 2003; Bilal et al., 2012). However, most common basil is sweet basil (*O. basilicum*) contains more than 160 named existing cultivars vary according to their flavor, scent, and uses. Aroma ranges from cinnamon, liquor ice, and lemon to anise. Popular examples include *O. basilicum* var. 'Cinnamon,' *O. basilicum* var. 'Dark Opal' and holy basil (the species *O. Tenuiflorum* L., previously known as *O. sanctum* L.).

Basil plants are commonly shrubby or herbaceous though some regular varieties are annuals too while the occurrence of perennial varieties (e.g., *O. tenuiflorum*) in warm tropical regions were also evident (Simon et al., 1999; Tilebeni, 2011). Their size varies from 20 cm to 3 m based on species. Taxonomically laminas are smooth, shiny, hairy or curly while hues of dorsal and

ventral surface ranges from green–blue or purple and white–purple–lavender (Meyers, 2003). Wide array of forms, colors, and sizes (Svecova & Neugebauerova, 2010) and frequent growing in public parks and home gardens elevated basils' ornamental and economic importance globally.

The extensive prevalence of antioxidant activities of this annual herb (Yanishieva et al., 2006) served for human health benefits since time immemorial. It is significantly used as culinary herbs also. The antioxidant richness led to involve them in Mediterranean cuisines. Apart from its culinary importance it's a major essential oil producing species (Graver et al., 2002). To formulate cosmetic and pharmaceutical products, the extracts of basils are imperative (Unerie et al., 1998). It has antioxidant, antimicrobial, and antitumor activities for presence of phenolic acids, flavonol-glycosides (Javanmardi et al., 2002; Kividompolo & Hyotylainen, 2007) and aromatic compounds (Gutierrez et al., 2008; Hussain et al., 2008). Redox properties are key reasons for antioxidant activity of phenolic compounds played major role to absorb and neutralize free radicals, to quench singlet and triplet oxygen or to decompose peroxidizes (Asami et al., 2003). Antioxidant capacities of phytochemicals proved to lower incidence and mortality rates of cancer in several human populations. The presence of phenyl propanoids like eugenol, chavicol, and their derivatives or terpenoids like monoterpene alcohol linalool, methyl cinnamate, and limonene facilitated the commercial status of basil oils concentrated mainly in leaves and flowers; while trace amounts available in branches and stems (Svecova & Neugebauerova, 2010). Decisively, the versatility of basil plants greatly influenced by their origin, source, and growing conditions while morphological and chemical variations also determined by ecological factors.

## 3.2 AREA AND PRODUCTION

The aromatic plant 'Basil' was derived from Greek, '*Basilica*' means 'Royal Plant.' The Indian subcontinent is its place of origin while distribution and successful cultivation is evident in the entire South-East Asian tropics. Owing to the multifaceted utilities (perfumery and cosmetic industries, folk medicines, etc.) of *Ocimum*'s essential oils, in India around 25,000 ha areas are occupied under this crop with an annual production of 250–300 tons of its oil.

Basils' habitat distribution is fascinating. Among the *Ocimum* genus *O*. *basilicum* dispersed in the warmer parts up to 1,800 m altitude from sea

level while *O. sanctum* (Common name: 'Sacred basil' or 'Holy basil'), an emblem of sanctity as per Hindu mythology has widest distribution throughout the Indian sub-continent, ascending up to 1,800 m in the Himalayas and in Andaman and Nicobar Islands. Africa, South America (Brazil) and Asia – these 3 are the key centers of origin for this genus while France, Italy, Bulgaria, Egypt, Hungary, South America, Comoro Islands, Thailand, India, Haiti, and Guatemala are the key producers of basil. Uttar Pradesh (UP) is one of the states in India produce French basil significantly (Lupton et al., 2016).

Basils are commercially grown in USA's western and southern states including Arizona, California, Florida, New Mexico, and North Carolina especially for having congenial climatic condition. For low production statistics the United States import this larger 'herb' from Mexico (Meyers, 2015).

Huge demand of basil oil mandating its rapid production. Although many small-scale growers involve in basil oil production but not at national status, in fact the absolute figure of its production is unidentified. The total production of basil oils was approx. 93–95 tons per annum during 1990s. Out of this amount 55 tons were obtained from *O. gratissima* L. and 43 tons from *O. basilicum*. *O. canum* (Syn. *O. americanum* L.), commonly known as 'Simms' also contributed 100 kg of oil per annum during that time. Among many basil oil producing countries, India (15 tons), Bulgaria (7 tons), Egypt (5 tons), Pakistan (4.5 tons), the Comoros (4.5 tons), Israel (2 tons), the former Yugoslavia (1 tons), the USA (1 tons), Madagascar (1 tons), Réunion and Albania (each 0.5 tons), Hungary (0.3 tons) and Argentina (0.2 tons) (Lawrence, 1993; Hiltunen & Holm, 1999) are at pinnacle. According to Robbins & Greenhalg (1979), USA followed by Germany, France, Netherlands, and UK also secured top position concerning basil oil production.

Dried basil is not so popular but still has meagre demand although the current global statistic of its production is unavailable. Desiccated basils are produced in India, California, and chiefly in Mediterranean regions. They are majorly consumed to meet the domestic need due to their less international demand. USA was considered as the world's largest user of dried basil (according to Import statistics) (Putievsky & Galambosi, 1999).

#### 3.3 MARKETING AND TRADE

European countries have huge demand for basil. Since 1990, Europe is the highest (830–880 t year<sup>-1</sup>) importer of basil herb. Among all European countries, France (300–350 t year<sup>-1</sup>), UK (250 t year<sup>-1</sup>), Germany (200 t year<sup>-1</sup>)

and the Netherlands (80 t year<sup>-1</sup>) are the topmost basil importers. Egypt among the Western European countries is the greatest supplier of this herb (Putievsky & Galambosi, 1999).

To have noteworthy contribution in international essential oil trade, range of cultivars or chemotypes from diversified geographic origin of O. basi*licum* are essential to upgrade the richness of essential oils since the climate play vital role on chemical composition and yield quantity of essential oil (Simon et al., 1990; Marotti et al., 1996). The European type (Italy, French or Sweet basil) produces supreme quality oils in terms of finest odor for having linalool and methyl-chavicol as key constituents (Simon et al., 1990). Egyptian and European basils are almost identical regarding their chemical composition but the former one contains relatively higher percent of methyl chavicol. The essential oils produced by Comoro Islands, Thailand, Madagascar and Vietnam are known to contain high concentrations of methylchavicol (Marotti et al., 1996) while India, Guatemala, Pakistan (Marotti et al., 1996) and Eastern Europe produce methylcinnamate-rich essential oils (Simom et al., 1990). Furthermore, Java (Simom et al., 1990), Russia and North Africa's (Marotti et al., 1996) essential oils are characterized for eugenol-richness (Vieira & Simon, 2000).

#### 3.4 COMPOSITION AND USES

Basil, considered as 'Culinary' herbs proved to have antioxidant activities (Yanishieva et al., 2006) suggesting their potential role for human health benefits. It is a popular ingredient in many Mediterranean food preparations. Its essential oil extracts (Grayer et al., 2002) are also used in the manufacturing of cosmetic and pharmaceutical products (Unerie et al., 1998). It has antioxidant, antimicrobial, and anti-tumour activities for presence of phenolic acids and aromatic compounds (Gutierrez et al., 2008; Hussain et al., 2008).

Phenyl propanoids, like eugenol, chavicol, and their derivatives or terpenoids like monoterpene alcohol linalool, methyl cinnamate, and limonene are the key components; contribute significantly for high economic value of basil oil. Redox properties of phenolic compounds enact vital role to absorb and neutralize free radicals, to quench singlet and triplet oxygen or to decompose peroxides (Asami et al., 2003). Moreover, these phytochemicals are potential to decrease the incidence and death rates of cancer in several human populations.

Geographical occurrence has significant influence on the qualitative and quantitative profiles of different basil varieties, for example, 'Purple Opaal' variety (Beatovic et al., 2015; Klimánková et al., 2008) is highly enriched with phenolic compounds while 'Red Rubin' variety (Seidler-Lozykowska & Król, 2008; Švecová, 2006) is a potential essential oil extractor. Linalool the chief component of essential oils extracted from European basil varieties (Beatovic et al., 2015; Seidler-Łoz ykowska & Król, 2008; Marotti et al., 1996; Nurzynska-Wierdak et al., 2013). Additionally, geraniol (12.6%), 1.8-cineole (4.1%) and epi- $\alpha$ -cadinol (3.8%) are also present in the extract. Other key components in basil oil are linalool, methyl chavicol, eugenol, bergamotene, and methyl cinnamate (Klimánková et al., 2008), methylchavicol, camphor, citral, limonene, methylcinnamate, caryophyllen, anethol, terpinen-4-ol, myrcene, thymol, ocimene, and cinnamaldehyde (Švecová & Neugebauerová, 2010). Wide variations in linalool concentrations and other essential oil components extracted from this herb's range of plant parts (flowers, leaves, and stems) are evident (Chalchat & Özcan, 2008). Estragole (58.26%), fenchone (10.1%), limonene +  $\beta$ -phellandrene (19.41%), and  $\alpha$ -phellandrene (4.37%) are main components of oil extracted from basil blooms, while estragole (52.60%), limonene (13.64%), exo-fenchyl acetate (10.99%), fenchone (5.70%),  $\alpha$ -phellandrene (4.15%), and endo-fenchyl acetate (1.30%) are found in basil leaf oil. Recent research revealed the positive impact of jasmonic acid (JA) on the plant yield, production, and composition of essential oils of 'Lettuce leaf' basil jasmonic acid (JA) on the plant yield, production, and composition of essential oils of 'Lettuce leaf' basil (Złotek, 2016).

Apart from its use as flavoring agent in culinary, basil is sometimes good for mild nervous disorders and for mitigating wandering rheumatic pains. Basil leaves have some healing effect on the central neural system whereas powdered dried leaves, in snuff form are curative for nervous headaches (Waldstein, 2006). For example, ethanolic extracts using leaf of *O. sanctum* could reduce the total acetylcholine content (noise induced stress results in its elevated content) and enhance acetylcholinesterase activity of cerebral cortex, corpus striatum, hypothalamus, and hippocampus of brain (Sembulingama et al., 2005), possibly through the antioxidant activity (Samson et al., 2007). These properties are due to the presence of volatile compounds present in basil plant. For example, sesquiterpenes eugenol and 1,8-cineole found in *O. gratissimum* could eradicate range of stress-induced symptoms (Mukherjee et al., 2005). Folk infusion using fresh basil herb found optimal for obstructions of internal organs. The efficacy of basil infusions to arrest vomiting and allaying nausea is well proven. Furthermore, the therapeutic properties of basil seeds could successfully cure the poison of snakes and warts too.

According to Tohti et al. (2006), the dose-dependent treatment by ADP caused the inhibition of platelet aggregation in rats but the effect of ADP and basil extracts together as a procoagulant and anticoagulant (like thrombin) was impactful. In fact, an aqueous extract of basil@ 75 mg kg<sup>-1</sup> day<sup>-1</sup> (approx.) could also bring the similar effect of aspirin@ 8.8 mg kg<sup>-1</sup> day<sup>-1</sup> (approx.).

Sweet basil is quite low in fat and calorie contents but highly enriched in minerals and vitamin A. About 2.5 g of fresh basil leaves (5 nos. approx.) contain 96.6 IU, 3.85 mg and 11.55 mg of vitamin A, calcium (Ca) and potassium (K) respectively but very low calories (<1). It contains meagre amount of range of vitamins especially vitamin C and also protein, fiber, and minerals. About 2.0–68.0 ppm of raw extracts of sweet basil leaves and 0.01–50 ppm of its essential oil could be used as this species of basil was enlisted under GRAS (generally recognized as safe), US department of Agriculture. Overall, the recommended doses of its essential oil will be only effective whereas imprudent consumption may cause carcinogenic effect (Hanif et al., 2011; Hosseini-Parvar et al., 2015).

'Tulsi' is an imperative herb in ayurvedic medicine used for several millennia to heal many ailments like stress relieving, and for respiratory, gastrointestinal, kidney, dermatological, and ophthalmological treatments in India exclusively while range of blood disorders could be also healed using its phytoformulation (Singletay, 2018). Human trials demonstrated the usefulness of Tulsi in the treatment of metabolic disorders, in modulation of the immune system, and in improving neuro-cognition. Leaves of *O. viridae* (commonly known as 'Fever Plant') native of Western Africa, has febrifugal properties as its leaves decoction could be a remedy for the fevers if drink like tea. The aroma of leaves is also used as remedial measures, for example *O. canum, O. gratissimum* (in India) and *O. crispum* (in Japan) used for cold treatments owing to the sweet scent of leaves while *O. teniflorumis* is considered as aromatic stimulant in Java and *O. guineense* utilized to heal bilious fever. Due to its fragrance and aroma, 'tulsi' is also the most acclaimed 'kitchen herb.'

Leaves and flowers of sweet basil are the most vital plant parts used as tonic and vermifuge in traditional folk medicine. For example, the raw extracts of these 2 plant parts are employed as first aid treatment for wasp stings and snakebites. Basil tea is good for treatment of nausea, flatulence, and dysentery while to mitigate mental fatigue, colds, spasm, and rhinitis its leaf and bloom-derived essential oils are unparallel. According to Ahmad et al. (2002) basil contains central nervous system (CNS) depressant, anticancer, cardiac stimulant, hepatoprotective, hypoglycaemic, hypolipidemic, immunomodulator, analgesic, anti-inflammatory, antimicrobial, antioxidant, antiulcerogenic, chemomodulatory, and larvicidal activities. Eugenol (1-hydroxy-2-methoxy-4-allylbenzene), the active constituents found in *O. sanctum* L. chiefly responsible for the therapeutic potentials (Pattanayak et al., 2010).

Basil contains vitamin C (25 mg of vit C per 100 g of its edible portions), vitamin A, minerals *viz*. Ca, Zn, Fe (Anbarasu & Vijayalakshmi, 2007) and range of phytonutrients like protein@ 4.2 g, fat@ 0.5 g, carbohydrate@ 2.3 g, calcium@ 25 mg, phosphorous@ 287 mg, iron@ 15.1 mg (Pattanayak et al., 2010). It accelerates the efficient digestion, absorption, and use of nutrients from food and other herbs.

Basil is a common culinary ingredient. This herb is a mandatory component in Italian dishes 'pesto.' Apart from that it is used to flavor foods such as vegetables, poultry, and fish. The preserved basil in vinegar or olive oil adds a delightful flavor for salad dressings while edible basil flowers could be a striking addition to salads and other dishes (Grieve, 1931; Grieve & Marshall, 198). They could be a fine flavoring agent for jelly, honey, tea, and liquor. For potpourri and sachets, it also used as an aromatic herb. Except these, basil oil is a mandatory inclusion in wide cosmetic items *viz*. Lotions, shampoos, perfumes, and soaps. For its attractive foliage and flowers, it often included in ornamental flower garden.

*Tulsi* is very popular throughout the world for its medicinal properties. Different plant parts of this 'whole-plant-use-herb' are used as folk medicine in pan world exclusively in India. In Bangladesh, the importance of traditional, plant-based medicines is always hugely admired. For cough, cold, leg swelling, fever, bronchitis, gastric disorders, headache, nausea, and skin diseases, the water-based extracts made from basil leaves are hugely acclaimed. Especially, in Chuadanga, the extracts prepared from whole herb used to heal array of ailments. Although, Himalayan regions are sufficiently enriched of highly diversified floras but in some parts like Himachal Pradesh (H.P.), Nepal and Central Himalayan region basil plant parts like fresh leaves (enhance mental power) and desiccated leaves with 'ghee' (heal dysentery, piles, stomach disorders, etc.) still used hugely. At Makwanpur (Nepal), a concoction prepared from basil leaf extracts and flower heads of *Leucas cephalotes* is most effective to cure high fever while decoction of basil leaves

after blending with Piper nigrum and 'Palmgur' also found most effective to reduce high fever temperature. Sometimes, dehydrated basil leaves are also consumed as vegetables especially at Central Himalayan Region for blood purification purpose. The decoction of different plant parts (especially leaves inflorescence's apex portion and roots) is also an effective antidote in snake bite poisoning significantly used in India. In Southern India (like Tamil Nadu [T.N.], Andhra Pradesh [A.P.] and Karnataka), basil is considered as a vital member of plant-based medicine's community. Concoction of basil leaf extracts and onion bulbs is used to treat cough, cold, headache, etc., in T.N. Medicinal formulations especially 'basil leaves + garlic + leaves of Achyranthes aspera + pepper' and 'basil leaves + fruits of Trychosanthes diocia + blooms of *Leucas indica* + leaves of *Aristolochia bracteate*' used popularly to heal typhoid fever in A.P. while goat urine extracts of basil leaves with coconut oil and mildly warm concoction of fresh basil leaves extract with leaves of *Catharanthus roseus* could be effective enough to cure skin allergy and ear boils, respectively in Karnataka. As an antidote for scorpion bite, basil leaves paste is guite well-known in A.P. In North-East province, pharmaceutical formulations made by basil is highly acclaimed. For example, to treat Diarrhea, fever, dysentery, and skin infections, fresh basil leaf juice along with black pepper are used in Tripura, in Arunachal Pradesh decoction and paste of basil leaves used for inflammations and wound cuts, in Assam decoction of leaves used to heal stomach ache and headache. Powdery form of basil leaves mixed with honey also used for diabetic treatment and antidote for snake bite in Assam. For effective ringworm treatment, leaf extracts of basil are widely used in Uttar Pradesh (U.P.) province. Topical application of basil leaf extractions blended with Bruguiera gymnorrhiza and coconut oil is of great ethnomedicinal importance for body and mind rejuvenation purposes used in Nicobar Island (Singh & Chowdhury, 2018).

Based on tree bibliographic search, it is evident that basil as folk medicine is popular since time immemorial. Jamshidi & Cohem (2017); Leelahagul et al. (1992); & Akbarian et al. (2016) reported that consumed extracts of basil whole plant, seeds, and leaves at the dose rate of 300 mg–6 g/day may improve blood sugar and lipid profiles. The identical dose rate could also potentially reduce stress, anxiety, depression, and cause significant mental performance (Jamshidi & Cohen, 2017; Satoh & Sugawara, 2003 & Sugawara et al., 1999). While notable improvement in immune responses and lowering down the asthmatic symptoms could also be achieved (Jamshidi & Cohen, 2017). Essential oil and extract-based oral formulations at the dose rate of 250 mg/day could potentially improve oral health and hearing (like low plaque deposition, reduced gingival inflammation and bleeding, quick recovery from jaw fractures, etc.) (Pate & Venkatakrishna Bhatt, 1988; Agarwal & Nagesh, 2011; Gupta, 2014; Hosamane, 2014; Pereira et al., 2014; Pimenta, 2014; Mohammad et al., 2014). According to many researchers (Rasal & Akhtar, 2011; Balambar et al., 1985; Orafidiya et al., 2002; Martin & Ernst, 2003) 3% cream formulated using essential oil of leaves could decrease wrinkling and improve acne problems.

#### 3.5 ORIGIN AND DISTRIBUTION

'Basil' (member of the large mint family [Lamiaceae]) is cultivated across the world but prefers tropical to sub-tropical condition for its easy thrives. In India, Pakistan, Iran, Thailand, and other countries of Asia more than 5,000 perennial wild species of basil are found to be grown (Albuguerque, 1996). Some parts of Africa and Pacific islands also possess patch of basil plants. Its center of origin is assumed to be not in exactly India but in more East part of the globe. According to the ancient literature of 807 A.D. Hunan region of China could be the most probable place of their origin as some evidence of its use during that era was found. Its acceptance as indoor plant in Western countries is noteworthy to mitigate the frost injury of this tropical herb. Significant medicinal values of *Tulsi* are the probable cause of its eventual migration from India to Europe and America via Middle East during  $16^{th}$  and  $17^{th}$  century, respectively (Darrah, 1972).

Warm climatic condition is the best suited for its cultivation even though it has wide angel climatic adaptability. Warm temperature regime, sufficient sunlight and moisture level – these three are key factors for its successful thrives but its susceptibility to frost makes it inappropriate for outdoor cultivation. Basil is cultivated widely in several countries like India, Pakistan, Comoros Islands, Madagascar, Haiti, Guatemala, Reunion, Thailand, Indonesia, Russia (Georgia, East Caucasus), South Africa, Egypt, Morocco, France, Israel, Bulgaria, USA (Arizona, California, New Mexico), Italy, Hungary, Poland, Germany, Greece, Slovakia, Turkey, and other Balkan countries (Putievsky & Galambosi, 1999).

#### 3.6 BOTANY AND TAXONOMY

Basil is a popular, tender, annual or rarely perennial herb used basically for its aromatic leaves. Depending on the variety, height varies from 30 cm (0.98 ft)

to 150 cm (4.9 ft). Hues of laminas are richly green, surface pubescent, shape ovate, but leaf sizes and shapes differ significantly based on the cultivar. Leaf sizes range from 3 cm (1.2 inches) to 11 cm (4.3 inches) in length and between 1 cm (0.39 inches) and 6 cm (2.4 inches) in width (https://plantvillage.psu.edu/topics/basil/infos). Leaves of some species are greyish-green on ventral surface having dotted dark oil cells (Albuguerque, 1996; Darrah, 1974; Morales & Simon, 1996). It grows about 1 m height in a bushy form having central taproot system, has an obtusely quadrangular stem, labiates, small, white colored flowers in whorl fashion. Flowers emerge from axils of leaves. Calyx has round; spreading upper lobe emerges from the central inflorescences which arise from central stem atop the plant.

Ocimum minimum (commonly known as 'Bush Basil') attains the height > 6 inches usually propagated by seed. Leaves are characteristically ovate, entire, and produce white blooms in whorled fashion at towards the top but its height is smaller than sweet basil. This type of basils is basically of 2 types *viz*. one having black-purple leaves while other possesses variable leaves. Concerning the low temperature susceptibility, bush basil is hardier than the garden basil although both are native of India. Both of them bloom during July and August (Darrah, 1972; Martins et al., 1999; Morales & Simon, 1996). Leafy tops of bush basil are a mandatory item for seasoning and in salads like sweet basil.

Generally, basil is herbaceous plant. Mostly, *O. basilicum* has upright stem with branched habit. The stem is square in shape (thickness approx. 0.6–0.9 cm) with glabrous texture usually green colored but purple color is often found. Leaves are ovate (2.5–5 cm long), apex acute, entire to slightly toothed margin, petiolate (length 1.3–2.5 cm long), simple with opposite phyllotaxy and contain oil glands (emits strongly fragrant volatile oil). The inflorescence is usually racemose, sometimes terminal raceme. It has stalked bracts which are ovate in shape with acute apex, green colored calyx (5 mm in length) almost envelopes the pedicillate fruits, calyx has long lower (with 2 central teeth) and short upper (rounded in shape) lips formed by fusion of 5 sepals, corolla (generally 8–13 mm long) hues are species/cultivar-specific (may be white, pink, purple, etc.) with glabrous/slightly pubescent texture and superior ovary is common, fruits composed of 4 achenes while seeds are ellipsoid in shape, pitted, approximately 2 mm long and black in color (Bilal et al., 2012).

'Wild basil'/'Hedge basil or Clamint' (botanically known as *Calamintha clinopodium*), is found throughout the North Temperate Zone. It is usually dry in nature and used as bush for demarcation purpose in England and Scotland.

In Ireland, wild basil is somewhat rare. It is a weak-looking, straggling plant, though stems are erect and thickly wrapped with soft hairs (Darrah, 1972; Grieve, 1999; Pushpangadan & Bradu, 1995). Leaf phyllotaxy is opposite, short-stalked, ovate-obovate shaped positioned on the four-angled stem. Flower opening occurs during July to September. Overall, it's an aromatic herb emits mild thyme like fragrance.

*O. basilicum* basically contains all commercial cultivars. According to Darrah (1974, 1980), *O. basilicum* cultivars are of 7 types *viz.* (i) 'Tall slender types' (belong to 'Sweet' basil group); (ii) 'Large leafed and robust types' (belong to 'Italian' basil group [regionally known as 'Lettuce leaf']); (iii) 'Dwarf types' (belong to short and small leafed 'Bush' basil); (iv) 'Compact types' (belong to 'Thai' basil [*O. basilicum* var. *thyrsiflora*] group); (v) 'Purple colored basil' (belong to 'Sweet' basil group); (vi) 'Purple types' (belong to 'Dark Opal' [hybrid between *O. basilicum* and *O. forskolei*] group, possess clove-like aroma); and (vii) 'Lemon-flavored basils' (belong to *O. basilicumcitriodorum* group) (Morales & Simon, 1996).

On the other hand, according to the great diversity in chemical composition and geographical sources of different species and varieties, they've been classified into four major types as here under.

- 1. European or Sweet Basil: The extracted oil of this type is characterized mainly for having methyl chavicol and linalool, but no camphor. It includes French and American Sweet basil oils have high demand for its high quality and finest odor. Distillation takes place in France, Italy, Bulgaria, Egypt, Hungary, South Africa and occasionally in the United States.
- 2. Reunion Basil: Chief chemical constituents of extracted oil from this type are methyl chavicol and camphor (in meagre amount) without any linalool content. Distillation of its oil is made in Reunion Island, Comoros, Malagasy Republic, Thailand, and Seychelles.
- **3.** Methyl Cinnamate Basil: This oil contains methyl chavicol, linalool, and methyl cinnamate in sufficient quantity, usually distilled in India, Haiti, Guatemala, and a few African countries.
- **4. Eugenol Basil:** Eugenol, the key chemical component of this oil. Distillation is often made in U.S.S.R. and North African countries (especially Egypt and Moracco).

Around nine species are recorded in Eugenol basil from India, of which three are exotic. The more important of these species are: i. Ocimum basilicum Linn (2n = 48) (English/French/Sweet Basil).

It has the following subspecies and varieties:

- a. O. basilicum Linn. Subsp. minima Danert (Syn. O. minima Linn).
- b. O. basilicum Var. Majus Benth.
- c. O. basilicum Var. Difforme Benth (Curly-leaved basil).
- d. O. basilicum Var. Purpurascens Benth (Violet-red basil).
- e. O. basilicum Var. Glabratum Benth (Common white basil).
- f. O. basilicum Var. Pilosum Benth (Syn. O. pilosum Roxb.).
- g. O. basilicum Var. Crispa.
- h. O. basilicum Var. Thyrisiflora.
  - i. O. basilicum Var. Darkopal.
  - ii. O. americanum Linn. (Syn. O. canum Sims.) (Hoary basil).
  - iii. O. gratissimum Linn. (Shrubby basil).
  - iv. O. kilimandscharicum Guerke (camphor basil).
  - v. O. sanctum Linn. (Sacred or Holy basil).
  - vi. O. viridae Willd (Fever plant of Sierra Leone).

Of the above listed species, *Ocimum basilicum* Linn. Is the only commercially cultivated species in India.

Varieties like RRL-011, Vikarsudha, Kusumohak, and Cim-Saumya have been released from different institute.

## Scientific Classification:

- Kingdom: Plantae.
- **Phylum:** Magnoliophyta.
- Class: Magnoliopsida.
- Order: Lamiales.
- Family: Lamiaceae.
- Genus: Ocimum.
- Species: O. basilicum.

## 3.6.1 TAXONOMIC HISTORY OF OCIMUM SPECIES

Throughout the tropical and sub-tropical areas (Asis, Africa, and Central and South America) approximately 30–160 numbers of species of these annual and perennial herbs are distributed (Darrah, 1980; Paton et al., 1999). Growth habit, color, and chemical composition of aromatic substances – these 3 are the basic criterias to distinguish between various species of *Ocimum* sp. but

meagre diversities are the basic circumscription for appropriate identification. O. africanum Lour (Syn. O x citriodorum Vis.), O. americanum L. (Syn. O. canum Sims.), O. basilicum L., O. minimum L., O. gratissimum L. and O. tenuiflorum L. (Syn. O. sanctum L.) - these are the popularly cultivated species of basil due to their noteworthy medicinal and economical importance (Carovic-Stanko et al., 2010). The detailed description of 5 key species of basils was given by Linneaus first in 1753. Later, Bentham in 1832 confirmed the identification of 40 species of Ocimum and clustered them into three sections viz. Ocimum (Ocymodon Benth.), Gymnocymum Benth. and Hierocymum Benth (Paton et al., 1999). Bentham again based on the calyx morphology, subdivided the Ocimum section into three subsections, i.e., Ocimum, Hiantia Benth and Gratissima including Hemizgya Benth. Bentham included *Hemizgva* as novel subsection under *Ocimum* section but later Briquet (1897) refused its inclusion for its exceptional taxonomic feature (fusion of anterior stamens). Paton (1992) identified 30 African Ocimum species. He included Hemizygia and Hiantia under section and subsection, respectively of the infra-generic classification of Ocimum provided by Bentham in 1848. This inclusion favored Hiantia to become a distinct genus (Paton et al., 1999). Pushpangadan (1974) devised a distinct infra-generic classification where he made 2 distinct groups viz. (i) Basilicum group (it contains annual herbs having ellipsoid, black, intensely mucilaginous seeds and basic chromosome number of x=12; and (ii) Sanctum group (it contains perennial shrubs featured by brown globose, non or little mucilaginous seeds with a basic chromosome number of x=8). According to his classification, Basilicum group has Ocimum section and subsection. Other 6 key cultivars and varieties like basilicum cv. 'Genovese,' var. basilicum cv. 'Sweet Basil,' var. difforme Benth, var. purpurascens Benth. cv. 'Dark Opal' and var. thvrsiflorum L. Benth. were included in Basilicum group, section Ocimum by Pushpagadan (1974). But this infra-generic classification is not in concurrence of International Code of Botanical Nomenclature (ICBN). Polyploidy, hybridization at inter and intra-specific level results in chemical and morphological inconsistency but the occurrence of chemotypes considerably don't influence the variation in plant morphology. Despite having an array of methods according to the morphological features, geographic origin, karyotypic features, extent of crossability and chemical compositions are already in use to have a better understanding about the taxonomy and phylogenetic relationship within Ocimum genus, their identity is still in question (Shasany, 2016).

Basil species and cultivars also vary depending in the geographical source, morphological, and cytological features, chemical constituents of many Eugenols contained species are *O. gratissimum* L. (2n = 40), *O. viridae* wild (2n = 40), *O. suave* wild (2n = 64) and *O. carnosumck* (2n = 48). While *O. micranthum* (2n = 48) is rich in Elimicin and Methyl isoeugenol. *O. sanctum* (2n = 24,26) also contains linalool or camphor, *O. basilicum* (2n = 72) contains methyl chavicol and citral, *O. kilimandscharicum* (2n = 76) camphor, *O. sanctum* (2n = 32) contains eugenol.

## 3.7 VARIETIES AND CULTIVARS

Different varieties of Ocimum spp. having range of phenotypic features, as for example flower hues greatly vary among these 6 varieties of Ocimum basilicum, i.e., O. basilicum var. 'Pilosum' (White-Purple), O. basilicum var. 'basilicum' (Pinkish-White), O. basilicum var. 'glabratum' (Pinkish-White or White), O. basilicum var. 'Difforme' (White), O. basilicum var. 'Thyrsiflora' (White), O. basilicum var. 'Purpuracense' (Purple). Lamina surface is smooth in O. basilicum var. 'Glabratum' while smooth in rest 5 varieties. Lamina is moderately pubescent in O. basilicum var. 'Pilosum,' O. basilicum var. 'Difforme,' O. basilicum var. 'Thyrsiflora,' medium and sparse in O. basilicum var. 'Basilicum;' glabrous in O. basilicum var. 'Glabratum' and dense in O. basilicum var. 'Purpuracense.' Lamina shape is ovate-lanciolatein O. basilicum var. 'Pilosum,' O. basilicum var. 'Basilicum,' O. basilicum var. 'Difforme' and O. basilicum var. 'Purpuracense' and sub-ovate in O. basilicum var. 'Glabratum,' O. basilicum var. 'Thyrsiflora.' Lamina color is purple-green in O. basilicum var. 'Pilosum,' O. basilicum var. 'Basilicum;' purple and dark-green in O. basilicum var. 'Glabratum'; dark Green in O. basilicum var. 'Difforme,' O. basilicum var. 'Thyrsiflora' and purple in O. basilicum var. 'Purpuracense.' Stem pubescence is medium in O. basilicum var. 'Pilosum,' O. basilicum var. 'Basilicum;' dense in O. basilicum var. 'Difforme,' O. basilicum var. 'Purpuracense,' O. basilicum var. 'Thyrsiflora,' and dense or medium pubescent in O. basilicum var. 'Glabratum.' Stem color is purple green in O. basilicum var. 'Pilosum,' O. basilicum var. 'Basilicum,' and light green in O. basilicum var. 'Difforme,' O. basilicum var. 'Thyrsiflora;' Purple in O. basilicum var. 'Purpuracense' and light green or purple green in O. basilicum var. 'Glabratum.'

Among 50–150 species of basils few are culinary basils essentially the cultivars of *O. basilicum* but it's very challenging to ascertain the exact group where a basil resides. Crossbreed friendly nature of basils pose difficulties to draw boundaries between species. Basil cultivars differ in several ways. For example, the shape and size of the leaves, it may be large lettuce-like leaves (e.g., 'Mammoth basil')or tiny leaves (e.g., 'Lettuce leaf basil,' 'Dwarfbush basil') also. More practically, varying degree and quantity of essential oils found liable to the variation of fragrance of the basil. The most vital are 1,8-cineol, linalool, citral, methyl chavicol (estragole), eugenol and methyl cinnamate, although hardly any basil contains all of these in any significant amount (Rawat et al., 2016). Two varieties of Holy basil namely 'Cim-Ayu' and 'Cim-Angana' also contain 83% and 40% of Eugenol, respectively. While the former one only contains 7.47% of beta element.

Large number of cultivars, high polymorphy and frequent crosspollination (resulting in new hybrids) at inter and intra-specific level causes taxonomic uncertainty. *Ocimum basilicum* has at least 60 varieties, which further complicates the taxonomy (Simon, 1999).

Most basils are cultivars of sweet basil viz .:

- Anise basil, Licorice basil or Persian basil (O. basilicum var. 'Licorice').
- Cinnamon basil (O. basilicum var. 'Cinnamon').
- Dark opal basil (O. basilicum var. 'dark Opal).
- Lettuce leaf basil (O. basilicum var. 'Crispum').
- Leaf basil (O. basilicum var. 'Crispum').
- Purple basil (O. basilicum var. 'Purpurescens').
- Rubin basil (Ocimum basilicum var. 'Rubin').
- Globe basil, dwarf basil, French basil (O. basilicum var. 'Minimum).
- Thai basil (O. basilicum thyrsifolium).

(*Source:* https://web.archive.org/web/20150924161135/http://www.ars-grin.gov/~sbmljw/cgibin/taxon.pl?70463).

There is also few Hybrids like 'African blue basil' (*Ocimum basilucum x O. kilimandscharicum*), Spice basil (*Ocimum basilucum x O. americanum*) (sometimes sold as 'Holy' or 'Lemon' basil) (https://web.archive.org/web/20160913143509/https://npgsweb.arsgrin.gov/gringlobal/taxonomydetail.aspx?id=463843; http://www.theplantlist.org/tpl1.1/record/kew-136798). Camphor basil, African basil (*O. kilimandscharicum*), Clove basil (*Ocimum gratissimum*) (Fandohan et al., 2008), Holy basil (*Ocimum ntenuiflorum*), formerly known as *O. sanctum* are of similar species (Pessoa

et al., 2002). Several basil varieties grown in various parts of Asia exclusively contain clove-like flavor which is generally stronger than the Mediterranean basils, such as *tulsi*. Lemon basil has a strong lemony smell and flavor very different from other varieties because it contains 'citral' chemical widely used in Indonesia (familiar in *kemangi* name), served raw along with raw cabbage, green beans and cucumber as an accompaniment to fried fish or duck. Its flowers when broken up, are a zesty salad condiment.

Apart from these species and varieties of basil, some other cultivars are also existing. As for example O. basilicum 'lettuce leaf' (C.N. lettuce leaf Basil) (featured by large leaves Used in salads). O. basilicum 'mammoth' (C.N. Mammoth Basil) (featured by bordered leaves and intense Flavor), O. basilicum 'nufar' basil (C.N. Nufar basil) (fusarium wilt resistant Cultivar), O. basilicum 'spicy globe' (C.N. Spicy Globe basil) (characterized by its bushy form minute leaves and strong flavor), O. basilicum 'Greek yevani' (C.N. Greek yevani basil) (organically grown cultivar), O. basilicum 'Piccolo' (C.N. Finoverde basil) (featured by its Narrow leaves and less pungent smell), O. basilicum 'Boxwood' (C.N. boxwood basil) (featured by its dense growth, small foliage and Strong scent), O. basilicum 'Purple Ruffles' (C.N. Purple Ruffles basil) (characterized by its solid purple hue and spicy flavor), O. basilicum 'Magical Michael' (C.N. Magical Michael) (exported for its degree of uniformity and nice flavor), O. basilicum 'Red Rubin' (C.N. Red Rubin basil) (identified by its magenta color and flavor) O. basilicum 'Osmin purple' (C.N. Osmin purple basil) (Distinguished for its dark shiny purple colored leaves), O. basilicum (C.N. Cuban basil) (similar like sweet basil but with much smaller leaves) O. basilicum Var Thyrsiflorum (C.N. Thai basil or Ho-Ra-pain Thai) (featured for its unique scent) O. basilicum Var Thyrsiflorum 'Siam Queen' (C.N. Siam queen) (cultivar of Thai basil), O. basilicum 'Cinnamon' (C.N. Cinnamon basil/Mexican spice basil) (featured for its scent and purple blue Bloom), O. basilicum Licorice (C.N. Licorice basil or Persian basil or Anise basil) (characterized for its silvery leaves, spicy Licorice alike smell due to the presence of in anise and Anethole chemicals. O. basilicum var 'Citriodora 'Mrs. Burns Lemon' (C.N. Mrs. Burns Lemon) (featured by its aromatic lemon scent), etc.

*O. americanum* is commonly known as lemon basil contains citral and limonene and sweeter in taste. In Indonesia, it is popularly known as kemangi.

*O. X citriodorum* is known as Thai lemon basil featured by its distinct lemon balm-like odor. Its common cultivar is 'Lesbos' propagated by cuttings.

Except this *O. tenufolium* (holy basil), *O. gratissimum* (clove basil), *O. minimum* (Greek basil), *O. basilicum X americanum* (spice basil) are used in Ayurveda. They were much preferred by the perfuming industry.

There is other few cultivate strains of basil like RRL-011 (it is a sweetsmelling strain, it has 320 kg/ha oil extraction capacity, linalool 40% and methyl chavicol 35% and enriched essential oil. It gives an herbage yield of 50 tn/ha), 'Vikarsudha' (this hybrid is 75–90 cm tall, photo insensitive or day neutral variety, round the year could be cultivated, yield capacity 3.73 tn of herb and 78% methyl chavicol enriched essential oil is extracted from this variety), 'Kusumohak' (it essential oil contains 46% of linalool and 38% of methyl chavicol; oil yielding capacity is 134 kg/ha), 'Cim-Saumya' (a short duration variety, could matured within 60–80 days and contains 62% of methyl chavicol and 24% of linalool).

The most commonly available species and intraspecific hybrids of Basils are as follows:

- 1. *O. basilicum* (commonly known as Sweet Basil): It is erect by habit, produce white flowers, bright green clove scented foliages (1 cm long) (Anon, 1980; Darrah, 1972, 1974, 1980; De Baggio & Bisinger, 1996; Marotti et al., 1996; Morales & Simon, 1997).
- 2. *O. basilicum var. Genovesa* (commonly known as Genovese basil): Erect habit, bears dark green foliages (approx. 2 inches long), and characteristically delayed bolting found. This Italian strain of basil used for pesto and garlic dishes.
- 3. *O. basilicum var minimum* (commonly known as Bush or Greek basil): It is a dwarf variety (height less than 1 cm) produce white blooms, leaves are pungent, popular varieties like 'Green bouquet,' 'Spices globe' and 'Fine green' are used for edging purpose and container growing.
- 4. *O. basilicum purpurascens* (commonly known as Purple basil): Popular varieties of this species are 'Opal,' 'Purple Ruffles' and 'Red Rubin.' Traditionally they are used as culinary ingredients. But also, sometimes grow as ornamental one. Shape of leaf and size almost identical like sweet basil but produce lavender like flowers.
- 5. *O. basilicum Crispum* (commonly known as Lettuce leaf basil): It possesses large, wide leaves, less flavored species, mostly used for salads or sauces. 'Mammoth,' 'Napoletano' and 'Green Ruffles' are its popular variety.

- 6. *O. basilicum odoratum* (commonly known as Scented basil): This flavored basil species used for fruit preservation in custards and sorbets.
- 7. *O. cannum* (commonly known as Holy basil): it is a clove fragrant species with minute leaves, produce white- and violet-colored blooms, commonly used for worshipping purpose.
- 8. *O. kilimandsharicum* (commonly known as Camphor basil): Identifying features of this species are its grey-green foliage and strong fragrance. Not useful for culinary purpose.
- 9. *O. micranthmum* (commonly known as Peruvian basil): It produce purple-colored flowers, commercially grown for ornamental seed head.

## 3.8 SOIL AND CLIMATE

- 1. Soil Condition: Basil can grow in wide range of soils viz. loam, poor laterite, saline, and alkaline moderately acidic soil. Soil pH should be 6–7 for *Ocimum sanctum* and 4.3–9.1 for *Ocimum basilicum*) with good drainage capacity for optimum vegetative growth. Dwarf growth other than the varietal specifications caused by rootrot disease originates from water logged conditions. Clayey soils are entirely unsuitable. In fact, the tolerance level for Cu and Zn is high in case of *O. basilicum* while it is susceptible to Co and Ni (Smitha et al., 2014).
- 2. Climate: Subtropical climate is best suited for basil cultivation. More clearly crop grows well in warm and humid climate, even at an altitude range from 900–1,800 m also. It is essentially a warm season crop grow optimally in areas where daytime temperatures are consistently above 21°C (70°F) and night time temperatures stay above 10°C (50°F). High rainfall, moderate relative humidity (RH), long days and moderate-high temperatures favor its growth and oil production. They are sensitive to frost and cold but can moderately be tolerant to drought. Semi shaded condition also favors its growth. It requires around 6–8 hours of sun every day and benefits from some shade in the afternoon (Smitha et al., 2014).

To increase the potency of phenolic activity and flavonoid and essential oil content of sweet basil, the major antioxidant and anticancerous substances, the application of organic and bio-organic fertilizers is vital since the amount of fertilizers applied and the enhancement of phytoconstituents level are positively correlated (Taie et al., 2010). The growth parameters, pigments content, total phenolics, total flavonoids and antioxidant activity of methanolic plant extract were evaluated being used various doses of organic fertilizers (compost) with or without biofertilizers. He reported that use of equal amount of compost and sand (50:50) with biofertilizer causes the enhancement of fresh and dry weights, total phenolics, total flavonoids and pigment content as compared to the use of compost alone. Around 50% and 75% of compost treatments with bio-fertilizer resulted in fortified antioxidant and anticancer potential.

Generally, in case of *O. sanctum* during mid Feb, seeds of 200–300 g seeds/ha are used for raising seedlings and in Feb-March seedlings are transplanted in well prepared land. As a basal dose, FYM (a) 10 tn/ha and  $\frac{1}{2}$  dose of full amount of N and full amount of P<sub>2</sub>O<sub>5</sub> and K<sub>2</sub>O is applied. The recommended dose of N.P.K. for *O. sanctum* is 120.60.60. Then on April 6–8 weeks old seedlings are transplanted at the spring of 40–50 × 30–50 cm. Irrigation should be given based on requirement. Weeding, hoeing, and earthing up operations could be continued during May–June. After 90–95 days of transplantation (during July–Aug), 1<sup>st</sup> harvest could be carried out while during Sep–Oct and again in Nov–Dec, 2<sup>nd</sup> and 3<sup>rd</sup> harvesting could be done but after every harvesting optimum nutrient level should be maintained otherwise next phase of harvesting may be hampered.

#### 3.9 PROPAGATION

Seeds are the principle propagating materials for *Tulsi*. It is advisable to germinate the seeds in the nursery followed by transplanting to the field since direct sowing remain unsuccessful most of the time. Seed health is quite vital for quality oil yielding but high rate of cross-pollination causes the deteriorations of oil over generations. Hence, healthy, disease, and pest-free pedigree stock seeds (approximately 125 g seeds are needed for 1 hec of land) are needed to get healthy seedlings.

In general basil seeds should be sown indoors 6-8 weeks before planting outside. Sowing of seeds in a sterile seed mixture in seed trays or pots at a depth of 0.2-1.0 cm (0.08-0.4 in) followed by gentle watering is advantageous. The temperature should be between  $15.5^{\circ}$ C and  $27^{\circ}$ C ( $60-80^{\circ}$ F). Optimum germination temperature is  $21^{\circ}$ C, which facilitates basil seed's germination up to 5 days (Smitha et al., 2014).

#### 3.10 PLANTING, IRRIGATION, AND NUTRIENT MANAGEMENT

#### 3.10.1 PLANTING TIME

All types of basils especially popularly cultivated species *viz. Ocimum sanctum* and *Ocimum basilicum* could be grown from the middle of February to the end of September and during Kharif in North or South India plains. In the hilly part of North India, it can be grown during *kharif*.

#### 3.10.2 NURSERY

The appropriate bed size for *O. sanctum* is  $15 \times 4 \times 9$  ft. Before sowing the seeds, the seed bed soil should be prepared thoroughly using FYM@ 10 kg bed<sup>-1</sup>. Maximum 200–300 g seeds are sufficient for 1 hec of land. For minute size of seeds, they could be mixed with fine sand during sowing at a depth of 2 cm followed by spreading of a thin layer of mixture of FYM and soil over the seeds and irrigation with a sprinkler hose. The seeds usually germinate within 8–12 days and become ready for transplanting within 1 month and 2 weeks at 4–5 leaf stage. Around 15–20 days before of transplanting, spraying using urea solution@ 2% on nursery plants yields healthy seedlings for transplanting (Smitha et al., 2014).

On the other hand, the way of raising nursery seedlings of Ocimum basilicum is more or less same. Here, seed bed should be prepared using well rotten FYM and leaf mold at the rate of 1 kg/sq m followed by thorough mixing with the soil. Seeds at the rate 10-15 g/bed after mixing with sand or wood ashes are usually sown in raised seed beds of 10-15 cm height. Seedlings of 10-15 cm height (usually attain after 6 weeks) are considered to be ready for transplanting. Appropriate bed sizes are  $1 \text{ m} \times 4 \text{ m}$ , with irrigation channels. Line sowing at a distance of 6 cm or broadcasting method could be adopted. After sowing a thin mulching with fine soil or farm vard manure is mandatory followed by immediate watering. Water requirement of the juvenile seedlings should be investigated on regular basis. In plains of North India (NI) the seeds are sown in the nursery during April-May or August-September and in hills sowing is done in April. Regular weeding to keep the seed bed weed free is a vital operation. A spray of 2% urea solution is also useful for O. basilicum to get healthy and vigorous seedlings (Smitha et al., 2014).

For *O. basilicum* April–May/Aug–Sep is the appropriate time for raising nursery seedling. Seed rate is 125 g/ha, sown in  $1 \times 4 \text{ m}$  in lines of 6 cm apart.

In the month of May-June in plowed and manured (40:40:40 N.P.K Kg/ha) land 6–8 weeks old seedlings are transplanted at a spacing of 40–60 cm. in this species also watering should be given below on the requirement. All essential intercultural operations could be carried out in July–August. For this species 1<sup>st</sup> harvesting could be done in Sep (after 90 days of planting) and the subsequent harvesting could be made from November onwards.

## 3.10.3 LAND PREPARATION

The land should be plowed up to a fine tilth. As a basal dose 15 t ha<sup>-1</sup> of FYM along with recommended fertilizers (well-mixed) are preferred.

## 3.10.4 TRANSPLANTING

Under Lucknow, New Delhi and Indore condition 45 days old seedlings at 4-5 leaf stage is transplanted at a spacing of  $40 \times 40$ ,  $40 \times 50$ , and  $50 \times 30$  cm, respectively to enhance the herbage and oil yielding. During transplantation 2 irrigation regimes should be maintained. First irrigation should be provided immediately after transplanting to minimize the hardening shock and second irrigation after 15–20 days usually aids to establish seedlings properly. At this stage gap filling and replacement of the weak, unhealthy plants should be executed so that uniform plant stand could be achieved (Smitha et al., 2014).

In most of the temperate climatic region 6–8 weeks old basil seedlings are usually transplanted in main field, about 15 days after the last frost date. Conventionally spacing of approximately 30 cm (12 inches) and 45 cm (18 inches) between rows are followed (Majkowska-Gadomska et al., 2017).

## 3.10.5 CROP NUTRITION

Soil nutrients are vital for *Tulsi* since its mainly grown for its vegetative growth. Nutritional requirements are species-specific. For *Ocimum sanctum* well-decomposed FYM@10 t/ha should be applied prior to planting. For this species use of city waste compost, composted human excreta and any sort of fresh manures should be restricted as these may yield adverse impact on plant growth. Application of  $N_2$ @ 120 kg,  $P_2O_5$  and  $K_2O$ @ 60 kg ha<sup>-1</sup> has found optimum. As basal dose, full amount of  $P_2O_5$  and  $K_2O$  and  $\frac{1}{2}$  dose

of N<sub>2</sub> could be applied first while the remaining dose of N<sub>2</sub> could again splitted in 2 doses, usually applied after 1<sup>st</sup> and 2<sup>nd</sup> cuttings. Micronutrients especially cobalt (Co) and manganese (Mn)@ 50 and 100 ppm, respectively could enhance the oil yielding capacity. According to Smitha et al. (2014), use of N<sub>2</sub>@ 120 kg, P<sub>2</sub>O<sub>5</sub> and K<sub>2</sub>O@ 105 kg ha<sup>-1</sup> each is effective for alkaline and saline soils at Lucknow condition. About 40:40:40 kg ha<sup>-1</sup> of N, P<sub>2</sub>O<sub>5</sub> and K<sub>2</sub>O is good for economic yield while 120:100:100 kg ha<sup>-1</sup> significantly enhance the oil yield. Basil is resistant to Cu and Zn while vulnerable to Co and Ni. Several qualitative and quantitative parameters such as leaf greenness index, fresh and dry herbage yield, dry matter and total sugars content are ecotype based. Their habitats have huge influence on their fresh and dry herbage yields (Majkowska-Gadomska et al., 2015, 2017).

All plant parts of basil contain some nutritional and mineral content. Leaves of Ocimum sanctum contain 2.38% of protein, 3.20% of citrol, 68.05% of carbohydrate, 11.45% of fiber, 6.20% of moisture and 10.42% of ash content while 41204.1 and 800 ppm of Calcium and Zinc. Seeds of Ocimum sanctum don't contain any other nutritional content. Whereas whole plant contains Ca mineral (403 ppm) only. Stem of this species contain 9.25%, 2.75%, 2.20%, 18.30%, 88.30% and 20.15% of its dry weight of protein, lipid, carbohydrate, fiber, moisture, and ash (Narendhirakanna et al., 2005; Koche et al., 2011; Kashiz & Ullah, 2013). Except these nutrition compositions, seeds, leaves, stems, and whole plant also contain meagre amount of Fe (range 2.32-2,830 ppm) and Cu (range 0.01-4,785 ppm) (Shafqatullah et al., 2013; Barua et al., 2015; Vidhani et al., 2016; Wisdom et al., 2016; Koche et al., 2011). From whole plant extraction 4.8 ppm of Vit B1, 2.4 ppm of Vit B12, 2.7 ppm of Niacin, 450 ppm of Vit C had been found (Shafqatullah et al., 2013; Dachkove & Dhale, 2012; Gowrishankar et al., 2010). Dachkove & Dhale (2012) recorded total calorific value of 262.84 Kcal/100 g from Ocimum sanctum extraction.

## 3.10.6 IRRIGATION

Frequency of watering depends on moisture level of soil and season. During summer 3 times irrigation month<sup>-1</sup> is needed while during monsoon it could be totally withdrawn and during other season it could be given based on the need. About 12–15 irrigations are mandatory annually. Mulching can conserve soil moisture. However, irrigation must be withdrawn before harvesting. Before watering, testing of irrigational water could be done to prevent any sort of contamination (Smitha et al., 2014).

## 3.11 INTER-CULTURAL OPERATION

Weeds are one of the threats which compete for key resources (nutrients and light) with the target crop. Manual weeding is recommended for basil field generally. After 30 days of planting, 1<sup>st</sup> weeding should be done followed by 2<sup>nd</sup> weeding after 1 month of the first weeding. After two successive weeding operations, it could be discontinued since plants bushy plants could suppress the weed growth effectively. At an interval of 60 days, 1 hoeing and earthing up operation should be carried out. Mulching could also effectively inhibit growth of weeds. Application of chemical herbicides for weeds eradication is less encouraged unless there is a dire need. Excessive weeding may cause dying up of soil may be harmful for growing of Tulsi (Smitha et al., 2014).

Green and purple basil cultivation underneath kiwi plantations (as intercrop) may be implemented since total yield with fresh and dry herb yield had been proved to be increased (Yaldez et al., 2015).

## 3.12 PINCHING

Pinching operation (at growing apex) facilitates the emergence of a greater number of shoots. Regular pinching helps to promote its vegetative growth and prevent seed production.

## 3.13 DISEASE AND PEST MANAGEMENT

## 3.13.1 PESTS

Sacred Tulsi (*Ocimum sanctum*) is usually infested by the following insects, pests, and diseases:

- 1. Leaf Rollers: They adhere on the ventral surface of leaf and effectively made backward rolling of them and finally form web (Garibaldi et al., 2004).
- 2. Tulsi Lace Wing (*Cochlochila bullita*): Due to gregarious feeding of juvenile leaves by both adult and nymphs leaves get curled and lastly dried. In fact, after completion of feeding the target pest leaves their excreta and make the produce unusable (Ceuppens et al., 2015).
  - Management: Azadirachtin at the concentration of 10,000 ppm can be sprayed at the dose rate of 5 ml l<sup>-1</sup> to control this insect (Smitha et al., 2014).

- **3.** Leaf Rollers: Here, the larvae cause folding of leaves along the mindrib followed by webbing. They basically adhered at the ventral surface of leaf. Finally, infected leaves shed off (Smitha et al., 2014).
- 4. Bug (Monantha globulifera): It causes leaf curling.
  - Management: Azadirachtin at the concentration of 10,000 ppm can be sprayed at the dose rate of 5 ml l<sup>-1</sup> to reduce this insect menace (Smitha et al., 2014).

## 3.13.2 DISEASES

Basils are highly susceptible to powdery mildew (*Oidium* sp.), seedling blight (*Rhizoctonia solani*), and root-rot (*Rhizoctonia bataticola*) (Roberts et al., 2009) are very common. Powdery mildew could be restricted using sulfur in wettable form (4 g lit<sup>-1</sup> of water) spray and the advanced phytosanitary measures can restrict the latter two diseases. In fact, soil drenching using 1% Bavistin could be also effective (Smitha et al., 2014).

- 1. Leaf Spot (*Corynespora cassicola*): Minute water-soaked spots appear on the laminas first. Finally, those spots turn brown.
- 2. Scab (*Elsinoearxii*): Puckered leaves and distorted tender twigs are most common symptoms of this disease.
- **3. Blight** (*Alternaria* **sp.)**: Initially few chloritic patches are formed on laminas but as the contraction progress those chlorotic patches start to enlarge and turn into purple color followed by total shedding (Roberts et al., 2009). The proneness of older leaves to this disease are more.
  - Management: Foliar spray of 1% Bordeaux mixture at fortnight interval can control this.
- 4. Wilt (*Fusarium oxysporum*): Owing to this disease the entire plant starts to show wilting symptoms, may occur at any phase of plant growth. Specially, during monsoon basil plants become more vulnerable to this disease.
  - Management: Dipping of seedlings in tafason or agallol solution during planting time can restrict this disease (Smitha et al., 2014; Ceuppens et al., 2015).

## 3.14 HARVESTING AND YIELD

Basil leaves could be harvested any time by pinching method from apical portion of stem to facilitate emergence of new branching but definitely after attaining the height of 15-20 cm (6-8 inches):

- 1. Harvesting of Ocimum sanctum: To avoid any type of contamination during harvesting proper care should be adopted as a protective measure. Harvesting could be made during flowering season to get utmost quantum and quality of essential oil yield. First harvesting should be done only after 90–95 DAP (Days after Planting) after that at an interval of every 65–75 days. Bright sunny days are most suitable for carrying out harvesting operation to get optimum quantity and supreme quality oil. During monsoon season harvesting is little bit problematic. It should harvest 15–20 cm above the soil surface (Smitha et al., 2014).
- 2. Harvesting of Ocimum basilicum: Harvesting time and condition of the produce – these two are the key factors determine the quality of essential oil. Generally, dry and sunny days are more appropriate than the damp, rainy days for harvesting of basil. Manual harvesting using sickles is made 90-95 days after planting (DAP). Flowering season and hues of lower leaves (from green to yellow) are usually considered as basil's harvesting indices. Generally, 2 grades of essential oils are made, i.e., 'Herb' and 'Floral' oil while the former type is produced using 'whole plant' and latter one using apical portions of inflorescences. Definitely, floral types are more superior in quality with finer notes. To get supreme quality of 'Floral' oil, morning harvesting of fully bloomed flowers at an interval of 65-75 days are needed. Around 3-4 floral harvests during peak blooming season are sufficient for this purpose. For 'Herb' oil production, whole herb leaving the stump on the ground (for its regeneration purpose) is harvested followed by wilting to reduce moisture and bulkiness of the produce under field conditions (Smitha et al., 2014).
- Expected Yield of Ocimum sanctum: Types, season, and its origin these three factors affect the oil-yielding capacity of basil. Generally, 2–3 times harvesting per annum can yield 5 tons of fresh herbage. This quantity of produce can yield 10–23 kg ha<sup>-1</sup> of 'Herb' oil and 0.1–0.23% of basil essential oil (Smitha et al., 2014; Majkowska-Gadomska et al., 2017).

4. Expected yield of Ocimum basilicum: Usually, this species yields 13–141 tons of whole herb and 3–4 tons of flowers ha<sup>-1</sup>. To get the yield of 30–35 kg ha<sup>-1</sup> of 'floral' oil and 18–22 kg ha<sup>-1</sup> of 'herb' oil 4–5 harvesting year<sup>-1</sup> is important. Around 0.4% and 0.10–0.25% of essential oils could be obtained from its inflorescences and whole plant, respectively (Smitha et al., 2014; Majkowska-Gadomska et al., 2015). 'Cinnamon' and Greek 'Minette' are two popular Poland varieties hugely acclaimed for their commercial fresh herbage yield (Taie et al., 2010).

'Ohre,' 'Lettuce leaf,' 'Purple opal,' 'Dark green,' 'Mammolo Genovese,' 'Manes,' and 'Red Rubin' – these are the common high yielding varieties of *Ocimum basilicum* used for essential oil extraction. Extraction of essential oils ranges from 7.4–8.2 ml/kg of a dry weight in 'Ohre,' 3.9–4.9 ml/kg dry weight in 'Lettuce leaf,' 4.1–5.0 ml/kg dry weight in 'Purple opal,' 4.5–5.9 ml/kg dry weight in 'Dark green,' 5.3–8.1 ml/kg dry weight in 'Manmolo Genovese,' 17.3–17.7 ml/kg dry weight in 'Manes' and 3.7–4 ml/kg dry weight in Red Rubin cultivars (Gupta et al., 2014; Pimenta et al., 2014).

## 3.15 PROCESSING TECHNIQUES

- 1. Processing of *Ocimum sanctum*: Wilting of the harvested produce takes place within 4–5 hours significantly reduce the bulkiness and become easy to carry for further processing but prolonged delay could affect the quality and quantity of oils although up to 6–8 hours after harvesting any deterioration in oil's quality and quantity has not been reported. Steam distillation is more superior to hydro distillation and hydro cum steam distillation. Clean, rust-free and odor-free distillation unit should be used. After decanning and distillation procedures, the oil is treated with anhydrous sodium sulfate/ sodium chloride@ 20 g/liter to eliminate moisture. Then transferred in a sealed amber colored stainless steel made storing container. Containers should be placed in cool and dry place (Smitha et al., 2014).
- 2. Processing of *Ocimum basilicum: Post-harvest* processing determines the quality of products especially produced from aromatic plant materials. Hydro-distillation or steam distillation process is

followed for oil extraction of sweet basil using juvenile inflorescences or whole plants. The latter procedure requires less time as compared to the former one for recovery of optimum quality essential oil. Hydro-distillation involves direct heating method, which consequently produces inferior-quality oil (Smitha et al., 2014). Hydro-distillation is suitable for smaller plantations while steam distillation used commercially. The decanning, filtering, and other storing-related procedures of distilled oil are the same as *O. sanctum*.

## 3.16 CHARACTERIZATION OF PHYTOCONSTITUENTS

*Tulsi* is the most well-known name in Ayurveda and as folk medicine too due to have wide range of nutrients and other biologically active compounds which make its chemical composition quite complex. The variations in chemical compositions may be for diversity between strains or for varying cultivation pattern, harvesting time, mode of processing and storage infrastructures which are yet to be understood.

O. tenuiflorum has essential oils basically reserved in green leaves responsible for the distinct aroma. Volatile oil of leaf chiefly comprises phenols, terpenes, and aldehydes. Besides its essential or fixed oils, plant also contains alkaloids, glycosides, tannins, and saponins. Significant amount of carotene and ascorbic acid contents are also available. Chemical properties of basil leaves depend on numerous worldwide studies, and hence geographic and edaphic factors influence different chemical ingredients. Variations in aroma of essential oil extracts from different varieties of O. basilicum is nothing but the differences in their chemical composition. In various parts of the world, basil cultivars are present in large diversity, indicating a diverse range of chemical composition. The essential oil of basil usually contains  $\alpha$ -terpineol, eucalyptol, eugenol, methyl eugenol, linalool, β-element, germacrene D, α-bergamotene, α-guanine, cubenol,  $\tau$ -cadinol, camphor, bornylacetate,  $\alpha$ -caryophyllene,  $\beta$ -caryophyllene, elixen, β-cadinene, α-copaene, α-bisabolol, β-farnesene, epibiciclosesquiphelandrene,  $\tau$ -muralol,  $\delta$ -gurjunene and  $\delta$ -cadinene (Hanif et al., 2011). Diversified categories of fatty acids are found in 3 Ocimum species are presented in Table 3.1. Cardiac glycosides, tannins, and saponins are found in aqueous extract of O. basilicum plants proven by phytochemical analysis.

Species	Explant	Medium	Plant Growth Regulator(s)	<b>Growth Response</b>	References
O. basilicum	Apical, lateral or adventitious buds.	MS, Linsmaier	$010 \ \mu\text{M} \text{ BA} + 550 \ \mu\text{M} \text{ NAA}$	Bud induction	Kanebo Ltd. (1992)
O. sanctum		B5, Nitsch-Nitsch white	0–0.1 Mm Kin + 0–10 µM 2,4-D	Bud multiplication	
O. citriodorum			0–0.1 μMZea _ 0–0.1 μM IAA	Plant regeneration	
O. killimandscharicum					
O. basilicum	Adventitious buds	MS	$0.5 \text{ mgL}^{-1} \text{ BA} + 0.3 \text{ mgL}^{-1} \text{ GA}_3$	Shoot induction	Pattnaik et al. (1995)
O. sanctum			$1.0 \text{ mgL}^{-1} \text{ BA} + 0.5 \text{ mgL}^{-1} \text{ GA}_3$	Shoot induction	
O. americanum			$0.25 \text{ mgL}^{-1} \text{ BA} + 0.5 \text{ mgL}^{-1} \text{ GA}_3$	Shoot induction	Pattnaik & Chand (1996)
O. gratissimum			$0.5 \text{ mgL}^{-1} \text{ BA} + 0.3 \text{ mgL}^{-1} \text{ GA}_3$	Shoot induction	
			1.0 mgl <sup>-1</sup> IBA/NAA	Root induction	
O. basilicum	Adventitious buds	MS	$1 \text{ mgL}^{-1} \text{ BA} + 0.4 \text{ mgL}^{-1} \text{ GA}_{3}$	Shoot induction	Sahoo et al. (1997)
		½ MS	0.25 mgl <sup>-1</sup> BA	Shoot proliferation	
			1 mgl <sup>-1</sup> IBA	in vitro flowering	
			C	Root induction	
O. sanctum	Young inflorescence	MS	$1 mgL^{-1} BA + 0.05 mgL^{-1} IAA$	Shoot induction+ proliferation	Singh & Sehgal (1990)
				Root induction	
O. basilicum	Leaves, intermodal stem segments	MS, ½ MS	1.5 mgl <sup>-1</sup> BA	Shoot induction +	Makri & Kintzios
			$0.5 \text{ mgL}^{-1} \text{ BA} + 1 \text{ mgL}^{-1} \text{ IBA}$	proliferation (199	(1999)
				Root induction	

Synergistic interactions between several active phytochemicals cause the variations and enrichment in nourishment and phyto-chemical properties of the entire herb. As a result, the inherent botanical and biochemical complexity, posing constraints to make the replica of all isolated compounds of basil extracts to get the utmost wholesome effects. Volatile oils extracted from leaf (Kelm et al., 2000) possesses urosolic acid (Shishodia et al., 2003), euginal (known as eugenic acid), eugenol (1-hydroxy-2-methoxy-4-allylbenzene), carvacrol (5-isopropyl-2-methylphenol), 2,3,4,5,6,6a,7,8,8a,10,11,12,13,14b-tetradecahydro-1H-picene-4a-carboxylic acid, limatrol, linalool (3,7-dimethylocta-1,6-dien-3-ol), caryophyllene (4,11,11-trimethyl-8-methylene-bicycloundec-4-ene), methvl carvicol (known as Estragol: 1-allyl-4-methoxybenzene) whereas fatty acids and sitosterol found to be present in seed extracted volatile oil. Additionally, meagre quantity of sugar (xylose and polysaccharides) and anthocyanin are found to exist in seed mucilage and green leaves, respectively but trace of any stimulants (especially caffeine) are unavailable. As a 'general vitalizer' Tulsi is quite popular since it boosts up physical endurance and energy level. Saponins, flavonoids, triterpenoids, and tannins (Jaggi et al., 2003) are also found available in stem and leaf extracts of 'holy basil.' Range of phenolic active constituents like Rosmarinic acid ((2R)-2-[[(2E)-3-(3,4-Dihydroxyphenyl)-1-oxo-2-propenyl]]-oxy]-3-(3,4-dihydroxyphenyl), apigenin (5,7'-dihydroxy-2-[4-hydroxyphenyk)-4H-1-benzopyran-4-one), Propanoic acid, Isothymonin, and isothymusin (6,7-dimethoxy-5,8.4'trihydroxyflavone) and Cirsimaritin (5.4'-dihydroxy-6,7-dimethoxyflavone) possess antioxidant and anti-inflammatory activities. Orientin (8-C-betagloucopyranosyl-3,'4,'5,7-tetrahydroxyflav-2-en-3-one) and Vicenin (6-C-beta-D-xylopyranosyl-8-C-beta-D-glucopyranosyl apigenin) - these two water-soluble flavonoids aid to protect the radiation-induced chromosomal damage in human blood lymphocytes (Umadevi et al., 2000).

In food and fragrance industry essential oil (EOs) derived from basil using steam distillation method are very useful as flavoring agent. In fact, for oral usages also it could be also employed. Easy to crossbreed nature of this herb has created significant uncertainty in botanical nomenclature while innumerable number of varieties, cultivars, and chemotypes at interspecific level without possessing any prominent visual differences make its taxonomy complicated manifold times. Chemotaxonomy is a useful tool for the characterization of economically viable *Ocimum* species as variations in composition of volatile oil constituents are well-proven. Using principal component analysis (PCA) on the aromatic volatile oil, *Ocimum* could be classified into five groups like: (i) citral-spathulenol group; (ii) linaloolrich group; (iii) methylchavicol-rich group; (iv) linalool-methylchavicol group; and (v) methyl(E)-cinnamate-rich group. Clustering according to the morphological features doesn't corroborate the grouping made based on volatile oil constituents (Vieira & Simon, 2005).

#### 3.17 VALUE ADDITIONS

Wide range of herbs *viz.* garlic, juniper, oregano, mustard, pepper, rosemary, marjoram, paprika, parsley, sage, thyme, etc., after blending with basil leaves could be employed in variety of cuisones (example: stuffings, soups, stews, rice, fish, vegetables, chicken, meats, etc.). In cheese, oil, liquors, drinks, teas, and vinegars too it could be principal component. For example, delicious dessert item namely 'Purple Basil Vinegar' could be prepared using leaves of purple basil (due to striking hue). Additionally, cinnamon and lemon basil (based on personal taste) to make it more palatable may be added. Large or minute-sized uncooked leaves (may be chopped or minced or torn form) may be supplemented in variety of vegetarian dished and other cuisines (salads, rice, and pasta). After only completion of cooking, basil leaves should be added to capture its maximum flavor. Both fresh and desiccated form of basil could be used but definitely the latter form contains low flavors. Chilling process of basil preservation is more effective in terms of retention of flavor as compared to desiccated form but definitely the dried form possesses more shelf life than the chilled preserved one. Its diverse and plenteous uses could be proven by its presence in range of cuisines (like in meat, fish, sauce, stew, herbal tea, mocktail, liquors, and salad recipes). Basil, garlic, cheese, oil, and nuts are the ingredients of a universally popular dish known as 'Pesto' which is commercially prepared by domestic and international entrepreneurs. Addition of tomatoes could enhance its palate. Vinegar and oil could be medium for preserving garden-fresh basil leaves while frozen form is also available (Lupton et al., 2012).

Medicinal and aromatic plants are rich in phyto-constituents which have antimicrobial and antioxidative activities responsible for quenching the reactive oxygen species (ROS) and stop the spreading of pathogenic infections by certain physiological processes for wide range of flora and fauna (Burt, 2004). The chemical composition validates the synergistic form of various chemical compounds, among which most common are ethers, ketones, aldehydes, phenols, esters, terpenes, and alcohols. Their distinct aroma, taste, and biological activity secured their position in the international market (Arumugan et al., 2016; Swamy & Sinniah, 2016; Stanojevic et al., 2017).

Very finite information about the origin of essential oil of basil is available. It is thought that Republic of Srpska was the actual place of origin (Grayer et al., 2002). Its chemotype is evaluated depending on some constituents (e.g., linalool, methyl cinnamate, methyl eugenol and eugenol) (Graver et al., 2002). Different categories based on essential oil's chemical structure, composition, and aroma principally for diversity in geographic origin and existence of dominant components are accessible in world market. Principally two separate pathways, i.e., phenylpropanoids [methyl chavicol (estragole), eugenol, methyl eugenol and methyl cinnamate] via shikimic acid and Terpenoids [linalool and geraniol] via mevalonic acid pathway are there to synthesize basil essential oil. Common basil essential oil chemotypes are Egyptian, Bulgarian, European/French, Javanese, and Reunion while European type has the supreme quality for its premium fragrant note for the presence of linalool and methyl chavicol fetch high price in the market. Other components like 1,8-cineole, eugenol, geraniol, germacrene D, ocimene,  $\gamma$ -terpinenemay, sabinene,  $\beta$ -caryophyllene, thujone, and  $\alpha$ -terpineol are found in significant concentration. Terpenoids like camphor, a-pinene, germacrene, linalool, ocimene, sesquiterpenes, and  $\alpha$ -terpineol are of huge biological as well as ecological significance influence the efficacy of antimicrobial activity. Sesquiterpenes are very strong antifungal secondary metabolites, among which germacrene is noticeable one. Linalool, α-terpineol, monoterpenes, 1,8-cineol, etc., have significant antibacterial efficacy (Stanojevic et al., 2017).

Quality of basil essential oil is determined by its composition while its usefulness as a fresh material at pharmaceutical, food and chemical industries depend on its phytochemical storage (Jelacic et al., 2011). The inefficacy of synthetic drugs to manipulate the action of certain pathogens and also prohibitions on using antimicrobial synthetic drugs for their acute toxicity, potential environmental hazard and carcinogenic effect motivating researchers to search for novel molecules with antimicrobial character. In this backdrop, essential oils for controlling and suppressing the spoiling action of resistant pathogenic microorganisms could be a viable alternative (Mulyaningsih et al., 2010). Significant anti-inflammatory, antioxidant, anti-stress (Volpato et al., 2002; Nguefack et al., 2004; Divya et al., 2009; Nebedum et al., 2009; Sánchez et al., 2010; Hemalatha et al., 2011; Venuprasad & Khanum, 2012) and antimicrobial activity (Tagnolini et al., 2006; Jelacic et al., 1996; Sokovic & Griensven, 2006; Sokovic et al., 2010) of basil essential oil is well established. Consequently, fruitful incorporation in medicine, especially in aromatherapy, and to treat cardiovascular diseases, diabetes, Alzheimer's disease, and cancer (Ali et al., 2015; Stanojevic et al., 2017) is found.

## 3.18 **BIO-PROSPECTION**

## 3.18.1 PHARMACOLOGICAL PROPERTIES

## 3.18.1.1 ANALGESIC AND ANTI-INFLAMMATORY ACTIVITY

The analgesic activity of methanolic extract of *O. bascilicum*'s leaves has been investigated by Choudhury et al. (2010) on animal model (Swiss Albino mice). The concentration of 200 mg kg<sup>-1</sup> has almost the identical efficacy of aspirin. About 50, 100, and 200 mg kg<sup>-1</sup> of basil (*O. bascilicum*) essential oil could lower down the abdominal contraction of mice during acetic acid-induced writhing test (Choudhury et al., 2010). In fact, basil essential oil @ 50 mg/body weight could too enhance the latency of pain as proved in hot plate test. Prostaglandins, prostacyclins, and opioid receptor interactions are engaged with the biosynthesis of pain mediators. The peripheral and antinociceptive impacts of its essential oils had been found to associate with the inhibition process of pain intermediaries (Venancio et al., 2010).

To heal severe inflammation triggered by turpentine oil, *O. basilicum*' tincture (1:10) proved effective as compare with diclofenac in Wistar male rats. Its tincture significantly activates the circulating phagocytes and monocyte percentage and along with that reduce total leukocyte count but rendered mild inhibitory effect on NO synthesis (Benedec et al., 2007).

## 3.18.1.2 ANTIDIABETIC

Lowered level of glucose, glycosylated hemoglobin and urea along with higher level of glycogen, hemoglobin, and protein had been found after using ethanolic extracts of *O. sanctum* in case of streptozotocin-induced diabetic rats (Narendhirakannan et al., 2006). Its potency to elevate the insulin and peptide level had also been proved. The antidiabetic action of its leaf extracts by stimulating insulin secretion had been reported by Hannan et al. (2006). Grovel et al. (2005) had also endorsed the above findings after testing in animal model. However, *O. sanctum* L. extract has no impact on hyperinsulinemia. Extracts of *O. sanctum* decrease the

cortisol and glucose concentration in blood serum expressed antiperoxidative effect. Ghosap et al. (2004) narrated the same glucose-lowering mechanism of extracts of *O. sanctum*. It can also regulate corticosteroidinduced diabetic mellitus. Phosphofructokinase (PFK), glucokinase (GK) and hexokinase (HK) – these are three major enzymes control carbohydrate metabolism. *O. sanctum* has significant impact on aforementioned enzymes to balance glycogen content of insulin-dependent muscles (skeletal muscle and liver) and tissues (kidneys and brain) (Vats et al., 2004; Chattopadyay, 1993). Dusted leaves of *O. sanctum* also cause effective reduction in fasting blood sugar, urogenic acid, and total amino acid levels (Rai et al., 1997).

## 3.18.1.3 ANTI-HYPERLIPIDEMIC AND ANTI-ULCERATIVE ACTIVITY

Total cholesterol, LDL-cholesterol, and triglycerides level could be significantly reduced after the treatment using aqueous extract of O. bascilicum. Suanarunsawat et al. (2016) reconfirmed the efficacy of basil extracts for lowering lipid level. They found that its aqueous extract reduced the triton induced lipid level in rat model but without enhancing HDL-cholesterol level. They concluded that Fenofibrate treatments for anti-hyperlipidemic effect could be compensated by O. bascilicum extract treatment. Its extract possesses significant antioxidant property (Suanarunsawat et al., 2016). According to Suanarunsawat et al. (2009) ethanolic and aqueous extracts derived from whole plant of O. bascilicum could cease the spreading of gastric and duodenal ulceration induced by cysteamine hydrochloride as proved in animal models. Patil et al. (2011) revealed that the lipoxygenase inhibiting, histamine antagonistic and anti-secretory impacts against alcohol, aspirin, indomethacin, serotonin, reserpine, and stress-induced ulceration were possible due to the antiulcer activity of O. bascilicum's fixed oil. Furthermore, the methanolic and aqueous extract derived from aerial parts of O. bascilicum could minimize the aspirin led gastric ulcer issue while reduced quantity of acid and pepsin could also increase the gastric mucosal strength (Suanarunsawat et al., 2016).

## 3.18.1.4 CARDIO-PROTECTIVE AND STIMULANT ACTIVITY

Better cardiac functions and histopathological improvements in myocardial infraction (MI) (usually induced by isoproterenol) could be achieved using ethanolic extracts prepared from aerial parts of basil. Range of doses markedly

reduce ST segment elevation (an interval between ventricular depolarization and ventricular repolarization) induced by isoproterenol. Suppression of left ventricular contractility, better myocardial necrosis and fibrosis and increase of left ventricular end-diastolic pressure could be achieved by its extracts. Basil extracts have cardioprotective effects by protecting the myocardium against isoproterenol-induced infarction due to its antioxidant activities further reported by Fathiazad et al. (2012) where the reduced elevation of malondialdehyde levels in myocardium and serum duo had found.

The cardiotonic and  $\beta$ -adrenergic effects are based on reduction and rise in membrane Mg<sup>+2</sup> ATPase and Ca<sup>+2</sup> and Na<sup>+</sup>/K<sup>+</sup> ATPase, respectively while aqueous and alcoholic extracts duo could produce both effects had been proved in frog model while reduced chronotropic and enhanced ionotropic actions could be obtained by using alcoholic extracts prepared from aerial parts of basil (Muralidharan & Dhananjayan, 2004).

#### 3.18.1.5 SEDATIVE, HYPNOTIC, AND ANTICONVULSANT ACTIVITY

Sedative, hypnotic, anticonvulsant, and local anesthetic actions of essential oil derived from aerial part of O. basilicum are dose-dependent since higher doses could cause motor impairment while convulsions and percent of colonic seizures could also be enhanced proved in mice model by Juliana et al. (2009). The dose rates of 1.27 ml kg<sup>-1</sup>, 0.43 ml kg<sup>-1</sup>, and 0.61 ml kg<sup>-1</sup> (considered as ED<sub>50</sub> values of basil oil) could also work against strychnine, picrotoxin, and pentylene tetrazole induced convulsions. According to Juliana et al. (2009), pentobarbitone induced sleeping span in mice could be enhanced by using basil essential oil@ >0.2 ml kg<sup>-1</sup>. O. basilicum oil doesn't have any local anesthetic effect proved by Ismail (2006) in frog model. Essential oils never affect the strychnine induced convulsion. Anticonvulsant properties of basil essential oil make interaction with GABAergic receptors and act as CNS depressant (Juliana et al., 2009). Satoh & Sugawara (2003) found through IBVA-EEG measurement and multi-channel skin thermometer tests that to minimize the arousal response inhalation administration of basil's essential oils are quite effective.

# 3.18.1.6 STROKE INHIBITORY AND MEMORY RETENTION MECHANISMS

Antioxidant efficacy of flavonoids, tannins, and terpenoids for augmented retention and retrieved memory capacity is especially well-known; these potencies are magnified in hydroalcoholic extract of green basil. On the other hand, the influential role of ethyl acetate extract prepared from leaves of *O. bascilicum* on ischemia and reperfusion-induced motor dysfunctions and cerebral damage was noteworthy (Sarahroodi et al., 2012). Same authors (Sarahroodi et al., 2012) also corroborated the memory-preserving potential of basil extracts (effective dose rate is 400 mg kg<sup>-1</sup>) in mice models. Bora et al. (2011) concluded based on the output of their studies that pre-treatment using basil extracts could decrease lipid peroxidation rate and cerebral infract size, attenuate motor coordination and impaired short-term memory, and restore glutathione content (GSH). Conclusively, significantly prevent the chances of stroke.

#### 3.18.1.7 ANTIMICROBIAL ACTIVITY

Ranges of test using basil essential oil showed the antibacterial activity against multi-resistant ESKAPE pathogens (especially Escherichia coli strain [ATCC 25922]) and also on wide ranges of E. coli strains (60 clinical strains approximately) and its efficacy on extended spectrum of  $\beta$ -lactamase positive bacteria was noticeable but it is ineffective in nosocomial infections (Benedec et al., 2007). Methanolic, ethanolic, and aqueous extracts made from stem bark of O. basilicum exhibited the antimicrobial activities. The potential of ethanolic and methanolic extracts of basil as bacteriocides (Minimal Bactericidal Concentration [MBC] ranges between 2 and 20 mg  $ml^{-1}$  and 2–12.5 mg  $ml^{-1}$  for ethanol and methanol extracts, respectively) and inhibitor of bacterial growth (0.5-6.25 mg ml<sup>-1</sup> and 5-10 mg ml<sup>-1</sup> for ethanol and methanol extracts, respectively) are notable. The potential action of methanol, ethanol, and water extracts against Candida albicans again validate their antimicrobial potential (inhibition zones usually range from 8-20, 5-12, and 0-8 mm, respectively) (Khosro et al., 2012). According to Khosro et al. (2012) to get wide spectrum in vitro bacteriocidal impact hexane extract could be considered as best. Essential oils (EOs) derived from leaves and stems of O. bascilicum have the potential to restrict the growth of food-borne pathogenic bacteria. The bacteriocidal effect against Bacillius megaterium, B. cereus, B. subtilis, Escherichia coli, Staphylococcus dysenteriae, S. aureus, Listeria monocytogenes, Vibrio parahaemolyticus, Shigella boydii, V. mimicus and Salmonella typhii of O. bascilicum had been confirmed by Hossain et al. (2010). Hossain et al. (2008) reported the efficacy of 'Linalool' (a terpene alcohol, produce naturally in basil plants)

against wide range of bacterial strains (like *S. aureus, Fusarium solani, Botryo diplodiatheobromae, E. coli, B. subtilis, Pasteurella mulicida, Aspergillus niger, Rhizopus solani* and *Mucor mucedo*). Furthermore, Opalchenova & Obreshkova (2003) had also corroborated the inhibition potential of *O. bascilicum*'s essential oil against *Staphylococcus, Pseudomonas*, and *Enterococcus*. Minimum Inhibitory Concentrations (MICs) of basil leafderived EOs range from 36–18 µg/mL for *B. cereus* while 18 µg/mL for *S. aureus* (Amir et al., 2011). Gel formulations of EOs and the synergistic effect of acetic acid and keratolytic medication is highly effective to solve acne problems. Acetic acid combination yielded better consequences as improvements up to 75% had been achieved. It's due to their combined antiseptic and keratolytic action (Matiz et al., 2012).

# 3.18.1.8 ANTIMYCOBACTERIAL AND ANTIVIRAL ACTIVITY

Crude methanolic extract of basil at 6.25  $\mu$ g/ml found effective to inhibit up to 49% *Mycobacterium tuberculosis H37Rv-induced* tuberculosis (Siddiqui et al., 2012). It corroborates its use in ethnomedicine to heal tuberculosis. Several purified chemical components (linalool, apigenin, ursolic acid) and aqueous and ethanolic extracts of *O. bascilicum* showed wide range of virucidal actions. Out of these compounds, urosolic acid found optimum against DNA viruses (like Enterovirus 71 and Herpes virus-1) and RNA viruses (like coxsackie virus B1 and adenoviruses-8) while linalool and apigenin demonstrated utmost efficacy against AVD-II and ADV-3, HSV-2, Hepatitis B antigen and Hepatitis B surface antigen prohibition, respectively (Chiang et al., 2005). Another study had been made by Erler et al. (2005) where they found the anti-HIV-1 activity of aqueous extract (ED<sub>50</sub>@16 µg/ml) of *O. bascilicum*.

# 3.18.1.9 LARVICIDAL AND ANTIPARASITIC ACTIVITY

Methanolic extract of *O. basilicum* could potentially be utilized as biopesticide. The potency with 85% mortality of malarial vector ascertains the efficacy of *O. basilicum* extracts (Arthi & Murugan, 2010). Essential oils extracted from the dried foliage of species could successfully be used as mosquito repellent (especially against the adult females of *Culex pipiens*) (Sienkiewicz et al., 2013). Varied lethal dose rates ( $LC_{90}$ @ 23.44, 21.17, and 18.56 ppm and  $LC_{50}$ @ 14.01, 11.97, and 9.75 ppm) of basil's EOs could be effective enough to kill *Culex tritaeniorhynchus, Aedes albopictus* and *Anopheles subpictus* at larvae stage (3<sup>rd</sup> stage) (Govindarajan et al., 2013).

The antiparasitic activity of *O. basilicum*'s essential oils could be demonstrated by interaction between *Giardia lamblia* parasite and peritoneal mouse macrophage. Basic components of basil essential oil, Linalool (300  $\mu$ g/ml) exhibited antigiardial activity being inhibited cysteine proteases (De Almeida et al., 2007). Hayam & Abeer (2003) found that the growth of *Trichomonas vaginalis* trophozoites was inhibited due to damage in their membrane system and also for extensive vacuolization of the cytoplasm caused by basil essential oil. They inferred 30, 20, and 10  $\mu$ g/ml as minimal lethal concentrations of *O. basilicum* oil after 24, 48, and 96 hrs. of incubation (Hayam & Abeer, 2003).

#### 3.18.1.10 CHEMOPREVENTATIVE AND CHEMOMODULATORY ACTIVITY

Enhanced 0,6-methylguanine-DNA methyl transferase (MGMT) activity is an advantageous chemoprevention strategy, in fact modifications in MGMT activity by ethanolic and aqueous extract of *O. basilicum* results in its expression in human cancer cell lines and peripheral blood lymphocytes. It also increased glutathione S-transferase-pi (GSTP1) expression at lesser degree than MGMT (Niture et al., 2006). The noteworthy impact on hepatic system of hydroalcoholic extract of fresh leaves of *O. basilicum* had been investigated on 8–9 weeks old Swiss albino mice model. Basil leaf extract has anticarcinogenic potential being inhibited carcinogen-induced tumorat peri-initiational level. It ceases Phase I enzyme activity and augments essentially Phase II enzyme activity related with detoxification of xenobiotics. Significant reduction in lipid peroxidation activity and lactate dehydrogenase formation finally boosts up the antioxidant level (Dasgupta et al., 2004).

# 3.18.1.11 ANTICANCER ACTIVITY

Kathirvel & Ravi (2012) found the anticancerous potential of EOs extracted from *O. bascilicum* on human murine leukemia cell lines ( $P_{388}$ ) and mouth epidermal carcinoma (KB). Ranges of 0.019–4.962 mg ml<sup>-1</sup> are most effective concentrations for aforesaid purposes. The same authors later found that the IC<sub>50</sub> values of 90.5–96.3 µg ml<sup>-1</sup> of basil EOs could be the most effective to treat against HeLa (Human Cervical Cancer Cell Line), HEp-2 (Human Laryngeal Epithelial Carcinoma Cell Line) and NIH 3T3 mouse embryonic fibroblasts. Fundamental principle of MTT (Methyl Thiazol Tetrazolium) assay is to determine the cellular metabolic activity as a marker of cell proliferation, viability, and cytotoxicity. According to Manosroi et al. (2006) IC<sub>50</sub> value of 0.0362 mg ml<sup>-1</sup> showed the utmost anti-proliferative potential (usually measured by MTT assay) for P<sub>388</sub> cell lines.

# 3.18.1.12 CYTOPROTECTIVE AND IMMUNOMODULATORY ACTIVITY

Basil contains phenolic compounds including rosmarinic acid [RA] (Tada et al., 2006) a major polyphenolic compound found in Lamiaceae and Boraginaceae families. It is an ester of caffeic acid and 3.4-dihydroxyphenyl lactic acid. Its cytoprotective effects against aflatoxin, mycotoxin, and ochratoxin influence cytotoxicity and carcinogenicity in hepatoma-derived cell line (HepG2) of human. The potency of RA to prevent the programmed cell death, apoptosis by reducing DNA fragmentation, protein synthesis and inhibiting caspase-3 activation (Renzulli et al., 2004). The aqueous and ethanolic extracts prepared using basil leaves could enhance the immunomodulatory response by a marked increase in total antibody count. A significant increase observed in primary and secondary hemagglutination (HA) measurement in cyclophosphamide treated group as compared to control due to basil extract's capacity to inhibit white blood cell activity. In sheep red blood cells (SRBCs) (at the dose rate of 400 mg kg<sup>-1</sup> day<sup>-1</sup>) sensitized mice, basil treatments could enhance the antigen concentration by DTH (Delayed Type Hypersensitivity) reaction. The flavonoid content present in basil extracts aids to uplift the ratio of neutrophils adhesion to nylon fibers and also augment the phagocytic action to achieve immunostimulant effect (Neelam & Nilofer, 2010).

# 3.18.1.13 ANTIOXIDANT ACTIVITY

*In vitro* antioxidant activities of *O. bascilicum*'s ethanolic, carbon tetrachloride, and chloroform extracts could be effectively measured by DPPH, hydroxyl, and nitric oxide radical scavenging assays. Among the aforementioned extracts, ethanolic extracts are most significant for having highest antioxidant activity (Khosro et al., 2012). Around 3% concentrated extract of basil evinced noteworthy impacts on skin wrinkles, scaliness, roughness, its moisture volume, smoothness, and prominent enhancement in energy for its antioxidant activity. Hence, the obtained output could revalidate the antiaging impacts using topical application procedures of basil's extract-made

formulations (Rahul & Akhtar, 2011). Significant nitric oxide and superoxide radical scavenging potential proved the antioxidant potential of ethanolic extracts derived using basil (O. bascilicum) leaves (Meera et al., 2009). Niture et al. (2006) found the increased level of O<sub>6</sub>-methylguanine-DNA methyl transferase and glutathione S-transferase-P1 liable to antioxidant effects of aqueous and ethanolic extracts from O. basilicum in human cells. Furthermore, the antioxidant enzyme response could be elevated using the appropriate doses (200 and 400 mg/kg body weight) of hydroalcoholic extract of fresh basil leaves being increased the hepatic glutathione reductase, superoxide dismutase and catalase activities. According to Dasgupta et al. (2004), suppression, and production of lipid peroxidation activity and lactate dehydrogenase, respectively could be taken place due to enhanced antioxidant level. EOs derived from aerial parts of basil effectively inhibit linoleic acid oxidation and bleaching of  $\beta$ -carotene in linoleic acid system due to their antioxidant potential (measured by DPPH assay) (Hussain et al., 2008). According to Yesilogu & Sit (2012) antioxidant activities may be for significant lipid peroxidation inhibition, metal chelating activities and radical scavenging properties of water, acetone, and ethanolic extracts obtained principally from leaves and flowers of basil. Ethyl acetate n-butanol and aqueous extracts of basil leaves showed prominent free radical scavenging activity also exerted the potential antioxidant activity may be for phenolics and flavonoids contents present in the extract (Kaurinovic et al., 2011).

#### 3.18.1.14 EFFECT ON FERTILITY AND TESTICULAR TOXICITY

The cadmium induced testicular toxicity could be healed by major progression on immunohistochemical, histological, and morphometrical changes using aqueous *O. basilicum* extracts proved to contain antioxidant properties (Sakar & Nooh, 2013). Hydro-alcoholic extracts prepared from the leaves of basil species had been proved to act as anti-implantation, anti-ovulatory, and abortifacient agent in adult female cyclic Wistar rats' model. The screened doses to have this effect were 364 mg/kg and 624 mg kg<sup>-1</sup> of body weight (Bilal et al., 2013). A prolonged diestrus phase and changes in estrus cycle using basil extract could affect the normal ovulation which endorses its female contraceptive potential while decreased ovarian weight and notable increase in ovarian tissue cholesterol level in treated rats revalidate its anti-implantation and abortifacient effect (Bilal et al., 2013) while presence of large corpora lutea in ovarian parenchyma was also evident based on histological report.

# 3.18.1.15 ACUTE AND SUB-CHRONIC TOXICITY OF BASIL

The use of hydro-alcoholic extracts of *O. bascilicum* is how far safe to use had been studied by Rakesh et al. (2012). For their study, they used Wister rat model. They reported no adverse effect on blood serum parameters when the dose rate is at sub-chronic level but  $LD_{50}$  dose (>5 mg kg<sup>-1</sup> of body weight) of the same could be toxic. Reduced hematocrit, lower number of platelets and red blood cells (RBC) count, etc., was evident by hematological outputs.

# 3.18.1.16 WOUND HEALING ACTIVITY

Conventional wound healing especially, dexamethasone-depressed healing was studied by Udupa et al. (2006) using ethanolic extract derived from *O. sanctum*'s leaf resulted significant development of wound breaking strength, rapid wound epithelialization and wound contraction with enhanced granulation tissue (dry and wet) weight and its breaking strength. Shetty et al. (2006) also evaluated the efficacy of aqueous extract of *O. sanctum* L. in all wound healing models (i.e., wound breaking strength in the incision wound model and percent wound concentration in excision wound model).

# 3.19 PROCESSING TECHNIQUES IN PHARMACEUTICALS

Basil, the most widespread tropical and ethnomedicinally significant herbal plant. It has varied ranges of uses. Despite the use of whole plant, leaves, and inflorescences are the imperative useful parts of basil. Fresh basil leaves are mostly used in culinary purpose as they are the cheapest and richest source of vitamin K, manganese (Mn), iron (Fe), vitamin A, ascorbic acid, omega-3-fatty acids, Mg, and Ca. Their involvement is not merely restricted in food industry and as folk medicine but its essential oil extracts could be use in several formulations to treat cuts, wounds, and skin infections. Apart from its fresh use, various processed forms counting whole desiccated plant parts, frozen or grounded leaves, etc., are also popularly used. Owing to its usefulness, whole plants or chopped leaves are preserved in form of oil concentrates) with the motive of preserving for extended periods beyond the fresh shelf life (Meyers, 2003).

Dehydration is another viable way to have this multifaceted herb perpetually. Inverted hanging method is the most traditional method of drying of cleaned bundles of *Tulsi* whereas press drying method could be too adopted for effective drying of leaves to prevent oxidation and discoloration. Commercially forced air dehydration is generally used. To minimize the occurrence of dark spots on leaf surface caused for prolonged exposure to open air basil leaves should be dried immediately after harvest. Optimum drying temperature is below 40°C could cease the evaporational loss of volatile compounds (Putievsky & Galambosi, 1999). Protection from high temperature and humidity could enhance the longevity of dried basil (Meyers, 2003).

According to Srivastava (1980); and Putievsky & Galambosi (1999), extracted basil's essential oils are of two types of generally *viz.* i. Herbal oil and ii. Superior-quality floral oil. The former one produced from leaves (0.1–0.25%) while the latter one from flowers (0.4%). The quantity of yielded oil is more from the whole plants as compared to flowers only. About 12–13 kg ha<sup>-1</sup> of floral oil could be obtained during peak flowering season while 18–22 kg ha<sup>-1</sup> from fresh yield of whole plants in India circumstances (Srivastava, 1980). In Israel, to yield 120–140 kg ha<sup>-1</sup> of essential oil, 75 tons ha<sup>-1</sup> yield of whole plant at 50% flowering condition is needed (Putievsky & Galambosi, 1999). Conventional distillation process is used for basil too takes around an hour while fresh leaf materials are used (Wijesekera, 1986; Denny, 1995).

Healing of many diseases and disorders could be made by basil herb, a well-known repository of medicinal compounds. Wide range of therapeutic activities of different phytomolecules are yet to be explored. Vast research works on chemical extracts and EO components of Ocimum sp. have secured many patents. To evaluate the full metabolic efficiency of basil, Rastogi et al. (2015) worked on whole nuclear and chloroplastic genome sequencing. Among numerous medicinal herbs, full genome sequencing followed by analyzing was first done in basil. Based on the revealed data, two libraries (both long and short reads) were of Illumina HiSeq2000, other two were of 454 GS FLX and Mate-pair library of SOLiD 5500XL, respectively. This data was obtained from the combined sequence reads of 4 libraries of 3 NGS platforms (Rastogi et al., 2015). This result elicited the smallest size of the saturated draft genome (386 Mb) along with a plastid genome (1,42,245 bp) among entire Lamiaceae members. Employing paired-end and mate-pair sequence libraries, Upadhyay et al. (2015) investigated the draft genome of O. tenuiflorum L (Krishna Tulsi). Consequently, they found the draft genome of 374 Mb size. About 22,776 and 9,059 scaffolds and superscaffolds, respectively were formed from 107,785 contigs. Path analysis proved the abundance of phenylpropanoids in O. sanctum. With aid of SSR molecular markers 136 number of homologous proteins were identified from 5 plant genomes. According to Shasany (2016), the comparative study (using phylogenetic tree) on available genes and chemical constituents proved *Salvia miltiorrhiza* as closest neighbor of *O. sanctum*. These elicit further possibility of discovering novel bio-active molecules which will be helpful to understand the medicinal properties of available secondary metabolites synthesized *in-situ*. The therapeutic potential of basil is for the biosynthesis of phenylpropanoids and terpenoids. Information generated through molecular study may aid to identify many explicit metabolites' from other related species and their successive DNA annotation could furnish new insight about the therapeutical values as well as functions also.

Eugenol is the main component of Tree basil (*O. gratissimum*) and white basil oil. Its low concentration will deteriorate the qualitative value of the extracted oil. Lal et al. (2003) found low eugenol yield in rainy season basil crop. In this backdrop, a new cultivar of *O. sanctum* namely 'CIM-AYU' had been developed at CSIR-IMAP, Lucknow. It contains high percentage of eugenol (83% of oil) and EOs along with significant herbage yield. Even during monsoon also, the amount of EO production remains high (approximately >47% in comparison with other existing strains [<5%]) in this cultivar (Lal et al., 2003). 'CIM-Angna' (dark purple colored variety, rich in eugenol [40%], β-elemene [14%], germacrene-D [16%] and β-caryphyllene [9%]) and 'CIM-Kanchan' (contains methy eugenol [70%], β-elemene [7.6%] and β-caryphyllene [15%]) – these are two varieties of *O. sanctum* released by CSIR-CIMAP (Lal et al., 2008; Kothari et al., 2004). They are more advanced strains as compared to other existing control varieties.

# 3.20 POTENTIAL BIOMOLECULES AND THERAPEUTIC APPLICATIONS

# 3.20.1 PRE-CLINICAL STUDIES (ANIMAL MODELS)

Among several pre-clinical studies on therapeutic potential of *O. sanctum*, verified on *in vitro* animal models, made during last two decades, only few noteworthy outputs have been depicted here.

# 3.20.2 METABOLIC DISORDERS

In an investigation range of doses (300 mg/d to 6 g/d) using varied form of 'Tulsi' leaves (whole plant, leaf juice, leaf dust and ethanolic and aqueous extract) were applied on animal model by Singletary (2018) to observe the impact of infusions on various blood quality parameters. Significant improvement in

lipid profiles, low BP (Blood Pressure) in case of obese and diabetic paitient and noticeable blood glucose reduction in both fasting and postprandial had been found by the author. Collaterally, in obese participants,' low Body Mass Index (BMI) and hemoglobin A1C (HbA1c) were found. Improvement in lipid profiles of several participants were proved through randomized, double-blind, and placebo-controlled trial without having any adverse events.

Viseshakul et al. (1985) made another study using seeds of different *Ocimum cannum* (or *O. americanum* L.), known as 'Hairy Basil' to determine its efficacy on diabetic patient. In his experiment, insulin-dependent (n = 2) and non-insulin dependent (n = 14) Thai diabetic patients were involved. They were treated being consumed 30 grams of dried seeds after meals in divided doses for 1 month. After 1 month treatment blood sugar concentration was determined comparing the baseline values. To reduce PP glucose levels and increase glucose tolerance, consumption of basil seeds@ 24 g day<sup>-1</sup> is most effective, in fact, it provides ample dietary fiber also (Viseshakul et al., 1985). This dose was also found optimum for both non-insulin-dependent and insulin-dependent diabetic patients.

*O. cannum* seeds have some influential impact on obesity related issues. Leelahagul et al. (1992) experimented on 5 overweight and obese participants. They were administered for their regular total calories intake (TCI) (i.e., 30% fat, 50% carbohydrate and 20% protein of total TCI). One month after, 4 g/day of swollen basil seeds were provided for 3 months to consume. As a consequence, some effects they found on metabolic parameters and body composition. They found 2.3 and 2.4% decrease in overall body weight and BMI level as compare with baseline values but any changes in sugar and serum lipid levels as well as in body fat. Any undesirable impact on renal, hematological, and electrolyte parameters had not been detected.

Consumption of high-fiber seeds of *O* basilicum at the rate of 10 g/day for 3 months could be an effective measure for nonalcoholic fatty liver patients. Akbarian et al. (2016) endorsed this view through their experiments where noticeable outcomes on anthropometric measures was obtained by parallel, randomized, and single-blind trial of patients (n = 18). This effect is very much dose dependent otherwise any significant impact on percent body fat, lean body mass and BMI will be not found on the given subjects.

#### 3.20.3 NEUROCOGNITION

To evaluate Tulsi's neurocognition effect four clinical trials were made by Jamshidi & Cohen (2017) out of which two were randomized, double-blind,

placebo-controlled designs. The ethanolic extracts using whole plant or leaves of 'Tulsi' at the doses varied from 300 mg/d to 6 g/d were provided while alike consequences with meagre changes like reduced level of stress, anxiety, sexual problems, depression, and improved working memory and cognitive attention was found without any adverse events. The positive correlation between inhalation of sweet basil's (*O. basilicum*) essential oil and mental activities motivated researchers to investigate the actual scenario. Satoh & Sugawara (2003) made an inhalation trial experiment using basil's essential oil-loaded inhalers to assess its impact on brain. Based on the changes in sensory perception and mental work parameters the mature volunteers were evaluated and observed an increase in fingertip skin temperature and in electrical impulses (A waves) of brain which revalidate the preceding statement. This outcome demonstrates the capacity of fragrance to trigger neurophysiologic stimuli which consequently elevate the alert state.

# 3.20.4 IMMUNOMODULATION AND INFLAMMATION

Increase in natural killer and T-helper cells count boost the immune system, which in turn help to combat against viral infections. Singletary (2018) used varied dosages (300 mg/d to 10 g/d) of ethanolic or aqueous extracts of Tulsi leaves to verify its impact as immunomodulators. The durations were 1 year and 4 weeks. Relief from asthmatic symptoms without any antagonistic effect were obtained after a randomized, double-blind, placebo-controlled trial of this experiment. The author suggested the higher circulating levels of selected cytokines and interferons may have some influential impact.

# 3.20.5 ORAL HEALTH AND HEALING

Phytoconstituents of *O. bascilucum*'s extracts are highly useful for wide range of oral ailments. An assessment was made by Patel & Venkatakrishna-Bhatt (1988) on efficiency of basil extracts to improve oral cavity health. Around 50 participants from Brazil and India were employed in this investigation. They were treated by basil's extracts@ 250 mg day<sup>-1</sup> for 21 days duration. Before and after the treatment, 'Plaque Index' (PI) barring 3<sup>rd</sup> molars was evaluated by them. The consequence elicited notable reduction (12%) in PI along with some improvements in purulent discharges and gingival bleeding after the specified duration of treatment. Overall, reduction in total number of oral bacteria was evident. To determine the efficacy of 0.2% Listerine, Chlorhexidine, and 4% of *Tulsi* extract to reduce the salivary *Streptococcus* mutants' levels as mouth rinses were made by Agarwal & Nagesh (2011) in India. The experiment was conducted based on randomized, crossover, Latin square Y statistical design where 45 school children were the participants. The effectiveness of all three rinses were found at par. Mean reductions in salivary *S* mutants counting of 53%, 45%, and 43% for chlorhexidine, Listerine, and Tulsi, respectively, were found along with a negative score of bitter taste of Tulsi rinse may be for its higher 4% concentration. Gupta et al. (2014) also conducted a triple-blind, randomized, parallel-design, and controlled trial employing 4% ethanolic extract of holy basil (*O. sanctum*) (prepared from dried, powdered leaves), 0.12% chlorhexidine, and saline as control to evaluate the potency of these mouth rinses in decreasing plaque and gingival inflammation. Here also, the elicited outcomes proved the same effect both treatments.

Water extract of holy basil could also diminish the plaque regrowth as compared to water (control treatment) proved by a 4-day treatment trial (a single-blind, randomized, parallel-design study) among 30 mature volunteers (Hosmane et al., 2014). The clinical efficacy was similar with 0.2% chlorhexidine. The usefulness of O. gratissimum extracts were also noticeable to inhibit the *de novo* dental plaque development and gingival inflammation. Its impact was better than 0.12% chlorhexidine digluconate (CLX) solution (Pereira et al., 2014). Basil extract's rinses (3 times per day) could also remove the existing plaque proved in brushed teeth and rinsed teeth. Reduction of PI by 43 and 64% while gingival bleeding by 63 and 77% were found by Pereira et al. (2014) after rinsing using basil extracts and CLX. This result proves almost the equal efficacy of both. Regular rinsing by basil (10% v/v EO) and CLX (0.12%) could compensate daily brushing. According to Mohammad et al. (2014), to abbreviate the duration of jaw immobilization operation (up to 32%), intake of 2.5 g of Tulsi (O. sanctum) leaves' (4 times per day) alcoholic extract are very useful. Based on the radiological evidence and outputs of bite force tensile strength, authors endorsed the healing potential of Tulsi extracts as compared to placebo control group.

#### 3.20.6 SKIN HEALTH

The importance of basil extract made facial skin cream for skin smoothness, moisture, and wrinkle prevalence is less popular. Balambal et al. (1985),

used basil-based formulations for acne treatments of some Indians. The treatment for 'Control' group consisted of oral tetracycline (500 mg, twice a day) and facial sulfur lotion (twice a day) while for 'Treatment' group fresh leaf juice of O. bascilicum were used (it was applied on acne lesions followed by leaving overnight). After continuous use of both formulations for 30 days, better results (comedones, papules, pustules, and cysts) were obtained in treated group. Hence, the authors corroborated the usage of basil leaf extract for acne treatment. Around 3% concentrated ethanol extract of basil leaves and blooms, for a single-blind study (Rasul & Akhtar, 2011), were used to prepare a facial skin cream. As control they used base formulation without basil. Both the creams were applied on subjects for 3 months duration on separate cheeks and to evaluate the efficacy different biophysical measurements had been conducted. Bail based formulation lowered down the roughness and wrinkling nature of skin with increased moisture content. Several authors (Orafidiya et al., 2002; Martin & Ernst, 2003), further assessed the potency of 16 strains of O. gratissimum's extracts to heal acne problems. The subjects were the students of Nigeria. The best-standardized dose of O. gratissimum's essential oil was blended with base formulation for preparing test concoctions and instructed for topical application on face (2 times per day) for 1 month. Comparatively better consequences were obtained in terms of lesser lesion counts in case of basil oil treated participants than a reference drug product (10% benzoyl peroxide lotion) in reducing the number of papules and pustules. Minimal adverse impacts were noted with some higher degree of doses producing skin irritation.

# 3.20.7 SAFETY

In general, adverse impacts of Tulsi in human trials are least which facilitates its incorporation in Ayurvedic medicine as it's a natural drug. It doesn't possess any oral toxicity too (Kumar et al., 2010). Toxicological experiments in animals of the essential oil and of alcoholic extracts demonstrated negative toxic impact on oral administration in fact at maximum doses too (Singh & Majumder, 1994; Raina et al., 2015) but excessive consumption may lead to adverse effect due to the high contents of estragole (Barfi et al., 2016). The reproductive behavior influenced by dietary Tulsi had been also tested in animal models (Kantak & Gogate, 1992; Sethi et al., 2010) although the relevance of the doses examined to human intakes is not known (Singletary, 2018).

# 3.20.8 PATENTS

Intellectual Property Rights (IPRs) are now more pronounced in fact, after the foundation of World Trade Organization (WTO) in 1995. IPR basically deals with trades and commerce (both international and domestic) and policy-making issues. It protects biological resources of a country (counting medicinal and aromatic plants) and also indigenous invention. Some medicinal flora-based traditional and advanced invention on medicines and cosmetics are now under IPR systems (James, 2016). The available granted patents of 'Tulsi' has been enlisted in Table 3.5.

# 3.21 CONCLUSION

The significance of Ayurveda in human life are acknowledged in modern era also. But the resurrection of interest to pay more attention on plant derived benefits for health issues is guite prominent now-a-days. The uses of Tulsi as traditional and natural medicine have attracted its investments both nationally and internationally. Tulsi contains diversified phytochemical constituents which contribute its significant nutritional and pharmacological characteristics. The natural benefits obtained from basil are basically due to the synergistic interactions made among active biological substances. Hence, replicases containing individual compounds were found impossible. For the complex chemical properties of its phytochemicals, it seems tough to utilize its benefits in wholesome. As a natural vitalizer, Tulsi has gained fame without any other adjuvants. Despite startling achievements in modern medical sciences, their inefficacy for effective restriction on innumerable diseases (may be due to fast lifestyle or personal stresses, etc.) is plaguing our society. In this backdrop, the use of herbal medicines would be a comprehensive approach to healing array of ailments. Basil is one of the major medicinal plants utilized as folk medicine endorsed by WHO (World Health Organization). Inclusion of its advanced formulations in modern medical therapies can efficiently address several health issues. Therapeutic properties of basil are known since time immemorial but its potential to treat several stress-related degenerative endemic diseases are enough noteworthy. Conclusively, advance research investigations could further enhance Basil's health-promoting qualities (Tables 3.2–3.5).

# Ocimum spp. (Basil)

Chemical Composition of Essential Oils of Ocimum bsilicum L. (Marwat et al., 2011).			
Compound	m.f.	m.w.	Part
Monoterpenes			
Monoterpene hydrocarbons			
α-Phellandrene	$C_{10}H_{16}$	136	Aerial parts
α-Pinene	$C_{10}H_{16}$	136	Aerial parts
α-Terpinene	$C_{10}H_{16}$	136	Inflorescence, leaves
α-Terpinolene	$C_{10}H_{16}$	136	Leaves
α-Myrcene	$C_{10}H_{16}$	136	Leaves
β-Phellandrene	$C_{10}H_{16}$	136	Aerial parts
β-Pinene	$C_{10}H_{16}$	136	Inflor., leaves
Camphene	$C_{10}H_{16}$	136	Inflor., leaves
<i>cis</i> -β-Ocimene.0	$C_{10}H_{16}$	136	Aerial parts
<i>cis</i> -Ocimene	$C_{10}H_{16}$	136	Leaves
δ-3-Carene	$C_{10}H_{16}$	136	Leaves
β-Ocimene	$C_{10}H_{16}$	136	Leaves
(E)-β-Ocimene	$C_{10}H_{16}$	136	Aerial parts
Limonene	$C_{10}H_{16}$	136	Aerial parts
Myrcene	$C_{10}H_{16}$	136	Aerial parts
<i>p</i> -Cymene	$C_{10}H_{14}$	134	Aerial parts
Sabinene	$C_{10}H_{16}$	136	Aerial parts
Terpinolene	$C_{10}H_{16}$	136	Inflor., leaves
Thujene	$C_{10}H_{16}$	136	Leaves
γ-Terpinene	$C_{10}H_{16}$	136	Aerial parts
Oxygenated monoterpene			
α-Citral	$C_{10}H_{16}O$	152	Leaves
α-Fenchyl acetate	$C_{12}H_{20}O_{2}$	196	Aerial parts
α-Terpineol	$C_{10}H_{18}O$	154	Aerial parts
Borneol	$C_{10}H_{18}O$	154	Aerial parts
Bornyl acetate	$C_{12}H_{20}O_{2}$	196	Aerial parts
Camphor	$C_{10}H_{16}O$	152	Aerial parts
Carvacrol	$\mathrm{C_{10}H_{14}O}$	150	Aerial parts
Carvone	$C_{10}H_{14}O$	150	Aerial parts
1,8-Cineole	$C_{10}H_{18}O$	154	Aerial parts
cis-Linalool oxide	$C_{10}H_{18}O_{2}$	170	Aerial parts
cis-Rose oxide	$\mathrm{C_{10}H_{18}O}$	154	Aerial parts
Citronellol	C <sub>10</sub> H <sub>20</sub> O	156	Aerial parts

**TABLE 3.2** Chemical Composition of Basil Essential Oil

Compound	nd m.f.		Part
Endo-Fenchol	C <sub>10</sub> H <sub>18</sub> O	154	Aerial parts
Estragol	$C_{10}H_{12}O_{2}$	174	Aerial parts
Eugenol	$C_{10}H_{12}O_{2}$	174	Leaves
Exo-2-hydroxycineole-acetate	$C_{12}H_{20}O_{3}$	212	Leaves
Fenchone	$C_{10}H_{16}O$	152	Aerial parts
Geranial	$C_{10}H_{16}O$	152	Aerial parts
Geraniol	$C_{10}H_{18}O$	154	Aerial parts
Geranyl acetate	$C_{12}H_{20}O_{2}$	196	Aerial parts
Hotrienol	$C_{10}H_{16}O$	152	Leaves
iso-Neomenthol	$C_{10}H_{20}O$	156	Aerial parts
iso-Pinocamphone	$C_{10}H_{16}O$	152	Aerial parts
trans-Pinocamphone	$C_{10}H_{16}O$	152	Aerial parts
L-Camphor	$C_{10}H_{16}O$	152	Leaves
L-Carvone	$C_{10}H_{14}O$	150	Leaves
Lavandulol	$C_{10}H_{18}O$	154	Leaves
Linalool	$C_{10}H_{18}O$	154	Aerial parts
Linalool cis-furanoid	-	-	Leaves
Linalool trans-furenoid	-	-	Leaves
Linalyl acetate	$C_{12}H_{20}O_{2}$	196	Leaves
Menthol	$C_{10}H_{20}O$	156	Aerial parts
Menthone	$C-?H_{18}O$	154	Aerial parts
Methyl chavicol	$\mathrm{C_{10}H_{12}O}$	148	Aerial parts
Myrtenal	$\mathrm{C_{10}H_{12}O}$	148	Leaves
Myrtenol	$C_{10}H_{16}O$	152	Aerial parts
Neral	$\mathrm{C_{10}H_{14}O}$	152	Aerial parts
Nerol	$\mathbf{C}_{10}\mathbf{H}_{18}\mathbf{O}$	154	Leaves
Ocimene oxide	$C_{10}H_{16}O$	152	Leaves
Pinocarvone	$\mathrm{C_{10}H_{14}O}$	150	Aerial parts
P-menth-1,8-dien-4-ol	$C_{10}H_{16}O$	152	Leaves
Piperitone	$C_{10}H_{16}O$	152	Aerial parts
Pulegone	$C_{10}H_{12}O_{2}$	174	Aerial parts
Terpinen-4-ol	$C_{10}H_{18}O$	154	Aerial parts
Terpinylformate	$C_{11}H_{18}O_2$	182	Leaves
trans-Linalool oxide	$C_{10}H_{18}O_2$	170	Aerial parts
trans-Myroxide	$\mathrm{C_{10}H_{16}O}$	152	Aerial parts
trans-Sabinene hydrate	C <sub>10</sub> H <sub>18</sub> O	154	Leaves

**TABLE 3.2** (Continued)

**TABLE 3.2** (Continued)

Compound	m.f.	m.w.	Part
trans-p-Menth-2-en-1-ol	C <sub>10</sub> H <sub>18</sub> O	154	Leaves
Thymol	C <sub>10</sub> H <sub>16</sub> O	152	Leaves
Verbenone	$C_{10}H_{14}O$	150	Leaves
(Z)-Sabinene hydrate	C <sub>10</sub> H <sub>18</sub> O	154	Inflor., leaves
Sesquimonoterpenes			
Sesquiterpene hydrocarbons			
Cyclohexane, 2,4-diisopropenyl-1-methyl-1-vinyl	$C_{15}H_{24}$	204	Aerial parts
1,4,7-Cycloundecatriene, 1,5,9,9-tetramethyl	$C_{15}H_{24}$	204	Aerial parts
α-Acoradiene	C15H24	204	Aerial parts
α-Amorphene	$C_{15}H_{24}$	204	Aerial parts
	$C_{15}H_{24}$	204	
α-Bulnesene			Essential oil
	C15H24	204	
α-Cadinene			Inflor., leaves
α-Cedrene	$C_{15}H_{24}$	204	Inflor., leaves
	$C_{15}H_{24}$	204	
α-Copaene			Inflor., leaves
	$C_{15}H_{24}$	204	
α-Cubebene			Aerial parts
α-Guaiene	$C_{15}H_{24}$	204	Aerial parts
	$C_{15}H_{24}$	204	
α-Gurjunene			Aerial parts
γ-Gurjunene	$C_{15}H_{24}$	204	Aerial parts
α-7-epi-Selinene	$C_{15}H_{24}$	204	Aerial parts
	$C_{15}H_{24}$	204	
α-Humulene			Aerial parts
Aromadendrene	$C_{15}H_{24}$	204	Inflor., leaves
	$C_{15}H_{24}$	204	
α-(Z)-Bergamotene	$C_{15}H_{24}$	204	Inflor., leaves
α-Zingiberene	$C_{15}H_{24}$	204	Inflor., leaves
β-Acoradiene			Aerial parts
β-Bourbonene	$C_{15}H_{24}$	204	Aerial parts
β-Caryophyllene	$C_{15}H_{24}$	204	Aerial parts
	$C_{15}H_{24}$	204	
β-Cedrene	$C_{15}H_{24}$	204	Aerial parts

Compound	m.f.	m.w.	Part
β-Copaene			Aerial parts
β-Cubebene	C <sub>15</sub> H <sub>24</sub>	204	Aerial parts
	C <sub>15</sub> H <sub>24</sub>	204	
β-Elemene	C <sub>15</sub> H <sub>24</sub>	204	Leaves
β-Guaiene			Aerial parts
β-Ocimene	C <sub>15</sub> H <sub>24</sub>	204	Aerial parts
	C <sub>15</sub> H <sub>24</sub>	204	
β-Selinene	C <sub>15</sub> H <sub>24</sub>	204	Aerial parts
Bicycloelemene			Aerial parts
Bicyclogermacrene	C <sub>15</sub> H <sub>24</sub>	204	Aerial parts
Cadinene	C <sub>15</sub> H <sub>24</sub>	204	Aerial parts
Cadina-3,5-diene	$C_{15}H_{24}$	204	Aerial parts
<i>cis</i> -Calamene	C <sub>15</sub> H <sub>24</sub>	204	Aerial parts
cis-Muurola-4(14),5-diene	C <sub>15</sub> H <sub>24</sub>	204	Aerial parts
	C <sub>15</sub> H <sub>24</sub>	204	
(E)-β-Farnesene			Inflor., leaves
(E)-Caryophyllene	C <sub>15</sub> H <sub>24</sub>	204	Inflor., leaves
1-Epibicyclosesqui-phellandrene	$C_{14}H_{22}O$	204	Roots, seeds
Epsilon-muurolene	$C_{15}H_{24}$	204	Leaves
Dehydroaromadendrene	$C_{15}H_{22}$	204	Leaves
Germacrene-A	$C_{15}H_{24}$	204	Aerial parts
	$C_{15}H_{24}$	204	
Germacrene-B			Leaves
Germacrene-D	$C_{15}H_{24}$	204	Aerial parts
Guaia-1(10),11-diene	$C_{15}H_{24}$	204	Aerial parts
Iso-caryophyllene	$C_{15}H_{24}$	204	Aerial parts
Isoledene	$C_{15}H_{24}$	204	Aerial parts
Longifolene	$C_{15}H_{24}$	204	Aerial parts
	$C_{15}H_{24}$	204	
δ-Selinene			Leaves
St α-ylangene	$C_{15}H_{24}$	204	Leaves
	$C_{15}H_{24}$	204	
trans-α-Bisabolene			Aerial parts
trans-α-Bergamotene	$C_{15}H_{24}$	204	Aerial parts
	$C_{15}H_2$	204	
<i>trans</i> -β-Farnesene			Inflor., leaves

**TABLE 3.2** (Continued)

**TABLE 3.2** (Continued)

Compound	m.f.	m.w.	Part
trans-β-Ocimene	C <sub>15</sub> H <sub>24</sub>	204	Aerial parts
trans-Caryophyllene	$C_{15}H_{24}$	204	Aerial parts
Valencene	$C_{15}H_{24}$	204	Leaves
γ-Cadinene	$C_{15}H_{24}$	204	Inflor., leaves
γ-Terpin	$C_{15}H_{24}$	204	Aerial parts
	$C_{15}H_{24}$	204	
δ-Cadinene	$C_{15}H_{24}$	204	Inflor., leaves
γ-Muurolene			Aerial parts
(Z)-Calamenene	$C_{15}H_{24}$	204	Inflor., leaves
Oxygenated sesquiterpenes			
α-Cadinol	$C_{15}H_{26}O$	222	Aerial parts
α-Humulene oxide	$C_{15}H_{24}O$	220	Leaves
Alloaromadendrene	$C_{15}H_{24}O$	220	Inflor., leaves
	$C_{15}H_{26}O$	222	
β-Basibolol	$C_{15}H_{26}O$	222	Inflor., leaves
β-Basibolol isomer			Leaves
β-Eudesmol	$C_{15}H_{26}O$	222	Aerial parts
	$C_{15}H_{26}O$	222	
Cubenol			Oil
Caryophyllene oxide	$C_{15}H_{24}O$	220	Aerial parts
	$C_{15}H_{26}O$	222	
1,10-di-epi-cubenol			Aerial parts
Dihydroactinidiolide	$C_{11}H_{16}O_2$	180	Leaves
Isospathulenol	$C_{15}H_{24}O$	220	Leaves
Muurolol	$C_{15}H_{26}O$	222	Aerial parts
Spathulenol	$C_{15}H_{24}O$	220	Aerial parts
T-cadinol	$C_{15}H_{26}O$	222	Roots, seeds
Viridiflorol	$C_{15}H_{26}O$	222	Aerial parts
	$C_{15}H_{26}O$	222	
(Z)-Nerolidol			Inflor., leaves
Triterpene			
	$C_{30}H_{48}O_4$		
Alphitolic acid		472	Roots, seeds
Betulin	$C_{30}H_{50}O_{2}$	442	Roots, seeds
Betulinic acid	$C_{30}H_{48}O_{3}$	456	Roots, seeds
3-Epimaslinic acid			Root

Compound	m.f.	m.w.	Part
Euscaphic acids	C <sub>30</sub> H <sub>48</sub> O <sub>5</sub>	488	Root
Oleonolic acid	C <sub>30</sub> H <sub>48</sub> O <sub>3</sub>	456	Rts,Sds,Lf,Fl.
Pomolic acid	$C_{30}H_{48}O_4$	472	Roots, Seeds
	C <sub>30</sub> H <sub>48</sub> O <sub>3</sub>	456	
Ursolic acid			Rts,Sds,Lf,Fl.
	C37H52O4	460	
Basilol			Leaves, Flower
Ocimol	C39H56O6	620	Leaves, Flower
Aliphatic alcohol			
	$C_6 H_{12} O$	100	
Cyclohexanol			Leaves
Hexanol	$C_6 H_{14} O$	102	Leaves
Octanol	$C_8H_{18}O$	130	Leaves
3-Octanol	$C_8H_{18}O$	130	Leaves
1-Octen-3-ol	$C_8H_{16}O$	128	Leaves
1-Penten-3-ol	$C_5H_{10}O$	86	Leaves
	$C_5H_{10}O$	86	Leaves
(Z)-2-pentenol			
(Z)-3-hexanol	$C_6H_{14}O$	102	Leaves
Aliphatic aldehyde			
		98	Leaves
(E)-3-hexenal	$C_6H_{10}O$		
(E,Z)-2,4-heptadienal	$C_7 H_{10} O$	110	Leaves
Hexanal	$C_6 H_{12} O$	100	Leaves
Aliphatic ester			
	$C_8 H_{14} O_2$	142	
(Z)-3-hexenyl acetate			Leaves
Aliphatic ketones			
	$C_{13}H_{20}O$	192	
β-Ionone			Leaves
<i>cis</i> -Jasmone	$C_{11}H_{16}O$	164	Leaves
	$C_4H_8O_2$	88	Leaves
	$C_8 H_{14} O$	126	Leaves
6-Methyl-5-heptenone			
6-Methyl-(E,E)-3,5-heptadien-2-one	$C_8 H_{14} O$	126	Leaves
trans-β-Ionone-5,6-epoxide			Leaves

**TABLE 3.2** (Continued)

**TABLE 3.2** (Continued)

Compound	m.f.	m.w.	Part
Aromatic Compounds			1 41 1
4-Allylphenol	C <sub>9</sub> H <sub>10</sub> O	134	Leaves
Anethole	$C_{10}H_{12}O$	148	Leaves
Anisaldehyde	$C_{8}H_{8}O_{2}$	136	Leaves
Benzyl alcohol	$C_{7}H_{8}O^{2}$	108	Leaves
Cuminaldehyde	C <sub>10</sub> H <sub>12</sub> O	148	Leaves
Estragole	$C_{10}H_{12}O$	148	Leaves
Ethyl cinnamate	$C_{11}H_{12}O_{2}$	176	Leaves
Methyl benzoate	$C_{8}H_{8}O_{2}$	136	Leaves
Methyl cinnamate	$C_{10}H_{10}O_{2}$	162	Leaves
Methyl eugenol	$C_{11}H_{14}O_2$	178	Leaves
Methyl salicylate	C <sub>8</sub> H <sub>8</sub> O <sub>3</sub>	152	Leaves
p-Methoxycinnamaldehyde	$C_{10}H_{10}O_{2}$	162	Leaves
Phenethyl alcohol	C <sub>8</sub> H <sub>10</sub> O	122	Leaves
Phenyl acetaldehyde	C <sub>8</sub> H <sub>8</sub> O	120	Leaves
Safrole	$C_{10}H_{10}O_{2}$	162	Leaves
Benzaldehyde	$C_7H_6O$	106	Leaves
cis-hex-3-enyl acetate	$C_8H_{14}O_2$	142	Leaves
Flavonoids			
Quercetin	$C_{15}H_{10}O_{7}$	302	Leaves
Quercetin-3-O-diglycoside			Leaves
Quercetin-3-O-B-D-Galactoside	$C_{21}H_{20}O_{12}$	466	Whole plant
Quercetin-3-O-B-D-glucoside	$C_{21}H_{22}O_{12}$	468	Whole plant
Quercetin-3-O-B-D-glucoside-2"-gallate	$C_{21}H_{22}O_{11}$	452	Whole plant
Quercetin-3-O-(2"-O-galloyl)-rutinoside	$C_{27}H_{30}O_{16}$	610	Whole plant
Quercetin-3-O-a-L-rhamnoside	$C_{21}H_{20}O_{11}$	448	Whole plant
Isoquercetrin	$C_{21}H_{20}O_{12}$	468	Leaves
Kaempferol	$C_{15}H_{10}O_{6}$	286	Leaves
Kaempferol-3-O-rotinoside	$C_{22}H_{30}O_{15}$	534	Leaves
Kaempferol-3-O-B-D-glucoside	$C_{21}H_{22}O_{11}$	450	Whole plant
Rutin	$C_{22}H_{30}O_{16}$	550	Leaves
3,5-Pyridinedicarboxylic acid,			
2,6-dimethyldiethyl ester	$C_{13}H_{17}NO_4$	251	Aerial parts
Monosaccharide			
L-Arabinose	$\mathrm{C_5H_{10}O_5}$	150	Seeds
L-Rhamnose	$C_6H_{12}O_5$	154	Seeds

Compound	m.f.	m.w.	Part
D-Xylose	C5H10O5	150	Seeds
Coumarin			
Coumarin	$C_{10}H_{8}O_{4}$	192	Whole plant
Aesculetin	$C_9H_6O_4$	178	Leaves
<i>p</i> -Coumaric acid	$C_9H_8O_3$	164	Leaves
Cinnamates			
(1). 4'-carbomethoxy-2'-hydroxy phenyl ferulate	—	_	Essential oil
(2). (E)-3'-hydroxy-4'-(1"-hydroxyethyl)-			
phenyl-4-methoxycinnamate	-	_	Essential oil
Caffeic acid	$C_9H_8O_4$	180	Leaves
Caftaric acid (caffeoyl-tartaric acid)	$C_{13}H_{12}O_{9}$	312	Leaves
Polyphenols			
Rosmarinic acid	$C_{18}H_{16}O_{8}$	360	Whole plant
chicoric acid (dicaffeoyltartaric acid)	$C_{22}H_{18}O_{12}$	474	Leaves
Phenylpropene			
Estragole	$C_{10}H_{12}O$	148	Aerial parts
Glycoside			
Esculin	$C_9H_8O_6$	212	Leaves
Syringin	$C_{17}H_{24}O_{9}$	372	Whole plant
Steroids:			
Basilimoside	$C_{36}H_{60}O_{6}$	588	Aerial parts
Daucosterol	$C_{35}H_{60}O_{6}$	576	Whole plant
B-Sitosterol	$C_{29}H_{50}O$	414	Leaves, flower
Stigmasterol	$C_{29}H_{48}O$	412	
Stigmasterol-3-O-B-D-glucoside	$C_{35}H_{58}O_{6}$	574	Whole plant
Miscellaneous compounds			
Dihydroactinidiolide			
2,6-Dimethylpyrazine	$C_6 H_8 N_2$	94	Leaves
Myristicin	$C_{11}H_{12}O_{3}$	192	Leaves
γ-Butyrolactone	$C_4 H_6 O_2$	86	Leaves
Cyclohexene	$C_{6}H_{10}$	82	Aerial parts
2-Pentanone, 4-hydroxy-4-methyl	$C_{6}H_{12}O_{2}$	116	Aerial parts

**TABLE 3.2** (Continued)

Biological activities of various chemical constituents of Ocimum basilicum			
Target Causal Organisms	Effective Bio-constituents		
Antibacterial activities			
Staphylococcus aureus, Salmonella enteritidis, Escherichia coli	Essential Oil (EO)		
Bacillus cereus	EO		
Pseudomonas aeruginosa, Listeria monocytogenes, Shigella sp., Staphylococcus aureus	Methanol-based extract of different plant parts		
Different strains of Escherichia coli	Methanol-based extract of different plant parts		
Haemophilus influenzae, Pneumococci	EO		
Staphylococcus aureus	EO		
Staphylococcus, Enterococcus Pseudomonas	Linalool, methyl chavikol, methyl cinnamat, linolen		
Escheriachia coli, Pseudomonas aeruginosa, Salmonella typhi, Staphylococcus aureus.	Various plant part's extracts		
Staphylococcus aureus, Escherichia coli, Bacillus subtilis, Pasteurella multocida, Pathogenic fungi like Aspergillus niger, Mucor mucedo, Fusarium solani, Micrococcus, Gram (+) & (-) bacteria	EO		
Giardia lamblia	Linalool		
Pseudomonas aernuginosa	Rosmarinic acid		
Acinetobacter, Bacillus, Escherichia, Staphylococcus	Ethanol-based extract of different plant parts		
Acinetobacter, Bacillus, Escherichia, Micrococcus, Staphylococcus	Methanol-based extract of different plant parts		
Acinetobacter, Bacillus, Brucella, Escherichia, Micrococcus, Staphylococcus	Hexane based extract of different plant parts		
Bacillus cereus, B. subtilis, B. megaterium, Escherichia coli, Staphylococcus aureus, Listeria monocytogenes, Shigella boydii, S. dysenteriae, Vibrio mimicus, V. parahaemolyticus, Salmonella	EOs and Methanol-based extract of different plant parts		

#### TABLE 3.3 Antimicrobial Activity of Basil Essential Oils

#### Antifungal activity

Sclerotiniasclerotiorum(Lib.), Rhizopus stolonifer (Ehrenb. exFr.) Vuill, Mucor sp. (Fisher)

typhi, S. dysenteria

Linalool and eugenol

#### TABLE 3.3 (Continued)

Alternaria alternate (Fries : Fries) von KeisslerFulvia EO fulva (Cooke) Ciferri Fusarium solani var. coeruleum, Glomerella cingulata (Stonem.) Spauld. Et Schren

Target Causal Organisms	Effective Bio-constituents
Fusarium oxysporum f. sp.vasinfectum and Rhizopus nigricans	Cineol, Linalool, methylchavicol, and eugenol
Isolates of Candida albicans	Methanol and hexane-based extract of different plant parts
Candida albicans, Penicillium notatum, Microsporeum gyseum	EO
Yeast and mould	EO
Aureobasidium pullulans, Debaryomyceshansenii, Penicillium simplicissimum, P. citrinum, P. expansum, P. gungartiggriggung	EO

P. aurantiogriseum

EO
Apigenin, linalool
y
Different plant extracts
Ocimene, cineole, linalool, methyl cinnamate, methyl chavicol
Basil oil and <i>trans</i> -anethole, estragole, linalool
EO
ty
EO (eugenol and linalool)

\*Source: Marwat et al., (2011).

#### 146

Pharmacological Activities	Compounds/Plant Part	Class of compound	Dose(s)	Results
Anticancer	2-(Hydroxymethyl)-5,5,9-trimethylcyclo [7.2.0.03,6] undecan-2-ol (Lf)	Sesquiterpenoid	_	$IC_{_{50}}  30 \pm 0.5 \; \mu M$ against MCF-7 cell line.
	Luteolin (Lf and Ap)	Flavonoid	50 µM	$IC_{50}$ (78 ± 6 µM) for and rogen-independent carcinoma of prostate (LNCaP) and $IC_{50}$ (53 ± 4 µM) for and rogen-dependent carcinoma of prostate (PC-3 and DU-145) cells at 72 h.
	Orientin (Lf and Ap)	Flavonoid	50 μΜ	$IC_{50}$ (124 ± 7 µM) for and rogen-independent carcinoma of prostate (LNCaP) and $IC_{50}$ (104 ± 7 µM) for and rogen- dependent carcinoma of prostate (PC-3 and DU-145) cells at 72 h.
	Vicenin-2 (Apigenin 6,8-diglucoside (Lf and Ap)	Flavonoid	-	$IC_{50}$ (44 ± 3 µM) for and rogen-independent carcinoma of prostate (LNCaP) and $IC_{50}$ (25 ± 3 µM) for and rogen- dependent (PC-3, DU-145) cells at 72 h.
Antioxidant	Rosmarinic acid (Lf and St)	Phenolic acid	10 µM	Better antioxidant than vitamin E in liposome oxidation model.
	Isothymusin (Lf and St)	Flavonoid	10 µm	Strong antioxidant activity (50% more active than positive control TBHQ and BHT) in liposome oxidation model.
	Isothymonin (Lf and St)	Flavonoid	10 µm	Better antioxidant activity than positive control TBHQ and BHT using liposome oxidation model.
	Cirsimaritin (Lf and St)	Flavonoid	10 µm	Poor antioxidant using liposome oxidation model.
	Cirsilineol (Lf and St)	Flavonoid	10 µm	Good antioxidant activity using liposome oxidation model.
	Eugenol (Lf)	Phenyl propanoid	10 µm	Better antioxidant activity than positive controls TBHQ and BHT using liposome oxidation model.
Anti-inflammatory	Apigenin (Lf, Ap, and St)	Flavonoid	1,000 µM	Showed 65% COX-1 enzyme inhibition activity, compared to ibuprofen, naproxen, and aspirin with 33%, 58%, and 46% at 10, 10, and 1,000 $\mu$ M concentration, respectively.

# **TABLE 3.4** Pharmacological Activities of Secondary Metabolites from Ocimum sanctum

**TABLE 3.4** (Continued)

Pharmacological Activities	Compounds/Plant Part	Class of compound	Dose(s)	Results
	Rosmarinic acid (Lf and St)	Phenolic acid	1,000 µM	Showed 58% COX-1 enzyme inhibition activity, compared to ibuprofen, naproxen, and aspirin with 33%, 58%, and 46% at 10, 10, and 1,000 $\mu$ M concentration, respectively.
	Isothymusin (Lf and St)	Flavonoid	1,000 µM	Inactive against COX-1 and COX-2 enzyme
	Isothymonin (Lf and St)	Flavonoid	1,000 µM	Showed 37% COX-1 enzyme inhibition activity, compared to standard ibuprofen, naproxen, and aspirin with 33%, 58%, and 46% COX-1 inhibitory activity at 10, 10, and 1,000 $\mu$ M concentration, respectively.
	Cirsimaritin (Lf and St)	Flavonoid	1,000 µM	Showed 50% COX-1 enzyme inhibition activity, compared to standard ibuprofen, naproxen, and aspirin with 33%, 58%, and 46% at 10, 10, and 1,000 $\mu$ M concentration, respectively.
	Cirsilineol (Lf and St)	Flavonoid	1,000 µM	Showed 37% COX-1 enzyme inhibition activity, compared to standard ibuprofen, naproxen, and aspirin with 33%, 58%, and 46% at 10, 10, and 1,000 $\mu$ M concentration, respectively.
	4',5-Dihydroxy-3',7,8-trimethoxy flavone (Lf)	Flavonoid	1,000 µM	Showed 37% COX-1 enzyme inhibition activity, compared to standard ibuprofen, naproxen, and aspirin with 33%, 58%, and 46% at 10, 10, and 1,000 $\mu$ M concentration, respectively.
	Eugenol (Lf)	Phenyl propanoid	1,000 µM	Showed 97% COX-1 enzyme inhibition compared to ibuprofen, naproxen, and aspirin with 33%, 58% and 46% at 10, 10, and 1,000 $\mu$ M, respectively.
Radiation protection	Orientin (Lf and Ap)	Flavonoid	50 µg/kg (i.p.)	Pre-treatment of vicenin protected fetal against irradiation- induced genomic damage and reduced the delayed chromo- somal abnormalities and tumorigenesis in pregnant Swiss albino mice.

**TABLE 3.4** (Continued)

Pharmacological Activities	Compounds/Plant Part	Class of compound	Dose(s)	Results
			6.5, 12.5, 15,	Pre-treatment significantly
			17.5 and 20 μΜ	(p < 0.05–0.001) reduced the micronucleus counts to 51–67% of RT with dose modification factor (DMF) of 2.62 at 17.5 $\mu$ M in cultured human peripheral lymphocytes using micronucleus test.
			50 µg/kg (i.p.)	Pre-treatment showed maximum survival 60% from the 30 days of administration with DMF of 1.30.
	Vicenin (Lf)	Flavonoid	50 μg/kg (i.p.)	Pre-treatment of vicenin protected fetal against irradiation- induced genomic damage and reduced the delayed chromo- somal abnormalities and tumorigenesis in pregnant Swiss albino mice.
			6.5, 12.5, 15,	Pre-treatment significantly
			17.5 and 20 μΜ	(p < 0.05-0.001) reduced the micronucleus counts to $51-67%of RT with DMF of 2.48 at 17.5 \muM in cultured humanperipheral lymphocytes using micronucleus test.$
			50 µg/kg (i.p.)	Pre-treatment showed significant ( $p < 0.05$ ) protection to bone marrow chromosomes.
				Showed maximum survival 67% from the 30 days of administration with DMF of 1.37.
Antidiabetic	16-Hydroxy-4,4,10,13-tetramethyl-17-(4- methyl-pentyl)-hexadecahydro-cyclopenta[a]- phenanthren-3-one (Ap)	Triterpenoid	_	Isolated from antidiabetic activity-guided fraction
Antistress	Apigenin-7-O-β-D-glucuronic acid (Lf)	Flavonoid	40 mg/kg body weight	Ineffective in Sprague-Dawley rats

**TABLE 3.4** (Continued)

Pharmacological Activities	Compounds/Plant Part	Class of compound	Dose(s)	Results
	Ociglycoside-I (4-Allyl-1-O-β-D- glucopyranosyl-2-hydroxybenzene/or hydroxychavicol glucoside) (Lf)	Phenyl propanoid	40 mg/kg body weight	Pre-treatment significantly ( $p < 0.05$ ) reduced the increase in corticosterone levels and ( $p < 0.01$ ) reduced the acute stress-induced increase in CK levels. Less effective on plasma glucose level in acute stress induced Sprague-Dawley rats.
	7-Hydroxy-3-(2-hydroxyethyl)-4-methyl-2H- 1-benzopyran-2-one (ocimarin) (Lf)	Coumarin	40 mg/kg body weight	Ineffective in acute stress male Sprague-Dawley rats
	1-O-(β-D-glucopyranosyl)-(2S,3S,4R,8Z)- 2-[(2'R)-2'-hydroxydocosanoylamino]-8(Z)- octadecene-1,3,4-triol (Lf)	Cerebroside	40 mg/kg body weight	Inactive
	1-O-(β-D-glucopyranosyl)-(2S,3S,4R,8Z)-2- [(2'R)-2'-hydroxytetracosanoyla-mino]-8(Z)- octadecene-1,3,4-triol (Lf)	Cerebroside	40 mg/kg body weight	Pretreatment showed antistress activity and reduced significantly ( $\rho < xps:span> 0.05) the increased corticosterone levels and effective (\rho < xps:span> 0.01) reducing the creatine kinase levels in Sprague-Dawley rats.$
	(2S)-1-O-hexadecanoyl-2-O-docosanoyl)-3- O-[6-deoxy-6-amino-a-D-glucopyranoside] glycerol (Ocimumoside A) (Lf)	Cerebroside	40 mg/kg body weight	Pretreatment showed significant ( $\rho < 0.05$ ) anti stress effects by normalizing hyperglycemia, plasma corticosterone, plasma creatine kinase and adrenal hypertrophy in Sprague-Dawley rats. Ginseng crude powder root, Panax quinquefolium (100 mg/kg body weight) was used as standard.
	(2S)-1-O-Octadecanoyl-2-O-tetradecanoyl)- 3-O-[a-D-galactopyranosyl-(1" $\rightarrow$ 6')-O- $\beta$ -D-galactopyranosyl] glycerol (Ocimumoside B) (Lf)	Cerebroside	40 mg/kg body weight	Pretreatment showed antistress activity and reduced significantly ( $\rho < 0.05$ ) the increased corticosterone levels without affecting plasma glucose level in Sprague-Dawley rats
Lieshmanicidal	Apigenin ((Lf, Ap, and St)	Flavonoid	-	$IC_{_{50}}$ 358.7 µg/ml against L. major
	Luteolin (Lf and Ap)	Flavonoid	-	$IC_{50}$ 73.9 µg/ml against L. major

**TABLE 3.4** (Continued)

Pharmacological Activities	Compounds/Plant Part	Class of compound	Dose(s)	Results
	4',5-Dihydroxy-7,8-dimethoxy flavone (Lf)	Flavonoid	-	$IC_{50}$ > 25 µg/ml against L. major
	4',5-Dihydroxy-3',7,8-trimethoxy flavone (Lf)	Flavonoid	-	$IC_{50}$ > 25 µg/ml against L. major
	Ferulaldehyde (Lf)	Phenyl propanoid	-	$IC_{50} 0.9 \ \mu g/ml$ against L. major
	Bieugenol (Lf)	Phenyl propanoid	-	IC <sub>50</sub> 13.6 μg/ml against L. major
	Dehydrodieugenol B (Lf)	Phenyl propanoid	-	IC <sub>50</sub> 16.9 μg/ml against L. major
	6-Allyl-3',8-dimethoxy-flavon-3,4'-diol (tulsinol A) (Lf)	Neolignan	-	$IC_{50}$ > 25 µg/ml against L. major
	6-Allyl-3-(4-allyl-2-methoxyphenoxy)-3',8- dimethoxyflavan-4'-ol (tulsinol B) (Lf)	Neolignan	-	$IC_{50}$ 43.9 µg/ml against L. major
	5-Allyl-3-(4-allyl-2-methoxyphenoxymethyl)- 2-(4-hydroxy-3-methoxyphenyl)-7-methoxy- 2,3-dihydrobenzofuran (tulsinol C) (Lf)	Neolignan	_	$IC_{50}$ 9.1 µg/ml against L. major
	1,2-Bis(4-allyl-2-methoxyphenoxy)-3-(4- hydroxy-3-methoxyphenyl)-3-methoxypropane (tulsinol D) (Lf)	Neolignan	_	Not done
	1-(4-Hydroxy-3-methoxyphenyl)-1,2,3-tris (4-allyl-2-methoxyphenoxy)propane (tulsinol E) (Lf)	Neolignan	-	$IC_{s0}$ 47.1 µg/ml against L. major
	1-Allyl-4-(5-allyl-2-hydroxy-3- methoxyphenoxy)-3-(4-allyl-2- methoxyphenoxy)-5-methoxybenzene (tulsinol F) (Lf)	Neolignan	-	$IC_{_{50}}$ 23.8 µg/ml against L. major

**TABLE 3.4** (Continued)

Pharmacological Activities	Compounds/Plant Part	Class of compound	Dose(s)	Results
	3-(5-Allyl-2-hydroxy-3-methoxyphenyl)-1- (4-hydroxy-3-methoxyphenoxy)-prop-1-ene (tulsinol G) (Lf)	Neolignan	-	$IC_{50}$ 89.7 µg/ml against L. major
	β-Caryophyllene epoxide (Lf)	Sesquiterpenoid	-	IC <sub>50</sub> > 25 μg/ml against L. major
	Ursolic acid	Triterpenoid	-	$IC_{50}$ 2.2 µg/ml against L. major using amphotericin B ( $IC_{50}$ 0.04 µg/ml) as positive control
	Oleanolic acid	Triterpenoid	-	IC <sub>50</sub> 17.1 μg/ml against L. major
	β-Sitosterol glucopyranoside (Lf)	Steroid	-	-
	Stigmasterol (Lf)	Steroid	-	IC <sub>50</sub> > 25 μg/ml against L. major
Antimicrobial	Orientin (Lf and Ap)	Flavonoid	400 mg/ml	Active against S. aureus, <i>S. cohni</i> and K. pneumonia with maximum zone inhibition (18.04, 17.13, and 16.11 mm).
	Vicenin (Lf)	Flavonoid	400 mg/ml	Effective against <i>E. coli</i> and Proteus with maximum ZOI (18.84 and 17.16 mm).
Mosquitocidal	Eugenol (Lf)	Phenyl propanoid	100-250 ppm	$LD_{100}$ (200 µg/ml) against fourth instar Aedes aegyptii larvae.
	(E)-6-Hydroxy-4,6-dimethyl-3-heptene-2-one (Lf and St)	Acetone oligomer	-	$LD_{100}~(6.25~\mu\text{g/ml})$ against fourth-instar Aedes aegyptii larvae in 24 h.
	1,3-dilinoleneoyl-2-palmitin (Lf and St)	Triglyceride	-	Inactive against Aedes aegyptii larvae.

Abbreviations: Ap: areal part; Lf: leaf; Rt: root; St: stem; and Tw: twig.

Source: Singh & Chowdhury (2018).

#### Ocimum spp. (Basil)

Sl. No.	Patent No.	Title	Main Herbs
1.	1048/MUM/2003	Ayurvedic immuno modulator composition for treatment of acquired immunodeficiency syndrome	Tulsi or Krishna Tulsi (Holy Basil),
2.	1049/MUM/2003	Ayurvedic antiretroviral composition for treatment of acquired immuno- deficiency syndrome.	Tulsi ( <i>Ocimum</i> sanctum)
3.	553/KOL/2003	A process for preparing nutrient- fortified Ayurvedic sweets like Sandesh and Rosogolla containing at least one herb.	Tulsi ( <i>Osimum</i> sanctum) leaves

TABLE 3.5 Patents on Ayurvedic Medicines/Herbal Extracts

#### **KEYWORDS**

- basil
- biomolecules
- essential oil
- medicinal plants
- nutraceuticals
- oral health
- phytomolecules
- therapeutic applications

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# *Dioscorea* spp.: Medicinal Plant with Pharmaceutical Uses

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# ABSTRACT

*Dioscorea* L. nested in the family Dioscoreaceae, having a wide distribution in Southeast Asia, Africa, Australia, and tropical America, with over 682 species worldwide. In India, there are 42 taxa in the genus (41 species and one variety) distributed mainly in Western Ghats and northeast India. The genus is characterized by having climber habit with tubers and possessing reticulate venation rarely found in monocots. *Dioscorea* is well known for its nutritional and therapeutic properties, and it is extensively in the pharmaceutical and nutraceutical industries. Several *Dioscorea* species exhibit biologically active compounds with antiarthritic, anti-inflammatory, and antifertility properties, making them useful in the treatment of medical ailments. One of the most crucial ingredients of *Dioscorea* is diosgenin. It is worth noting that *Dioscorea* species produce almost half of global steroid drug production. However, Several *Dioscorea* species are on the brink of

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extinction due to unrelenting exploitation of the plant for its therapeutic effects mixed with habitat loss, as many of them are included in the IUCN red list.

#### 4.1 INTRODUCTION

The largest percentage (70%) of the world's population relies on the conventional healthcare system to treat different diseases (World Health Organization). It is well known that this form of herbal medicinal system has limited side effects (Firenzuoli & Gori, 2007), and in comparison to other traditional pharmaceutical system, it is quite inexpensive, thus being the preferred system in developing countries for most patients. This has resulted in over exploitation of pharmaceutical medicinal plants, herbal medicines, cosmetics, and other natural products that have seen a significant increase in popularity in recent years (Batugal et al., 2004).

Medicinal plants with a wide range of medicinal applications include a wealth of phytochemicals. Dioscorea is a peculiar belonging to the family Dioscoreacea, comprising ca. 682 species that have become significant in traditional medicine worldwide. In the making of sex hormones, cardiatonic glucosides, fertility regulation compounds, corticosteroids, and anabolic agents, aglycons of their steroid saponins, such as diosgenin, have gained recognition as precursors (Jasem et al., 2014). It is used in the cure of leprosy and tumors, as well as in the treatment of sore throat and struma. In traditional Indian medicine, it has wide application in piles, ulcers, pain, and inflammation (Kumar et al., 2017). Its medical benefits have been documented in both historical and contemporary literature its tubers have therapeutic advantages such as puragative, anthelmintic, diuretic, rejuvenating tonic, and aphrodisiac, and it's also possible to use it to treat hematological disorders, diabetic disorders, worm infestation, hemorrhoids, and skin disorders (Abhyankar & Upadhyay, 2011). In both Indian and Chinese traditional medicine, it is frequently used to treat gastric cancer, rectum carcinoma, and goiter (Das et al., 2008). Similarly, antihyperlipidemic, antitumor, antioxidant, analgesic, anti-inflammatory, and antihyperglycemic extracts are tested (Wang et al., 2012; Mbiantcha et al., 2011). Apart from syphilis in Java and Brazil, it is regarded as a treatment against dysentery and diarrhea. Interestingly, in addition to hepatic fibrosis, it is used in China against dog bites, snake bites, and food poisoning by protecting the liver (Hans, 1996). Remedial capacity against conjunctivitis, leukoderma, dyspepsia, urinary discharge, jaundice, diabetes, asthma, and bronchitis is also reported (Sharma et al., 2017). *Dioscorea* has emerged as a promising complementary and alternative source of conventional medicine in the recent past, drawing primary attention to impressive scientific developments in modern biomolecule exploration.

# 4.2 BOTANICAL TAXONOMIAL CLASSIFICATION

The herb, *Dioscorea*, is a member of the family Dioscoreaceae. The taxonomic classification of the plant is as described below:

- Kingdom: Plantae.
- **Phylum:** Tracheophyta.
- Order: Dioscoreales.
- Class: Spermatopsida, Mangolipsida, Monocotyledons.
- Family: Dioscoreaceae.
- Genus: Dioscorea L.
- **Species:** *deltodea*, *bulbifera*, *alata*.

The systematic status of the genus has been fascinating to study. The genus for the first time was published by Linneaus in Species plantarum. In Philosphica Botanica, he listed *Dioscorea* in the family Sarmantaceae. Later on, de Jussieu, in 1789, put Dioscorea along with Smilax in section II of the order Asparagi. Finally, Brown, in 1810, placed the genus under Dioscoreaceae and separated it from Smilaceae. Both Dioscorea and Smilax belong to the same genus and can be differentiated on the basis of the inferior ovary, the capsular fruit, and the large albumen cavity found in Dioscorea. Knuth, in 1924, on the basis of his studies, divided the genus Dioscorea into four subgenera which were further divided into 60 sections. Later on, several workers like Matuda (1954), Burkill (1960), and Ayenshu (1972) provided different treatments to the genus. Hooker, in his flora of British India, recorded 150 species of Dioscorea from the Indian subcontinent. Prain & Burkill (1939) conducted research in many places of the world and concluded with the occurrence of 50 species from India of the genus. Karthikeyan (1989) reported 33 species and 24 Varieties belonging to Dioscorea from the Indian subcontinent. In traditional medicinal systems, Dioscorea species have been used under the name of yam, Tarun, Garh, Gun, Kin, Singli, Mingli, Gethi, etc. (Table 4.1).

Sl. No.	Language	Vernacular Names
1.	English	Water yam, white yam
2.	Hindi	Ratalu, khamalu, kada kanda
3.	Marathi	Chinem, khanphal
4.	Kannada	Dandaanu, hennu genasu
5.	Malayalam	Kacil, Kavattu
6.	Sanskrit	Alukam, dandalu, kandaka
7.	Tamil	Kappan, kavali, pindaka
8.	Telugu	Dukka pendalam, nelavupandalum

TABLE 4.1 Vernacular Names of Dioscorea Species

# 4.3 GEOGRAPHICAL SOURCE

The plant is mostly distributed in the tropical and subtropical regions of West Africa, Central America, the Caribbean, the Pacific islands, and Southeast Asia tropical and subtropical climates (Caddick et al., 2002). The genus has 50 species in India (Karthikeyan et al., 1989), widely distributed from tropical to sub-temperate zones of Jammu and Kashmir to Arunachal Pradesh, including U.P., M.P., Assam Meghalaya, Manipur, and Nagaland at altitudes of 100–3,500 m. asl. (Table 4.2).

#### 4.4 MORPHOLOGY

The Dioscoreaceae family includes the genus *Dioscorea*, or simply the Yam Family. *Dioscorea* L. is either annual or semi-perennials, or perennials. Cultivated species are annuals that are characterized by tubers, stalked or not, elongated to globose, bearing superficial rootlets (sometimes armed). Stem climbing, twining to left or right, with or without recurved prickles, and axillary bulbils are often present. The flowers are basically dioecious. Male flowers are borne singly or in clusters on spikes; spikes aggregated along leafless axes, axes axillary and terminal, or in axillary fascicles; stamens 6 or 3 alternating with staminodes; female flowers with bifid stigma and inferior 3-celled ovary, narrowly ellipsoid, locules each with 2 ovules, style short, stout; stigmatic ridges 3. These flowers consist of a three-sepal calyx and a three-petal corolla, regularly arranged and almost identical in size and appearance (Onwuemw, 1978). Fruits are mostly capsules, broadly 3-winged, shortly stipitate and dehiscing from the apex, sometimes reflexed.

SI. No.	Name of Taxa	Altitude in Meters	Flowering and Fruiting	Indian Distribution	Worldwide Distribution	Parts Used	Medicinal Application	References
1.	D. alata L.	142–2,272	Aug–Nov	AS, UK, KL, SK	Brazil, China, Nigeria, Thailand	Tuber, leaves, Bulb	Piles, leprosy, kill stomach worms, reduce weakness	Jadhav, Mahadkar, & Valvi (2011)
2.	D. belophylla (Prain) Voigt and Haines	575–1,398	Sep–Dec	MP, AS, KL, SK	Bhutan, Cambodia, Indonesia, Myanmar, Thailand, Vietnam	Tuber	Headache, dysentery, malaria, jaundice, mumps	Srivastava & Nyishi (2010)
3.	D. bulbifera L.	143–2,671	July–Dec	AS, MP, KL, UP, UK, WB	Africa, Pacific Island, Myanmar, Sri Lanka, Tanzania	Tuber	Reduce menopausal problems, and labor pain, prevent miscarriage, reduce body heat, throat pain, skin infection, diabetes, muscular pain, sexual vigor, and wormicide.	Nayak et al. (2004); Patil & Patil (2005); Bhogaonkar & Kadam (2006); Mehta & Bhatt (2007)
4.	<i>D. deltoidea</i> Wall. Ex Gries	122–3,352	July–Nov	AP, J&K, HP, UK, SK, PB	AFG, China, Bhutan, Nepal, Thailand, Vietnam	Tuber	Useful as a uterine sedative, hemostatic, diuretic, and expectorant and eliminates worms from the body.	Humayun (2007)
5.	D. pentaphylla L.	144–2,266	Aug–Nov	MP, UK, SK, WB	Australia, Bangladesh, China, Japan, Nepal, Taiwan	Tuber	Improve body immunity, stomach pain, swelling, abdominal pain, digestive tract, and skin infection.	Edison et al. (2006); Choudhary et al. (2008); Kamble et al. (2010)

**TABLE 4.2** Dioscorea
 Species: Geological Distribution and Pharmacological Potential

Each capsule contains a small seed having wings with numerous species that vary in appearance (Onwueme, 1978). The seeds are light and smooth, and the wings assist with dispersion. Two seeds in each cell, compact, with a broad membranous wing; 2 laminated, compressed, fleshy, or hard albumen; embryo between the blades; suborbicular cotyledons. A distinctive feature of the genus is that, unlike other herbaceous vines such as smilex and morning glories, all leaf veins originate from the leaf base. The main mechanism of propagation is vegetative reproduction.

This is a result of the formation of aerial tubers are formed in the axils of leaves or bulbils. These differ in shape and size, which are roundish. In addition, vast underground tubers are formed, some reaching more than 6 inches in diameter (Figure 4.1).



FIGURE 4.1 Morphological picture of Dioscorea deltoidei.

# 4.5 PHYTOCHEMISTRY

#### 4.5.1 CHEMICAL CONSTITUENTS PRESENT IN DIOSCOREA SPECIES

The presence of saponins, tannins, flavonoids, sterols, polyphenols, glycosides (Ghose, 2015), and steroidal saponins (Tapondjou, Jenett-Siems, Bottger, & Melzig, 2013) is shown by *D. bulbifera* in the phytochemical study. Depending on the geographical location, parts of the plants, and the extractions solvents used, the phytochemicals vary. Saponins are the most common secondary metabolites and had 100 steroidal saponins synthesized from aglycone components such as stigmastarol, furostarol, cholesterol, ergostanol, and glycosides of pregnanol were extracted from various *Disocorea* species. Clerodane diterpenes, phenolics, cyanidins, quinones,

diarylheptanoids, and nitrogen-containing compounds were analyzed in Dioscorea tubers in addition to such steroids (Kumar et al., 2017). Steroidal saponins primarily consist of furostane, a sixth open ring pentacyclic ring system; spirostane, a hexacyclic ring system; and a tetracyclic ring system, pegnane. Glucose and rahmnose constitute the majority of the sugar component in various proportions and couplings. Glucosides consist of an aglycon (diosgenin), and multiple glycosyl moieties are steroidal saponins. Pentose (arabinose, xylose, etc.), hexose (glucose, galactose, etc., and 6-deoxyhexose are the most popular sugars contained in saponins (rhamnose, etc.). The saponins in this plant species are steroid saponins that are water soluble and water insoluble. Dioscorea species are well known to possess steroidal saponins, which have been used as marker compounds for botanical product quality control. Diosgenin (3-\beta-hydroxy-5-spirostene), as stated by Jesus et al. (2016), is the primary furostanol saponin is a promising bioactive component identified in a range of plants, including *Dioscorea* species with a variety of therapeutic activities, including hypolipidemic, antioxidant, anti-inflammatory, hypoglycemic, and antiproliferative (Abhyankar & Upadhyay, 2011).

The rhizomes of *Dioscorea* contain 75% starch. Due to their bitter taste, they are non-edible. The key constituent of Dioscrea is diosgenin, a structurally cholesterol-like steroidal sapogenin that is employed in the production of steroidal hormones and drugs. It is metabolized and removed by bile in the liver (Caven & Dvornik, 1979). Owing to its structural resemblance to estrogen precursors, diosgenin's estrogenic and anti-inflammatory effect has been hypothesized. According to Asha & Nair (2005), the key species exploited in India for the production of diosgenin from rhizomes is D. deltoidea Wall. ex Griseb. Tubers are also rich in phenolic compounds and glycosides. Dioscgenin is the saponin-dioscin hydrolytic product (Kokate et al., 2008). L-rhamnose and one molecule of D-glucose are made from Dioscin. The flavonoid constituents cyaniding-3-glucoside and procyanidin dimmers B-1 and B-3 were reported in Dioscorea alata L. (Ozo et al., 1985). A study shows that *Dioscorea* also includes dioscorins that exhibit activities of carbonic anhydrase and trypsin inhibition (Hour et al., 2000). The presence of saponins, alkaloids, flavonoids, tannins, and phenols in Dioscorea belophylla is documented in other studies (Poornima et al., 2007). In Dioscorea, bitter components were described as furanoid norditerpenes cyanogens by Bhndari & Kawabata (2005). In 2008, Yoon et al. also documented Allantoin in rhizomes of Dioscorea. Allantoin is present in plants as a nitrogen storage form or as a result of the ammonia detoxification process in plant tissues

(Fujihara & Yamaguchi, 1978). Allantoin inhibits the activity responsible for its antidiabetic action of a-amylase and a-glucosidase. It has activities that are antioxidant, anti-hypergylcemic, and anti-dyslipidemic. Allantoin could be an excellent standard molecule for the quality control of *Diosorea* tubers due to their pharmacological significance. Each of these factors makes them sound pharmacological agents and a reliable source of isolation and novel chemical formulation to combat a variety of disorders.

#### 4.5.2 DIOSGENIN AS A RAW MATERIAL FOR STEROID

For the commercial development of 16-dehydropregnenolone acetate (16-DPA), which is a potent intermediate steroid drug obtained from diosgenin, there is a three-step procedure with an average performance of 60%. The steps are: diosgenin 3 acetolysis (isomerization) to peusdodiosgenin diacetate 4, Diosone 5 pesudodiosgenin diacetate 4 oxidation, Dioscone 5 hydrolytic degradation to 16-DPA 1 (Pritish Chowdhary, 2011) (Table 4.3).

SI.	Class	Compounds	References
No.			
1.	Steroidal saponins	Dioscoreanoside A-K, Dioscoreanoside B-K, Dioscin	Liu et al. (2009)
2.	Steroidal sapogenin	Spirostane Glycosides, Cholestane Glycosides Diosbulbisin A-D, Diosbulbisides A-C, Diosgenin, Sinodiosgenin	Das (2008); Komori (1997)
3.	Diterpenoids	Diosbulbin A-O, Diosbulbin P, 8-Epidiosbulbin E	Tang et al. (2014); Kuete et al. (2012)
4.	Flavanoids derivatives	Quercetin-3-O-β-dglucopyranoside, Quercetin- 3-O-β-d-galactopyranoside, Myricetin-3-O-β-D- galactopyranoside, Myricetin-3-Oβ-D glucopyranoside, 3,5-dimethoxy-kaempferol, 3,5,3'-trimethoxyquercetin, Caryatin, Hyperoside, Kaempferol- 3-O-β-D-galactopyranoside, Kaempferol-3-O- β-D-glucopyranoside, Kaempferol-3,5-dimethyl ether, Quercetin-3-O-galactopyranoside, Myricetin, Isoquercitrin, Lutein, Quercetin-3-O-β-D-glucopyranoside, 7-bis-(4-hydroxyphenyl)-4E, 6E-heptadien-3-one, 5,3,4-trihydroxy-3,7-dimethoxyflavone.	Gao et al. (2002); Liu et al. (2002)
5.	Carotenoids	Neoxanthin, Auroxanthin, Violaxanthin, Cryptoxanthine	Khare (2016)

TABLE 4.3 Steroidal Compounds of Dioscorea Species

SI. No.	Class	Compounds	References
6.	Phytosterols	Daucosterol, β-sitosterol, 3-O-β-D-glucopyranosyl-b- sitosterol, Stigmasterol	Liu et al. (2010); Teponno et al. (2006); Gao et al. (2002)
7.	Fatty acids	Palmatic acid, Succinic acid, Shikimic acid, Tetracosanoic acid, 1-(tetracosanoyl)-glycerol, Trans-tetracosanylferulate, Mono-arachidin, C22 ω-hydroxy fatty acid, 3-hydroxy- 5-methoxybenzoic acid, Batatasin III, Behenic acid, Ethyl ester of undecanoic acid, Z-1,9-dodecadiene (CH), n-Hexadecanoic acid, Ethyl ester of Eicosanoic acid.	Kamori (1997); Teponno et al. (2006); Tang et al. (2014)
8.	Tannins	Catechin, Protocatechuic acid, (+) Epicatechin, (-) Epicatechin	Gao et al. (2002); Tang et al. (2014)
9.	Volatile oils	Vanillic acid, Isovanillic acid	Gao et al. (2002); Gupta (1989)
9.	Glycoside derivatives	Dihydrodioscorine a) Methyl-O- $\alpha$ -D-fructofuranoside, Butyl-O- $\alpha$ -D-fructofuranoside, EthylO- $\beta$ -D- fructopyranoside, Butyl-O- $\beta$ -D-fructopyranoside, 3-phenyl-2-propenyl-O- $\beta$ -D-glucopyranoside, 2-(4-methoxyphenyl)-ethyl-O- $\beta$ -D-glucopyranoside, Phenyl-methyl-O- $\beta$ -D-glucopyranoside. Pennogenin, Pennogenin-3-O- $\alpha$ L rhamnopyranosyl-(1 $\rightarrow$ 3)-[ $\alpha$ - L-rhamnopyranosyl-(1 $\rightarrow$ 2)]- $\beta$ -D-glucopyranoside, Pennogenin-3-O- $\alpha$ L rhamnopyranosyl-(1 $\rightarrow$ 4)-[ $\alpha$ - L-rhamnopyranosyl-(1 $\rightarrow$ 2)]- $\beta$ -D-glucopyranoside, 3-O- $\alpha$ -L-rhamnopyranosyl-(1 $\rightarrow$ 2)-[ $\alpha$ -L-rhamnopyranosyl-(1 $\rightarrow$ 2)]- $\beta$ -D-glucopyranosyl-(1 $\rightarrow$ 2)-[ $\alpha$ -L-rhamnopyranosyl-(1 $\rightarrow$ 2)-[ $\alpha$ -L-rhamnopyranosyl-(1 $\rightarrow$ 4)- $\alpha$ -L-rhamnopyranosyl-(1 $\rightarrow$ 4)-[ $\alpha$ -Lrhamnopyranosyl-(1 $\rightarrow$ 4)- $\alpha$ -L-rhamnopyranosyl-(1 $\rightarrow$ 4)- $\alpha$ -L-rhamn	Das (2008); Tang et al. (2014); Shriram et al. (2008); Adetoun & Ikotum (1989)

**TABLE 4.3** (Continued)

# 4.6 PHARMACOLOGICAL ACTIVITY

# 4.6.1 ANTI-CANCER ACTIVITY

Steroidal compound cancer therapy is becoming an enticing option for medical chemists, and several active molecules have demonstrated this activity (Selim, 2015). Diosgenin has also been investigated to demonstrate a chemopreventive/therapeutic effect against cancers of multiple organs, and

it has been determined that this chemical is extremely important as a possible anticancer drug (Chen et al., 2015).

#### 4.6.2 IN VITRO ANTI-CANCER EFFECT OF DIOSGENIN

Diosgenin, the main steroidal sapogenin present in Dioscorea, has been shown to powerfully suppress comtitutively activated pro-inflammatory and pro-survival signaling pathways and induce apoptosis in a different of cancer cells (Shishodia & Aggarwal, 2006). Shishodia and Aggarwal's previous research (2006) stated that in RAW 2647 macrophage cells, diosgenin abrogated TNF-alpha-induced NFkB activation and suppressed osteoclastogenesis. In Her-2 positive breast cancer cells, diosgenin downregulates the expression of AKT, mTOR, JNK and their related pro-survival signaling pathways, causing apoptosis (Chiang et al., 2007). Further, Li et al. diosgenin could inactive the STAT3 signaling pathway in cells of hepatocellular carcinoma (HCC). Diosgenin repressed STAT3 transcriptional activity as well as the expression of its downstream gene products implicated in proliferation, invasion, and metastasis. Further, HCC cells are made more sensitive to doxorubicin and paclitaxel by diosgenin, which promotes apoptosis in a synergistic way, indicating that diosgenin is a possible bioactive constituent for curing HCC and other cancers (Li et al., 2010). Diosgenin inhibited dose and time-dependent apoptosis in skin squamous cell carcinoma cells A431 and Hep2 (Das et al., 2012). It is noted that HT-29 colon cancer cells are immune to TRAIL-induced apoptosis. Moreover, inhibiting the p38/ MAPK signaling pathway and DR overexpression, it strongly inhibited cell proliferation and triggered apoptosis (Lepage et al., 2011). In addition, Romero Hernandez et al. showed that diosgenin-derived thio (seleno) ureas and glycomimetics with 1,2,3-tiazolyl tether on C3 showed more potent anticancer potential in breast cancer cells of MDA-MB-231 and MCF7, hepatocellular carcinoma cells of HepG2 and induced apoptosis compared to their parent compound diosgenin. In another analysis, the combination of diosgenin and methotrexate was considered more effective than the parent diosgenin inhibitor in inhibiting both the growth of transport-resistant breast cancer cells and dihydrofolate reductase (the enzyme involved in DNA synthesis) (Cai et al., 2016). In chronic myeloid leukemia cells, diosgenininduced autophagy disrupted the mTOR signaling pathway and triggered apoptotic cell death (Jiang et al., 2016). Diosgenin mediated cytotoxicity and significantly inhibited the dose and time-dependent growth and proliferation

of MCF-7 breast cells. Diosgenin has also been found to be effective in the prevention of breast cancer caused by N-nitroso-N-methylurea in rats (Jagadeesan et al., 2013). As a result, diosgenin exhibits antiproliferative effect on prostate cancer (PC-3 and DU 145 cells), colon cancer (HCT-116 and HT-29 cells), erythroleukamia (HEL cells), squamous carcinoma (A431, Hep2, RPMI 265 cells), hepatocellular carcinoma (HepG2 and HCC cells) (Selim, 2015; Kim, 2012; Yli, 2015). Gastric cancer (BGC-823 cells), breast cancer (MCF-7), lung cancer (A549 cells) (Mohammad, 2013), and human chronic myeloid leukemia (K562 cells) (Jiang, 2016). In various stages of tumorigenensis, diosgenin is assumed to cause apoptotic cell death and thus prevent its malignant transformation (Tong, 2012; Chen, 2015; Raju, 2012). The antimetastatic effect is also demonstrated by diosgenin reducing cell migration and incursion by decreasing the expression of matrix metalloproteinase (Chen, 2011). All of the studies listed above have made major disclosures about the possibility of diosgenin being used as a new cancer treatment. Efforts are therefore being made to exploit the ability of diosgenin as an individual drug and with several other bioactive compounds to monitor the growth and spread of different types of human tumors and to investigate their possible mode of action.

# 4.6.3 ANTI-INFECTIOUS ACTIVITY

Plant compounds have been assessed for their antimicrobial activity against fungi, bacteria, protozoa, and viruses. All tested species, such as Candida albicans, C. glabrata, and C. tropicalis, are associated with human pathogens (Sautour et al., 2004). Sapogenin was, on the other hand, sensitive to numerous Gram-positive (Bacillus subtilis, B. cereus, Staphylococcus, and S. epidermis) and various gram-negative (E. coli and S. typhi) pathogens (Khan et al., 2015). D. bulbifera L. (bulbils) has antimicrobial activity against Klebsiella pneumonia, Escherichia coli, Proteus vulgaris, Staphylococcus aureus, Aspergillus niger, A. Flavus, A. fumigatus, and Rhizopus nigricans was reported by Seetharam et al. in 2003. Furthermore, diosgenin has been proven to be effective due to its antioxidant properties in certain viral diseases and can be effective in HIV patients with dementia (Turchan et al., 2003) and also exhibits anti-viral activity in *in-vitro* studies against Hepatitis C Virus (HCV). Diosgenin works by reducing plasma cholesterol, and HCV needs cholesterol to multiply efficiently, which shows an antiviral impact (Wang, 2011). In addition to throat pain, D. bulbifera has also

been used in Chinese medicine to treat gonorrhea and syphilis. The aqueous extract of E. coli was found to have most strong activity against E. coli, whereas the ethanol extract was found to be superior against S. aureus and C. albicans (Jiang et al., 2016). Recently, norditerpene compound 8-epidiobulin E acetate from D. bulbifera bulbs has broad range potential for the treatment of antibiotic resistance plasmid (R-plasmids) from clinical isolates, while low antimicrobial activity (MIC >  $400 \mu g/mL$ ) has been shown for all tested pathogens except E. coli (pUC18) with MIC=200 µg/mL. However, Enterococcus faecalis, E. coli, Shigella sonnei, Peudomonas aeruginosa, and Bacillus subtilis inclinal strains of R-plasmid were cured effectively. The reduction in the MIC of antibiotics against MDR bacteria due to 8-epidiosbulbin E acetate R-plasmid treatment has given evidence for the novel mechanism behind the successful antibacterial treatment of D. bulbifera (Adetoun & Ikotun, 1989). D. bulbifera tubers are used against typhoid fever caused by Salmonella typhi and paratyphoid fever caused by S. paratyphi A and S. paratyphi B in the western highlands of Camerron. When tested against S. typhi, S. paratyphi A, S. paratyphi B, Peudomonas aeruginosa, E. coli, K. pneumonia, and S. aureus using both agar diffusion and broth dilution techniques, two clerodane diterpenoids, bafoudiosbulbins A and b were revealed to have antibacterial activity. Bafoudiosbulbin A and B both selectively exhibited bactericidal activity against S. typhi, S. paratyphi A, and S. paratyphi B (Woo et al., 2014).

#### 4.6.4 ANTI-INFLAMMATORY AND IMMUNOLOGICAL ACTIVITY

An anti-inflammatory activity in mice was conducted in which it was shown that diosgenin has an inhibitory effect on the development of superoxide in activated bone marrow neutrophils, and it was found that this steroid inhibited the generation of extracellular and intracellular superoxide anion effectively and concentration dependently. In addition, this effect was linked with the suppression of signaling pathways of cAMP, PKA, cPLA 2, Akt, and MAPKs (Lin, 2014). In addition, anti-inflammatory activity was observed in vascular smooth muscle cells (VSMC), and diosgenin was shown to decrease the adhesive ability of VSMC and TNF alpha cells by inhibiting mitogen-activated kinase (MAPK)/protein kinase B (ICAM-1) and vascular cell adhesive molecules (VCAM-1) in VSMC. Further, diosgenin may be beneficial in osteoarthritis, i.e., synovial inflammation and articular cartilage deterioration, due to its anti-inflammatory and immunomodulatory

activities. In this context, it was investigated that this compound inhibits IL-1 β-induced expression in human osteoarthritis chondrocytes by inflammatory mediators, including metalloproteinase 3 and 13, iNOS, and COX-2 (Wang, 2015). Further, Diosgenin's role in food allergy regulation was also explored in Balb/c mice, and there was evidence of a suppressive effect on intestinal inflammation, including diarrhea, mast cell degranulation, and the presence of mucin-containing goblet cells in the duodenum. Further, diosgenin has been found to have anti-allergic action in vivo to be correlated with the suppression of the development of the IgE and the degranulation of mast cells. Additionally, long diosgenin therapy suppresses phthalic anhydride production-induced skin inflammation using transgenic IL-4/ Luc/CNS-1 mice with human II-4 promoter and enhancer IL-4 (CNS-1) regulated luciferase cDNA was also evaluated. The finding supported the association between IL-4 and the suppression of this steroid in skin inflammation caused by repeated dermal phthalic anhydride exposure. Owing to its anti-inflammatory and immunomodulating properties, diosgenin may also be of engrossment in this illness because osteoarthritis is characterized by articular cartilage degeneration, and synovial inflammations are both on the rise. In this sense, diosgenin has also been shown to increase the expression of receptors for VEGF, angiopoietin, and endothelial tyrosine kinase and may, therefore, be a molecule of interest for rheumatoid arthritis.

### 4.6.5 ANTI-DIABETIC ACTIVITY

Different studies indicate that in experimental models, Diabetic effects have been observed in yam tubers containing diosgenin and other chemicals (Omoruyi, 2008). In fact, Diabetic treatment with diosgenin could be beneficial by encouraging adipocyte differentiation and suppressing inflammation in adipose tissues. As a result, diosgenin may be advantageous to the patient's health in the obesity-related glucose metabolic disorder. Diosgenin has been reported in other model system in this situation to contribute to a reduction in plasma and hepatic triglycerides, which could be effective in the treatment of hepatic dyslipidemia associated with diabetes. In streptozotocin-induced diabetic rats, diosgenin decreased blood plasma glucose levels. In addition, these studies have shown that diosgenin increases the function of vital enzymes involved in diabetes-changed glucose metabolism (MacAnuff, 2005). Further, studies on lipid accumulation in pre-adipocytes 3T3-L1 in type 2 diabetic rats have shown that diosgenin (0.1 to 10 µmol-L-1

concentration) can promote PPARy (peroxisome proliferative-activated gamma receptor) expression and adipocyte differentiation leading to diosgenin hypolipidemic impact (Sangeetha, 2013). This compound also has a beneficial impact on IKKB/IRS-1-dependent insulin resistance, is linked to endothelial dysfunction, and can be, therefore, useful in the prevention or therapy of cardiovascular disorders associated with resistance and diabetes (Gao et al., 2002). Diabetic rats were subsequently given chronic diosgenin administration, which was found to have a hypoglycemic impact as well as the ability to restore vascular responsiveness via endothelium-dependent and independent mechanism, at least partially countering lipid peroxidation, apoptosis, and inflammation (Adetoun & Ikotun, 1989). It was also found in another investigation that glucokinase activity of glucose-6-phosphatase and fructose-1,6-bisphosphatase increased in the liver. In addition, among other positive improvements in many diabetes-related parameters, diosgenin supplementation reduced blood glucose levels in diabetic rats relative to the group of rats who were fed a normal diet. This outcome is consistent with previous investigations suggesting that diosgenin has hypoglycemic characteristics (Komori, 1997).

#### 4.6.6 ANTI-HIV ACTIVITY

Seven metabolites extracted from ethyl acetate and water fractions of *Dioscorea bulbifera* bulbils were evaluated for anti-HIV 1 integrase activity. The most potent anti-HIV 1 integrase activity (IC<sub>50</sub> 3.15 mM), followed by 2,4,6,7-tetrahydroxy-9,10-dihydrophenantheren (IC<sub>50</sub> 1/414.20 mM), quercetin-3-O-b-D-glucopyranoside (IC<sub>50</sub> 1/419.39 mM) and quercetin-3-O-b-D-galactopyranoside (IC<sub>50</sub> 1/421.80 mM) was shown by flavonoid myricetin. Asp64, Thr66, Glu92, Asp116, Gln152, Asn155, and Lys159 interacted with these compounds, which are involved in integrase enzyme 3' processing and strand transfer reactions (Ahmed et al., 2009; Okon & Ofenu, 2013).

#### 4.6.7 ANTIOXIDANT ACTIVITY

Hydroalcoholic extract of *D. bulbifera* tuber (doses of 100, 200, and 400 mg/kg) reversed indomethacin-induced gastric ulcers associated with free radical alterations can boost antioxidant activity by causing a significant increase in peroxidase and catalase while reducing glutathione peroxidase,

decreasing glutathione and tissue lipid peroxidation. The scavenging ability of consecutive extracts viz. petroleum ether, ethyl acetate, methanol, and 70% ethanol of *D. bulbifera* bulbs was examined against pulse radiolysis produced by ABTS+ and OH radicals using biochemical methods in addition to DPPH, superoxide, and hydroxyl radicals. Enzymatic assays of antioxidant activity (glutathione peroxidase, catalase, superoxide dismutase, glucose-6-phosphate dehydrogenase, and glucose-s-transferase) and non-enzymatic assays (reduced glutathione, Vitamin C, and E) have also been shown in ethanol extract from *D. bulbifera*.

# 4.6.8 NEUROPROTECTIVE ACTIVITY

Certain *Dioscorea* species have been traditionally used to alleviate memory problems and dementia, including Alzheimer's and other neurodegenerative diseases (Yang et al., 2009). The chloroform extract of *D. oppositifolia* rhizomes significantly decreased the dose dependent glutamate induced toxicity with a maximum neuroprotective effect of 10 µg/mL (Tang et al., 2009). Further, the chemicals extracted from *D. nipponica* rhizomes also had antineuroinflammatory and neuroprotective properties, with 3,7-dihydroxy-2,4,6-trimethoxy-phenanthrene being the most potent. With 162.36% stimulated and a strongly reduced nitric oxide (NO) level with an IC<sub>50</sub> value of 19.56 µM in LPS-activated BV2 microglial cells, the latter was the most effective nerve growth factor (NGF) inducer. It also greatly increased the outgrowth of neuritis in mouse neuro2a (N2a) cells and demonstrated considerable neuroprotective activity (Woo et al., 2014).

# 4.6.9 ANTI-PANCREATITIS AND ANTI-ARTHRITIC ACTIVITIES

Several compounds at a concentration of 0.5 mm were isolated from the rhizomes of *D. zingiberensis*, i.e., (3R,5R)-3,5-dihydroxy-1-(3,4dihydroxyphenyl)-7-(4-hydroxyphenyl) heptane displayed anti-pancreatitis activities with an inhibitory effect of 19% on sodium taurocholate induced pancreatic acinary necrosis (Du et al., 2017). Another research recorded that the steroidal saponins extracted from the *D. zingiberensis* rhizomes demonstrated anti-arthritic activities on the 2,647 macrophage cells stimulated by the LPS by inducing both p65 and IkBa protein expressions (Zhang et al., 2014).

### 4.6.10 ANTI-NOCICEPTIVE ACTIVITY

The study of the anti-nociceptive effect of *D. bulbifera* L. var sativa (MEDB) bulb of methanol extract was carried out by Nguelefeck et al. (2010). After two doses, the effect of (MEDB) on CFA-induced hypernociception at 250 and 500 mg/kg lasted for 5 days. MEDB significantly inhibited pain caused by acute lipopolysaccharides (LPS) at 500 mg/kg, but it had little effect on spontaneous nociception induced by thermal hypernociception and capsaicin. Nitro-L-arginine methyl ester (1NAME) or glibenclamide antagonized that in the prostaglandin E2 (PGE2) model, MEDB has antinociceptive effects. This showed that the antinociceptive effect of the plant was due to partial activation of the NO-cGMP-ATP-responsive potassium channel pathway.

#### 4.6.11 ANTICOAGULANT AND ANTITHROMBOTIC EFFECTS

In *in-vitro* and *in-vivo* models, diosgenin has been shown to exert dosedependent antithrombotic activity in rats by inhibiting platelet aggregation and thrombosis and by prolonging APTT, PT, and TT. This compound also prolonged bleeding coagulation cycles and increased the rate of defense in mice, again in a dose-dependent way (Gong et al., 2011; Zhang et al., 2013). More recently, this steroid and its structural counterpart have been recently found to prevent blood clotting by blocking platelet aggregation (Zheng et al., 2016). Because of this interesting consequence, to increase the drug solubility of the medications and their distribution throughout the body, a diosgenin derivative (theophylline diosgenin) and uracil-ended poly(ethylene glycol) were combined to form a pro medication.

#### **4.7 PATENT**

The invention concerns a *Dioscorea* extract and its use in improving the proliferating of some bone marrow and spleen cells, improving the immune system, and treating infections and cancer with immunotherapy. An extract from *Dioscorea* tuber was used to develop the new product, which can increase the activity of the NF-kB-inducible composite promoter ELAM1, promoter GMCSF, and protects animals against certain cancers. This extract can also help to strengthen your immune system for the treatment and

recovery from physical/chemical harm of pathogen infection and cellular transformations. An extract from *Dioscorea* tuber, which is soluble in water and insoluble in 70–80% ethanol, between 0°C and 25°C, is a feature of the invention. The extract can be prepared from *D. batatas* Decne, *D. alata* L., D. *pseudojaponica* or *D. alata* L. *var. purpurea* (Roxb.) M. Pouch. An immunogenic composition containing an antigen and an adjuvant agent is also included in the invention, wherein an extract from *Dioscorea* tuber is used as an adjuvant agent.

The other innovation offers a method for the treatment of osteoporosis in subjects in need of such treatment, including oral administration to subjects of an appropriate quantity of *Dioscorea* extract capable of promoting the proliferation and differentiation of bone marrow cells in subjects in which the species of *Dioscorea* is characterized by spontaneously amplified polymorphic DNA. The invention further includes methods for inducing drowsiness, reducing inflammation, and relieving pain employing a new extract from *Dioscorea hispida*. With certain sedatives, such as barbiturates, being linked to nasty drug reactions and being abused, as well as the problems connected with existing anti-inflammatory agents, *D. hispida* extract might be a possible option. This idea intends to provide a more effective but less expensive alternative to currently available sedatives, anti-inflammatory agents, and pain medications.

#### **KEYWORDS**

- anti-HIV activity
- antioxidant activity
- Dioscorea spp.
- distribution
- diversity
- immunological activity
- neuroprotective activity
- pharmaceuticals
- taxonomy

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# Eucalyptus spp.: A Wonder Tree

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# ABSTRACT

Eucalyptus (Eucalyptus spp.) is a large genus of the Myrtaceae family, includes 900 species and subspecies. The enhanced popularity (for significant uses) and wide adaptability to different conditions of eucalyptus tree motivated many government and private organizations to adopt its cultivations at a commercial scale. In low fertile land or in wasteland, it can be grown successfully. It meets the requirements of people and industries and has helped to reduce pressure on natural forests. In recent decades, the demand for Eucalyptus plant-derived products for therapeutic uses has amplified. So, utilization of essential oils extracted from its multiple plant parts for clinical, industrial, and various biological purposes viz. antimicrobial, antioxidant, anti-inflammatory, antiseptic, astringent, antitumor, antihistaminic, anticancer cytochrome p450 inhibitor and hepatoprotective effects have been commercialized in food and cosmetic industries. Eucalyptus essential oil contains several constituents' like 1,8-cineole ('eucalyptol,' the principal component),  $\alpha$ -pinene,  $\beta$ -myrcene (1.5%),  $\beta$ -pinene, limonene,  $\alpha$  phellandrene,  $\gamma$ -terpinene, linalool, pinocarveol, terpinen-4-ol, and α-terpineol extracted basically from leaves. Array of pharmaceutical components demonstrated a range of pharmacological effects including hypoglycemic action. The volatile and non-volatile constituents of Eucalyptus include monoterpenes (hydrocarbon and oxygenated monoterpenes), sesquiterpenes (hydrocarbon and oxygenated sesquiterpens) and tri-terpenoids, flavonoids, flavanols, gallotannins, quercetin, euglobals,

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procyanidins, macro-carpals. Discrimination of inter-specific hybrids from contaminants like *E. urophylla, E. grandis,* and *E. saligna* using RAPD and Simple Sequence Repeat (SSR) markers to obtain an exclusive fingerprint of its hybrids with high potential for vegetative propagation used in breeding programs have also been executed. Overall, strong and effective Plant Variety Protection and Farmers Right (PVPFR) is important for strengthening the safety issues of grass-root level farmers.

#### 5.1 GENERAL INTRODUCTION

Eucalyptus, is a member of the family 'Myrtaceae' (tribe - Eucalyptae), commonly known as 'Eucalypt.' Approximate 700-900 species of Eucalyptus are available which secure its position next to the largest genera namely Acacia. During 1850s, first Eucalyptus was introduced in 90 different countries among which Australia and Tasmania are most popular for having wide germplasm (Brooker, 2000) but some species had also found out from the outskirts of Australian territory (Eldridge et al., 1994). As for example, Eucalyptus deglupta is prevalent in Sulawesi and Ceram (Indonesian islands), Mindanao (Southern Philippines), Northern New Guinea and New Britain while E. urophylla, E. orophila, and E. wetarensis exist in Timor and Sunda group of islands (Pryor et al., 1995). Among all existing species, E. globulus (known as Australian Eucalyptus or 'Blue gum' [in English] or 'Karpuramaram' [in Tamil]) is widely distributed all over the world especially in subtropical and Mediterranean regions. By habit, it's a tall, evergreen, flowering trees and shrub form woodlands. Their stem bark may be smooth, fibrous, hard or stringy, leaves sickle shaped with oil glands exude oil during extraction, tepals (when sepals and petals got fused with each other) form an 'Operculum' or 'Cap' above the stamens, fruit is woody capsule, known as 'Gum-nut.' They prefer to grow at high rainfall to semi-arid regions at the altitude ranges from sea level to subalpine. The potential of this tree to re-sprout after the fire issue prove its fire adaptation, one of the prime features of forest tree species. Since time immemorial, the use of Eucalyptus for ethnomedicinal purposes by aboriginals is wellknown. Barring its utilizations as forest timber, fuel, paper pulp and food, its environmental uses, like erosion control caused by water and wind and also as a vital source of essential oils (used in perfumery and floral art and crafts industry) are noteworthy. Many countries are adopting eucalyptus plantations at commercial scale for its appropriateness in cosmetics, food, and beverage industry as well as for aromatherapy and phyto-therapy. In contrast, the highly inflammable nature and growth inhibition impact on other plants existing in its vicinity sometimes restrict its cultivation. The 'Allelopathic' effect (chemical interaction b/w plants) (Naithani, 2017) caused due to allelochemicals of Eucalyptus is evident by various advanced research. The herbicidal properties of the identified allelochemicals had been reported by Zang & Fu (2009). They reported the weed control potential of those allelochemicals.

Eucalypts for its multifaceted uses (pulp and paper production, charcoal, energy, furniture, housing, etc.) got prime attention since ancient times. Rapid growth, sumptuous biomass production ability (good quality of wood, short cellulose fiber, etc.) and adaptability to wide range of environmental conditions are chief reasons for its successful cultivation and fame. During early 1900s, the eucalyptus plantations throughout the long stretch of railway tracks in Brazil and South Africa supplied significantly sumptuous amount of charcoal for locomotives. According to FAO (2000), total 16–19 million hectares of eucalyptus plantation was found in Asia, South America, Southern Europe and Africa. *E. grandis, E. globulus, E. camaldulensis –* these three are mostly used for commercial plantation purpose while *E. grandis* and *E. urophylla* used in tropical and subtropical regions and *E. globulus* in temperate regions (Potts, 2004).

Cloning techniques assist significantly for spreading of commercial plantations of Eucalyptus, introduced in Popular Republic Congo in 1975 (Delwaulle et al., 1983). The rooted cuttings of eucalyptus hybrids possess long, clear, straight trunk and could yield good quality of woods are employed for its extensive plantations. Uniform inheritance of traits from parents to offspring is one of the merits of asexual propagation which attract the attention of paper and pulp industries. High quality of timber and uniform biomass production – these two are prime factors for pulp production, hence, vegetative propagation gets utmost importance for Eucalyptus. Micropropagation for Eucalyptus had been introduced during mid of 1970s (Durand-Cresswell et al., 1985) and till date it is the most the most feasible way of propagation for selected genotypes of Eucalyptus at commercial scale. Due to its burgeoning demand, exclusively in South America and Africa province, it seems mandatory to approach for more advanced breeding programs and also to introduce exotic species from its native place Australia.

During last 1 decade, Agrobacterium was used as vector for the genetic transformation needed to develop transgenic eucalyptus trees (Gonz'alez et al., 2002). Diversity analysis with aid of genetic makers is also another effective mode for appropriate selection of eucalyptus cultivars for breeders to

get disease and stress tolerant and high-quality wood yield varieties. Except this technique, expressed sequence tag (EST) sequencing programs are most advanced biotechnological tools for further improvement of eucalyptus tree.

According to Kadambi (1944) eucalyptus tree was first planted in India by Tippu Sultan during 1790 in his Nandi hills garden at Bangalore but Bhatia (1984) cited that the ruler of Mysore, Tippu Sultan had summoned eucalyptus seeds from Australia followed by planting of about 16 species in India. In India E. tereticornis, E. grandis, E. citriodora, E. globulus and E. camaldulensis species are quite popular. Extensive research had been made on biologically active ingredients of Eucalyptus (Indian origin) essential oil and also on its antimicrobial potential. According to Bhatia (1984), there is no available information on chemical constituents of essential oil extracted from leaves of E. globulus grown in Dehradun, India. First fossil record of Eucalyptus was found in Laguna del Hunco deposit in Chubut province, Argentina during Eocene ear (51.9 Mya) (Hermsen et al., 2012). According to palynological survey report, the oldest eucalyptus fossil was found in South America which was of course not the endemic place for this genus but proves its Gondwanan distribution. Few authors also mentioned about the evidence of eucalyptus leaf fossils found during Miocene era in New Zealand where eucalypts are not endemic (Hermsen et al., 2012). Even after being the native place of Eucalyptus (contributes 75% of total vegetation), the fossil record of Cenozoic era is quite finite in modern Australia. Around 21-million-year oil tree-stump is the most authentic macrofossil of Eucalyptus among other available fossil records preserved in basalt rock at Lachlan Valley in New South Wales (Alley et al., 1999). Not a single specimen of Eucalyptus was found during the investigations made on fossil floras of Paleocene to Oligocene of South-Eastern Australia. Benrow et al. (1995) explained the aforementioned condition based on the hypothesis that the abundance of mesic non eucalypt vegetation (during mid-tertiary era) was found at the continental margins of Australia while the arid continental interior vegetations contained enough eucalypts. Eucalypts replaced the most of all mesic and rainforest vegetations situated at the continental margins due to successive drying phenomenon since Miocene (Berry, 1916). Afforestation programs are the key reasons of super-dominance of Eucalyptus in Australia. Callen & Cowley (1995) found the correlation between the increase of eucalyptus pollens and the increased sediments of charcoal although its rate varied all over Australia. The afore stated information proves a link between increased rates of artificial fire phenomenon by the intervention of aboriginals and the augmented number of this highly fire-tolerant genus in Australia.

Eucalyptus tree is distributed in tropical regions. According to Pandey (1992) in tropical Africa, 3 million hectares of land, in total, were under eucalyptus cultivation during 1990 but the total land area under its cultivation was reduced to 1.7 million hectares during 1980. In tropical America and Asia, the areas under eucalyptus plantation were 8.6 and 32.3 million hectares in 1990 respectively while 4.9 and 11.2 million hectares in 1980, respectively. Pandey (1992) reported the mean annual rate of 127, 373, and 2,112 for forest plantation using Eucalyptus in tropics. In these 3 regions, the total estimated areas under the plantation of key eucalyptus species (E. *globulus*) are 10.06 million hectares.

Modern trend of using eco-friendly products as compared to synthetic ones strengthening the utilization of plant-based products in food, drinks, toiletries, and cosmetics sectors. Eucalyptus essential oils are of great importance in diversified field (like food, pharmaceutical, aromatherapy, perfumes, antibacterial-antifungal, and insecticidal-pesticidal agents, etc.) (Vecchio & Clara, 2016). Foliage(s) of Eucalyptus are the chief source of essential oils, extracted using Steam/hydro-distillation method. The key component of eucalyptus essential oil is 1,8-cineole (cineole or eucalyptol) which makes it medicinally worthy (cineole or eucalyptol) (Begossi, 1996). Other range of chemical constituents like terpenes and its oxygenated derivatives (mono and sesqui-terpenoids), hydrocarbons, aromatic derivatives, esters, and alcohols found to be present in eucalyptus EO. Essential oil of Eucalyptus is used basically as folk medicine and also as anesthetic, vermifuge, sedative, suppurative, rubefacient, stimulant, disinfectant, deodorant, astringent, anodyne, antiphlogistic, antiseptic, diaphoretic, expectorant, febrifuge, antiperiodic, hemostat, inhalant, insect repellent, fumigant, preventative, tonic, and rubefacient (Lo Cantore et al., 2009). Barring the 9 commercially and medicinally vital eucalyptus species, E. cinereal, E. cneorifolia and E. macarthurii are also important. The former 2 species used extensively for its medicinal properties while the latter one in perfumery industry. The insect and pest repelling potential and antioxidant properties of leaf extracted essential oil of Eucalyptus is well-known. The Tasmanian Blue-gum (E. globulus) is the chief essential oil extracting species having straight, strong, blotched trunk, blue-green foliage(s) and creamy white blooms (Damjanović-Vratnica et al., 2011; Dixit et al., 2012). In Tasmania, it is mainly cultivated for its timber, pulp, and essential oils. The ethno-medicinal and therapeutic significance of E. citriodora, E. camadulensis and E. urophylla are noteworthy. The first species is used as analgesic, anti-inflammatory, and antipyretic to heal respiratory infections (like cold, flu, and sinus congestion, etc.) while the latter two

species used as antibacterial, antifungal, analgesic, and anti-inflammatory agents and also for their antioxidative and antiradical activities (Vecchio & Clara, 2016). The quantity and quality of Eucalyptus essential oils vary greatly owing to the time of harvesting and other environmental conditions.

# 5.2 AREA AND PRODUCTION

# 5.2.1 PLANTATION AREAS IN THE TROPICS

The afforestation strategies during 1981–1990 had been adopted with an aim to plant 2.6 million per ha of forest tree species annually to increase the green cover area in tropics of 43.8 million ha. In India, Indonesia, Brazil, Vietnam, and Thailand, 85% of the total green area was established. Around 60% of the total estimated areas were planted with other non-commercial tree species (those areas known as 'non-industrial' areas) and rest of the area (around 10 million ha) was planted with the genus *Eucalyptus* (Ball, 1995).

# 5.2.2 ASIA AND THE PACIFIC REGION

Major enhancement of the total forest areas was made during 1981–1990 in Tropical Asia and pacific regions. The planting was made at the rate of 2.11 million ha year<sup>-1</sup> (81% of gross area). This percent of afforested areas has only covered  $\frac{1}{2}$  of the total deforested areas. Further plantations with more good quality wood harvesting species (like *E. globulus*) are needed although several provisos still existing (Ball, 1995). South Africa, Portugal, Spain, Brazil, Australia, Chile, and Swaziland – these are the significant producers of eucalyptus oil but China is the highest producer of it (contributes 75% of total world's trade). Although the quality of oil produced by China is inferior due to its blending nature (cineole mixed with camphor) rather than the true eucalyptus oil (Gauthier, 1991).

The amount of major chemical constituents usually varies depending on the species. As for example, *E. kochii* and *E. polybractea* contain significant amount of cineole content (80–95%) although globally *E. globulus* has secured its position. According to British Pharmacopoeia, at least 70% of the cineole content in essential oils can qualify its pharmaceutical grade. According to Campinhos (1993), to meet the global requirement of medicinal and perfumery grade eucalyptus essential oil, 3,000 and 1,500 tons of total eucalyptus production per annum was needed during 1991. Piperitone, phellandrene, citral, methyl cinnamate and geranyl acetate – these are the non-cineole components of eucalyptus essential oil. Varying proportions of them require rectification to upgrade the qualitative and quantitative value of essential oils. Based on the composition and end-use, *Eucalyptus* oils are classified into 3 groups *viz*. medicinal, perfumery, and industrial. The utmost important component is 'Cineole' (a transparent mobile liquid but may turn into yellow color as its age proceeds) of woody-sweet scent (Ball, 1995) (Table 5.1).

Countries	Up to Year End 1980			Up to	Average		
	Industrial	Non-Ind.	Total	Industrial	Non-Ind.	Total	Annual Rate
Bangladesh	128	32	160	189	146	*335	1,480
Bhutan	2		2	5		5	
India	1,536	2,950	4,486	5,670	13,230	18,900	
Nepal	19		19	55	25	80	
Pakistan	_	160	160	88	152	240	
Sri Lanka	112	18	130	180	18	198	
South Asia	1,797	3,160	4,957	6,187	13,571	19,758	
Laos	4		4	4	2	6	140
Myanmar	30	25.7	55.7	222	112.8	334.8	
Thailand	63	272.4	335.4	377	378.5	775.5	
Vietnam	60	1,340	1,400	600	1,500	2,100	
C.S.E Asia	157	1638.1	1795.1	1,203	1993.3	3196.3	
Indonesia	1,446	2,564	4,010	1,430	7,320	8,750	482
Malaysia	26		26	115.5		115.5	
Philippines	65	235	300	60	230	290	
S.E. Asia	1,537	2,799	4,336	1605.5	75.50	9155.5	
Fiji	40		40	90.2	13.8	164	16
N. Caledonia	7		7	10		10	
Papua N.G.	17	5	22	35	7.6	42.6	
Samoa	2		2	6		10	
Solomon Island	17		17	24.6		21.6	
Vanuatu	0	0	0	1	1	2	
Pacific Island	83	5	88	166.8	22.4	365.2	
Total	3,574	7602.1	111176.1	9162.3	23136.7	32.299	2,112

**TABLE 5.1** Reported Plantation Areas, Tropical Asia-Pacific Region ('000 ha)

\*Excludes about 3,00,000 ha of homestead plantations.

Source: Pandey (1992).

# 5.3 MARKETING AND TRADE

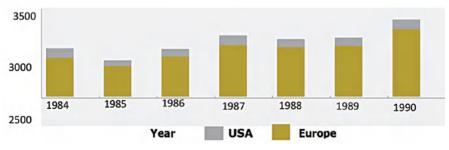
*Eucalyptus*, native to Australia is now cultivated throughout the globe to meet the rising demand of its essential oil extracted using steam distillation method by diversified manufacturing industries. *Eucalyptus* oil furnishes antioxidant protection, boost immunity, and progresses respiratory circulation. The burgeoning demand for eucalyptus essential oil especially in aromatherapy is really noticeable but along with it in the perfumery, cosmetics, food and beverage industries too its mandate is significant. The inclination towards using eco-friendly products encouraging research communities to develop array of new beauty formulations with almost zero side-effects. Additionally, its antiseptic quality causes its uses in medicinal supplements, pharmaceutical products related to hypertension and lung ailments. This multifaceted use of eucalyptus essential oil has augmented its trade all over the world which will be nearly US \$900 million revenues by end of 2022 end.

Raw material used for the production of eucalyptus essential oil is 'Leaf.' To maintain the pace with the current demand, the major problem intrinsically associated is the depletion of source (i.e., raw material *alias* eucalyptus leaf) emerging now-a-days owing to massive quantity of leaf requirement for yielding of an ounce of eucalyptus oil. The initial investment for production of eucalyptus essential oil is very high due to employment of many advanced equipment. The situation become more whammy for new entrants as along with the huge capital investment during initial phase, the extreme obstacles posed by established, leading entrepreneurs. Overall, key challenges counting high capital investment and certification procedure by government ultimately slowing down the growth rate of eucalyptus oil industry throughout the world (Retrieved from: https://www.factmr.com/media-release/79/eucalyptus-oil-market).

# 5.3.1 CURRENT AND FUTURE STATUS OF WORLD EUCALYPTUS OIL MARKET (SOURCE: BY FACT. MR REPORT, 2017 [LINK: HTTPS:// WWW.FACTMR.COM/MEDIA-RELEASE/79/EUCALYPTUS-OIL-MARKET])

1. Instead, the existing mode of distribution, the virtual distribution channel of eucalyptus oil can cause the highest CAGR by 2022.

- 2. According to the current statistics of global market for eucalyptus essential oil, the revenues earned by online selling of eucalyptus oil are not equivalent with the other segments of distribution channels.
- 3. In near future, Eucalyptus will experience the utmost demand from food and beverage industries followed by aromatherapy and therapeutic segments.
- 4. The sluggish rate of selling is envisaged for eucalyptus oil exclusively in perfumery industry by end of 2022.
- 5. The improved qualitative traits and eco-friendly nature of eucalyptus essential oil will enhance its sale with a moderate CAGR during 2022 end for consumers preference.
- 6. It is anticipated that the sale of organic eucalyptus oil will be more as compared to natural one during the forecasting period.
- Europe could be the most potential market for eucalyptus oil trade securing the steady CAGR by 2022. It is anticipated that Europe continent will alone contribute around 1<sup>1/2</sup> of total market share during 2017–2022.
- 8. Barring few continents of Asia Pacific (like Japan [APEJ], Middle East and Africa [MEA], and North America), the overall eucalyptus oil market is to witness a sluggish expansion through 2022.
- 9. *Eucalyptus globulus* will witness the utmost demand in terms of revenues.
- 10. Another 2 species namely *E. kochii* and *E. polybractea* are also vital for trading. The former one is anticipated to show quick growth while the latter one the sluggish growth in the global eucalyptus oil market by end of 2022 (Figure 5.1 and Table 5.2).



**FIGURE 5.1** Comparison of Europe vs. USA imports 1984–1990 in tons. *Source:* Foreign Agricultural Circular, USDA.

Product Type	Nature	Application	T Channel
Eucalyptus globulus	Natural	Therapeutics	Modern trade
Eucalyptus kochii	Organic	Aromatherapy	Franchise outlets
Eucalyptus polybractea		Food and beverages	Specialty stores
		Cosmetics	Online
		Toiletries	
		Fragrances	
		Cleaning and home	
		Others	

TABLE 5.2 Market Taxonomy

Source: Adapted from Fact.MR (2022)

Defining the current world-wide market for *Eucalyptus* oils is difficult as outlined by United Nations Food and Agriculture Organization. Republic of China is well-known for its huge production and trade of cineole-rich medicinal eucalyptus oil (accounts for 70% of gross output and marketing) and also perfumery oil (source – *E. citriodora*). The gross global demand of eucalyptus oil is yet to be quantified. The bottlenecks of production and domestic consumption statistics published by Republic of China are of limited importance for making analytical reports on eucalyptus oil trade. Apart from this, Portugal, Spain, and Australia are also the notable processors and importers of eucalyptus essential oil while they also export the imported quantity. Previous studies and current data sources have been distilled to extract the essential features. In summary the world consumption of eucalyptus oils (all species) is estimated to be about 7,000 tons per annum and growing (Pain, 2007).

The study related to previous market needs the updated information on current and future markets and draws on useful insights from previous studies (Pain, 2007). The majority of the world's eucalyptus oil is applied where its distinctive aroma and flavor provides it with niche markets. Currently most is sold for use in pharmacy as liniments, inhalants, and expectorants, flavor, and aroma applications in household products such as cleaning products. Research and development have shown potential new markets in pharmacy, insect management, industrial solvents, fuel additives and as raw materials for a range of industrial processes. Along with the production of sumptuous amount of eucalyptus oil, China also contributes for timber/wood industry. The global need of eucalyptus oil for pharmaceutical and domestic uses is 7,000 tons year<sup>-1</sup> while currently it is expecting to witness a stable price

#### Eucalyptus spp.

between US \$4,500–US \$5,000 tons<sup>-1</sup>. During the 1970s, several producers in Australia fell in the face of cheap imports from overseas, principally China. Recently there have been protests in India regarding cheap imports from China undermining attempts to expand the domestic industry. The eucalyptus oil industry in the Nilgiris has decided to approach the Union Government to impose an anti-dumping duty of 150% on Chinese oil imports in a bid to save the domestic industry. Domestic demand in India has been estimated at 400 tons per annum (Pain, 2007).

# 5.4 COMPOSITION AND USES

Among many other plant parts, 'Leaf' of eucalypts stores maximum amount of volatile oils. Ksey essential constituent of the volatile oil extracted from fresh laminas is 1,8-cineole while its quantity may vary from 54–95%. The other co-occurring constituents are like limonene,  $\alpha$ -terpineol, monoterpenes, sesquiterpenes, globulol, and  $\alpha$ ,  $\beta$  and  $\Upsilon$ -eudesmol, and few aromatic constituents. Gastrointestinal, anti-inflammatory, analgesic, antidiabetic, antioxidant, anticancer, antimicrobial, antiparasitic, insecticidal, repellent, properties, and oral, dental, dermatological, and nasal effects have been proved by several pharmacological studies.

# 5.4.1 CHEMICAL CONSTITUENTS OF THE LEAVES OF EUCALYPTUS GLOBULUS

The essential oil composed of basically oxygenated monoterpenes, monoterpenes, and oxygenated sesquiterpenes. Out of these, 1,8-eucalyptus (72.71%)  $\alpha$ -terpined (2.54%), terpiene-4-ol (0.34%), and linalool (0.24%) are chief oxygenated monoterpenes, while  $\alpha$ -eudesmol (0.39%), (–)-globulol (2.77%), and epilobulol (0.44%) are chief oxygenated sesquiterpenes. Other range of compounds are  $\alpha$ -terpineol acetate (3.1%), geranyl acetate (0.71%), L-pinocarveol (0.36%),  $\beta$ -sabinene (0.25%), and terpinolene (0.19%) but only meagre percent (0.26%) of constituents are unidentified (Sebei et al., 2015).

# 5.4.2 CHEMICAL ANALYSIS AND CONSTITUENTS OF E. GLOBULUS FRUITS

Around 15 compounds have obtained from *E. globulus* fruits. The identified phytochemicals are beta-sitosterol, betulinic acid, stigmasterol, euscaphic acid, macrocarpol B and A, 3-O-methylellagic acid 4-O-(2"-O-acetyl)-alpha-L-rhamnopyranoside, 2a-hydrobetuinic acid, ellagic, and gallic acid,

3-O-methylellafic acid and oleanic acid-3,4,3-O-trimethylelladic acid (Chalchat et al., 1995).

# 5.4.3 CHEMICAL CONSTITUENTS OF THE WOOD OF EUCALYPTUS GLOBULUS

Sterols, triglycerides, fatty acids, steroid ketones, sterol esters and hydrocarbons are key components usually obtained from the woody portion of *E. globulus*. Other co-occurring compounds are fatty alcohol, mono, and diglycerides, waxes, and tocopherols (Chalchat et al., 1995).

Several bioactive chemical constituents (like cineole (70%–85%), aroma dendrene limonene terpinene, pinene, cymene, and phellandrene) are known to heal some neurological diseases like headache, debility, and neuralgia. It has secured its reputation as immunity booster against chickenpox, cold, measles, and flu. Leucorrhea, cystitis problem of genitourinary system, sinusitis, catarrh, bronchitis, asthma, and throat infections could be also healed by eucalyptus oils. Except these, dermatological issues like insect bites, wounds, herpes, lice, cuts, burns, etc., could be healed using its extracted and processed oils. Ali et al. (2015) reported the potency of eucalyptus EO to treat joint pains (rheumatoid arthritis) and muscle aches. Its other afore mentioned properties also assist to recover several metabolic and infectious diseases. Hence, conclusively eucalyptus essential oil could be utilized to heal multidimensional human diseases (DamJaNoVić-VratNiCa et al., 2011; Tisserand & Balacs, 1995).

#### 5.4.4 USE OF EUCALYPTUS OIL AS AN INGREDIENT

'Cineole,' is an essential component to treat array of diseases (like inhalants, lozenges, ointments, cough, and cold, etc.) especially used to get rid of influenza and cold symptoms. Eucalyptol and  $\alpha$ -terpineol components give the oil a soothing and cooling nature, owing to that inhalation of eucalyptus oil vapor is a potential decongestant. Furthermore, for body massage and oral care, the potency of eucalyptus oil is unparallel (Ali et al., 2015).

# 5.4.5 REPELLENT AND BIOPESTICIDE

As bio-pesticide and insect repellent, cineole-based eucalyptus oil has secured its reputation. Vecchio et al. (2016) revealed that the registration of eucalyptus oil as insect and miticide was made during 1948 (Vecchio et al., 2016).

196

# 5.4.6 FLAVORING AND FRAGRANCE

The popularity of cineole-based eucalyptus oils in flavoring and perfumery industry is identified already. It is effective at the rate as low as 0.002%. In beverages, confectionaries, meat products and baked goods it is widely used as flavoring agent. Wide range of food spoilage microorganisms and pathogens could be effectively destroyed using the cineole-based eucalyptus oil. Cheap and low-quality flavoring agents like lemon ironbark, strawberry gum and non-cineole peppermint could be also used as optional items. In detergents, soaps, perfumes, and lotions its use as a fragrant component provides a soothing aroma while it also possesses pungent and intoxicating scent usually vary according to its concentration. The cleansing features allow it to use for oral rinses (Olayinka et al., 2012).

# 5.4.7 INDUSTRIAL

Mixing of 5% cineole-based eucalyptus oil, may fix difficulties associated with the separation between ethanol and petrol fuel mixtures. Eucalyptus oil can be also used as a fuel for having significant octane rating although sufficient confusions are there concerning its economic viability. In mining sector, the use of phellandrene- and piperitone-based eucalyptus oils is most conventional to separate sulfide minerals using flotation (Vecchio et al., 2016).

# 5.4.8 CLEANING

Anti-microbial and antiseptic properties allow range of eucalyptus oils to use for household cleaning purposes. It's a mandatory item (as solvent) used in commercial laundry (to clean woolens) especially to remove greasy and sticky residues/spots (Vecchio et al., 2016).

# 5.5 ORIGIN AND DISTRIBUTION

The super-dominance of diversified species of *Eucalyptus* tree (Family: Myrtaceae; synonymously known as 'Gum tree,' 'Red iron tree' or 'Safeda of Nilgiri') throughout the globe (specifically in sub-tropical and warm temperate parts) is evident by the formation of its characteristic vegetation.

It is indigenous to Australia, Tasmania, New Guinea and the neighboring islands. It was first introduced in Nilgiri Hills, Tamil Nadu by Britishers in 1843 for fuel and timber purpose (Sharma et al., 1986).

Brooker et al. (2002) explained the geological base of dissemination of eucalypts from Australia to other parts of the globe resorting the plate tectonics theory, reinforced by fossil evidences. According to them, earlier the single super-continent Gondwana comprised of Madagascar, Africa, India, Arabia, South America and New Guinea while Australia was a part of that land mass but due to the splitting during Tertiary era, the drifting of Australian landmass northwards rom Antarctica had taken place. They also stated that prior to the massive drifting, the Australian continent for huge rainfall later caused the uniform development rainforest. But due to weatherbased circumstances, the landmass was entirely dehydrated and contracted towards eastern sea and consequently lost its fertility. Such conditions, disallowed rainforest vegetation to survive. At this juncture, evolution spawned few plant families, one of them is Myrtaceae (family of eucalypts) who can tolerate drought and less fertile conditions (Brooker, 2002).

Due to the wide range of adaptability at diversified conditions of soil and climate of Eucalyptus, Southern Europe and South and North Africa become suitable for eucalyptus plantation. South Africa, China, Indonesia, Brazil, and Congo are famous for growing inter and intra-specific hybrids while and in Philippines, Malaysia, Thailand, and Vietnam and South Americas (Paraguay, Uruguay, Chile, and Argentina) only little eucalyptus plantations are found. In Philippines, E. deglupta is quite dominant. Its further distribution in temperate countries is restricted for its less cold tolerance nature. Among the large number of its species (~700), only the negligible percentage is used for industrial purposes. Labate et al. (2008) mentioned its use for fuel, shelterbelts, windbreaks, and for hardwood fiber. In Australia, total 227,336,951 acres (92,000,000 hec.) of land are occupied by eucalyptus plantation. South-eastern Australia (Blue Mountain regions) is the hub of diversified eucalypts. At this place blue haze is often found, might be die to emission of volatile terpenoids by eucalypts. Australian continent also contained few non-Australian origin species too. Nevertheless, several occur naturally in the land mass of North Australia to New Guinea and certain species occur in some of the islands of the eastern part of the Indonesian archipelago, such as Timor, the Lesser Sunda Islands, Flores, and Wetar. One important species, E. deglupta, follows the line of active volcanoes which extends from New Guinea through Sulawesi (Celebes) and from Moluccas to Mindanao islands of the Philippines (Pryor, 1995).

Of the species found outside the territorial limits of Australia, two, E. deglupta and E. urophylla, have not been recorded from Australia. Aforementioned eucalyptus species are specially appropriate for planting at lower latitudes of the world. They are good species and tolerate lower latitudes than any found in Australia, where the northernmost point is 10°41'S. According to Wallace (1913) East side of "Wallace's Line" was the hypothetical limit of natural occurrence of eucalyptus vegetation. This region was called 'Indo-Malayan' and 'Austro-Malayan' region. This line crossed between Bali and Lombok (Wallace, 1869) while on Eastern side of the line Makassar Strait with Sulawesi, on Western side Borneo, on North-East side Celebes Sea and on Southern side Philippines islands were situated. According to him, on the West side of Mindanao the existence of E. deglupta was also evident but recent studies proved it incorrect according to the report of Times Cocise Atloas of the World (1973). Based on the report of Martin & Cossalter (1975-1976), except E. alba all other species of Eucalyptus were naturally occurred along the Est of amended line. According to them, the natural latitudinal range of eucalyptus occurrence is 7°N to 43°39'S which prove their Austro-Malavan origin although majority of cultivated species occur in South of the Tropic of Capricorn but North of tropic of Capricorn also contain significant diversity of Eucalyptus (Pryor, 1995).

# 5.6 BOTANY AND TAXONOMY

Leaves of Eucalyptus are generally broad, sessile, leathery textured studded with oil gland, alternate phyllotaxy, 6–12 inches long, mature leaves are of sickle-shaped (4–5 years after) and blueish-green in hue. They hang diagonally or vertically. Sometimes, their arrangement differs based on climate. Flowers borne either singly or in cluster, sessile in nature (without pedicel) while flower buds are encased by a cup-like structure but during anthesis this covering gets splitted for easy expansion of flower. Its fruit is enveloped by a woody, cup-shaped container possessing numerous minute seeds (Eyles et al., 2009).

There are three main behaviors that species can divide into:

- i. Long, single trunked woodland trees are featured for their small crown;
- ii. Emergence of small branches often found in case of single-stemmed woodland trees; and
- iii. Multi-stemmed eucalyptus trees (known as 'Mallees') are >10 m (33 ft.) in height.

Tree sizes follow the convention of:

- i. Small to 10 m (33 ft) in height;
- ii. Medium-sized 10–30 m (33–98 ft);
- iii. Tall-30-60 m (98-197 ft.);
- iv. Very tall over 60 m (200 ft) (Al-Snafi, 2017).

#### 5.6.1 BOTANICAL CHARACTERISTICS OF DIFFERENT PARTS OF EUCALYPTUS

#### 5.6.1.1 BARK

As long as the subero-phellodermal layer on the juvenile branches and their twigs functions uninterruptedly, the primary bark is usually smooth and continuous. When this layer ceases to function, a new layer is pointed deep down and is retained for another year or several years. Later, other layers may appear still deeper at various time intervals. These successive layers are distinguishable either over the whole surface, or on parts of the trunk and branches. Moreover, the rhytidome, that is, the complex mass outside successively generating layers, may vary in color, texture, and persistence or shedding following renewal of the subero-phellodermal layer (Eyles et al., 2009; Saveyn et al., 2010). Usually, smooth bark is observed in young branches but the lower portion of trunk of mature tree possesses its characteristic 'Rhytidome,' composed of partially disintegrated and enlarged secondary phloem tissue situated in between phellem cells, is quite persistent in nature and deeply furrowed. Various types of barks are described as follows:

- 1. Deciduous Bark: Peeling off of the bark of Eucalyptus is species specific. The new growth of bark causes the shedding of the old one in long strips, exclusively found in *E. globulus* but in case of *E. camal-dulensis* and *E. saligna* shedding of peel is found in broad plate form rather than the previous form. *E. citriodora* or *E. astringens* show their characteristic bark shedding in small scales or flakes form. Two features (i.e., color, and texture) are impossible to determine due to adjoining form with other patches of varied age. Generally, new patches are fine, shiny, and bright textured with different hues while old patches are dull and grey colored with moderately rough surface.
- 2. Persistent Bark: Non-renewal of subero-phellodermal layer or the periodic non-shedding of dorsal parts of rhytidome, form the

'persistent' bark. With the progression of age, conversion of its bark hue from light to dark occurs basically due to oxidization and become more pulverulent and consequently, loses its characteristics.

- **3. Ironbark Type:** The bark is featured for its tough, little fibrous or non-fibrous nature which may form minute polyhedrons of hard, corky texture after successful crumbling along the longitudinal furrows. Normally, this type of bark contains dark hues and also 'Kino' nothing but minute masses of gum rich in tannin.
- 4. Box Type (Shortly Fibrous): Fibrous texture, obliquely reticulated or furrowed (finely) bark surface, and faded grey color are the key features of this type.
- 5. Long-Fibred Brown Bark: The basic features of this type of bark are its characteristic hue (dark brown), long fibers and longitudinal furrow. The removal of the extramural bark layer usually exposes the texture of long fibers. This type of bark is found in *E. robusta* and *E. botryoides* and while 'stringy barks' are often found in *E. obliqua* and *E. globoidea*.
- 6. 'Peppermint and Bloodwood' Types: The pattern of furrowing is its key feature. Shallow, irregular furrowing takes place in two directions, results in creation of oblong shaped scale effect (e.g., *E. elata*, 'peppermint' type and *E. gummifera* 'bloodwood' type).

# 5.6.1.2 LEAVES

The leaves of most species of eucalypt vary, sometimes markedly, from the seedling to the mature tree. The leaves are important aids to identification. The system of classifying the various types of leaf used by Blake (1953) has been followed here:

- 1. Seedling Leaves: These are the early leaves developed on seedlings in their first year. Pairs of leaves develop from the growing tip on opposite sides of the stem and successive pairs are arranged at right angles to each other, an arrangement known as 'decussate.'
- 2. Juvenile Leaves: These are the leaves found in a nursery seedling when four to six pairs of leaves have developed from the young growing tip. They are also common in the forest when shoots develop from lignotubers or from the 'reversion shoots' which develop on most eucalypt trunks following serious damage by fire

or other factors. They are sometimes spectacularly different from adult leaves, e.g., *E. globulus*. It is widely believed that juvenile leaves, such as those seen on seedlings or reversion shoots, reproduce vanished ancestral characters of the species.

- **3.** Intermediate Leaves: These are frequently larger than juvenile or adult leaves, and many pairs of them may be produced by the growing tip after the juvenile stage and before the more or less stable adult foliage is produced.
- 4. Adult Leaves: These leaves are emerged from the crown of wellgrown eucalyptus plants. Anatomically, these leaves are coriaceous, thick, and stiff in nature due to heavy cut in deposition. Enriched sclerenchymatous content also contributes towards the thick and stiff nature of adult leaves. They are usually alternate, only in a few species are they opposite or sub-opposite. The shape of leaf is commonly lanceolate but sometimes may vary from narrowly and broadly lanceolate to linear, elliptical, oblong, oval or orbicular. They are frequently falcate. Their dimensions vary considerably. In the same species, and sometimes on the same tree, there is an appreciable variation in the shape and dimensions of the leaves. Thus, the dimension of leaf should be calculated by adding length, breadth, and petiole too.

#### 5.6.1.3 REVERSION (EPICORMIC) SHOOTS

When a eucalypt is damaged by fire or other agency, new shoots and juvenile foliages develop from the many dormant epicormic buds present on the trunk and branches. These shoots are known as 'Reversion shoots.' The key importance of 'reversion shoots' is to make the eucalyptus plant mechanically stable as these shoots aid in reestablishment of crown part. The reversion shoots emerge from mechanically stable portions of damaged plants to rejuvenate the growth of damaged eucalyptus plant. They soon produce mature foliage. Reversion shoots may be seen at appropriate places in most large crowns.

# 5.6.1.4 OPPOSITE AND ALTERNATE LEAVES

The vast majority of eucalypt growing tips grow in length between nodes which develop opposite or sub-opposite pairs of leaves, decussately arranged. That is, each successive pair of leaves is at right angles to the previous pair on the stem. In a few species the opposite, decussate foliar arrangement is retained beyond the juvenile stage, when the leaves may be cordate, amplexicaul or connate in most species the intermediate and mature leaves become alternate. There is then some extension of the shoot between the leaves of each pair developed from the growing point. The length of the internode between the leaves of each pair is shorter than the internode between successive pairs of leaves. The alternate leaf arrangement is usually accompanied by some twisting of the internode between each pair and sometimes between the leaves of each pair. This enables the leaves to be ranked on either side of the stem, so that they adopt a posture that intercepts maximum sunlight. Occasionally, successive nodes of a eucalypt growing shoot may throw off a whorl of three leaves. This may happen on nursery seedlings but more frequently on coppice shoots.

# 5.6.1.5 LEAF FACES

All eucalypt leaves have an inside and an outside face, representing the way the leaves oppose each other when each leaf pair opens from the growing tip. In some species the two faces are markedly different in color as the leaves attain their final size; in other species there is little obvious difference between the faces. The two leaf faces are frequently referred to as 'upper' and 'lower,' because they are most noticeable on shoots where the leaves are ranked on either side of horizontally oriented shoots, thereby effectively intercepting sunlight. In these cases, the upper surfaces are darker than the lower surfaces. The upper, darker surfaces were the inside leaf faces when opening from the growing tip.

# 5.6.1.6 VENATION

Leaf venation is also another taxonomical feature for eucalypts. The leaves of most species have a readily recognizable midrib from which the succession of lateral veins diverge and cross the leaf to join an intra-marginal vein which follows the contour of the edge of the leaf. The lateral veins maintain a fairly regular 'angle of venation' relative to the midrib, particularly in the middle portion of the leaf. The following types of venations can be recognized.

1. **Penniveined:** In this type of the lateral veins are fairly numerous and parallel at an angle of 600 or more with the midrib. The intramarginal vein is usually fine and close to the leaf edge.

- 2. Oblique: In this type of the angle of venation is less than 600 with the midrib. Penniveined type is more in number as compared to the lateral veins which anastomosed frequently while intra-marginal vein is somewhat sinuous and comparatively distant from the leaf edge.
- **3.** Longitudinal: In this type of the lateral veins make an angle of 300 or less to the midrib and are sometimes almost parallel to it.
- 4. The Inflorescence: This type is dichasial cyme. Characteristically, the stunted central flower and lateral branches with extended peduncles of single whorl of blooms are found. In many species, multiple bracts fuse with each other and appear as single pair. Sometimes, the fused bracts are also found to envelope the young inflorescence immediately after bolting or prior to anthesis. In the subgenus Corymbia this process takes a few months, in Symphyomyrtus (Subgroup) one year and in Monocalyptus about two years. Because of the dichasial structure, the flower clusters have precise numbers and show precise orientation. In the few species which have solitary flowers in the leaf axils, such as E. globulus, the cymose nature of the inflorescence is not displayed, but in those with other numbers it may be clearly seen. Thus, in the simplest, there is a three-flowered axillary cyme (as in E. viminalis) or a seven-flowered flower cluster (as in *E. saligna*), each of which is in accord with the structure of a regular dichasium. As the number of flower buds in each flower cluster becomes greater, precise regularity of the dichasial branching is lost and some of the branches become monochasial. The number of buds in the flower clusters then becomes less precise; they begin to vary within the same species and even within the same individual, especially when numbers exceed 15. In the so-called compound inflorescence, each cymose cluster behaves as a single unit, these being arranged in accordance with the decussate nature of the normal leafy shoot.

The outstanding feature of each Eucalyptus flower is the presence of anoperculum which occurs with three variations. In a few species, especially those in the subgenus *Eudesmia*, there is only one operculum present which is surrounded by four small teeth at the top of the hypanthium. These teeth were recognized by Brown as separate sepals, whereas the operculum lying within them is a single structure resulting from the fusion of the four petals. Sometimes there is an indication of the sutures which are the meeting lines of separate petals. In a great many species, particularly those in the subgenera Blakella, Corymbia, and Symphyomyrtus, there are two opercula, an outer one covering an inner. The outer operculum sometimes breaks into separate pieces or it may remain intact, but in either case it is shed before abscission of the inner operculum at anthesis. In the part of the subgenus Corymbia comprising the true bloodwoods the outer operculum dehisces imperfectly and usually sheds with the inner at anthesis. In the subgenus Monocalyptus, which embraces some 100 species, there is only one operculum present and no sign of calyx teeth. There is some uncertainty as to whether this is made only of fused sepals, or petals, or whether in its derivation it is a more complicated structure resulting from the intimate fusion of petals and sepals. It is customary to give the name 'bud' to what is in reality the flower of the eucalypt up to the time of abscission of the operculum at anthesis. The 'bud' comprises operculum, hypanthium, and pedicel (Pryor, 1995).

## 5.6.1.7 SHAPES OF OPERCULA

Shape	Example
Conical	E. rudis
Obtuse conical	E. cladocalyx
Acute conical	E. tereticornis
Homed or long	E. occidentalis
Hemispherical apiculate	E. amygdalina or E. diversicolor
Rostrate	E. carnaldulensis
Ovoid	E. salubris
Biretta operculum	E. erythrocorys
Boss-shaped	E. globulus
Flattened	E. concinna

The shapes of the opercula are described in the following terms:

It will be found in practice that in the same species, and often on the same tree, the shape of the operculum is variable and can only be described with the help of at least two or three of the above comparisons.

#### 5.6.1.8 STAMENS

The classification of eucalypts established by botanists has been based up to now upon the characteristics of the stamens. In the series Comutae, e.g., *E. occidental* is, the operculum is long and conical and all the filaments are

accommodated erect and are surmounted by the anthers. In the red gums Exsertae, e.g., *E. tereticornis*, the outer filaments at least are erect and surmounted by the anthers. Exceptions in the red gums are the forms of *E. camaldulensis* with strongly beaked buds. In these the filaments may be completely inflected. In jarrah, *E. marginata*, the filaments are also quite erect and this is the only species of Monocalyptus where this condition is seen (Naithani, 2017).

In many species of *Eucalyptus*, e.g., *E. sieberi*; and some Symphyomyrtus, e.g., *E. leucoxylon*, the filaments are at first erect then strongly inflected with the anthers placed toward the bottom of the bud cavity. In other species, particularly of the two largest subgenera, the filaments form no recognizable pattern and are variously twisted in bud. The use of this character in identification is dearly illustrated in the separation of two unrelated ironbark species which look alike and occur together in the field, viz., *E. beyeri* and *E. crebra*. A simple bud dissection shows that *E. beyeri* has inflected filaments and *E. crebra* has twisted filaments (Blakeley, 1934).

#### 5.6.1.9 FRUITS

The enlarged hypanthium with ovary form the fruit of Eucalyptus. Four segments form the upper part of its fruit. The lid shaped 'operculum' sheds with maturity to remove the scar ultimately form an external ring or 'Calycine ring' followed by an internal ring or 'Staminal ring.' The bottom and inward segments of the disc hold the upper portion of the ovary, although the true ontogenesis is yet to be known. After attaining maturity, the disc splits forming distinct valves. Calycine ring, in few species, disappears after the development of fruits while in *E. leucoxylon* and *E. melliodora* the prominent ring protrudes clearly beyond the disc. For these species, even after complete maturity of fruits, the ring may adhere partially at one end of fruits. So, hypanthium with pedicel of disc and the position and shape of valves are the characteristic features of eucalyptus fruits (Brooker, 2002).

#### 5.6.1.10 SHAPE OF THE HYPANTHIUM AND THE PEDICEL

Hypanthium is basically globular, ovoid, urceolate, campanulate, hemispherical, cylindrical or conical in shape. It usually gets merged with its pedicel which might be attenuated. Hypanthium with long attenuated pedicel form pyriform shaped fruits while combination of hypanthium with short, attenuated pedicel produces turbinate fruit. Furthermore, short, club shaped fruits are also formed by the combination of cylindrical/urceolate hypanthium with attenuated pedicel (Chippendale, 1968).

# 5.6.1.11 SHAPE OF THE DISC

The existence of disc at the upper part of ovary in fresh flowers or fruits are usual. With the maturity and desiccation of fruits, the distance between two parts (upper and lower) are usually increased and become more prominent during opening of valves. The ovary develops into a capsule and results in formation of protuberant, flat, thin or depressed disc. Protuberant disc either concave or convex. The latter one develops 'Dome-shape.'

# 5.6.1.12 POSITION AND SHAPE OF VALVES

In *E. camaldulensis* the valves are short and triangular in shape either show the upper portion of ovary or contain sharp pore developed from the ruptured base of persistent style. In *E. oleosa*, the valves are joined together continuously at a point for the existence of persistent whole style. In certain species, with the progression of fruit's maturity, valves become fragile and drop off quickly.

# 5.6.1.13 EXSERT VALVES

In *E. camaldulensis* this type of valve is found. When the position of the base of valve is at or above the level of calycine ring and points protrude beyond the whole fruit, then it is called 'Exsert' valve.

# 5.6.1.14 ENCLOSED VALVES

This type is completely reverse than the earlier type. Here, valves will be at the lower position of calycine ring.

# 5.6.1.15 LEVEL VALVES

In 'Level' valves, the base will be situated with or slightly below the calycine ring.

# 5.6.1.16 SEED

The flowers of eucalypts are pollinated in the main by insects, particularly bees, blowflies, and ants, and rarely by wind. Nectar is secreted in the cup

of the flower. The stored nectar in the cup of flower is very attractive to insects.

Many numbers of ovules are found in eucalypt fruits. Some, but never all of these ovules, are fertilized during pollination. It is important to remember that each ovule must be fertilized by a separate pollen grain, so while each seed in a fruit is from the same female parent, then there is high chance of the involvement of male parents in the seed within a capsule. So, if other species which can hybridize with the female parent are also flowering in the vicinity, it is possible that there may be one or more hybrid seeds in the fruit.

The fertilized ovules expand in about six months, or even less, after pollination. The seed is usually viable as the capsules are changing in color from green to brown. Mature capsules are brown and usually remain closed for several months or even two or more years if they remain attached to their parent branches. If fruits or the branches are detached from the tree the valves which hold the seed in the capsules open in the course of hours or days and the seed is shed, together with the unfertilized ovules, which are usually smaller and lighter in weight than the fertile seed, and are known as 'chaff' (Chippendale, 1983, 1988).

#### 5.6.1.17 SEED CHARACTERISTICS

The size of Eucalyptus seeds range from <1 mm (*E. populnea*) to >2 cm (*E. calophylla*); in color, from black in *E. tereticornis* to yellow in *E. canaldulensis*; in shape, from spherical (*E. wandoo*) to cuboid (*E. tetrodonta*) and subulate (*E. curtisii*); and in sculpture, from shallowly reticulate in *E. leucoxylon* to deeply pitted in *E. griffithsii*. The seed of many species of the woody-fruited bloodwoods (subgenus Corymbia) is prominently winged; that of the paper-fruited bloodwoods (subgenus Blakella) saucer-shaped and unwinged. The seed of some species, e.g., *E. pyriformis*, may resemble that of some species in Monocalyptus but the high percentage of subulate chaff in *E. pyriformis* clearly distinguishes it (Chippendale, 1968; Pryor, 1995).

According to the scientific classification, *Eucalyptus* belongs to the Kingdom 'Plantae,' Sunkingdom 'Trachebionta,' Super division 'Spermatophyta,' Division 'Magnoliophyta,' Class 'Dicotyledons,' Subclass 'Rosidae,' Order 'Myrtales,' Family 'Myrtaceae.' The common, cultivated species of *Eucalyptus* is *E. globulus* Labill (Table 5.3).

Taxon	Probable Parents
E. affinis Deane and Maiden	E. albens x E. sideroxylon
E. algeriensis Trabut	E. camaldulensis x E. rudis
E. antipolitensis Trabut	E. globulus X E. viminalis
E. biangularis Simmonds in Maiden	E. globulus x E. urnigera
E. cordieri Trabut	Probable hybrid of E. nortonii
E. gornphocornuta Trabut	E. gomphocephala X E. cornuta
<i>E. huberanct</i> Naudin (as applied by Blakely)	Quite common in Australia. <i>E. viminalis</i> X several related species giving seven- flowered umbels in contrast to the three flowers of <i>E. viminalis</i> . Chippendale (1976) states that <i>E. huberarza</i> Naudin. is now accepted as a taxon.
E. kirtoniana F. Muell.	E. robusta x E. tereticornis
E. longifolia var. multiflora Maiden	E. longifolia x E. robusta
E. maidenii var. williamsonii Blakely	E. botryoides x E. pseudoglobulus
E. mcciatchiei Kinney	E. globulus x E. ovata
E. nortonniana Kinney	E. pseudo globulus x E. maidenii
E. oviformis Maiden and Blakely	E. pseudoglobulus x E. tereticornis
E. patentinervis R.T. Bak.: Syn. E. kirtoniana	E. robusta x E. tereticornis
E. populifolia Hook. var. obconica Blakely	E. microtheca x E. populnea
E. trabutii Vilmorin	E. botryoides x E. camaldulensis

**TABLE 5.3** List of Hybrids (from FAO Eucalyptus)

Source: Adapted from: http://www.fao.org/3/ac459e/ac459e.pdf.

#### 5.7 VARIETIES AND CULTIVARS

There are over 500 species of Eucalyptus. It has many Indian vernacular names, depending on the geographical region or the language, for example: Nilgiri (Kannad), Neelgir (Hindi), *E. globulus* (Latin name), Sugandh Patra (Sanskrit name), Gum Eucalypts (English), Gum tree, Harit Parn or Tail Parn (Gujrati), and Gum eucalypt (English). Other commonly available species are *Eucalyptus bicolor* (English name: red box), *Eucalyptus camaldulensis* (syn: *Eucalyptus rostrata*) (English name – blue gum), *Eucalyptus incrassat*a (English name – yellow mallee), *Eucalyptus microtheca* (Syn: *Eucalyptus coolabahs*) (English name – western coolibah), *Eucalyptus torquata* (English name – Christmas tree) (Naithani, 2017).

Eucalyptus amygdalina	Eucalyptus microtheca
Eucalyptus australiana	Eucalyptus nitens
Eucalyptus botryoides	Eucalyptus ovate
Eucalyptus calophylla	Eucalyptus pauciflora
Eucalyptus camaldulensis	Eucalyptus perriniana
Eucalyptus citriodora.	Eucalyptus pilularis
Eucalyptus cladocalyx	Eucalyptus polyanthemos
Eucalyptus consideniana	Eucalyptus polybractea
Eucalyptus cypellocarpa.	Eucalyptus populnea
Eucalyptus dives	Eucalyptus radiate
Eucalyptus gigantean	Eucalyptus regnans
Eucalyptus globulus	Eucalyptus risdonni
Eucalyptus gomphocephala	Eucalyptus robusta
Eucalyptus grandis	Eucalyptus rossi
Eucalyptus gunnii	Eucalyptus rostrata
Eucalyptus incrassate	Eucalyptus saligna
Eucalyptus kino	Eucalyptus sideroxylon
Eucalyptus largeflorens	Eucalyptus sieberiana
Eucalyptus lesouefii	Eucalyptus smithii
Eucalyptus macrocarpa	Eucalyptus tereticornis
Eucalyptus macrorhyncha	Eucalyptus tetrodonta
Eucalyptus maculate	Eucalyptus umbra
Eucalyptus marginata	Eucalyptus urophylla
Eucalyptus melanophloia	Eucalyptus viminalis
Eucalyptus melliodora	Eucalyptus wandoo

#### 5.7.1 MAJOR SPECIES OF EUCALYPTUS

# 5.7.2 COMMERCIALLY CULTIVATED VARIETIES EUCALYPTUS IN INDIA

*Eucalyptus globulus, Eucalyptus citriodora, Eucalyptus hybrid, Eucalyptus camaldulensis,* FRI-4 and 5 (Reddy, 2015).

Clonels: 316, 3, 271, 7, 288, 2045, 413, and 411.

On the other hand, there is several *Eucalyptus* species don't produce cineole based and non-based essential oil since it is the key compound of *Eucalyptus* essential oil. The examples are as follows:

Eucalyptus spp.

- *Eucalyptus* species used commercially for extraction of cineolebased *Eucalyptus*-oil: *E. tereticornis, E. viridis, E. cneorifolia, E.* globulus, *E. horistes, E. kochii, E. dives, E. smithii, E. radiate, E.* oleosa, *E. leucoxylon, E. goniocalyx, E. dumosa, E. sideroxylon, E. polybractea.*
- *Eucalyptus* species used commercially for extraction of noncineole-based *Eucalyptus*-oil: *E. radiata, E dives* (phellandrene variant), *E. dives, E. elata* (piperitone variant), *E. olida* (methyl cinnamate), *E. staigeriana* (citral, limonene) and *E. macarthurii* (geranyl acetate).

Furthermore, *E. citriodora* (commonly known as 'Lemon Eucalyptus') is classified as *Corymbia citriodora* – used for the production of citronellal-based eucalyptus oil.

## 5.7.3 DESCRIPTION OF DIFFERENT SPECIES AND CULTIVARS

- Eucalyptus Bicolor: This species of Eucalyptus attains the height of 20 m. It possesses persistent, fibrous-flaky (box) bark which is grey to black grey in color. Leaves are dull grey-green in color, texture glaucous, linear (at juvenile stage) to narrow-lanceolate (adult stage) in shape. Its leaves at any stage of maturity remain disjunct. A fully mature leaf is usually 9–18 cm long and 0.8–1.8 cm wide. Inflorescence is compound umbel-asters, hold 7–11-blooms, peduncle terete, 3–11 mm long. Floral buds are ovoid in shape and 4–5 mm long and 2–3 mm in diameter with some scars. The calyptra is hemispherical/conical but smaller and thinner than hypanthium. Stamens are fertile and style persistent. Fruits hemispherical/ovoidtruncate shaped, usually 3–6 mm in length and 3–5 mm in diameter. They have depressed disc and valves enclosed or positioned at the rim-level (Hill, 1991).
- 2. *Eucalyptus camaldulensis*: Its height commonly ranges from 20 m to 50 m while trunk attains the diameter of 1–2 m. Under natural growing conditions, it contains short, thick bole with large, spreading crown while it possesses a clean, erect bole of 20 m width with less spreading and branched crown. Its bark is smooth textured but 1–2 m length from the base of the trunk has rough bark. White,

grey, yellow-green, grey-green or pinkish grey – are the ranges of its bark color. The characteristic peeling off takes place in strips or in irregular flakes. Leaves are sickle shaped, tapered, and short pointed at the base, grey-blue in color, drooping with alternate phyllotaxy. The full-grown leaves are 8–22 cm long and 1–2 cm wide. Axillary inflorescence bears 7–11 flowers, flower buds are white and globular, rostrate or ovoid-conical in shape. The lid like structure, i.e., operculum is hemispherical, rostrate or conical, obtuse with a size of  $4-6 \times 3-6$  mm. Fruits are very small capsules, 5-8 mm in size. These tetra locular fruits contain minute seeds (Al-Snafi, 2017).

- 3. *Eucalyptus griffithsii*: White/grey/red-brown colored smooth, continuous bark is one of its distinguishing features. Its disjunct leaves are lanceolate ovate in shape with entire margin, petiolate, and dull grey-green in color. Shape of adult leaves are more or less same as the juvenile leaves but much thicker, basally tapered and deep grey-green in color with glossy texture, its petioles are narrowly flattened or channeled, lateral vein system with obscure and acute veins. Inflorescence is simple, axillary, and 3-flowered while peduncles flattened/angular (3 mm wide). Flower buds are clavate shaped but not glaucous or pruinose. Calyx calyptrate shed when flowers are immature while calyptra is hemispherical shaped, corrugated, and wider than ribbed hypanthium. Flowers are white in color, campanulate fruits with depressed disc. Its valves re exsert (Al-Snafi, 2017).
- 4. Eucalyptus incrassata: Grey or grey-brown colored smooth, continuous bark which sheds longitudinally is found in this species with green branchlets at juvenile stage. Juvenile leaves are dull-grey-green dull-green in hue, elliptic–ovate shaped with entire margin and petiolate while fully matured, deep grey-green colored petiolate leaves are disjunct, lanceolate in shape with tapered base and semi-glossy to glossy textured, and thick. Their sizes range from 10–11 cm in length and 1.5–5 mm in width. Petioles length range from 10–20 mm may be flattened or channeled. Lateral veins are obscure, acute, and moderately spaced. Simple, axillary inflorescences are 3–7 flowered, with peduncle (narrowly flattened/angular) of 13–20 mm long. Cylindrical/fusiform shaped flower buds with a size of 12–20 x 6–8 mm. Pedicellate cream colored flowers are 3–5 mm long, calyx calyptrate. Calyptra is conical and 2–3 times bigger than

smooth/ribbed hypanthium. Fruits are cylindrical or ulcerate with pedicel, depressed disc, valve enclosed, tri or tetra locular with a size of  $8-13 \times 7-11$  mm. Chaff is dimorphic, linear, and cuboid shaped with the color identical of its seeds (Al-Snafi, 2017).

- 5. Eucalyptus microtheca (Syn: Eucalyptus coolabah): Its grey or grey-black colored persistent, continuous, fibrous-flaky bark peels off in patch (box). The characteristic features of its leaves are almost akin like that of the *E. griffithsii* and *E. incrassata*. Inflorescence is compound, terminal or axillary usually 3–7 flowered. Peduncles terete. Its flower also shares similar features like earlier 2 species except its hue which is white in color here. Hypanthium is smooth. Fruits are hemispherical shaped; disc is flat while valves positioned at rim-level or exserted. Chaff dimorphic, linear, and cuboid (Al-Snafi, 2017).
- 6. Eucalyptus torquata: It is a small-medium-sized tree with a height range from 4–10 m with branched, spreading crown and trunk with persistent bark. Its greyish-green leaves are lanceolate in shape with a size of 90–120 mm  $\times$  15–20 mm. The flower buds are distinct with rough and corrugated base and having operculum tapered to a long point. Its bloom usually large (max. 35 mm in diameter) is multihued like coral-pink to white or cream or may be red colored (Al-Snafi, 2017).

# 5.8 SOIL

Soils range from loamy to clay are suitable for eucalyptus plantation. Soils must be fertile enough with good moisture holding capacity required for optimum growth and yield. Sandy, saline, and highly alkaline soils found inappropriate for it. In contrast, alkaline and saline soils are appropriate for its hybrid cultivation. Optimum soil pH ranges from 6–7.5 (Reddy, 2015).

#### 5.9 CLIMATIC REQUIREMENT FOR EUCALYPTUS FARMING

Varied climatic condition can allow Eucalyptus to grow. Wide range of temperature of 2–47°C can favor its growth. Tropical climatic condition can cause best growth but it can thrive also under temperate climatic condition. It can grow up to 2000 to 2200 m altitude, annual downpour of 4–10 cm is

needed. Owing to drought resistant potential its cultivation could be adopted in waste lands or drought areas.

#### 5.10 PROPAGATION

Various propagation methods have been developed to meet the growing demand for eucalypt products. These ranges from seeds, cuttings, *in vitro* propagation, to somatic embryogenesis.

#### 5.10.1 PROPAGATION BY SEEDS

Naturally grown and hybrid species of Eucalyptus provide broad genetic bases for commercial eucalyptus plantation and also cause an authentic genetic diversity resource. Substantial genetic variation assists to select superior eucalypt genotypes in tree improvement programs. Once preferred genotypes are identified, large quantities of seeds are collected and planted out to establish 'seed orchards,' from which inferior trees are identified early and removed. Generally, propagation using seeds are discouraged owing to ill growth, slow development and inferior quality timber while introgression of undesirable heredity materials could be also avoided by not adopting this method of propagation. Also, many eucalypts exhibit irregular flowering and high abortion rates, which results in unreliable and often limited seed supply. In order to maintain competitiveness and to preserve superior genotypes, more efficient and reliable methods are needed. Seed and vegetative propagation are often integrated in commercial plantation management, combining, and exploiting the advantages of each. Seed propagation entails relatively low technological investment and is favored when genotype-site matching has not been well established, when planting sites are highly variable, or when faced with recalcitrant rooting of cuttings. However, propagation by seed is a relatively slower process, requiring trees to reach maturity before producing seeds, compared to vegetative propagation from practically any explant. The production of vegetative propagules from superior selected Eucalyptus genotypes addresses the limitations of seed-propagated plantations. The successful rooting of stem cuttings in the mid-1950s, and its potential in supplying clonal material to the ornamental and forest industries led to the global implementation of this technology. Clonal plantations display greater uniformity in crop height, diameter, and wood properties. Being superior to sexual regeneration through the preservation of superior genotypes, growers

recognized the importance of vegetative or clonal propagation in meeting the objectives of crop improvement programs. Unlike seeds, however, many explants for vegetative propagation do not contain meristems. As a result, these have to be differentiated from existing plant tissues, a process that relies on the supply of growth regulators (Nakhooda & Jain, 2016).

#### 5.11 LAND PREPARATION FOR EUCALYPTUS FARMING

Weed free land should be selected for eucalyptus plantation while land free from any underground plant parts is one of the basic criteria of land selection for Eucalyptus. Soil should be ploughed unless it comes down to fine tilth and it should be initiated at least 3 months prior the Ridging, harrowing, and levelling – these additional operations must be completed by April for monsoon plantation (Reddy, 2015).

For pit preparation a pit (size approx.  $30 \text{ cm} \times 30 \text{ cm} \times 30 \text{ cm}$ ) has to dug in advance of planting. The soil of the top half of the pit has to be separated followed by filling up of that soil back into the pit at the bottom and rest soil on top.

#### 5.12 PLANTING AND SPACING

Conventional planting time in case of Eucalyptus is monsoon but sometimes it could be also region-specific (as for example: June-July and Feb-March are the planting time in North India while only June-July in South India). The time of planting for colonies is all around the year.

For getting the commercial yield, proper management practices should be adopted. Hence, spacing between plants certainly play a vital role. According to Reddy (2015),  $1.25 \times 1.5$  m spacing using 'Ridge plantation' method without incorporation of any intercrop could accommodate 2120 number of plants per acre. He also recommended 1.5 x 1.5 m (ridge plantation) and 2 x 2 m (without any intercropping) distances for planting of 1,675 and 1,184 number of plants acre<sup>-1</sup>. The standardized spacing for Eucalyptus is  $2 \times 2$  m.

#### 5.13 INTER-CROPPING

Integrated cultivation and intercropping system could be also adopted during the early stage of eucalyptus plantation. Except paddy, any other easy-growing minor crops (like ginger, turmeric for their shade loving nature and also some medicinal crops) could be grown initially could generate additional income. But optimum nutrient management is a crucial factor here.

### 5.14 NUTRIENT MANAGEMENT

Eucalyptus plants respond very well to manures and chemical fertilizers. Chemical fertilizers and FYM could apply together. In the 1<sup>st</sup> year, after 90 days of plantation, N:P:K @ 30 g plant<sup>-1</sup> and FYM@ 2 kg plant<sup>-1</sup> year<sup>-1</sup> could be applied. In 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> years 50, 80, and 150 g plant<sup>-1</sup> of N:P:K and 3, 4, and 6 kgs plant<sup>-1</sup> year<sup>-1</sup> of FYM could be applied to get optimum growth (Reddy, 2015). The rate of nutrients application should be commensurate with plants age.

# 5.15 IRRIGATION MANAGEMENT

Immediately after transplantation of seedlings watering is mandatory. Usually drip irrigation system is most preferred for maintaining optimum soil moisture tension. Frequency of irrigation depends on soil type and weather conditions. Although, eucalyptus trees are drought tolerant but growers must attain the minimum water requirement (at least 25 irrigations are needed during dry spell) for utmost growth and yield too. But supplemental irrigation could be withstood during monsoon although Eucalyptus is partially tolerant to water stagnation condition but under exceptional condition surplus water should be drained out (Reddy, 2015).

#### 5.16 WEED CONTROL

Manual weeding and harrowing, two times during summer and 3 times during winter should be done.

#### 5.17 TRAINING AND THINNING, PRUNING

Crop management practices are integrally related with training, pruning, and thinning operations. 'Training' provides desired shape of trees while 'Thinning' helps to get large size and straight poles (the most economic plant part) and 'Pruning' promotes plant growth. All these 3 processes involve eliminating weak, lanky, diseased, unwanted branches and shoots. These are vital to maintain high-density plantations. Up to 1<sup>st</sup> year of planting any of these operations are not needed while pruning should be carried out at the far end of 2<sup>nd</sup> year or early part of 3<sup>rd</sup> year. However, for cloned species, thinning, and pruning operations could be eliminated throughout its growing period.

Apart from its commercial uses, in landscaping industry as 'Specimen Tree' it could be also used to create focal point. Green hue of foliage(s), blotched bark, unique habit with clean trunk and branching pattern can add another dimension to the winter garden.

#### 5.17.1 RULES OF PRUNING

Although, any heavy pruning is not essential for Eucalyptus but to maintain its architectural habit the followings could put forth:

- 1. Sharp bypass-style secateurs, loppers, and a sharp saw are the appropriate implements for pruning eucalyptus. Selection of implement is another concern since too sharp device may cause tearing or ripping of branches and bark.
- 2. Pruning strategies should meet the requirement to develop strong, central trunk.
- 3. Formation of angles employing weak branches should be avoided.
- 4. A clean cut above the bud is important without any uneven protrusions.
- 5. 'Gouging' into the central trunk is not ideal.
- 6. South facing branches should be chosen for pruning (i.e., for making a clear slanting cut without making any wound in adjacent bark) for their quick healing.
- 7. 'Arbrex Seal' and 'Heal' or 'Medo' are popular wound sealant used to color the pruned ends.

Lateral branches emerged from central trunk shouldn't be eliminated during first 2–3 years as they're the fundamental components to form a strong habit. Their early removal may weaken the trunk and consequently, produce lanky structure due to not having photosynthesizing and feeding primary branches. Unplanned and inexperienced pruning cause damage to the trees (such as 'Wind-throw'). During the early phase of growth, the concerned species should be allowed to be bushy being emerged lower side shoots (familiar as 'feather' shoots for juvenile trees) from multi-stemmed branches but for single stemmed structure, the side branches along with 2 to 3 pairs of leaves after nipping shoot end could be retained. In case of liberal branch growth, repeated pruning will be beneficial but complete removal of branches is discouraged. Natural shedding of old, over-mature branches usually takes place. During early stages of growth, it's very easy to lop off branches to give economic habit owing to vigorous growth pattern without causing any ill effects. It results in development of strong epicormic growth of trunk and large branches along with healthy root system. Furthermore, it should be remembered that the plant height shouldn't interfere with any overhead wires (such as telegraph wires or power cables, etc.). Hence, conclusively measured and thoughtful pruning can yield a unique architectural habit (Retrieved from: file:///G:/AAP/Eucalyptus/Training%20and%20 pruning%20\_%20Hardy%20Eucalyptus.pdf).

#### 5.17.2 TIME OF PRUNING

April and September is the time for eucalyptus pruning. Late summer or much delayed pruning may result in ill growth since wounds which are making during pruning can't get sufficient time for healing before the onset of winter season. Frost condition immediately after the pruning is not desirable since it causes peeling away of bark from the trunk and results in complete dying of the whole tree. Pruning season not needed to be followed critically in tropical countries.

#### 5.17.3 COPPICING OF EUCALYPTUS TREE

Tall trees or shrubs are headed down near the ground level, this process is known as 'Coppicing.' It encourages the emergence of new shoots from root collar and bottom portion of trunk frenetically. This technique is most appropriate for rejuvenation of old, leggy trees especially which are already seasoned with hard pruning. It helps to boost up tree vigor (more clearly multi-stemmed structure). Early of March-May is the appropriate time for adopting this special operation for Eucalyptus. Here, in Eucalyptus, its trunk is headed down to its stump along with the total removal of all lateral branches using a sharp bypass lopper. The height of stump usually varies but conventionally 100–120 mm (4–5 inches) to 450 mm (180 inches) is followed. It facilitates the dormancy breaking of lignotuber and subcutaneous

shoots. Without which generation of weal shoots will take place (Retrieved from: file:///G:/AAP/Eucalyptus/Training%20and%20pruning%20\_%20 Hardy%20Eucalyptus.pdf).

# 5.17.4 POLLARDING OF EUCALYPTUS

'Pollarding' operation could be defined as the decrease of overall plant height than its natural height. Owing to having dormant meristematic tissues in cambial layer enhances the 'Pyrophytic' (fire tolerant) feature of Euca*lyptus.* It is their survival adaptation which assists them to regenerate after the forest fire. Pollarding operation causes the break-down of the effects of few suppressive growth hormones present in shoot tips of branches. After getting release from those hormones, epicormic shoots start to develop from tissues just below the pruned end. Quick shift from juvenile and intermediate phase to adult phase of foliage(s) emerged from pollard shoots takes place. Soon new shoots form canopied multiple branches but sometimes dominant growth of one shoot may suppress other shoot growths. Pollarding is a temporary remedy to slow down its frenetic growth but genetically they possess the fast growth pattern. According to the growth pattern of individual species, the frequency of pollarding is determined. Usually, in case of quick growing species (as for example E. glaucescens, E. deanei, E. dalrympleana, E. regnans, E. rubida, E. nitens, E. gunnii, E. oblique, E. rubida and E. urnigera), every year pollarding may be needed to perform. After removal of lower lateral branches, these species quickly form the crown leaving the trunk which gives a straight, clean, tall trunk. The main branches sprout towards the crown. Only 2 years old trees should be subjected into pollarding operation otherwise trees will not get sufficient energy to resume its growth (Retrieved from: file:///G:/AAP/Eucalyptus/Training%20and%20 pruning%20 %20Hardy%20Eucalyptus.pdf).

#### 5.17.5 OVERCOMING OF SOCKETING AND WIND-THROWING

Uprooting of eucalyptus tree due to strong wind is a common phenomenon. Hence, as protective measure the young Eucalyptus may be gently tied by a soft flexible fabric strap, so that it can sway little. Collaterally, removal of extra canopies is also required to reduce the top-crown weight. Appropriate balancing during the pruning is needed so that the tree does not take on a lop-sided appearance. In case the tree has been uprooted from its root itself, then it is advised to remove it completely without adopting any further strategies (Retrieved from: file:///G:/AAP/Eucalyptus/Training%20and%20 pruning%20\_%20Hardy%20Eucalyptus.pdf).

# 5.17.5.1 PLANT GROWTH

The girth of the plant should be measured at 1.4 m height above the ground level. According to Reddy (2015), the optimum girth size indicates the health of eucalyptus plants. To explain this, he had stated some target girth size according to the age of plants (like girth sizes of 4–8, 6–12, 12–18, 18–22, 22–26, 26–30, 30–34, 34–38, 38–42, and 42–46 inches should be the target for 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup>, 5<sup>th</sup>, 6<sup>th</sup>, 7<sup>th</sup>, 8<sup>th</sup>, 9<sup>th</sup>, and 10<sup>th</sup> years old plants, respectively). Clones usually start to enhance the vigor from 6<sup>th</sup> years onwards.

# 5.18 PESTS AND DISEASES

Eucalyptus is the key source of fuelwood, timber, transmission poles, building materials and fencing posts. Hence, for having the commercial utilities, it is vital to maintain its productivity, quality of produces and essentially to protect them from diseases and pests. Here, the followings are the major diseases and pests found in Eucalyptus grown in central highlands eco-region.

# 5.18.1 INSECT-PESTS

- 1. Blue Gum Chalcid (BGC) (*Leptocybe invasa*): In Kenya 2002 first this minute gall-forming wasp was found. Seedlings and saplings canopy are the chief sites of their attack. After attacking they develop the symptoms of producing typical bump-shaped galls on the respective sites especially on leaf midrib, petioles, and newly gown stems. 'Confidor' and 'Methomex' are 2 effective systematic pesticides used to control this. Optionally, *Selitricoides neseri* could be used as biological control agent.
- 2. Eucalyptus Snout Beetle (*Gonipterus scutellatus*): The adults feed the margin while the larvae take the whole epidermis of leaves. *Anaphes nitens* as biological control agent (collected from South

Africa) was introduced first during 1945 in Kenya. Kieni, Kinangop, and Kiserian are few places greatly affected by this pest.

- **3. Termites:** The field grown Eucalyptus grown in Kenya are got effected by termites. It mainly attacks the bole. First, it makes hole on the bole followed by ring barking and the successive death of the seedlings. In fact, they're also able destroy the established tree cause major losses. Gladiator, Confidor, and Imaxi could be used successfully against them.
- 4. Winter Bronzing Bug (*Thaumastocoris peregrines*): The main site of attack of this sap-sucking bug is foliage(s). During initial infestation, only foliage(s) turn reddish-brown but in case of intense infestation, foliage(s) turn into yellow-brown color (winter bronzing). Unless introducing a biological control agent, there is no other way to control this pest.

# 5.18.2 DISEASES

- 1. Powdery Mildews (*Erysiphe cichoracearum*): A whitish coating often found to occur on leaves grown under nursery conditions. The existence of this symptom for prolonged period results in puckered leaves. *Erysiphe cichoracearum*, the causal organism of Eucalyptus Powdery mildew is responsible for this. Regular spraying with fungicides can only control this.
- 2. Leaf Spots (*Mycosphaerella* sp.): Blackish spots on ventral side while purplish brown spots on dorsal side of old, aged leaves of Eucalyptus usually appear due to its attack. It can also attack the seedlings. Application of suitable fungicides and use of disease-free planting materials can merely control this disease.
- **3.** Cankers (*Botyrosphaeria* sp.): It's the most frequently occurred disease in Eucalyptus (canker prone species are *E. grandis* and *E. camaldulensis*). Its onset is related with stress/offsite planting. Stem is its key site of attack. In severe cases, gum starts to ooze out from cankered stem followed by development of dwarf tree. Formation of brown ring in sapwood is another anatomical symptom of this disease.

### 5.19 HARVESTING AND YIELD

To harvest its economic part, first the entire tree is felled followed by uprooting of its stump. Best crop management practices, healthy soil status and high-density planting could yield significantly. Spacing of 2 m  $\times$  2 m could yield 80–100 tons/ha of timber while 1 m  $\times$  1 m spacing gives 25 tons/ ha yield after 8 years of plantation.

## 5.19.1 ESSENTIAL OILS YIELDS

Essential oils are mainly extracted from eucalyptus leaves. The amount of extracted oil is very much species specific varies from 0.10 to 9.0% on dry weight basis. Tree age, leaf age, altitude, season, harvest time and fertilizer application are essential factor greatly influence the oil yield. Among these factors, altitude factor is most vital than others but oil content is quite season specific. For example, leaves of *E. citriodora* amassed during summer in Pakistan contained 0.9% oil than 1.3% during winter. Interestingly, the oil content in immature, juvenile leaves are higher as compared to the mature, old leaves but net quantity of harvested leaves are more in older trees. In central Thailand, January to July is the optimum time for leaf harvesting, used specially for essential oil production. Application of  $N_2$ , Ph, and K fertilizers could enhance essential oil content (Zhang et al., 2010).

# 5.20 PROCESSING TECHNIQUES

Eucalyptus oil, empirically known as  $C_{10}H_{18}O$ . It is the most vital essential oil with complex chemical nature. Fresh and desiccated leaves, including branch tips, could be utilized for its essential oil extraction. It is famous for its antibacterial, antiviral, and antifungal properties, and biological effects on cold, influenza, other respiratory infection, rhinitis, and sinusitis. Each chemical components of its essential oil plays significant role. Its molecular structure consists of C atoms attached with each other by H atoms contribute towards its organic nature. Oxygen, sulfur, and nitrogen atoms often found in their molecular structure (Basma et al., 2013). They're classified into two groups:

- 1. Volatile Fraction: Essential oil contains 90–95% of the oil in weight.
- 2. Non-Volatile Residue: This consists of 1–10% of the oil with fatty acids, sterols, carotenoids, waxes, and flavonoids.

The properties of eucalyptus oil are shown below (Singla et al., 2013):

Description:	Colourless to pale yellow liquid with an aromatic and camphoraceous. Odor and pungent, cooling, spicy taste.
Molecular Formula:	C <sub>10</sub> H <sub>18</sub> O
Molecular Weight:	154
Specific Gravity at 25°C:	0.920-0.925
<b>Refractive Index at 20°C:</b>	1.4550–1.4600
<b>Optical Rotation at 20°C:</b>	$-0.5^{\circ}-+0.5^{\circ}$
Freezing Point:	0°C -+1°C
Boiling Point at 760 mmHg:	176–177°C.
Flash Point:	49°C
Melting Point at 760 mmHg:	1.5°C
Solubility:	Slightly soluble in water, soluble in ethanol, ether, chloroform, and hexane

## 5.20.1 EXTRACTION PROCEDURE

Wide range of procedures are used for eucalyptus essential oil extraction but conventionally 'Steam Distillation' method is followed. Recently, owing to technological advancement much more effective, efficient, and economical extraction methods are now in market counting solvent extraction, supercritical fluid extraction, and microwave extraction. Appropriateness usually varies according to individual species and varieties. But overall, the adoption of advance extraction methods is quite limited due to the initial investment and operational expenditures associated with these (Kabuba & Huberts, 2009).

#### 5.20.2 PRINCIPLES OF DISTILLATION

Liquid boils at the temperature at which its vapor pressure equals the atmospheric or surrounding pressure. Whole number of molecules in two immiscible liquids *viz.* vapor phase and pure liquid, will be always higher in first phase than the second one even at identical temperature condition. Thus, the exerted pressure will be greater in vapor mixture than the pure vapor alone (Ernest, 1948). Consequently, the vapor pressure of the whole system increases that cause to evaporate essential oil at temperature below its boiling point. Hence, the vapor pressure of essential oils with high boiling point must be equivalent to the atmospheric pressure (Handa et al., 2008).

## 5.20.3 WATER DISTILLATION

To extract the essential oils from the botanicals using water distillation method, first the materials have to immerse in liquid (water) followed by boiling. Sadlon & Ramson (2010) stated the key characteristic feature of this process, i.e., the direct contact of materials with boiling water. Movement of boiled water within plant tissues due to high temperature condition and pressure fluctuations during distillation process, create better condition for osmosis which increase the diffusion force at certain point when whole amount of volatile oils start to come out from plant tissues for collection. One of the economic measures of this distillation process is the reuse of distillate water after successful separation of oil for re-boiling purpose. To mitigate the losses of oxygenated components, this technique is followed. Along with the efficiency of this method, inexpensive, hazardless construction and portable nature of steel containers and also its appropriateness for open field utilization, are few supplemental merits. Extracted oils could be protected against overheating due to the presence of water surrounding it.

# 5.20.4 EXTRACTION METHODS

Stress, infection or parasitic attack chiefly influence the production of essential oils (nothing but the secondary metabolites) by plants. Eucalyptus essential oils are volatile, organic compounds present in range of plant parts like fruits, flowers, bark, seeds, wood, and roots but leaves are the key source (Zhang et al., 2010). Several authors (Barazandeh, 2005; Bignell et al., 1997) narrated the following method of extractions:

- 1. Hydro-Distillation: Here, a container containing botanicals and water is heated below which allows to release aromatic vapor followed by its condensation into liquid mixed with hydrosol. Amount of total oil yield is positively related with the distillation time. But prolonged distillation process may deteriorate the quality of extracted essential oil (Barazandeh, 2005).
- 2. Steam Distillation: Here, steam is forced to move through the plant materials placed in a flask, so that the vaporization of volatile oils could take place. This process is almost identical with the prior one but results in higher yield (Sefidkon et al., 2008).

- **3. Vacuum Distillation:** This process requires lower temperature. This condition reduces the chances of alteration of chemical compositions during distillation process using eucalyptus botanicals (Bignell et al., 1997).
- 4. Supercritical Fluid Extraction (SFE): This process involves the use of carbon-di-oxide  $(CO_2)$  as non-polar solvent at fixed temperature and pressure conditions. It helps to extract the oil very rapidly. Its efficiency is more as compared to the hydro-distillation procedure (Rozzi et al., 2002).
- Subcritical-Water Extraction (SWE): Hot water (100–374°C) as extractant and high pressure – these are two major components for this method of extraction. Major merits of this process are short span of extraction, superior quality of extract, cost-effective extractant and eco-friendly nature (Jimenez-Carmona & Luque de Castro, 1999; Herrero et al., 2006).

# 5.21 CHARACTERIZATION OF PHYTOCONSTITUENTS

Among other plant parts of Eucalyptus, 'leaf' is the vital one regarding plentiful essential oil storing. Essential oils are stored in minute glands of eucalyptus leaves. Generally, eucalyptus species contain 0-1.7% of oil of the fresh weight of the leaves. Key constituents of leaf derived eucalyptus essential oil are 1,8-cineole, the content of which may 54-95%. 1,8-cineole content may vary due to seasonal causes. Maturity of leaves also affect its chemical content. Barring this, oil also contains monoterpenes such as cymene,  $\alpha$ -pinene,  $\beta$ -pinene and limonene, geraniol, and camphene, aromadendrene, cumin aldehyde, globulol, and pinocarveol (Dhifi et al., 2016). The common co-occurred chemicals limonene,  $\alpha$ -terpineol (both could be derived from menth-1-en-8-yl cation, the identical biogenetic precursor of cineole probably), monoterpenes (such as  $\alpha$ -pinene), sesquiterpenes (such as aromadendrene), globulol, and  $\alpha$ ,  $\beta$  and  $\Upsilon$ -eudesmol, and aromatic constituents (such as methyl cinnamate) (Sebei et al., 2015). Beside the oil, the genus *Eucalyptus* also contained complex mixtures of plant secondary metabolites, including terpenoids, cyanogenic glycosides, hydrolysable, and condensed long chain ketones, tannins, formylated phloroglucinol compounds and flavonoids (Ben Jemâa et al., 2012). In extracted oil of E. bicolour, 26 compounds were found; using chemical isolation method, in which p-cymene (17.4%), neoisoverbenol (9.1%) and 1,8-cineole (37.5%) were the

key compounds (Sebei et al., 2015). Based on the reports of phyto-chemical analysis, it has found that wide ranges of chemical components (like flavonoids, tannins, terpenoids, saponins, glycosides, steroids, anthraquinones, etc.) are present in different plant parts of *E. camaldulensis*. The principal chemical constituents of its EO are oxygenated monoterpenes (34.9%), oxygenated sesquiterpenes (31.8%), monoterpene hydrocarbons (29.0%) and sesquiterpene hydrocarbons (4.3%). Analysis (by gas chromatography, GC-ion trap Mass Spectrometry [MS] and FID) of extracted essential oil by steam distillation method utilizing aerial parts of *E. camadulensis* grown in Sardinia–Italy resulted in the identification of 37 compounds among which spathulenol (2.1–15.5%), crdyptone (3.2–10.2%), p-cymene (27.8–42.7%), beta-phellandrene (3.9–23.8%) and 1,8-cineole (4.1–39.5%) are main. Total yield ranged from 0.2–5% of its dry weight. Oils also contained moderate quantity (1.4–4.7%) of phellandral, cuminal, and other 2 not common aldehydes (Sebei et al., 2015; Elaissi et al., 2011).

Analysis report of essential oil extracted from E. camaldulensis leaves proved the existence of 24 chemical constituents from saline sample while 27 chemical constituents from non-saline samples. Chemical constituents of EO from saline and non-saline samples (%) respectively were:  $\alpha$ -Pinene 14.68  $\pm$ 0.42 and  $12.43\pm0.37,$  Camphene  $0.87\pm0.07$  and  $2.96\pm0.12,$   $\beta\text{-Pinene}$   $6.66\pm$ 0.17 and  $2.33 \pm 0.19$ , Eucalyptol  $34.42 \pm 1.21$  and  $40.05 \pm 1.20$ ,  $\Upsilon$ -Terpinene  $9.42 \pm 0.36$  and  $7.48 \pm 0.29$ ,  $\delta$ -Terpinene  $1.11 \pm 0.06$  and  $1.17 \pm 0.05$ ,  $\alpha$ -Iinene epoxide  $0.27 \pm 0.02$  and  $0.28 \pm 0.02$ , Isoamyl isovalerate  $1.07 \pm 0.09$  and  $1.10 \pm 0.07$ , Fenchyl alcohol  $0.79 \pm 0.03$  and  $0.89 \pm 0.05$ , $\alpha$ -Camphdenic Aldehyde  $0.66 \pm 0.03$  and  $0.67 \pm 0.02$ , *t*-Pinocarveol  $8.36 \pm 0.40$  and 3.32 $\pm$  0.11, Myrtenal 0.94  $\pm$  0.03 and 0.97  $\pm$  0.06, Z-Carveol 1.15  $\pm$  0.04 and  $1.25 \pm 0.07$ , *d*-Carvone  $0.51 \pm 0.03$  and  $0.36 \pm 0.02$ , *o*-Cymene 5-ol  $0.46 \pm$ 0.03 and 0.54  $\pm$  0.02, Benzyl valerate – and 0.14  $\pm$  0.01,  $\alpha$ -Gurjunene – and  $0.26 \pm 0.02$ , b-gurjunene – and  $0.22 \pm 0.03$ , Aromadendrene  $2.63 \pm 0.16$ and 2.78  $\pm$  0.20, Alloaromadendrene 0.89  $\pm$  0.05 and 0.97  $\pm$  0.07, Phenethyl Isovalerate  $0.90 \pm 0.03$  and  $1.01 \pm 0.06$ , Ledene  $0.45 \pm 0.05$  and  $0.52 \pm 0.03$ , Epiglobulol 1.83  $\pm$  0.09 and 1.96  $\pm$  0.03, Ledol 7.42  $\pm$  0.05 and 7.67  $\pm$  0.08, Viridiflorol  $1.13 \pm 0.04$  and  $2.76 \pm 0.06$ , Eremophilene  $0.78 \pm 0.03$  and 0.85 $\pm$  0.02 and g-Cadinene 0.29  $\pm$  0.01 and 0.33  $\pm$  0.02 (Al-Snafi, 2017; Ashraf et al., 2010). EO, saline, and non-saline samples of E. camaldulensis's leaves are: oil content (% fresh matter basis)  $0.98 \pm 0.10$  and  $0.96 \pm 0.12$ ; refractive index (40°C)  $1.4620 \pm 0.01$  and  $1.4580 \pm 0.02$ ; solubility (ml/5 ml of 70% ethanol)  $0.93 \pm 0.10$  and  $1.05 \pm 0.16$ ; density (25°C)  $0.938 \pm 0.04$  and  $0.936 \pm 0.04$ ; specific gravity (25°C)  $0.920 \pm 0.04$  and  $0.919 \pm 0.04$ ; physical

appearance: colorless-dark yellow (Ashraf et al., 2010). New triterpenoid acids (eucalyptanoic acid) were isolated from the fresh uncrushed leaves of Eucalyptus camaldulensis var. 'Obtusa' along. Its structure has been established as 3ß-hydroxyolean-9 (Al-Snafi, 2015), 12-dien-28-oic acid (Begum et al., 2002). A new triterpenoid camaldulin (3β-formyloxyurs-11en-28.13B-olide) along with ursolic acid lactone acetate, ursolic acid lactone, betulinic acid and  $\beta$ -sitosterol 3-O- $\beta$ -d-glucopyranoside were isolated from Eucalyptus camaldulensis var. obtuse leaves (Begum et al., 2000). Triterpenoid amirinic acid  $(2\alpha, 3\beta, 7\beta$ -trihydroxy-11 $\alpha$ -methoxyurs-12-en-28-oic acid and 2a, 3β, 7β-trihydroxyurs-11-en-28,13beta-olide), ursolic acid lactone, betulinic acid, oleanolic acid and ursolic acid, were isolated from fresh, uncrushed leaves of *Ecalyptus camaldulensis* var. 'Obtuse.' Total phenolics in the Eucalyptus canaldulensis leaves was  $364.1 \pm 8.2$  (mg gallic acid equivalent/g) and total flavonoids was  $80.5 \pm 0.9$  (mg quercetin equivalent/g) Eucalyptus camaldulensis leaves contained many phenolic groups and compounds including ellagitannins, flavonoids, phloroglucinol derivatives and galloyl esters (Singab et al., 2011). Eucalyptus incrassata leave sample yielded 2% w/w (dry leaves) of essential oils. 1,8-cineole (30%),  $\alpha$ -pinene (18%), aromadendrene (13%) were the main constituents of Eucalyptus incrassate leaves essential oils, however, the oils of Eucalyptus incrassata contained: 1,8-cineole; Allo-aromadendrene; Alpha-bulnesene; Alphacampholenic-aldehyde; Alpha-copaene; Alpha-cubebene; Alpha-eudesmol; Alpha-fenchene; Alpha-gurjunene; Alpha-phellandrene; Alpha-pinene; Alpha-selinene; Alpha-terpineol; Aromadendrene; Beta-caryophyllene; Beta-cis-ocimene; Beta-cubebene; Beta-elemene; Beta-eudesmol; Betaphellandrene; Beta-pinene; Beta-selinene; Beta-trans-ocimene; Bicycloelemene; Bicyclogermacrene; Cadina-1,4-diene; Calacorene; Calamenene; Carvophyllene-oxide; Cis-p-menth-1,8-dien-6-ol; Cryptone Leaf; Deltacadinene; Delta-elemene; Fenchol; Gamma-eudesmol; Gamma-terpinene; Geraniol; Globulol; Isoamyl-isovalerate; Isobutyl-isovalerate; Limonene; Myrcene; P-cymen-8-ol; P-cymene; Palustrol; Pinocarvone; Sabinene; Spathulenol; Terpinolene; Torquatone; Trans-p-menth-1,8-dien-6-ol; Transp-menth-2-en-1-ol; Trans-p-mentha-1(7),8-dien-2-ol; Trans-pinocarveol, Trans-piperitol, Viridiflorene, and Viridiflorol (Bignell et al., 1994). New euglobals, euglobal-In-1, euglobal-In-2, euglobal-III, and euglobal-V having an acylphloroglucinol-sesquiterpene structure were isolated from the juvenile leaves of Eucalyptus incrassate (Takasaki et al., 1994).

The total amount of the oil in the leaves of the *Eucalyptus griffithsii* was 0.3% of the dry weight. The main constituents of the *Eucalyptus griffithsii* 

leaves essential oils were 1,8-cineole (26%), trans pinocarveol (11%) and  $\alpha$ -pinene (8%) (Bignell et al., 1994). The Phytochemical analysis of Eucalyptus microtheca leaves extracts revealed the presence of essential oils, flavonoids, tannins, and alkaloids (Mahmoud, 2012). The major components of Eucalyptus microtheca essential oils were 1,8-cineole (34.0%), p-cymene (12.4%), α-pinene (10.7%), β-pinene (10.5%) and virdiflorene (5.2%). However, in the oil of Eucalyptus microtheca flowers, 88 compounds were identified including (%): a-thujene 0.504; a-pinene 16.246; a-fenchene 0.078; Comphene 0.271; Verbenene 0.051; β-pinene 11.082; β-myrcene 0.263;  $\alpha$ -phellandrene 7.006;  $\alpha$ -terpinene 0.367; o-cymene 13.522; Sabinene 2.131; Limonene 2.713; cis-ocimene 0.149; y-terpinene 0.868; Isopropenyltoluene-cymene 0.093; α-terpinolene 0.189; Linalool L 0.058; Appel oil 0.113; D-fenchyl alcohol 0.085; Hexadecane 0.147; Trans-pinocarveol 0.365; Pinocarvone 0.303; 4-methyl-1,3-heptadiene 0.088; 2,4-hexadiene, 2,5-dimethyl-0.070; 4-terpineol 1.052; Myrtenal 0.202; α-terpineol 0.425; Myrtenol 0.160; Dodecane 0.392; β-citronellol 0.365; Piperitone 0.167; Citrol 0.063; Citronellyl formate 0.115; Diglycol dimethacrylate 0.787; Carvacrol 0.494; 2-butylpyridine 0.129; soledene 0.170; Copaene 0.150; Tetradecane 0.063;  $\beta$ -elemene 0.063;  $\alpha$ -gurjunene 0.542; Seychelene 0.040; Trans-Caryophyllene 0.227;  $\gamma$ -selinene 0.122; Calarene 0.112;  $\beta$ -gurjunene 0.073; Aromadendrene 7.444; α-humulene 0.080; Alloarmadendrene 1.632; α-amorphene 0.400; β-selinene 0.311; α-guaiene 0.320; Ledene 2.135;  $\alpha$ -muurolene 0.318;  $\gamma$ -cadinene 0.667; Calamenene 0.248;  $\delta$ -cadinene 1.040; Cadina-1,4-diene 0.045; α-calacorene 0.070; Epiglobulol 0.975; β-maaliene 0.253; Plustrol 0.221; Spathlenol 1.848; Globulol 5.419; Veridiflorol 1.044; Ledol 0.631; Hexadecane 0.212; α-ylangene 0.196; Isospathulenol 0.217; Tau-cadinol 0.791; α-cadinol 0.444; Cadalene 0.120; N-octadecane 0.246; Tetradecanamide 0.321; n-hexadecanoic acid 0.375; Ecosane 0.167; Hexaadecanamide 0.918; Octadecanoic acid 0.425; Docosan 0.145; 9-octadecenamide 5.414; Di-[2-ethylhexyl] phthalate 0.584; 4-methylenespiro [2,4] heptane 0.055; (2-methylprop-1-enyl)-cyclohexa-1,3-diene 0.098; 1-(2'-hydroxy-3',4'-dimethylphenyl) ethenone 0.603; 7,9-di-tert-butyl-1oxaspiro (4.5) deca-6,9-diene-2,8-dione (0.117), trans-1,6-dimethyl bicycle (4.3.0) non-2-en-7-one (0.346), 2-methyl-5-(1-methylethyl), 1,3-cyclohexadiene, 1H-cyclopropa[e] azulene, monoepoxide (0.139), decahydro-1,1,7-trimethyl-4-methylene and [1aR (1a.1alpha.4a.beta.7b.alpha)]-7. alpha, 7a beta (0.243). In the EO extracted from E. microtheca leaves, 101 compounds had been identified (%): α-thujene 0.742; α-pinene 6.752; camphene 0.079; β-pinene 5.006; β-myrcene 0.533; α-phellandrene 16.487;

 $\alpha$ -terpinene 0.832; p-cymene 5.251;  $\beta$ -phellandrene 2.194; Limonene 1.503; Cis-ocimene 1.655; β-ocimene Y 0.101; γ-terpinene 1.235; Cymene 0.024; α-terpinolene 0.425; Rosefuran 0.024; Cycloheptanmethanol 0.061; Linalool L 0.093; Isoamyl isovalerate 0.529; Isoamyl valerate 0.056; Fenchol 0.076; Trans-pinene hydrate 0.062; Allocimene 0.049; 1-terpineol 0.045; 1-methvlnorcarane 0.051; Ethvlbenzoate 0.124; 4-terpineol 1.256; 1-(adamantly) cyclohexene 0.042; β-fenchol 0.203; cis-sabinol 0.224; Thiophene, 2-ethyl-5-methyl 0.120; Ascaridole 0.085; Dicyclobutylidene oxide 0.084; Divinyl dimethylsilane 0.114; Piperitone 0.196; 1-methoxyhept-1-vne 1.809; Citronellyl formate 0.029; Carvacrol 0.420; a-cubebene 0.160; Isoledene 0.278; Copaene 0.308; 2-pentene-1-ol, 2-methyl 0.215; α-gurjunene 1.897; Trans-carvophyllene 0.539; Aromadendrene 12.773; Epizonaren 0.067;  $\alpha$ -humulene 0.142; Alloarmadendrene 2.520;  $\gamma$ -gurjunene 0.327;  $\alpha$ -copaene 0.755; β-selinene 0.525; β-panasinsene 0.702; Ledene 5.665; α-muurolene 0.398; Geremacrene B 0.099; α-amorphene 1.666; cis-calamenene 0.207; δ-cadinene 2.663; Cadina-1,4-diene 0.103; α-calacorene 0.087; α-cadinene 0.163; Ledane 0.092; Epiglobulol 1.167; β-maaliene 0.306; Palustrol 0.190; Spathlenol 1.915; Globulol 5.997; Veridiflorol 1.243; 1,3-dimethyl-5-ethyladamantane 0.285; Ledol 0.753;  $\gamma$ -curcumene 0.391; Isospathulenol 0.300; Tau-muurolol 1.580; δ-cadinol 0.231; Guaia-3,9-diene 0.292; α-cadinol 0.806; Vulgarol A 0.129; Hexadecanoic acid 0.093; 2-tridecanol 0.028; Hexadecanoic acid ethyl ester 0.025; Decyltetraglycol 0.025; Tricosane 0.012; Benzonitrile, m-phenethyl 0.032; Pentacosane 0.073; Pentaethoxvlated pentadecyl alcohol 0.036; 1-cyclohexene-1-carboxaldehyde, 4-(1-methylethyl) 0.170; Cyclohexene, 3-methyl-6-(1-methylethyl) 0.108; Furan, 2,3-dihydro-4-(1-methylpropyl) (0.458), 2-cyclohexane-1-ol, 2-methyl-5-(1-methylethenyl)-trans (0.059), 10-dioxido-dodeca-3,11-diene-5-ol (0.268), 2,6,10-trimethyl-2,5,7,10-dioxido-dodeca-3,6,10,11,11-t5-etramethyl (0.138), 2,3-dimethyl-cyclohexa-1,3-diene 0.390; 2-methyl-5-(1-methylethyl) (0.026)-(1R, 2S, 4R, 7R)-7-isopropyl-5-methyl-5-bicycle [2.2.2] octen-2-ol (0.140), decahydro-1,1,3a-trimethyl-7-methylene, 1H-cyclopropa[a] naphthalene, [1as)1a.1alpha, 3a.alpha, 7a. Beta, 7b.beta, 7b.alpha)] (0.235), Bicyclol [3.1.0] hex-2-ene, Naphthalene-1,2,3,4,4a,7-hexahhydro-1,6-dimethyl-4-(1-methylethyl) (0.139), α-campholonic acid 0.049; (E)-3-isopropyl-6-oxo-2-heptenal 0.058; 1,5,5-trimethyl-6-methylene-cyclohexene 0.056; 2,6,10-trimethyl-2,5:7, Tricyclo [6.3.0.1] undec-7-ene, 1-methyl-4-isopropyl-cis-3-hydroxycyclohex-1-ene-6-one 0.230; and 1,6-dimethyl-2-cyano-3-ethyl-3-piperidine 0.612 (Maghsoodlou et al., 2015; Al-Snafi, 2017).

#### 5.22 **BIO-PROSPECTION**

#### 5.22.1 PHARMACOLOGICAL EFFECTS

Out of 900 Eucalyptus species, >300 species produce volatile oils in their leaves. Medicinal, industrial, and perfumery/flavoring - these are three major categories of eucalyptus oil based on their uses. According to British Pharmaccopoeia, 'medicinal oils' should contain <70% of cineole while 'industrial oils' must be comprised of piperitone and phellandrene and 'perfumery/ flavoring oils' should contain higher concentrations of citronella-1 (a lemon scent) and geranyl acetate (a rose scent). The former type basically used as decongestant agent and for its antiseptic properties (used widely toinhale, sprays, embrocations, gargles, and lozenges). For other medicinal formulations like emulsions, and ointmentsa, it is also used. To produce disinfectants, deodorants, liquid soaps, germicides, synthetic menthol and thymol, industrial oil plays significant role. For food flavoring purpose or as scenting agent or for synthesis of other flavoring agents the perfumery and flavoring oils are used. Medicinal Eucalyptus oil was produced from Eucalyptus globulus in China, Portugal, Spain, India, Brazil Bolivia, Uruguay, and Paraguay; and from Eucalyptus smithii in South Africa, Swaziland, and Zimbabwe; from Eucalyptus polybractea, Eucalyptus viridis and Eucalyptus dives in Australia; from Eucalyptus radiata in South Africa and Australia; and from Eucalyptus camaldulensis in Nepal. Perfumery Eucalyptus oil was produced from Eucalyptus citriodora in China, Brazil, and India; and from Eucalyptus staigeriana in Brazil. Industrial Eucalyptus oil was produced from Eucalyptus dives in South Africa and Australia; and from Eucalyptus campanulata in Australia.

#### 5.22.2 GASTROINTESTINAL EFFECTS

The potency of methanolic extracts of leaves of *E. camaldulensis* to heal ulcer ailment had been proved in rat model (Lawal et al., 2014). They confirmed that the dose rate of 500 mg kg<sup>-1</sup> of body weight continuously for 5 days at an interval of 24 hours could effectively reduce the size of ulcer. The size (diameter) of ulcer in induced-ulcer rats were reduced by  $46.67 \pm 3.33\%$  as compared to control treatment using distilled water and ranitidine (Lawal et al., 2014). According to Adeniyi et al. (2009), the MIC (Minimum Inhibitory Concentration) of crude extract of *E. camaldulensis* against 6 strains of *Helicobacter pylori* (A2, T18984, 019A, A6, ATCC 4504, and ATCC 47619) was 12.5–400 mµg ml<sup>-1</sup> proved in *in-vitro* anti-*Helicobacter pylori* experiment. Triperpenoid and eucalyptanoic acids compound and their acetyl and acetylmethyl derivatives obtained from *E. camaldulensis* var. *obtusa* wholefresh leaves possess some spasmolytic activity (Begum et al., 2002). These acetylmethyl derivatives@ 1 mg ml<sup>-1</sup> block the calcium influx and resulted in spasmolytic activity. Other chemical compounds like triterpenoid camaldulin (), ursolic acid lactone acetate and ursolic acid lactone (extracted from *E. camaldulensis* var. *obtusa*) also possess calcium antagonist activity and cause spasmolyic activity (Begum et al., 2000).

#### 5.22.3 ANTI-INFLAMMATORY AND ANALGESIC EFFECT

1,8-Cineole (cineole) has anti-inflammation potential proved in animal model. It had successfully inhibited the experimental inflammation (paw oedema induced by carrageenan and cotton pellet-induced granuloma) in rats. Enhanced peritoneal capillary permeability, intra-plantar induced nociception and intraperitoneal acetic acid content could be effectively modulated by cineole oral administration at the dose rate of 100-400 mg/ kg in mice. In this experiment, the pre-treatment with naloxone@ 1 mg/kg of body weight (a mu-opioid receptor antagonist) was ineffective to counter the anti-nociceptive effect of cineole in mice may be due to non-opioid mechanism. Santos & Rao (2000) revealed the significant anti-locomotive effect of cineole while it could too increase the central nervous system's depressant effect proved by the pentobarbital sleeping time in mice. For the treatment of bronchial asthma, 1.8-cineole could be also effective as evaluated by arachidonic acid (AA) metabolism. In this investigation, authors (Juergensa et al., 1998) measured ex vivo the production of LTB4 and PGE2 (AA-metabolites representative) by monocyte isolation process before the treatment, after 3 days of treatment and 4 days after the discontinuation of treatment using 1,8-cineole (3 x 200 mg/day). Consequently, they found that the production rate of representative AA-metabolites had been impaired significantly from monocytes ex vivo due to the blocking of AA-metabolism pathways in case of bronchial asthma patients treated with cineole at the afore mentioned dose rate for 3 days. The potential of cineole for inhibition of polyclonal boosted by cytokine production proved its anti-inflammatory efficacy. The therapeutic concentration of 1.5 µg/ml of cineole could lower down the cytokine production in lymphocytes and monocytes of TNF-a, IL-1β, IL-4, IL-5 by 92, 84, 70, and 65% and TNF-α, IL-1β, IL-6, IL-8 by

99, 84, 76, and 65% respectively (Juergensa et al., 2004). The phagocytic effect of eucalyptus essential oil (EO) had been also evaluated by Serafino et al. (2008) in case of human monocyte derived macrophages (MDMs) in vitro and rat peripheral blood monocytes/granulocytes in vivo. This effect could be also obtained without any chemical immune-suppression administration (5-fluorouracil [FU] – a chemotherapeutic agent). The EO-induced MDM activation stimulated the phagocytic response intensely while enhanced innate cell-mediated immune response could also be attained in vivo after EO administration. The augmentation of phagocytic activity of the granulocytic or monocytic system had been evident by the use of 5-FU and eucalyptus EO in blended form. This combination treatment obstructed the production of 5-FU induced myelotoxicity. Juergensa et al. (1998) revealed the dose-dependent positive effect of cineole on suppression of tumour necrosis factor (alpha, interleukin-1 $\beta$ , leukotriene B4 and thromboxane B2) production. 1,8-cineole and beta-pinene - are 2 monoterpenes found from E. camadulensis EOs (plant part – leaves). The antinociceptive properties of these two compounds had been proved (using Tail-flick and Hot-plate methods) on rat model administered using morphine and naloxone (effective analgesics) by Atta & Alkofahi (1998); and Liapi et al. (2007). The authors confirmed the potency of cineole higher than beta-pinene. On the other hand, the synergistic impact of cineole and morphine had been evinced while the antagonistic potential of another potential analgesic, i.e., naloxone to counter the cineole effect was not evinced.

#### 5.22.4 ANTIOXIDANT EFFECT

To reduce the oxidative stress and systolic blood pressure (SBP), the efficacy of dose-dependent 1,8-cineole was really noteworthy as described by Siramon & Ohtani (2007). About 0.1 mg kg<sup>-1</sup> and 1 mg kg<sup>-1</sup> of 1,8-cineole could significantly lower down SBP and enhance plasma nitrite concentrations as proved in rat model. Rats exposed to nicotine showed higher lipid peroxidation and plasma corticosterone levels but treatment using 0.01 and 0.1 mg/kg 1,8-cineole successfully antagonized the elevation (Siramon & Ohtani, 2007). DPPH (2,2-diphenyl-1-picrylhydrazyl) method and  $\beta$ -carotene-linoleic acid assay were useful to evaluate the *in vitro* antioxidant activities of eucalyptus EO and also the chemical composition of methanolic extracts prepared using leaves of *E. largiflorens* (*E. bicolor*) (Zhao et al., 2008). Through DPPH method, it was proved that in case of methanolic extract, the IC<sub>50</sub> value of polar sub-fraction was lower than the non-polar one while inhibition of linoleic acid oxidation by non-polar sub-fraction of methanolic extract (92-94.5%) proved its efficacy equivalent to synthetic antioxidant BHT. The methods authors used for evaluation was independent of eucalyptus oil content. Authors found the positive correlation between the antioxidant activity and the amount of total phenolics present in polar subfraction. These authors also studied the antioxidant activity of E. bicolor's EO (essential oil) in vitro and reported about the superiority of extracts than EO regarding their antioxidant activity. High radical-scavenging activity and inhibition capacity (%) had been found in polar and non-polar sub-fraction of methanolic extracts. Zhao et al. (2008) also measured the antioxidant potential of *E. camadulensis*'s EO (81.9%) by evaluating its scavenging potential for stable DPPH radicals. They exhibited that the antioxidant activity of E. camadulensis's EO was lower as compare with the ascorbic acid and butylated hydroxyanisole but its extracts possessed strong radical scavenging activity comparable to ascorbic acid and BHT for having chelating ferrous ions. Zhao et al. (2008) also investigated the antioxidant potential of E. camadulensis var. Brevirostris grown in Nile delta, Egypt. The methods of extraction are also vital to maintain the qualitative attributes of extracts. Supercritical fluid extraction and ethanol digestion - these two are most effective method of extractions to obtain significant antioxidant activities of the extracts. After going through the reverse-phase HPLC method of separation of both these extracts to identify the biologically active compounds, the presence of gallic and ellagic acid, common antioxidants, had been found in ethanolic extract (Zhao et al., 2008). The evaluation of antioxidant activity of EOs obtained from Eucalyptus camaldulensis leaves by DPPH-test revealed the values between 0.5 and 5.8 mmol l<sup>-1</sup>. The antioxidant activities of E. camaldulensis, E. camaldulensis var. obtusa and E. gomphocephala essential oils were also assessed using 2,2'-diphenypicrylhydrazyl chemical (Takeuchi et al., 2003). The values ranged from  $70 \pm 3.13\%$ ,  $50 \pm 3.34\%$ and  $84 \pm 4.64\%$  for E. camaldulensis, E. camaldulensis var. obtusa and E. gomphocephala, respectively. The maximum antioxidant activity (84  $\pm$ 4.64%) denoted raised level of spathulenol (37.46%) (Takeuchi et al., 2003). The potential of E. camadulensis EO's extracted from its blooms on melanogenesis were assessed spectrophotometrically and by Western blotting technique on rat model. The antioxidant properties of the same was also found significant which caused the inhibition of melanogenesis. Bonaldo et al. (2007) stated that E. camadulensis flower EO-mediated depigmentation method was dependent on mitogen-activated protein kinases (MAPK) and

protein kinase A (PKA). So, the outcome of this investigation could affirm the use of eucalyptus EOs as beauty product. Zhang et al. (2010) measured the antioxidant activity of *E. microtheca*'s EO by DPPH method and found the radical scavenging activity as high as 81.8%.

#### 5.22.5 CYTOTOXIC EFFECT

The anti-proliferative and cytotoxic effect of cineole was investigated by several researchers. The potency of eucalyptus EOs as an antiproliferative agent has been investigated on human colon cancer cell lines (HCT116 and RKO)using WST-8 and BrdU assays while LDH activity and TUNEL staining methods are considered as most efficient for its cytotoxicity evaluation. The apoptotic effect of cineole was analyzed in vivo by Murata et al. (2013) by injecting RKO cell lines inside the mice body. It is evident that the onset of apoptosis on HCT116 and RKO cell lines could be made by 1,8-cineole. Suvivin, Akt, and p38 – these 3 molecules incite cleaved PARP and caspase-3 which in turn cause the induction of apoptosis. Clearly, cineole controls the functionality of these 3 molecules (cause inactivation of survivin and Akt and activation of p38). Polymerase chain reaction (PCR), DNA fragmentation and Flow cytometry are 3 effective methods to express Bcl-2, Bcl-X, PARP-1, p53, and Bax genes associated with apoptosis. Murata et al. (2013) noticed the inhibition potential of cineole against the tumor formation in xenotransplanted mice. According to Al-Fatimi et al. (2005), among all other commonly used traditional medicinal plants, the cytotoxic efficacy of E. camadulensis EO (extracted from leaf) against ECV-304 human cell lines were noteworthy. Except the above cell line, WEHI-3, HT-29 and HL-60 cell lines were also showed their responsive behavior. The cytotoxic effect was less in HT-29 and HL-60 cells ( $IC_{50} = 50.5$  and 42.10  $\mu$ g/ml, respectively) while WEHI-3 was highly sensitive (IC<sub>50</sub> = 16.10  $\mu$ g/ ml). But Mubarak et al. (2015) stated about the attenuated impact of its EO in RAW 264.7 cells. The dose-dependent aqueous acetone extract of eucalyptus could bring the cytotoxic effect on MCF-7, Hep-2, HepG-2, HeLa, HCT-116 and Caco-2 cell lines (IC  $_{_{50}}$  ranged from 33.3 to 57.7  $\mu gml^{-1})$  (Singhab et al., 2011). The cytotoxic effects of essential oil of Eucalyptus camaldulensis was evaluated in different cancer and normal cell lines, the essential oil showed high potent cytotoxic effect on colon, prostate, and breast cancer cell lines as well as moderate potency against liver and lung cell lines with  $IC_{50}$  19.8, 31.5, 34.9, 51.7, and 64.0 µg/ml, respectively. In the same pattern,

the oil showed high cytotoxic effect on normal epithelial retina cell line and moderate effect on normal skin fibroblast cell with IC<sub>50</sub> 41.3 and 60.6 µg/ml, respectively (Rahimi-Nasrabadi et al., 2013). Jelena (2012) studied the efficacy of in vitro cytotoxicity of water, ethyl acetate, methanol, and *n*-butanol extracts prepared using leaves of *E. camadulensis* against human breast cancer cell lines viz. MCF 7 and MDA-MB-231 adopting MTT and SRB assays. Consequently, author found the  $IC_{50}$  value ranged from 3–250  $\mu$ g ml<sup>-1</sup> proved the noteworthy cytotoxic potential of all eucalyptus extracts. Islam et al. (2015) also studied the anticancer potential of E. camadulensis extracts. EC-1 (p-menth-1-ene-4,7-diol) compound was isolated from earlier stated eucalyptus species and its potency was tested on EAC (Ehrlich Ascites Carcinoma) cells. Authors opined that this compound could improve the hematological parameters and also inhibit the growth (vol.) of cancer cells as evident in EAC-bearing mice model. They also revealed that the proliferation of EAC cells could be hindered by EC-1 administration at S-phase of cell cycle also. Cytological observation using fluorescence microscope and DNA fragmentation assay resulted in enhanced mRNA expressions of p53 and Bax genes while undesirable expressions of Bcl-2 and Bcl-X genes in EC-1 administered cells (Adeniyi et al., 2015). These authors also found the average cytotoxic impact of E. camadulensis's crude methanolic extract on L20B (genetically engineered mouse cell line) and RD (rhabdomyo sarcoma) human cells. The methanolic extract made from stem bark of E. camadulensis had showed in vivo antitumor effect tested in mice model (Islam et al., 2014). The treatment caused 96% and 81.4% inhibition and reduction in EAC cell growth and tumor burden, respectively as compared to control group. The dose rate as high as 1,120 mg kg<sup>-1</sup> (LD<sub>50</sub>) could cause low host toxic effects. Barring these, methanolic stem bark extract of E. camaldulensis could effectively cause some apoptotic features (membrane blebbing, chromatin condensation, nuclear fragmentation) in treated EAC cells. Gel electrophoresis also ascertained its apoptotic impact (Islam et al., 2014).

#### 5.22.6 ANTIPARASITIC, INSECTICIDAL, AND REPELLENT EFFECTS

In studying anti-schistosomal effect of Eucalyptus essential oil, the scanning electron microscope observation showed that of essential oil produced sever damage in schistosoma worm's typography (El-Baz et al., 2015). The effect of the leaves, stem, and root barks extracts of *Eucalyptus camaldulensis* 

was investigated in Trypanosoma brucei infected mice. The treatment using hexane, ethyl acetate, methanol, and water extracts@ 200-600 mg kg<sup>-1</sup> body weight day<sup>-1</sup> for consecutive 21 days could be effective enough against T. Brucei. In this experiment, authors used a 'Control' group (Berenil@ 3.5 mg kg<sup>-1</sup> body weight was used to treat) also. As a result, they found that the degree of cure was high in those groups of mice which were treated using E. camadulensis leaf extracts along with the standard drug (berenil treatment) but without berenil treatment the survival rate was quite less. They also found that the fresh infection induced using CSF (Cerebrospinal Fluid) in cured mice was ineffective which denote the strength of healing. Based on the bioassay-guided fractionation using E. camadulensis leaf, Kabiru et al. (2013) found that out of 10 fractions, 8 and 9 fractions merely expressed the minimal antitrypanosomal activity but it was incomparable to the standard drug (p≤0.05). Nosratabadi et al. (2015) incubated the promastigotes of Leshimania major for 72 hrs. to evaluate the impact of methanolic and aqueous extracts of E. camadulensis on them followed by evaluation adopting MTT method. They employed 'Tartar emetic' in control group. They registered the IC  $_{so}$  values of 586.2  $\pm$  47.6, 1108.6  $\pm$  51.9 and 32.5  $\pm$  6.8  $\mu g$  ml  $^{-1}$  for methanolic extracts, aqueous extracts and control group, respectively. Range of extracts like total extract, diethyl ether, chloroform, ethyl acetate, and water fractions of E. camaldulensis were used to evaluate their inhibitory potential on T. vaginalis growth (Hassani et al., 2013). As a consequence, crude extract@ 12.5 mg l<sup>-1</sup> exhibited 80%, diethyl ether extract@ 25 mg ml<sup>-1</sup> showed 100%, ethyl acetate extract@ 12.5 mg ml<sup>-1</sup> showed 100% and water extract@ 50 mg ml<sup>-1</sup> showed 80% growth inhibition after 24 hours of incubation but increased rate of growth inhibition with increased incubation period was observed in case of water extract (100% inhibition after 72 hours of incubation). The use of eucalyptus EO as a natural insecticide and pesticide is of huge significance especially environmental and toxicological point of view and also to mitigate the use of synthetic compounds (Batisha et al., 2008). The larvicidal activity of dose-dependent leaf extract and EOs of E. camaldulensis was investigated by Sedaghat et al. (2010) against Anopheles stephensi. Authors confirmed the 320 ppm of dose rate for 100% mortality of the above stated populations. In fact, EOs vapor of the same species also found to be toxic against Aedes aegypti adults could be proved by counting the total number of knocked-down mosquitoes at an interval of 30 mins. Excellent anti-larvicidal activity of EO extracted from leaves of E. camadulensis had been evinced especially in case of Aedes aegypti and Aedes albopictus larvae (Cheng et al., 2009). Authors confirmed that

alpha-terpinene was the key component for the afore mentioned activity. Lucia et al. (2009) stated that the efficacy of any fumigants usually depends on its volatility. They found a correlation between the cineole content and its toxic effect. Eucalyptus essential oil can act directly as a natural insect repellent. Eucalyptus essential oil can protect plants against rice weevils, pine processionary moths and mushroom flies. Essential oils extracted from the dried fruits of Eucalyptus camaldulensis, and essential oils of many other plants were tested for their repellency against the adult females of Culex *pipiens*. The essential oils showed repellency in varying degrees, Eucalyptus, basil, and anise being the most active (Erler et al., 2006). The insecticidal effects of hot and cold aqueous Eucalyptus microtheca leaves extracts were studied on mosquito Culex pipiens. Hot water extract was more effective on immature stages of insect. Eggs mortality rate of the hot and cold extracts was 51% and 47.3% respectively at a concentration of 20 mg/ml. Larval mortalities rate was significantly increased in hot and cold-water extracts as compared with control. The hot and cold extracts caused 31.5% and 28.6% pupal mortality at concentration of 20 mg/ml, respectively (Tysyar, 1997). The accumulative and non-accumulative effects of aqueous and organic extracts of the leaves of Eucalyptus microtheca was investigated on larvae of Culex quingefasciatus. The petroleum ether extract was the most effective, however, all extracts increased the duration of larval stage and caused morphological changes of larva (Saleh et al., 2010).

#### 5.22.7 ANTIMICROBIAL EFFECT

1,8-cineole is the key antimicrobial component. *Klebsiella spp., Proteus spp., Pseudomonas spp., Escherichia coli*, and *Staphylococcus aureus* – these range of bacteria(s) are highly sensitive in respect of eucalyptus oil administration. The doses ranging from  $5-25 \mu$ l could brought significant antibacterial effect on *Escherichia coli* and *Klebsiella spp.* while 50  $\mu$ l was found appropriate for *Pseudomonas* and *Proteus* spp. (Trivedi & Hotchandani, 2004). They found that the measured area of zone of inhibition was positively correlated with dose rate irrespective of microorganisms. Interestingly, the extracts of *E. bicolor* is effective on wide ranges of bacteria, yeast, and molds. Methanolic extracts and also the volatile oils produced from *E. largiflorens* (Syn. *E. bicolor*) showed antimicrobial activity *in vitro* against *Aspergillus niger* ATCC 16404, *Candida albicans* ATCC 10231, *Pseudomonas aeruginosa* ATCC 27853, *Bacillus subtilis* ATCC

6633, Staphylococcus aureus ATCC 29737, Escherichia coli ATCC 10536, Klebsiella pneumoniae ATCC 10031, Staphylococcus epidermidis ATCC 12228, Shigella dysenteriae PTCC 1188, Proteus vulgaris PTCC 1182 and Salmonella paratyphi. The polarity index of the respective solvent (methanol here) is actually liable to its strong activity against almost all microorganisms while the non-polarity index doesn't possess any inhibitory effect except Escherichia coli as reported by Rahimi-Nasrabadi et al. (2013). Safaei-Ghomi & Ahd (2010) revealed that the anti-bacterial, microbial, and fungal potential of eucalyptus essential oil (major component cineole) was higher than its methanolic extracts as proved based on the results of minimum inhibitory concentration (MIC). For this experiment, authors used synthetic antibacterial (gentamicin  $[10 \ \mu g \ disk^{-1}]$  and rifampin [5  $\mu$ g disk<sup>-1</sup>]) and antifungal (nystatin [100  $\mu$ g disk<sup>-1</sup>]) agents as reference controls. The antimicrobial activity of aqueous extracts and EOs of E. incrassata leaves were evident using disk diffusion method of assay against Staphylococcus aureus (a multidrug-resistant bacteria) (Salman et al., 2014). The range of 7–14 mm was the diameter of inhibition zone but utmost diameter was obtained in aqueous extract. Pandey & Singh (2014) studied the dose-dependent efficacy of acetone, methanol, and water extracts prepared from stem, bark, and leaf of E. camadulensis to evaluate the antimicrobial activity in vitro against Bacillus megaterium, Bacillus subtilis, Staphylococcus epidermidis Staphylococcus aureus, Micrococcus luteus and E. coli following agar well diffusion method of assay. They reported the utmost anti-bacterial and microbial potency against range of bacteria(s) (including gram [+] and [-]) using acetone and methanolic extracts derived from leaf and bark of *E. camadulensis*. Several research reports showed the sensitivity of Escherichia coli, Staphylococcus aureus, Salmonella typhi, Proteus mirabilis and Klebsiella pneumoniae towards the crude leaf extracts of E. camadulensis. About 50 mg is the most effective concentration for all type of extracts to suppress the diversified bacterial growth. El-Mahmood (2010) reported the least sensitivity of E. coli (7 mm), K. pneumoniae (9 mm), P. mirabilis (13 mm), S. typhi (12 mm) and S. aureus (12 mm) to aqueous extract while maximum efficacy of acetone extract against E. coli (12 mm), K. pneumonia (13 mm), S. typhyi (14 mm), P. mirabilis (15 mm) and S. aureus (14 mm). He evaluated the sensitivity based on the diameter of zone of inhibition. Salem et al. (2015) also investigated the antibacterial potential of EOs extracted from E. camaldulensis, E. camaldulensis var. 'Obtusa' and E. gomphocephala to evaluate their efficacy against gram (+) and (-) bacteria(s) adopting agar disc diffusion

and MIC methods. EO of E. camadulensis at the dose rate of 4 and 2 mg ml<sup>-1</sup> (these values are considered as Minimal Bactericidal Concentration [MBC]) could be potential enough to prevent biofilm formation by Staphylococcus. mutans and S. progenes in vitro and in vivo, respectively (Rasooli et al., 2009). Both the MBCs of E. camadulensis's EO could significantly reduce the exposure time. The effective exposure time of S. mutans in EO was 2.8 mins while it was 12.8 mins in case of Chlorhexidine treated group. Based on the consequences, researchers (Rasooli et al., 2009) deduced that all strength of eucalyptus EOs could effectively cause antibacterial and in vivo biofilm prevention effect. The investigation on the efficacy of blended version of E. camaldulensis EOs and common antimicrobial agents (ciprofloxacin, gentamicin, and polymyxin B) were carried out by Knezevic et al. (2016) against Acinetobacter baumannii, a common MDR bacteria, using broth microdilution method. Authors found the synergistic antibacterial impact evinced by time kill curve of this blended form. They also identified the essential constituents liable to this activity adopting bioautographic analysis. The effect of combination of polymyxin B and essential oil of E. camdulensis was maximum as confirmed by authors (Knezevic et al., 2016) resorting on a key parameter, i.e., reduced bacterial count only after brief incubation time (6 hrs.). According to Bachir & Benali (2014), the use of crude leaf extract of E. camdulensis could cause a significant reduction in the diameter of zone inhibition in case of Escherichia coli (gram negative) (10-31 mm) and Staphylococcus aureus (gram positive) (10-26 mm) but the resistance potential of the latter one higher than the former one. The IC (Inhibitory concentration) value ranged from 12.5–400 µg ml<sup>-1</sup> of the crude extract of same species in vitro was confirmed by Adeniyi et al. (2009) against Helicobacter pylori strains (ATCC 4504, ATCC 47619, A2, TI8984, 019A, and A6). Hexane, chloroform, methanol extracts of E. camdulensis and its 2 spectrophotometrically identified compounds (i.e., hydroxy-myristic acid methyl ester and pyrenyl ester [a sterol]) was also found effective (MIC of 4-64 µg ml-1) against Mycobacterium tuberculosis H37Rv (MtbH37Rv) (Lawal et al., 2012). El-Baz et al. (2015) confirmed the range of diameter of inhibition zones of 9.3-12.5 mm for yeast and fungi obtained using EOs extracted from E. camadulensis leaves while the identical range was also obtained regarding gram (+) and (-) bacteria(s). For other wide range of microorganisms (like L. monocytogenes, S. aureus, E. coli, K. pneumoniae, S. cerevisiae, C. albicans, M. ramamnianus and A. ochraceus) the potency of exclusively E. camadulensis's EO had been proved by many scientists. The span of zone of inhibitions was 28 and 12

mm in case of A. niger and R. solani, respectively obtained using E. camadulensis's EO (Ghaffar et al., 2015). Methanolic leaf extracts of the same species showed antifungal properties in vitro against Microsporum canis, Microsporum gypseum, Tricophyton rubrum, Tricophyton schoenleinii, Tricophyton mentagrophytes and Epedermophyton floccosum (Falahati et al., 2005). Furthermore, the antifungal capacity of eucalyptus essential oils at minimal concentrations against few phytopathogenic fungi had been reported by Barra et al. (2010). The sensitivity percentage of 50, 53.3, and 90 were found by El-Baz et al. (2015) in case of Rotavirus Wa strain, Coxsackievirus B4, and herpes virus type 1 respectively towards the leaf extracted EO of E. camadulensis proved its antiviral potency, but this extract remained ineffective for adenovirus type 7. Efficiency of methanolic extracts of the same species had also been evaluated in case of Poliovirus type I, Coxsackievirus B and Echovirus 6 (human enterovirus) (Adenivi et al., 2015) and authors reconfirmed its viricidal potential. Except benzene extract, the aqueous, ethanolic, chloroform, and acetone extract of E. microtheca was found effective against S. aureus and S. *typhimurium* but synergy of blended form (EO + conventional antibiotic) was only evident in case of S. aureus not against S. typhimurium (Suliman & Al-Dulimi, 2008). Al-Jeboury (2013) standardized the dose rate of 1 mg ml<sup>-1</sup> of methanolic (range of zone of inhibition 17–25 mm) and ethanolic extracts (range of zone of inhibition 20-29 mm) of E. microtheca against Pseudomonas aeruginosa. The minimum inhibitory concentration and minimum bacterial concentration were also evaluated against Bacillus cereus, Staphylococcus aureus, Salmonella typhi, Escherichia coli, Pseudomonas aeruginosa, Klebsiella pneumonia, and Proteus mirabilis by the same author. SEM (scanning electron microscope) analysis revealed that the exposure to methanolic and ethanolic extracts could cause structural changes (especially changes in cell wall active constituents) in certain bacteria(s) especially E. coli (MIC value was 8 mg ml<sup>-1</sup>), Bacillus cereus (MIC and MBC values were 8 and 16 mg ml<sup>-1</sup>) and P. aeruginosa (MIC & MBC values were 8 and 16 mg ml<sup>-1</sup>) (Seyyednejad et al., 2014). Eucalyptus microtheca's antibacterial efficacy had been too proved against L. monocytogenes, S. aureus, E. coli, K. pneumoniae, S. cerevisiae, C. albicans, M. ramannianus and A. ochraceus by Ghaffar et al. (2015). The effectiveness of *E. microtheca*'s leaf extracts had been evaluated by Mahmoud (2012) against Penicillium digitatum and Aspergillus niger using agar well diffusion method while its alcoholic extracts showed inhibitory effect on mycelial growth of both fungi.

#### 5.22.8 EFFECTS ON ORAL AND DENTAL HEALTH

The efficacy of aqueous and alcoholic extracts of eucalyptus leaves against Mutans streptococci, Lactobacilli (the most carcinogenic oral bacteria[s]) and Candida albicans had been evaluated by Qanbar & Al-Mizraqchi (2009). The sensitivity levels of these bacteria(s) were found maximum in case of alcoholic extracts (100 mg ml<sup>-1</sup>) at very low concentration than its aqueous extract (150 mg ml<sup>-1</sup>). In fact, the potency of both these extracts was higher as compared to conventional antibacterial agent namely chlorhexidine@ 2 mg ml<sup>-1</sup> especially against *Mutans streptococci* and *Candida albicans* as confirmed by earlier authors. The same authors standardized the MBC of 5-8, 6-10, and 3-7 mg ml<sup>-1</sup> for aqueous extracts and 4-8, 6-10, and 2-6 mg ml<sup>-1</sup> for alcoholic extracts against Mutans streptococci, Lactobacilli, and Candida albicans, respectively. The significant effect of eucalyptus extract on human periodontal health was evaluated by Nagata et al. (2008). For their investigation, they used eucalyptus extract containing chewing gums on three groups viz.: (i) group with deep periodontal pockets; (ii) group with minor periodontal pockets; and (iii) placebo group. Authors had applied 0.6% eucalyptus extract containing chewing gums (90 mg ml<sup>-1</sup>) to the 1<sup>st</sup> group for 3 months while 0.3% eucalyptus extract containing chewing gums (60 mg ml<sup>-1</sup>) to the 2<sup>nd</sup> group and chewing gums without any eucalyptus extract to the placebo group. The efficacy of these treatments was evaluated based on certain parameters like Plaque accumulation (PLA), gingival index (GI), bleeding on probing (BOP), periodontal probing depth (PD), and clinical attachment level (CAL) at an interval of 0, 4, 8, 12, and 14 weeks. Authors found significant improvements in treated group as compared to the placebo group.

#### 5.22.9 DERMATOLOGICAL EFFECTS

The change in texture of hair strands was evinced after long-term application of eucalyptus extracts (Mamada et al., 2008). Authors carried out an investigation employing 4 groups viz. Japanese female, Japanese senior female, Japanese male, and Caucasian female. In their study they applied scalp lotion with eucalyptus oil either on half-head or whole head. Consequently, the lustrous and bouncy hair was noted after the long-term usages irrespective any race, age, and gender. They had also studied the elasticity of treated and placebo group's new hair growth by AFM (atomic force microscopy) nano-identification method. They recognized improvement in the treated hair of new growth part of the cortex. Authors confirmed the change in physical properties of hair fiber of the treated groups was due to decreased alpha-helix structure and enhanced beta-sheet structure.

# 5.22.10 NASAL EFFECT

The effectiveness of 1,8-cineole on mucus hypersecretion in induced rhinosinusitis had been investigated by Sudhoff et al. (2015). An *ex vivo* nasal slice cultures were treated using lipopolysaccharides to mimic bacterial infection which resulted in the augmented number of mucin-filled goblet cells but numbers lowered down significantly after co-treatment by cineole. Real-time PCR analysis exhibited that cineole reduces the expression of mucin genes (MUC 2 and 19) related with the attenuation of NF- $\kappa$ B-activity.

# 5.22.11 ANTIDIABETIC EFFECT

The anti-hyperglycemic potential of ethanolic extract of *E. camaldulensis* leaves was investigated by Dawoud (2015). The author found, ethanolic extract@ 500 mg kg<sup>-1</sup> of body weight as optimum dose to get efficacious antidiabetic effect.

## 5.23 PROCESSING TECHNIQUES IN PHARMACEUTICALS

Selection of phenotypic characters for further breeding approaches is a vital step but field dependence always poses constraints in the form of delay. Hence, to get rid of this problem marker assisted breeding approach could be a viable option. Available germplasms, selection method of phenotypic features and breeding strategies – these three influence a successful breeding program significantly. Earlier statistics of successful eucalyptus breeding program reflected a significant improvement in its average productivity, as for example in 1990 the productivity rate was 60 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup> which was significantly higher as compared to 12 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup> productivity rate in 1960 (Camargo, 2001). The above stated enhancement in eucalyptus productivity was due to some improved features like highly improved clones with quick growth rate, high wood quality, adaptability to poor soil condition and disease-pest resistance obtained through advanced silvicultural breeding strategies. Marker assisted selection (MAS) augments the selection efficiency through appropriate choice of heritable and environmental traits. Genetic

242

#### Eucalyptus spp.

marker is a small piece of DNA proficient to make effective segregation between progenitors and progenies characterized by its highly polymorphic nature, higher degree of stability and detection potential between unlinked loci. The segregation analysis outputs have shown the efficacy of molecular markers for linkage map construction and estimation of gene frequency which found highly advantageous for genetic diversity analysis and to adopt further improved breeding approaches (Mori, 1993). To develop superior eucalyptus clones, breeding biology viz. parentage testing and evaluation of variable mating system plays a meaning role (Camargo, 2001).

#### 5.24 CHARACTERIZATION OF GENETIC DIVERSITY

The importance of molecular markers for diversity analysis of populations are well-known especially for its potential to construct populations, families, clones, cultivars, and also to segregate various populations from a gene pool. Polymorphic evaluation between E. urophylla, E. grandis, and E. saligna were made by Mori et al. (1996) based on isoenzyme loci to distinguish trueto-type inter-specific hybrids from others. They found the equal distribution of Est-1, Est-2, and Idh-1 loci while another locus distribution pattern was unequal. Mori & Kageyama (2001) analyzed 5 and 69 clones and progenies of E. grandis and E. urophylla based on isoenzyme loci and consequently they obtained higher degree of genetic diversity at intra-population levels than inter-population levels. Genetic diversity analysis helps to explore the heterozygosis existing among the populations. Targeting to develop improved hybrids, prediction of degree of heterozygosis is sufficiently significant. Pigato & Lopes (2001) made genetic linkage maps after identifying 72 loci employing RAPD markers to discriminate 12 eucalyptus progenies. The genetic distances found (0.40) using Jaccard's coefficient analysis method between the groups didn't correspond the phenotypic grouping made based on various bark pattern. Furthermore, Caixeta et al. (2003) studied the genetic relatedness of 44 natural hybrids of eucalyptus using RAPD markers. Around 54% of genetic distances and 24–73% of genetic divergence level proved the discriminating efficiency of this DNA marker. The obtained consequences also demonstrated wide genetic base considered as basic requirement in all breeding programs. UPGMA (Unweighted Pair Group Method with Arithmetic Mean) analysis established 9 distinct groups with average genetic divergence > 60%. Muro-Abad et al. (2005) analyzed genetic diversity of 40 species of E. grandis and E. urophylla using 17 microsatellite markers. During their previous study, they made two 10 x 10 circulate partial diallel

crosses. Around 26.1% of heterozygosity was found while genetic diversity ranged from 10–100% and 64.6% of mean genetic distances was obtained after the amplification of 75 alleles by 17 microsatellites. Phylogenetic tree obtained by cluster analysis showed 2 groups with high level of diversity. In these 2 groups inclusion of some odd species of eucalyptus genus was also evident. They made comparative study based on the outputs of RAPD and microsatellite markers which revealed low and negative correlation values of RAPD analysis and presented the higher discriminating efficiency of microsatellite markers.

## 5.24.1 FINGERPRINTING

DNA fingerprinting using DNA markers is the most reliable method to characterize, identify, and segregate any populations. The origin, genetic distance and clustering of related genotypes are vital to know for adopting specific breeding programs (Pimenta et al., 2001) which could be ascertained using DNA fingerprinting technique. Neavlon et al. (2001) used RAPD markers to investigate the relationships among 3 hybrid species of eucalyptus viz. E. macrocarpa, E. pyriformis, and E. youngiana. The UPGMA dendrogram formed 2 clusters based on the genetic similarities, in other words, relative proximity and genetic distances among employed individuals had obtained. The analysis of dendrogram revealed that in 1 cluster all species and a hybrid of E. macrocarpa was included while another cluster contained E. youngiana and *E. pyriformis* genotypes and their respective hybrids. Later, Rocha et al. (2002) characterized 15 eucalyptus hybrid genotypes with high potency of asexual propagation using RAPD and SSR markers. As a consequence, they got unique set of markers, highly effective for identification purpose of all employed genotypes.

## 5.24.2 QTL MAPPING

Inter-specific hybridization is one of the ways to understand the interactive effects between alleles at the same locus (Genetic Dominance) and at different loci (Epistasis). For eucalyptus breeding mostly this method of hybridization is followed understand the non-additive genetic variance. MAS (Marker Assisted Selection) and recombination techniques of breeding could be adopted especially in vegetative propagated eucalyptus since they utilize genetic similarities (i.e., genetic linkages) to make breeding approaches successful (Grattapaglia & Sederoff, 1994) especially using RAPD (Verhaegen et al., 1997), AFLP (Gaiotto & Grattapaglia, 1997) and SSR (Brondani et al., 1998) markers. Linkage maps are useful to recognize different genomic locations could cause the meaningful manifestation of economically viable attributes of eucalyptus. QTL (Quantitative Trait Locus) mapping is a way to draw a relationship between genotypic and set of quantitative phenotypic traits. It was used to study various characters including rooting (Marques et al., 2002), insect-pest resistance (Shepherd et al., 1995), plant growth (Squilassi & Grattapaglia, 1998), and Puccinia psidii rust resistance characters in E. grandis (Junghans et al., 2001). Few quantitative features such as wood volume and density of range of eucalyptus species have significant silvicultural importance which could be detected using OTL mapping. According to Margues et al. (2002), few loci are effective in controlling significant proportion (10-40%) of total phenotypic variation for set of quantitative traits in eucalyptus. Interestingly, the consequences of the study on QTL mapping in eucalypts for wood volume character had been made by Squilassi & Grattapaglia (1998) revealed that MAS methods are inefficient for selection of eucalyptus species both at individual and family level. 201 inter-specific hybrids of E. urophylla and E. grandis had been studied by Gion et al. (2001) to identify 8 genes and 3 Myb-transcription factors involved in lignin biosynthesis. The identified genes are [PAL (phenylalanine ammonia-lyase), COMT1 (caffeate/5-hydroxyconiferaldehyde O-methyltransferase 1), COMT2, 4CL (4-coumarate:coenzyme A ligase), C4H (cinnamate 4-hydroxylase), CCoAOMT (caffeoyl coenzyme A O-methyltransferase), CAD2 (cinnamyl alcohol dehydrogenase 2), and CCR (cinnamoyl-coenzyme A reductase)]. After identification, the identified genes were mapped single strand conformation polymorphism (SSCP) technique which revealed their 2 parental locations constructed with PCR (Polymerase Chain Reaction)-based markers. Thioaciolysis method is used generally to evaluate monomeric

compositions (S/G ratio) of lignin while for QTL analysis of the same (S/G ratio) interval mapping procedure has found appropriate (Gion et al., 2001). The total variance obtained by QTL mapping ranged from 18.5–38% in case of *E. urophylla* and *E. grandis* which could hint the possibility of eucalyptus's lignin quality manipulation through MAS.

#### 5.24.3 MARKER-ASSISTED SELECTION (MAS)

The key principle of using MAS is to attach molecular markers with a gene or group of genes for easy and efficient identification. Use of isoenzyme

and acid phosphatase isoenzyme markers for the selection of superior genotypes of eucalyptus are well-known. Menck (1996) used acid phosphatase isoenzyme markers to select superior genotypes which have rapid growth rate and also the efficiency to use phosphorous (ph). He had found a correlation between field grown genotypes and higher acid phosphatase enzyme activity. He reported that the tight linkage of markers with resistance alleles could cause effective and fast transmittance of the target alleles in plants. In this case, the process of pyramiding resistance alleles could be the effective one. Diameter of pole at breast height, wood growth and quality - these are key commercial features of eucalyptus but with low heritability. Hence, MAS could be an effective measure in this case. Squilassi & Grattapaglia (1998) studied the full-sib families of *E. grandis* and *E. urophylla* to assess the efficiency of MAS linked QTL method and conventional phenotypic selection for pole diameter feature of eucalyptus. The level of effectiveness of MAS based assessment usually depends on set of phenotypic and QTL data of all involved progenitors in the crossing procedure. The study made by above authors was insufficient since they evaluated only the maternal QTL allelic input not of all possible crosses. Bortoloto (2006) developed SCAR (Sequenced Characterized Amplified Region) marker to spot the blooming time of E. grandis using BSA (Bulked Segregant Analysis) method and consequently found its linkage to EMBRA 7 (Brondani et al., 1998) microsatellite marker. Interestingly, Brondani et al. (1998) reported the linkage of EgLFY gene (controls flowering) to EMBRA 6 which represented the chance to manipulate the flowering of E. grandis.

#### 5.25 POTENTIAL BIOMOLECULES AND CLINICAL TRIALS

Osteoarthritis, the predominant chronic musculoskeletal disorder cause pain, stiffness, inflammation, and swelling especially in knee joints (Salaffi et al., 2005; Brooks, 2002; Hochberg et al., 2012). Except total knee replacement (TKR) (Carr et al., 2012; Lee et al., 2009), the use of Eucalyptus (*Eucalyptus globulus*) oil as folk medicine could be an effective nonsurgical alternative to get respite from this problem (Kumar & Laxmidhar, 2011). According to them, not only osteoarthritis, osteoporosis, upper respiratory infections, gastritis, and diabetes could be also healed using value-added products of eucalyptus.  $\alpha$ -pinene and 1,8-cineole (accounts for 70–90% [w/w] of the total content)– these 2 are key chemical constituents of eucalyptus essential oil (Singh et al., 2009). Santos & Rao (2000) proved the efficacy of oral administration of cineole on lowering the edema induced inflammation and

pain in rat model. Silva et al. (2003) reconfirmed the analgesic properties of eucalyptus oil. Juergens et al. (2004) found that the efficacy of cineole was for the restriction of cytokine secretion by T-lymphocytes. To get the myorelaxant effect and for promotion of emotional stability eucalyptus oil is of great use (Gobel et al., 1994). To assess the analgesic potency of eucalyptus oil through inhalation on patients who have undergone TKR (Total Knee Replacement) surgery, Jun et al. (2013) conducted an experiment. They recorded the responses of participants after TKR surgery and divided into 2 groups (1:1 ratio) viz. (i) intervention group (eucalyptus oil inhalation group); and (ii) control group (Inhalation group using almond oil). Authors made the evaluation using certain parameters viz. WBC (white blood cell) count, CRP (C-reactive protein) reaction, pain on a visual analog scale (VAS), heart rate and blood pressure half an hour (30 mins) prior and after the inhalation treatment of continuous passive motion (CPM) on 3 consecutive days. Jun et al. (2013) reported that the degree of TKR induced pain relief and hypertension level were significantly low in 'Intervention Group' (eucalyptus oil inhalation group) as compared to the 'Control' one which validate the potency of eucalyptus oil as pain reliever equally applicable for animal model and human being too (Table 5.4).

The antimicrobial potential of eucalyptus EOs is less investigated. Earlier, the multifaceted actions of 1,8-cineole (e.g., antitussive, antimicrobial, mucolytic, anti-inflammatory, bronchodilator, ciliary transport promotion and improved lungs infection) on human being as well as on animal model were investigated thoroughly and proved also. Antitussive in low concentration, quick nasal decongestion, ease of respiratory discomfort and reduction of dyspnea – these are proved impact of menthol treatment on several respiratory activities. Comprehensively, both could be used to heal diversified respiratory ailments (Baser & Buchbauer, 1987). Several authors recommended eucalyptus EO to heal chronic bronchitis, asthma, common cold, COPD, sinusitis, etc. Albeit the topical applications of EOs which contain high concentration of 1,8-cineole and menthol especially for infants or children should be restricted (Sebei et al., 2015; Dey & Mitra, 2013).

To heal range of respiratory tract infections (chronic bronchitis, bronchial catarrk, etc.) in adolescents (age > or = 12 years) and adults both, eucalyptus EO could be highly recommended as stated by Aldoghaim et al. (2018). They used Pinimenthol® ointment consisted of eucalyptus and pine-needle EOs and menthol to study its tolerability effect for  $8.0 \pm 3.4$  days. Tis product was used for inhalation (17.3% of patients), inunction (29.6% of patients) or both inunction and inhalation (53.1% of patients). Based on the outcomes,

authors had recommended the use of Pinimenthol® ointment for adolescents and adults since the response regarding tolerability level ranged from excellent–good.

Barring its usefulness, the simple and cost-effective extraction procedures of eucalyptus essential oil are the key reasons of its huge commercial acceptance. Its wide range of chemical constituents (1.8-cineole, p-cymene, eucamalol, limonene, linalool,  $\alpha$ -pinene,  $\gamma$ -terpinene,  $\alpha$ -terpineol, alloocimene, and aromadendrene) are enough worthy for pest management purpose. The antimicrobial, fungicidal, insecticidal/insect repellent, herbicidal, acaricidal, and nematocidal properties of transparent and cool liquid of spicy and camphor odor eucalyptus oil have intensified its uses as eco-friendly insect and pesticide. The use of eucalyptus EO to control the indiscriminate use of synthetic chemicals, the toxicological implications and the serious issues of enhanced pest resistance against wide range of synthetic insect and pesticides become mandatory (Singla et al., 2013). The potential of eucalyptus EO as rat repellent was proved by Singh et al. (2013). In the experiment, they registered the daily food consumption from the food bowls kept inside the laboratory at an interval of 24 hr. from Tuesday-Friday. Depending on the average daily food consumption data, the repellence percent was determined using the formula mentioned below:

Percent Repellency = 
$$FUT - FT \times 100$$
 (1)

where; FUT is the mean daily food consumption from untreated side; and FT is the mean daily food consumption from treated side (Singla et al., 2013).

#### 5.26 CONCLUSION

The multifaceted uses of eucalyptus EOs are the major reasons for its augmented demand. Its commercial uses as insect repellents, fragrant, and traditional medicines are well-known although the effective studies on diversified eucalyptus species are very finite in reality. The potency of range of biologically active constituents usually vary from species to species, so vast research is the need of the hour. Furthermore, the utility of its essential oils in diversified field has been recognized already but some cutting-edge research (as for example the new mode of actions and biosynthesis of eucalyptus oil may be required to make more improved natural pesticides, metabolically engineered pathways to increase the yield, etc.) should be carried out. In this regard, advanced molecular and biochemical approaches could act as effective

SI. No.	Patent No.	Title	Description of Patent	Inventor
1.	WO2008011699A1 (Retrieved from: https://patents. google.com/patent/ WO2008011699A1/ en)	Fungicide with eucalyptus oil	Organic fungicide using eucalyptus essential oil (EO) where a natural emulsifier [NE] (rice or gum lecithin) has been used. The emulsion of EO in aqueous solution is made in such a way, so that the formulation becomes ready to use for plantations. It effectively minimizes the use of agro- chemicals for the cultivation of farm crops. It is featured for the unique emulsification of colloidally dispersed eucalyptus EO with natural emulsifier at the proportion of 10 (eucalyptus EO):10 (NE): 80 (water) by volume.	Walter Szortika Tessmann
2.	US20090324754A1 (Retrieved from: https://patents. google.com/patent/ US20110129554A1/ en)	Method of eucalyptus extract preparation and its therapeutic value.	As drug or dietary supplement, eucalyptus extract could be used to heal neuro-mediator recapture disorder induced symptoms. It's a nutrient rich content which contains either a compound possess formula (I) or its diastereoisomers where R1 forms C=CH <sub>2</sub> replacing the carbon atom and join with formula (II) and R2 represents an isobutyl, $\alpha$ or $\beta$ -isobutyl substituent.	Christel Fiorini- Puybaret, Bernard Fabre, Cécile Chauvin, Philippe Joulia
3.	US20140100279A1 (Retrieved from: https.//patents. google.com/patent/ US20140100279)	Treatment of hyperglycemia and hypertension using <i>E.</i> <i>camadulensis</i> extracts derived from its leaves.	The key chemical constituents of leaf extract of <i>E.</i> <i>camadulensis</i> are carboxylic acids (like valproic acid) where carboxyl groups are joined in chain of 7 or > carbon atoms (like stearic, palmitic, and archidic acids) or joined with 1 or 2 double bonds (like oleic and linoleic acids).	Denise Daley- Beckford, Trevor Herbert Yee, Ruby Lisa Alexander-Lindo, Paul Bancroft Reese

## **TABLE 5.4**Patents on *Eucalyptus* Extracts

solution. Quantitative trait loci associated eucalyptus EO's biosynthesis in E. *grandis* × E. *urophylla* hybrids could prove the degree of advancement on its scientific research. Conclusively, long-term productive collaborative work with plant biologists, biochemists, molecular biologists, and plant breeders may make some innovative approaches towards eucalyptus.

#### **KEYWORDS**

- antifungal
- anti-inflammatory
- cultivation
- essential oil
- Eucalyptus
- pharmaceuticals
- pharmacological
- RAPD
- SSR

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Eucalyptus spp.

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# *Commiphora* spp. (Guggul): A Wonder Ayurvedic Drug

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## ABSTRACT

Guggul is a famous Ayurvedic oleo-gum resin drug which is obtained after injury from the stems and branches of Commiphora wightii (Arnott) Bhandari [syn. Commiphora mukul (Hook. Ex Stocks) Engl; Balsamodendron *mukul* (Hook, Ex Stocks); Family, Burseraceae]. Since time immemorial, it has been used in one form or other to treat various disorders such as rheumatism, inflammation, gout, hyperlipidemia, etc. Guggul is known for its terpenoidal (mono-, di-, sesqui-, and triterpenoids), steroidal, flavonoidal, and lignan compounds that have been found responsible for its multi-facet biological activity. The dry regions of Gujarat and Rajasthan States of India, and the bordering regions of Pakistan are the natural habitat of guggul. It is used as one of the major ingredients in more than 50 Ayurvedic formulations in India. In US and other Western countries, it is used as an OTC medicine or a dietary supplement. Its unchecked commercial exploitation over the last several decades, has significantly affected its wild population. Due to this, C. wightii has been enlisted as "Critically Endangered" in the IUCN red list of threatened species. The present chapter provides an update on the pharmacology and phytochemistry of guggul along with its historical aspects, traditional uses and agro-technical methods.

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#### 6.1 INTRODUCTION

Therapeutical use of plants is perhaps as old as the history of man. Almost all the traditional systems of medicine around the world are based on the usage of plants as medicines. Indian Traditional system of medicine, "Ayurveda," is one such system and perhaps the oldest systems of medicine known to mankind. "Avurveda" has been derived from two words "Avur," i.e., life and "veda," i.e., science and therefore Ayurveda is "science of life." It is believed that Ayurveda has developed from "Atharavaveda" and "Rigveda." Atharavaveda has description of medicinal properties of more than 100 plants. "Guggul" or "Guggulu" is one such plant that has its mention in Atharavaveda and according to it "wherever there is fragrance of guggul, the people will remain free from diseases" (Sastry, 1976). Guggul has also been mentioned in the main treatise of Ayurveda, i.e., "Charak and Shusrut Samhitas," for its medicinal properties. Later, Vaghbhata mentioned guggul as remedy for lipid disorders in "Ashtanga Sangraha and Ashtanga Hridaya." Shusruta and Vagbhata also provided a detailed description of the physical properties and therapeutic qualities of guggul. It has also been mentioned as a "Rasayana" (preparations having immunomodulatory and rejuvenating properties) in some of the Ayurvedic texts (Sastry, 1976).

Guggul is an oleo-gum resin that is obtained after tapping the stem and branches of Commiphora wightii (Arnott) Bhandari of family Burseraceae. C. mukul (Hook. Ex Stocks) Engl. and Balsamodendron mukul (Hook. Ex Stocks) are the two synonyms used for C. wightii (Anonymous, 1986). Earlier, the 'guggul' plant was known as C. mukul (Hook. ex-Stocks) Engl. or B. mukul (Hook. ex-Stocks). Later in 1962, Santapau renamed it as C. roxburghii. The present name, C. wightii was the name given by Bhandari, as according to him the name 'wightii' was used prior to 'roxburghi' since 1839 (Dalal, 1995). The plant is found in the dry regions of South Asia mainly in India, Pakistan, and Bangladesh. In our country, guggul plant is found in Gujarat, Rajasthan, Karnataka, Madhya Pradesh and Assam. C. wightii is a bushy plant and it requires growth of minimum 5-10 years before it can be tapped to obtain the resin (Bhatt, Nair, & Ram, 1989). Commercially, the resin is available in irregular yellowish-brown pieces or mass with an aromatic odor and an astringent or bitter taste. Ayurveda recommends purification of guggul before it can be used for therapeutic purpose. The process of purification in known as "Shodhana Vidhi" and according to Ayurvedic texts, it can be done by using different fluids, known as "dravayas." Different dravayas used for purification are cow urine, cow milk, triphla kasaya, vasapatrs kasaya, vasapatra svaras, etc. (Anonymous, 2007).

# 6.2 PHYTOCHEMISTRY OF GUGGUL

Since guggul is an oleo-gum resin, it primarily has essential oil, gum, and resin which are composed of wide array of chemical constituents belonging to different phytochemical classes with terpenoids (mono-, di-, and tri-terpenoids) and steroids being the most prominent ones. It also contains lignans, carbohydrates, aliphatic alcohols (known as tetrols), flavonoids, and amino acids.

# 6.2.1 STEROIDS

Oleo-gum resin of guggul is known for its steroidal constituents that are also considered as its major bioactive constituents. *E*-guggulsterone and *Z*-guggulsterone (Figure 6.1), two isomers, are the major bioactive steroids reported from guggul (Jain, 2006). Various biological activities of guggul have been attributed to these steroidal constituents. Besides these two, guggulsterone-M, and dihydroguggulsterone-M, (*Z*)- $\Delta$  1,2-dehydroguggulsterone and  $\Delta$  6,7-dehydro-20-hydroxygugglsterone (El-Mekkawy, Meselhy, Nkobole, & Lall, 2013) have also been isolated from guggul. It also contains sterols like guggulsterol I-VI (Purushothaman & Chandrasekharan, 1976), guggulsterol-Y (Figure 6.1), progesterone like steroids (4-pregnene-3,16-dione, (20*R*)-20-acetoxy-4-pregnene-3,16-dione,16 $\beta$ -acetyloxypregn-4,17(20)-*trans*-diene-3-one, 3 $\alpha$ -acetyloxy-5 $\alpha$ -pregnan-16-one, cholesterol, and its derivatives (Bajaj & Dev, 1982).

# 6.2.2 TERPENOIDS

Guggul contains large number of terpenoidal constituents which are present in its volatile oil and resin part (Figure 6.2):

- Monoterpenoids: These are present mainly in the volatile oil of the guggul. Various monoterpenoids present are – myrecene and camphorene or dimyrecene as major monoterpenoids (Patil, Nayak, & Dev, 1972) along with polymyrecene, linalool, α-phellandrene, eugenol, limonene, geraniol, methyl heptanone, cineol, and bornyl acetate (Saxena & Sharma, 1998).
- 2. Sesquiterpenoids: Guggul contains various sesquiterpenoids namely, curzerenone, curzerene, cadinene, lindestrene, furanoeudesma-1,3-diene, and furanodien-6-one, 3-methoxy-10-methylenefurano-germacr-1-en-6-one and 2-methoxy-4,5-dihydrofurano-dien-6-one (Saeed & Sabir, 2004).
- **3.** Diterpenoids: Numerous diterpenoids namely, mukulol, cembrene-A(Patil, Nayak, & Dev, 1973; Prasad & Dev, 1976) and α-camphorene

have been reported from guggul resin. Besides these guggul also contain isocembrol, (4Z, 6E)-4,7,12,15,15-pentamethylbicylco [9.3.1] pentadeca-4,6-diene-12-ol and (2E, 12E)-2,7,13-trimethyl-9-(1-methylethyl)-15-oxabicyclo [12.1.0] pentadeca-2,12-diene-7-ol (Francis, Raja, & Nair, 2004).

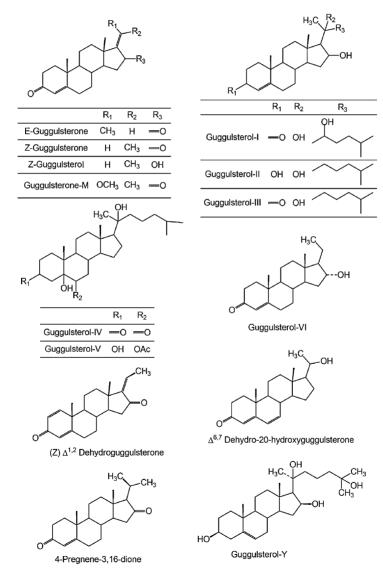


FIGURE 6.1 Steroidal constituents of guggul.

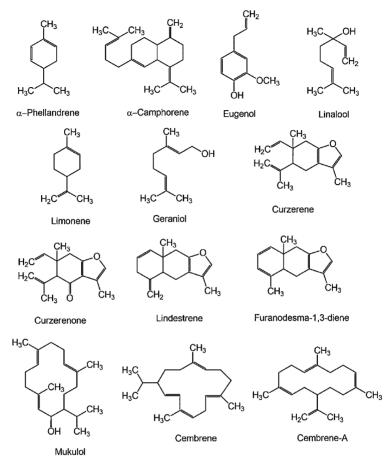


FIGURE 6.2 Terpenoidal constituents of guggul.

4. Triterpenoids: Various triterpenes belonging to polypodane class have also been isolated from guggul (Figure 6.3). These include myrrhanol A-C, and myrrhanones A-B (Kimura et al., 2001). Myrrhanol B is an acid derivative of myrrhanol A whereas myrrhanones A, B, and C are the ketonic derivatives of myrrhanol A, B, and C (Figure 6.3), respectively (Kimura et al., 2001). Fused pyrimidine derivatives of myrrhanon C have been synthesized and exhibited potent anticancer activity. Among various derivatives, 4"chloroand 4"bromo-pyrimidine hybrids were found to be most potent compounds. Isomers of myrrhanone A and B, namely commipherol and commipherin, respectively, have also been reported from guggul.

Various other triterpenoids viz., epimansumbinol, mansumbinone, and mansumbinoic acid have also been isolated from guggul.

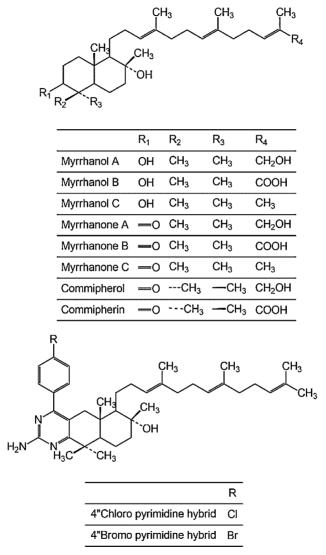


FIGURE 6.3 Triterpenoidal constituents of guggul.

#### 6.2.3 LIGNANS

Lignans are the potent biologically active molecules found in nature. Lignans namely, Epi-mukulin, diasesartemin, (+)-*epi*-magnolin, (+)-diayangambin

Commiphora spp. (Guggul)

(El-Mekkawy, Meselhy, Nkobole, & Lall, 2013) have been found in guggul (Figure 6.4).

### 6.2.4 FLAVONOIDS

Various flavonoids, mainly from the stem and flowers, found in guggul are muscanone (a nringin derivative) (Fatope et al., 2003), naringenin, quercetin, and its glycosides namely quercetin-3-O- $\beta$ -D-glucuronide, quercetin-3-O--L-rhamnoside and pelargonidin-3,5, di-O-glucoside (Kakrani, 1981).

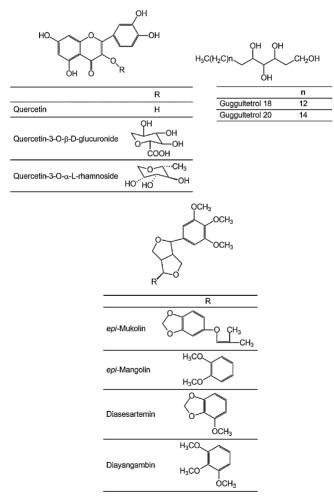


FIGURE 6.4 Flavonoids and lignans of guggul.

# 6.2.5 LIPIDS

A new class of lipidic compounds, collectively known as guggultetrols (have four hydroxyl groups at C-1 to C-4) namely octadecane-1,2,3,4-tetrol, nonadecane-1,2,3,4-tetrol and eicosane-1,2,3,4-tetrol, have been isolated from the saponified guggul resin. Besides these guggultetrol-18 (a C-18 compound) and guggultetrol-20 (a C-20 compound) have also been isolated from the guggul (Kumar & Dev, 1987).

# 6.3 TRADITIONAL USES

Since ages, guggul has been used as an incense to worship gods. The word "Bhutahara" has also been given to guggul as it was believed that its smoke and incense protects humans from evil spirits. Synonyms of guggul like "Rukshagandha, Palankasha, Rakshohan," etc., describes its medicinal properties which protects the body from different disorders and thus prolongs the age (Sastry, 1976). It was believed that one cannot afflict with any disease if he is exposed to the odor of guggul. Ample therapeutic properties of guggul have been documented in various Ayurvedic treatises and lexicons. Charak and Shustruta Samhitas described guggul for its protective effects in diseases of the head, irregular fevers, nasal, heart, and skin diseases. It has also been mentioned as a digestive, laxative, an emulsive, an aphrodisiac, tonic, and for reducing fat (in obesity). Its fumigation has been prescribed for destroying the reptiles, mice, worms, and various parasites. In early Christian era, Vagbhata included guggul as "Rasayna Dravya" and also described it types and doses (Sastry, 1976). He mentioned guggul for diseases of lipid metabolism (obesity and hyperlipidemia), teeth, ear, head, and throat, dyspnea, hernia, internal tumors, leukoderma, and other skin infections, urinary complaints (as diuretic and bladder stones), ulcers, fistula, arthritis, seminal, and menstrual disorders, and as antidote for snake, spider, and arsenic poisoning. Keeping in mind the wide array of therapeutic activities of guggul, various Ayurvedic formulations of guggul alone or as a major component in combination with other herbs have been prepared for different disorders. All the traditional therapeutic claims formed the basis for the pharmacological studies on modern guidelines that led to discovery of its newer uses and validation of its traditional therapeutic claims.

# 6.4 PHARMACOLOGICAL ACTIVITIES

# 6.4.1 ANTIARTHIRITIC AND ANTI-INFLAMMATORY ACTIVITY

These activities of gum guggul were first reported somewhat six decades ago (Gujral, Sareen, Tangri, Amma, & Roy, 1960). Since then, copious

reports have highlighted and established these activities of guggul and its formulations (Singh, Mishra, Aquilina, & Kohlbeck, 2001; Singh, Mishra, Vinjamury, Aquilina, & Shepard, 2003; Bagul, Srinivasa, Kanaki, & Rajani, 2005; Shah, Gulati, & Palombo, 2012; Patel & Pundarikakshudu, 2016; Ahmad et al., 2020). Guggulsterones, by virtue of their action on various molecular markers involved in arthritis and inflammations, have been found to be the active against such disorders. Gum resin reduces the joint swelling, leucocytes concentration in affected area and represses the pro-inflammatory mediators like IFN- $\gamma$ , IL-1 $\beta$ , TNF- $\alpha$ , and IL-12 (Kunnumakkara et al., 2018). Guggulsterones and ethyl acetate fraction of gum guggul suppresses NFK-B and also down regulate the cyclooxygenase-2 (COX-2) controlling genes expression (Shishodia & Aggarwal, 2004). Guggulsterones alters the Toll like receptors (TLRs) signaling causing inhibition of both the downstream pathways viz., the myeloid differential factor 88 (MyD88)-dependent pathway and the toll-interleukin-1 receptor domain-containing adapter inducing interferon- $\beta$  (TRIF)-dependent pathway. This further prevents activation of NFkB causing downregulation of cytokine gene expression which eventually prevents the inflammatory responses (Youn, Ahn, & Lee, 2009) (Figure 6.5).



FIGURE 6.5 Pharmacological activities of guggul.

Guggulsterones by suppressing NF $\kappa$ B, also lowers the mRNA levels of nitric oxide synthase (iNOS) and COX-2 that led to the protective effect against inflammation induced by NO and COX-2 (Lv, Song, Kim, Park, Kwon, & Park, 2008). In addition to the suppressing effect on NF $\kappa$ B, guggulsterones also modulate receptor activator of NF- $\kappa$ B ligand (RANKL) signaling and prevents osteoclastogenesis (Ichikawa & Aggarwal, 2006). Besides, guggulsterones, triterpenoidal compounds of guggul especially, myrrhanol A and myrrhanone A have also exhibited anti-inflammatory activity when studied on adjuvant-induced air-pouch granuloma model in mice (Kimura et al., 2001). Further, myrrhanol A was found to be more potent that myrrhanone A and methanol extract of guggul. In addition to triterpenoids, diterpenes cembrene and cembrene A have also exhibited anti-inflammatory effects by attenuating COX-1 and COX-2 enzymes (Francis, Raja, & Nair, 2004).

#### 6.4.2 HYPOLIPIDEMIC ACTIVITY

Guggul is known for its beneficial effect in lipid metabolism disorders and has been used for lowering the lipid levels and in the treatment of obesity (Das et al., 2016; Singh, Niaz, & Ghosh, 1994). Numerous studies have shown the lipid lowering effect of guggul in different experimental animals (Satyavati, Dwarakanath, & Tripathi, 1969; Khanna, Agarwal, Gupta, & Arora, 1969; Dixit, Joshi, Sinha, Bharvava, & Varma, 1980; Baldwa, Bhasin, Ranka, & Mathur, 1981). Various clinical trials have also validated the pronounced hypolipidemic effect (Ulbricht et al., 2005). In 1987, guggul was approved for its cholesterol lowering effects in India. In 1988, Central Drug Research Institute (CDRI), Lucknow developed and marketed a standardized and guggulsterone rich ethyl acetate fraction named as "Guggulipid" (Satyavati, 1991). It exhibited significant antihyperlipidemic activity in both preclinical and clinical studies and guggulsterones were found responsible for this activity (Satyavati, 1991; Deng, 2007). In a short study, guggulsterones lowered the levels of cholesterol and triglycerides but detailed studies were warranted (Singh, Kaul, Chander, & Kapoor, 1990). Later in 1996, Chander et al. confirmed guggulsterones to be active constituents responsible for lipid lowering effects of guggul (Chander, Khanna, & Kapoor, 1996). According to their study, guggulsterones also enhance the activity of lipolytic enzyme and receptor-mediated metabolism of LDL. Besides this, several other mechanisms have also been reported for hypolipidemic activity of guggulsterones.

271

Guggul and guggulsterones have also shown to decrease the hepatic steroid production which increases the lipid metabolism and its clearance. It has also been suggested that the major pathway of removing excess of cholesterol is by converting it to bile acids and then subsequently excreting it through the enterohepatic circulation (Russell, 2003). Further, an enzyme, cholesterol  $7\alpha$ -hydroxylase (CYP7A1) is responsible for bile acids synthesis from cholesterol (Davis, Miyake, Hui, & Spann, 2002). Excess bile acid concentration activates the bile acid sensor, farnesoid X receptor (FXR), which leads to myriad of changes causing repression of CYP7A1 genes resulting in inhibition of bile acid production from cholesterol (Urizar et al., 2002). Gugulsterones have been found to be FXR antagonist and their antagonist action leads to increased expression of CYP7A1 genes which further enhances the metabolism of cholesterol to bile acids (Urizar & Moore, 2003; Wu, Xia, Meier, Li, Hu, & Lala, 2002). The increased bile acid concentration further activates bile salt export pump (BSEP) which finally causes excretion of bile acids. Guggulsterones have also been reported to directly activate BSEP thereby exhibiting a synergistic effect with its FXR antagonist activity (Deng, Yang, Radke, Yang, & Yan, 2007).

#### 6.4.3 ANTICANCER ACTIVITY

Guggul and its constituents, especially guggulsterones, have been extensively studied for their anticancer activity (Saeidnia, Rahimi, Rezaeizadeh, & Taheripanah, 2015). Guggulsterones have exhibited anticancer activity by virtue of their multiple mechanisms (apoptosis, preventing proliferation, metastasis, and angiogenesis) and effect on molecular markers. Guggulsterones have shown apoptotic action against different cancer cell lines such as HL60 and U937 cell lines, primary leukemic blasts in culture, Barrett's esophagus (a risk factor for esophageal adenocarcinoma), PC-3 cells, human prostate cancer cells, etc. (Singh, Choi, Zeng, Hahm, & Xiao, 2007) Apoptotic action of these compounds is through both, mitochondria dependent and independent pathways. Besides this, guggulsterones also repress IkBa activation and down regulate antiapoptotic genes like IAP1, Bfl-1/A1, cFLIP, Bcl-2, Bax, and Bak, by inhibiting NFkB, thereby enhancing the apoptotic action in cancer cells (Shishodia & Aggarwal, 2004). Guggulsterones also exhibit apoptotic action by stimulating c-Jun NH<sub>2</sub>-terminal kinase (JNK) and p38 mitogen-activated protein kinase (p38 MAPK) (Shishodia, Sethi, Ahn, & Aggarwal, 2007). It is a known fact that FXR regulates metabolism of bile acids, cholesterol, lipids, and glucose (Urizar et al., 2002; Stayrook et al., 2005). FXR is over expressed in Barrett's esophagus and guggulsterones being FXR antagonist, exhibit apoptotic action in these cancer cells (De Gottardi et al., 2006).

Guggulsterones also exhibit antiproliferation activity against various cancers including carcinoma of head, neck, lung, breast, and ovaries (Shishodia, Harikumar, Dass, Ramawat, & Aggarwal, 2008). They have shown anticancer activity by affecting the cell cycle proteins. These compounds inhibited cyclin D1 expression (a protein which is over expressed in cancer cells) by attenuating the activity of cyclin or cyclin-dependent kinase (CDK)-2 complexes and concomitantly augment CDK inhibitors (p21 and p27) level (Shishodia, Sethi, Ahn, & Aggarwal, 2007). Inhibition of cyclin D1 expression has also been found responsible for antiprolierative action of guggulsterones. These changes inhibit DNA synthesis of these cells causing cell cycle arrest in S-phase, eventually preventing proliferation of cancer cells. Matrix metalloproteinase 9 (MMP-9) is a proteinase responsible for the invasion (into normal cells) and metastasis of cancer cells. Guggulsterones inhibit MMP-9 (by inhibiting NF-kB) thereby preventing the invasion and metastasis of cancer cells. FXR antagonism by guggulsterones also prevents the metastasis of these cells (Shishodia, Harikumar, Dass, Ramawat, & Aggarwal, 2008).

#### 6.4.4 ANTIDIABETIC ACTIVITY

Diabetes is a metabolic and hormonal disorder which occurs largely due to irregular insulin secretion from the islets of Langerhans in pancreas that leads to improper glucose metabolism. Alcoholic and aqueous extracts of guggul have shown to reduce and control the streptozotocin-induced increase in glucose levels in diabetic rats (Al-Bishri & Al-Attas, 2013; Bellamkonda et al., 2018). Guggulsterones, the active constituents of guggul, increase the activity of peroxisome proliferator-activated receptor (PPAR)-y which is responsible for the glucose metabolism. In addition to this, increased PPAR-y activity also increases the insulin sensitivity that further helps in keeping a check on glucose levels in blood (Sharma, Salunke, Srivastava, Majumder, & Roy, 2009). Irregular lipid metabolism also spikes the bloodglucose levels and PPAR-y also being responsible for lipid metabolism, their activation by guggulsterones improves the lipid metabolism thereby keeping check on the blood glucose levels. Furthermore, guggulsterones also inhibit glucose-6-phosphate enzyme in order to control glucose levels (Sharma, Salunke, Srivastava, Majumder, & Roy, 2009).

#### 6.4.5 ANTIOBESITY ACTIVITY

Guggul has been known for its hypolipidemic and cholesterol lowering activities. Guggulipid, a standardized ethylacetate fraction of guggul resin has shown lipid lowering activity in various studies (Satyavati, 1991; Singh, Kaul, Chander, & Kapoor, 1990; Bhutani, Birari, & Kapat, 2007). It reduces LDL cholesterol by about 15-18% and triglyceride by about 25%-30%. Clinically also guggulipid has shown its beneficial effects in reducing cholesterol and triglyceride levels (Ulbricht et al., 2005; Bhatt et al., 1995). Guggulsterones were found to be the major antiobesity compounds of guggul. Though both *cis*- and *trans*-isomers of guggulsterone were effective in lowering lipid levels, former was found to be more active than latter. cis-Guggulsterone increased basal lipolysis of mature adipocytes to reduce the deposition of lipids. Guggulsterones also exhibited their antiobesity effect by increasing caspase-3 activity which further increases apoptosis of adipocytes (cis-isomer was found more effective). Intriguingly, these compounds also decrease the expression of PPAR $\gamma 2$  and C/EBP- $\alpha$  and - $\beta$  (CCAT-enhancer binding protein) which leads to maturation of adipocytes (Yang, Dellaâ-Fera, & Baile, 2008). These results further supported the FXR antagonist action of guggulsterones as FXR agonist increase the expression of PPARy2, C/EBPa and  $\beta$  mRNAs. Thus, it can also be said that guggul exhibit its antiobesity action by increasing the apoptosis of adipocytes and by preventing the maturation of adipocytes.

#### 6.4.6 CARDIOPROTECTIVE ACTIVITY

Guggul and guggulsterones have been extensively studied for their cardioprotective activity (Deng, 2007). Their potent hypolipidemic activity was the major motivation and basis to study their cardioprotective effects. Guggul has shown cardioprotective effects against dl-isoproterenol hydrochloride (85 mg/kg, i.p.) injection induced cardiac necrosis and myocardial damage (Kaul & Kapoor, 1989; Ojha et al., 2008). Structural integrity of myocardium was also maintained by treatment of guggul and guggulsterones. In addition, it also mitigated the alteration in oxidative stress induced parameters (Chander, Rizvi, Khanna, & Pratap, 2003). Further, guggul has also shown to increase the serum fibrinolytic activity and has been proposed for its beneficial action in coronary artery diseases (Bordia & Chuttani, 1979). Hypolipidemic and antioxidant activity of guggul and guggulsterones are considered to be the mainstay in their cardioprotective activity. Guggul and guggulsterones have also shown various other activities (summarized in Table 6.1) by virtue of their multifarious mechanism of actions and their effect of various molecular markers.

Activity	Mechanism	References	
Hepatoprotective	Decrease NF-kB, p-JNK, TLR-3, -4, and MyD88	Kim et al. (2013)	
Neuroprotective and memory enhancement	AChEI activity; antioxidant, increase CREB-BDNF signaling	Chen, Huang, & Ding (2016); Saxena, Singh, Pal, Singh, Pratap, & Nath (2007)	
Thyroid dysfunction	Increase iodine uptake	Tripathi, Malhotra, & Tripathi (1984)	
Gastroprotective	Decrease NF-kB and leukotrienes Increase Nrf2 and prostaglandin	Zhang, Shangguan, Chen, Zhang, & Lin (2016)	
Antimicrobial	_	Goyal, Chauhan, & Kaushik (2010); Ishnava, Mahida, & Mohan (2010)	
Antiacne	_	Thappa & Dogra (1994)	
Antifertility	Decrease weight of ovaries, uterus, and cervix.	Amma, Malhotra, Suri, Arya, Dani, & Sareen (1978)	

**TABLE 6.1** Other Pharmacological Activities of Guggul

*Abbreviations:* JNK: c-Jun N-terminal kinase; NF-kB: nuclear factor kappa B; Nrf-2: nuclear factor erythroid 2 (NFE2)-related factor 2; TLR-3: toll-like receptor-3; TLR-4: toll-like receptor-4; BDNF: brain-derived neurotrophic factor; CREB: cyclic adenosine monophosphate (cAMP) response element binding protein.

#### 6.5 CURRENT STATUS AND CULTIVATION OF GUGGUL

Guggul, due to its potential therapeutic activities, has always been in demand across the globe. India exports more than 200 tons/year of processed guggul to more than 40 countries like UK, France, Belgium, Netherlands, etc. (Cunningham, Brinckmann, Kulloli, & Schippmann, 2018). This huge demand has led to overexploitation of this wonderful drug. Extensive and improper tapping, looping, and chopping of the guggul tree (to obtain gumresin), which eventually leads to 'tree death,' is the major cause of decrease in guggul tree population. In 2002, guggul was declared as 'Low risk' plant and it was proposed to increase or maintain its population by conservation. But now, it has been declared as 'Critically endangered' as per International Union for Conservation of Nature (IUCN) "Red List" and has been incorporated in Convention on International Trade in Endangered Species (CITES) Appendix II based on Art. IV 2(a) of the CITES (Kumar, 2013). Some of

the states like Rajasthan and Madhya Pradesh have banned tapping of wild guggul trees. In order to protect this important plant, various *ex-situ* and *in-situ* conservation programs have been started. Rajasthan government-initiated cultivation of around 500,000 guggul saplings over an area of 1,700 hectares across different districts of Rajasthan. They also started "Guggal Bachao Abhiyan" as a part of "community-based management and planting of guggul." Under this initiative, Regional Research Ayurveda Institute, Jaipur, started a 'Guggul Herbal Farm' at Mangaliyawas at Ajmer, Rajasthan.

#### 6.5.1 CULTIVATION OF GUGGUL

Guggul being an endangered species, ample work is being done to conserve it, to improve its cultivation methods and to develop high yielding varieties. 'Marusudha' a high oleo-gum resin yielding cultivar has been developed by Central Institute of Medicinal and Aromatic Plants (CIMAP), Lucknow. National Medicinal Plant Board, India has also given cultivation method for guggul (National Medicinal Plants Board, 2008). The plant grows well in arid, sandy, and rocky tracts of tropical India. Sandy or sandy loam soils are best for its cultivation. Propagation can be done in nursery beds using seeds, stem cuttings or air layering of 5-8-year-old mother plants. Black-colored seeds are considered suitable for propagation (vellowish-white seeds are non-viable). Due to their polyembryonic nature, one seed can give rise to more than one seedling. Seeds have poor germination rate and they germinate within 7-10 days after sowing in the rainy season. Propagation with stem cuttings and air layering is normally done in May and rainy season (July-August), respectively followed by root development during next 15-20 days. Once the plantlets are developed, they are shifted into field pits (45 cm x 45 cm x 45 cm) filled with sand, soil, and FYM (1:1:1). The plantlets transplanted in field pits should have a spacing of 2 m x 2 m between them. Application of growth hormones like IBA (10 mg/liter) along with organic manures like hexameal (100 g/plant) every month or NPK (nitrogen, phosphorus, potassium: 75:130:30 g/plant) after every three months up to a period of one year has shown improved survival of plant. Irrigation, with sufficient amount of water (8 ltr/plant), every 15 days is required for better growth. Plant is allowed to grow for 5–6 years before tapping which is normally done during November-February. A 7-10 cm incision (horizontal incision have shown better yield) is made on the stem near the base and the resin exudate is collected every week for one month after which exudation stops. After collecting the resin, it should be packed in air tight plastic containers.

#### 6.6 CONCLUSION

Guggul has been used since antiquity for its multifarious pharmacological activities. It possesses a special place in Ayurvedic System of Medicine. Guggul has well-established hypolipidemic, anti-inflammatory, and antiarthritic activities and is clinically used for related disorders. Guggulsternoes have been found to be the major biologically active constituents of guggul and are responsible for majority of its pharmacological or therapeutic activities. Studies using modern methodologies have revealed the molecular targets of guggul and guggulsterones and this has opened new avenues of research on guggul. Action of guggulsterones on different molecular biomarkers has also unveiled newer pharmacological activities of guggul like anticancer, neuroprotective, antidiabetic, etc. But detailed pre-clinical and clinical studies are warranted to validate these claims. Besides therapeutical use, guggul is now also used extensively in cosmetic industry. Extensive commercial usage of guggul had a detrimental effect on the population of guggul trees and its biodistribution making it a critically endangered species. Lot of efforts are put in by government and local people to save this precious gift of Mother Nature. Still lot of hard work and commitment is required to save this incredible plant.

#### **KEYWORDS**

- anticancer
- antiobesity activity
- C. mukul
- cardioprotective activity
- Commiphora wightii
- guggul
- guggulsterones
- pharmacological activities

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# *Kaempferia galanga* L. (Aromatic Ginger): A High Potential Zingiberaceae Species

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#### ABSTRACT

*Kaempferia galanga* is an aromatic, medicinal herb of the Zingiberaceae family. *K. galanga* is found primarily in India, China, and Southeast Asia. These regions are rich in ethnomedical traditions involving *K. galanga*. Phytochemical screenings show that *K. galanga* contains pharmacologically active phyto-constituents, such as ethyl-p-methoxy-cinnamate, ethyl cinnamate, and kaempferol. These display a range of valuable characteristics, including sedative, antibacterial, antifungal, anti-inflammatory, anti-insecticidal, anti-nociceptive, antihypertensive, and anticarcinogenic properties. Hundreds of patents for *K. galanga* have been granted for medicinal, culinary, cosmetic, or other industrial uses and applications. Though *K. galanga* shows great promise for further development, it remains relatively under-utilized in the western world.

#### 7.1 GENERAL INTRODUCTION

*Kaempferia galanga* L. is an aromatic and medicinal herb of the Zingiberaceae family. The *Kaempferia* genus is named after Engelbert Kaempfer, a German naturalist and physician. Vernacular names for *Kaempferia galanga* include

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resurrection lily, sand ginger and aromatic ginger, among others. *Kaempferia* galanga ranges across tropical and subtropical Asia and medicinal uses in the region extend back to ancient Ayurvedic and Chinese traditions. The first pharmacological investigation of *Kaempferia galanga* was on its inhibition of monoamine oxidase enzyme (Noro, Miyase, Kuroyanagi, Ueno, & Fukushima, 1983). Since then, a multitude of studies on the pharmacological activities and applications of *Kaempferia galanga* have been conducted. Though *Kaempferia galanga* shows great potential for further development, it remains relatively under-utilized in the western world.

#### 7.2 AREA AND PRODUCTION

Global statistics on the production area of *Kaempferia galanga* are lacking. On the national level, India is a key source of both supply and demand for *K. galanga*. In northern India, *K. galanga* production benefits from subsidies, which support the cultivation of 32 key medicinal species over an area of 35,000 hectares in 4,250 projects, implemented by the National Medicinal Plants Board (2007). In terms of consumption, it is estimated that 109 metric tons of *K. galanga* are consumed 'industrially' each year in India (National Medicinal Plants Board, 2007). National-level data, statistics, and estimates for production and consumption of *K. galanga* are lacking for most countries.

#### 7.3 MARKETING AND TRADE

Kaempferia galanga is one of the most industrially and medicinally important plants of the Zingiberaceae family; (Munda, Saikia, & Lal, 2018) yet its potential remains under-utilized. The essential oils of *K. galanga* hold great market potential, particularly in India, but also throughout the world (Munda, Saikia, & Lal, 2018). The international market price of *K. galanga* essential oils ranges between US \$600–700 per kilogram (Munda, Saikia, & Lal, 2018). Although market analyses and global statistics on the international marketing and trade of the species are lacking, some national-level data is available. For instance, India is a major producer and supplier of *K. galanga*, and annual trade of *K. galanga* is estimated at 100–200 metric tons (National Medicinal Plants Board, 2007). With rhizomes fetching a price of approximately 250 Rupees per kilogram (Chandana, 2011). The area of production in Central Java and West Sumatra, Indonesia in 1999, was 2,000 hectares, yielding 20,000 tons of fresh rhizomes annually (Ibrahim, 1999). At the time of writing, dried *K. galanga* rhizomes are available to order online from Chinese and Indian suppliers. Dried *K. galanga* rhizomes fetched a price of US \$3,700–4,700 per metric ton on the Chinese website, Alibaba (n.d.). In terms of sustainable production, marketing, and trade, the *Sisaket Fairtrade Farmer Group* in Thailand produces, markets, and trades *K. galanga* and is certified by the FLO Fairtrade Organization (International Trade Center, 2016).

#### 7.4 COMPOSITION AND USES

#### 7.4.1 COMPOSITION

Kaempferia galanga holds a wealth of pharmacological activity and applications (Munda, Saikia, & Lal, 2018). Rhizomes contain approximately 2.5-4% essential oils (He, Yue, Lau, Ge, & But, 2012). Of the essential oils obtained by hydro-distillation, 31.77% were constituted of ethyl-p cinnamate, 23.23% methyl cinnamate, 11.13% carvone, 9.59% eucalyptol and 6.41% pentadecane (Tewtrakul, Yuenyongsawad, Kummee, & Atsawajaruwan, 2005). Ethyl-p methoxy cinnamate and ethyl-cinnamate are the most important active compounds in K. galanga rhizome oil with pharmacological properties (Umar, Asmawi, Sadikun, Altaf, & Iqbal, 2011). Other important compounds are 1,8-cineole, borneol, camphene, linoleoyl, methyl-cinnamate, and pentadecane (Munda, Saikia, & Lal, 2018). Another biochemical assay found that six major compounds were responsible for 96.9-97.81% of total oil contents (Sahoo, Parida, Singh, Padhy, & Nayak, 2014), and ethyl p-methoxy cinnamate constituted 72 to 82% of rhizome oil (Sahoo, Parida, Singh, Padhy, & Nayak, 2014). This compound has numerous pharmacological properties to treat various ailments.

#### 7.4.2 ETHNOBOTANICAL USES

With its potent active compounds, *Kaempferia galanga* is valued for culinary, medicinal, and other purposes (Ibrahim, 1999; Umar, Asmawi, Sadikun, Altaf, & Iqbal, 2011). *K. galanga* is used as a spice and for incense (Vincent, Bejoy, Hariharan, & Mathew, 1991), perfume (Ibemhal, Laishram, Singh, & Toijam, 2012), skin protection (Babitha, Shin, & Kim, 2009), animal feed (Muhammad & Nataamijaya, 2006), cloth preservation from insects (Ibrahim, 1999), fermentation (Ibrahim, 1999), 'kretek' cigarettes

(Ibrahim, 1999) and a wide variety of other applications (see Section 20 on patents). *K. galanga* aromas and flavoring are also used in the production of foodstuffs, beverages, perfumes, and cosmetics (Ibrahim, 1999; Rahman, Amin, Ahamed, Ali, & Habib, 2004).

Kaemperia galanga is a popular medicinal spice in India, China, and Southeast Asia. Pickled K. galanga rhizomes feature in the national and regional cuisines of these countries with numerous health benefits (Srivastava et al., 2019). Ethnobotanical culinary-health uses are confirmed by nutritional assays, which have determined nutraceutical properties, namely potassium, phosphorus, magnesium, iron, manganese, zinc, cobalt, and nickel (Srivastava et al., 2019). K. galanga has high calorific value and carbohydrate content, comparable to some cereals, and higher than some leguminous and other Zingiberaceae species, with the exception of C. zedoaria (Srivastava et al., 2019). K. galanga demonstrates high energy value, as well as a nutrient and mineral composition that is promising for the development of a flavored, phytoceutical health food product (Srivastava et al., 2019). Moreover, ethylp-methoxy-cinnamate is a key flavoring agent in K. galanga and inhibits the production of reactive oxygen species (Srivastava et al., 2019). Consumption of K. galanga may thereby prevent oxidative damage and therewith cancer (Srivastava et al., 2019).

#### 7.4.3 MEDICINAL USES

#### 7.4.3.1 ETHNOPHARMACOLOGICAL AND ETHNOMEDICAL USES

The rhizomes of *Kaemferia galanga* are an important ingredient in traditional medicine and used as an expectorant, stimulant, diuretic, and carminative (Ibrahim, 1999; Rahman, Amin, Ahamed, Ali, & Habib, 2004; Kumar, Kader, Rangaswami, & Irulappan, 1997; Sirirugsa, 1997). The fragrance of the rhizomes is camphor-like and suitable for aromatherapy. A decoction of the rhizome is used for dyspepsia, headaches, and malaria. Rhizome extract is used to treat skin and spleen disorders, wounds, and injuries, coughs, and pectoral ailments. The rhizomes are also used in indigenous medicine to treat ascariasis, bacterial infections, tumors, as well as topically for swelling, rheumatism, and abdominal pain in women (Ibemhal, Laishram, Singh, & Toijam, 2012; Sirirugsa, 1997; Hirschhorn, 1983). *K. galanga* rhizomes are also used as an anti-tussive, expectorant, anti-pyretic, diuretic, anabolic, cicatrizant, and carminative, as well as in treatments to counter gastrointestinal ailments, asthma, wounds, rheumatism, epilepsy, and skin disorders (Ibrahim, 1999; Elshamy et al., 2019; Sivarajan & Balachandran, 1994). Rhizome extracts are also used to cure nasal blocks, nose bleeds, asthma, and hypertension (Ibrahim, 1999; Bhattacharya & Sen, 2013).

In traditional Chinese medicine, *Kaempferia galanga* is used as a stomachic (Vincent, Bejoy, Hariharan, & Mathew, 1991) and in cholera, contusion, and constipation treatments (Srivastava et al., 2019). Rhizomes and tubers are also used in traditional Chinese medicine to treat toothache or in a wash against dandruff or head scabs (Ibrahim, 1999; Sirirugsa, 1997). In India, *K. galanga* is an important ingredient in Ayurvedic preparations, such as *Dasamularista, Valiyarasnadikasaya, Kaccoradichurna, Asanaeladitaila, Valiyanarayanataila*, among others (Joy, Thomas, Mathew, & Skaria, 1998; Kumar, Asish, Sabu, & Balachandran, 2013), *K. galanga* is also used in traditional Tibetan medicine and is a key ingredient of one of the most important Tibetan medicinal formulas, Gabur-25, from the Aginsky monastery in Buryatia (Schwabl & Vennos, 2015). This formula was used to treat 'heat' related disorders, such as agitation and infectious diseases, poisoning, gout, arthritis, and other inflammations, as well as for pus drainage (Schwabl & Vennos, 2015).

Another traditional recipe is a juice mixture of *K. galanga, Curcuma montana* and *Curcuma aromatica* to facilitate delivery and childbirth (Ling, Hoon, & Kian, 2009). *K. galanga* rhizomes are also a common ingredient in Malaysian and Indonesian health tonics, known as 'Jamus' (Ibrahim, 1999; Chan et al., 2009). They are also mixed with rice flour to make '*beras kencur*,' a refreshing and sudorific drink used in Indonesia (Ibrahim, 1999). *K. galanga* rhizomes are often an ingredient in children's medicinal tonics (Ibrahim, 1999). Rhizome extracts are also used to relieve irritation from caterpillar stings (Chithra, Martin, Sunandakumari, & Madhusoodanan, 2004). In addition, *K. galanga* rhizome oil serves as a cicatrizant (Chithra, Martin, Sunandakumari, & Madhusoodanan, 2004).

Leaf infusions are given to pregnant women for prenatal health (Rahman, Amin, Ahamed, Ali, & Habib, 2004; Sulaiman et al., 2008). The ashes of *K. galanga* leaves are rubbed on swollen breasts after childbirth (Sulaiman et al., 2008). In Malaysia and Indonesia, fresh leaves are chewed as an expectorant to relieve coughs (Ibrahim, 1999; Ling, Hoon, & Kian, 2009; Sulaiman et al., 2008). Leaf poultices and teas are used for curing sore throats, rheumatism, swellings, and eye infections (Parida, Mohanty, Kuanar, & Nayak, 2010). Leaf extracts are also used for sore eyes, sore throat, rheumatism, swellings, and fevers (Bhattacharya & Sen, 2013). *K. galanga* leaves hold antioxidant, antinociceptive, and anti-inflammatory properties that facilitate mouth ulcer healing and headache treatments (Chan et al., 2009; Sulaiman et al., 2008).

#### 7.4.3.2 PHARMACOLOGICAL ACTIVITIES

Rhizome extracts contain a wide range of active compounds with pharmacological properties, including ethyl-p-methoxy-cinnamate, n-pentadecane, ethyl cinnamate, L-D3-carene, camphene, borneol, cineol, p-methoxy styrene, kaempferol, and kaempferide. The total phenolic content, radicalscavenging activity, and metal ion-chelating properties were significantly higher in *K. galanga* leaves than in the rhizomes (Chan et al., 2008).

#### 7.4.3.3 SEDATIVE PROPERTIES

K. galanga is traditionally used to treat restlessness, stress, anxiety, depression, and other conditions of nervousness in tropical and subtropical Asia (Ali, Dash, & Nasrin, 2015). Studies on mice corroborate these traditional uses, in that acetone, petroleum ether fraction, chloroform fraction and methanol fraction extracts of K. galanga exhibit sedative properties in sleeping time tests, righting reflexes and locomotor activity suppression (Ali, Dash, & Nasrin, 2015). Other studies on mice considered the potential of K. galanga for aromatherapy treatments. Hexane extracts of ethyl transp-methoxycinnamate and ethyl cinnamate have shown sedative and relaxant effects when inhaled by mice, suggesting a promising application of K. galanga in aromatherapy (Huang, Yagura, & Chen, 2008).

#### 7.4.3.4 ANTIMICROBIAL AND ANTIFUNGAL

The major active compounds of *Kaempferia galanga* exhibit various antimicrobial activities (Munda, Saikia, & Lal, 2018). Methanol and petroleum-based extracts of *Kaempferia galanga* exhibit inhibitory effects on *E. coli, Salmonella* sp., *Shigella* sp., *Bacillus* sp. and *Pseudomonas* sp. (2–16 µg/ml concentrations) (Parvez, Khan, Islam, & Hasan, 2005). Other studies confirm the antimicrobial and antifungal properties of *Kaempferia galanga*, by inhibiting the bacterial pathogens *Staphylococcus aureus, Streptococcus faecalis, Bacillus cereus, Bacillus subtilis, Enterobacter aerogenes, Salmonella typhi, Escherichia coli, Klebsiella pneumoniae, Pseudomonas aeruginosa* and *Vibrio cholerae*, as well as the fungal species *Aspergillus niger, A. flavus, A. fumigatus* and *Candida albicans* (Kochuthressia, Britto, & Jaseentha, 2012). The strongest inhibitory effects were exhibited against *Staphylococcus aureus* using ethanol extracts (Kochuthressia, Britto, & Jaseentha, 2012). Samples of *V. cholerae* were extracted from an outbreak in Sarawak and tested with methanol extracts of *K. galanga* rhizome. These

extracts eradicated 90% of the biofilm of *V. cholerae* at a concentration of 500 mg/ml (Nillian et al., 2018). The antimicrobial properties of *K. galanga* have proved to be suitable for dental plaque prevention against *Streptococcus mutans* (Hertiani, Pratiwi, Irianto, & Febriana, 2010).

# 7.4.3.5 INSECTICIDAL AND REPELLENT

The hexane fraction of *Kaempferia galanga* exhibits larvicidal properties, as well as repellant properties against *Aedes aegypti* for a three-hour period in a laboratory setting (ED<sub>50</sub> value of 30.73  $\mu$ g/cm<sup>2</sup>) (Choochote et al., 1999). In field experiments, the mosquito species *Armigeres subalbatus, Anopheles barbirostris, An. Aconitus, Mansonia uniformis, Cx. Quinquefasciatus, Cx. Gelidus, Cx. Tritaeniorhynchus* and *Aedes Aegypti*, were repelled by *Kaempferia galanga* hexane extracts (Choochote et al., 1999). Other studies also found the larvicidal impacts of *K. galanga* on *A. aegypti*; however, they also indicate that extraction needs to be improved to increase mortality rates (RMUS, BMAC, WTPSK, & GC, 2017).

# 7.4.3.6 NEMATOCIDAL USES

Kaempferia galanga exhibits nematocidal properties. K. galanga extracts at a concentration of 1,000 mg per liter resulted in 100% mortality of Bursaphelenchus xylophilus and Meloidotyne incognita after a 24-hour period (TianZhu, Yong, FuWei, MeiYing, & QunFang, 2010). Ditylenchus destructor, M. eloidogyne hainensis and M. enterolobii were also inhibited by K. galanga compounds, including ethyl cinnamate and ethyl-p-methoxyl cinnamate (TianZhu, Yong, FuWei, MeiYing, & QunFang, 2010). Another study found that K. galanga significantly inhibited the root-knot nematode, M. incognita, indicating the applicability of K. galanga to control plant nematode diseases (YueYe, QunFang, TianZhu, MeiYing, & FuWei, 2011). The nematicidal effect of K. galanga extract has shown to be stronger than Carbofuran and metham sodium (Hong et al., 2010).

# 7.4.3.7 ANTI-INFLAMMATORY, ANTINOCICEPTIVE, AND WOUND HEALING

*Kaempferia galanga* has long been included in folk pharmacopeias as an anti-inflammatory, which have been supported by numerous studies. For instance, a study of air-dried *K. galanga* leaf extracts demonstrated antinociceptive and anti-inflammatory properties on rats, which supports

traditional medicinal uses in Malaysia for mouth ulcer, headache, and sore throat treatments (Sulaiman et al., 2008). Studies on Wistar rats found that the application of alcoholic extracts of K. galanga with dexamethasone led to a significant reduction in wound breaking strength, increased percentage of wound contraction, and reduced epithelialization time as compared to control groups (Shanbhag et al., 2006). Another study on rats found that the compound, ethyl p-methoxyl cinnamate from K. galanga extracts, is an effective anti-inhibitory agent (Umar et al., 2012). Other studies found that K. galanga extracts possessed both anti-inflammatory and analgesic properties on rats (Vittalrao, Shanbhag, Meena, Bairy, & Shenoy, 2011). K. galanga is combined with Quercus infectoria, Coptis chinensis and Glycyrrhiza uralensis in traditional Thai medicine to treat aphthous ulcers due to its anti-inflammatory properties; these have been further confirmed by in vitro tests (Aroonrerk & Kamkaen, 2009). The antinociceptive effect of K. galanga is found to be similar to aspirin (Umar, Asmawi, Sadikun, Altaf, & Iqbal, 2011; Ridtitid, Sae-Wong, Reanmongkol, & Wongnawa, 2008).

#### 7.4.3.8 CIRCULATORY DISORDERS

Ethyl cinnamate isolated from *Kaempferia galanga* had a vaso-relaxant effect on the aortas of rats, indicating the antihypertensive activities of this isolated compound (Othman et al., 2002). Oral administration of *K. galanga* extracts significantly lowered total cholesterol, triglyceride, phospholipid, and high-density lipoproteins (HDLP) in Wistar rats fed on a high cholesterol diet, indicating the efficacy of *K. galanga* in treating lipid disorders, particularly atherosclerosis (Achuthan & Padikkala, 1997).

#### 7.4.3.9 NEOPLASMS

Methanolic extracts of *Kaempferia galanga* rhizomes have cytotoxic effects on HeLa cells (Kosuge et al., 1985). The bioactive compound of ethyl-pmethoxycinnamate from *K. galanga* extracts also exhibit moderate cytotoxic activity in mice against the human CCA tumor (CL-6) line (Amuamuta, Plengsuriyakarn, & Na-Bangchang, 2017). Jagadish et al. found that extracts and fractions of *Kaempferia galanga* exhibited selective cytotoxic activities, acting against four different cancerous lines (DU145, PA1, SW620, B16F10); however, not against normal Vero cell cultures (Jagadish, Raghu, Vinod, & Latha, 2010). Studies on mice found that both -cis and -trans ethyl-p-methoxycinnamate from *K. galanga* demonstrated a relatively strong anticarcinogenic potential (Xue & Chen, 2002). Other phytochemical screenings found that the presence of flavonoids in *K. galanga*, in addition to demonstrated tumor inhibition, showed that *K. galanga* is a promising candidate for anticancer treatments and pharmacological development (Bagya, Rajashree, & Sam, 2011). A cytotoxicity assay found that *K. galanga* inhibited early activation of the Epstein-Barr virus (Vimala, Norhanom, & Yadav, 1999). The authors propose that *Kaempferia galanga*, alongside several other rhizobia used in traditional Malaysian medicine, could contribute to the development of cancer treatment at tumor-promoting stages (Vimala, Norhanom, & Yadav, 1999).

The active compounds, trans-ethyl-p-methoxycinnamate and kaempferol, showed potent antiangiogenic effects on wildtype zebrafish (He, Yue, Lau, Ge, & But, 2012). Of the two compounds, trans-ethyl-p-methoxycinnamate exhibited much stronger effects and was therefore, further investigated for identifying action mechanisms (He, Yue, Lau, Ge, & But, 2012). *In vitro*, trans-ethyl-p-methoxycinnamate inhibited the migration and tube formation of human umbilical cells; *in vivo*, it could block bFGF-induced vessel formation (He, Yue, Lau, Ge, & But, 2012).

#### 7.5 ORIGIN AND DISTRIBUTION

*Kaempferia galanga* is native to tropical Asia, and its range extends across southern China, Vietnam, Laos, Cambodia, Thailand, Malaysia, India, and Taiwan (Umar, Asmawi, Sadikun, Altaf, & Iqbal, 2011; Ling, Hoon, & Kian, 2009). It is also found in Assam, Bangladesh, south-central, and southeast China, Lesser Sundarban Islands, Maluku, Philippines, and Sri Lanka (Naturalis Biodiversity Center, n.d.). It is mainly cultivated in Southeast Asia and China, and in the Indian provinces of Kerala, Karnataka, Tamil Nadu and West Bengal (Preetha, Sudarsanan, & Krishnan, 2016). In China, it is cultivated in the southern and north-western provinces of Guangdong, Guangxi, Guizhou, Yunnan, and Sichuan (Li, Zhao, & Liu, 2019). *K. galanga* is believed to have originated in East Asia, probably in Myanmar (Preetha, Sudarsanan, & Krishnan, 2016; Wood, 1991).

#### 7.6 BOTANY AND TAXONOMY

#### 7.6.1 BOTANY

Kaempferia galanga is a small, short-stemmed, monocotyledonous perennial herb (Ibrahim, 1999; Umar, Asmawi, Sadikun, Altaf, & Iqbal, 2011). The

rhizomes are strongly aromatic with either pale green or greenish white internal coloration. The rhizomes are either sympodial, tuberous or cylindrical and often bear smaller tubers and fibrous roots (Ibrahim, 1999). The leaves are few, distichous, simple, subsessile, and spread flat on the ground. The leaf form is orbicular with a mucronate or acute apex. Leaf texture is glabrous on both the upper and lower leaf surfaces or villous abaxially. Leaf margins are typically white, while the rest of the leaf is adaxially deep green and abaxially pale green. Petioles are short and channeled. Ligules are small or absent (Ibrahim, 1999). Bracteoles are small with 1 to 2 presents (Ibrahim, 1999). Lower leaves and sheaths have auriculate apices (Ibrahim, 1999). The inflorescence is terminal with the peduncle usually enclosed by an imbricate sheath (Ibrahim, 1999). Flowers are monoecious, zygomorphic, and spirally arranged on a discoid receptacle (Ibrahim, 1999). The corolla is white with purple spots in the axillary fascicles or base of the labellum. The corolla tube is approximately 2.5 cm long. Calyx is tubular and shorter than the corolla tube (Ibrahim, 1999). Stamen are fertile (1) with a short or absent filament (Ibrahim, 1999). Ovary is inferior with 3 locules (Ibrahim, 1999). Ovules are few to many, filiform, with one style and funnel-shaped stigma (Ibrahim, 1999). Fruits are oblong, dehiscent, 3-celled and -valved capsules. The seeds are few to many, ellipsoidal to nearly globular and lacerate arillate (Ibrahim, 1999).

#### 7.6.2 **TAXONOMY**

*Kaempferia galanga* is a species in the Zingiberaceae family, one of four families in the monophyletic 'ginger group' present in the eight-family large order of Zingiberales (Sass, Iles, Barrett, Smith, & Specht, 2016). The *Kaempferia* genus consists of approximately 50 species (Li, Zhao, & Liu, 2019). Taxonomically, species within the *Kaempferia* genus are difficult to differentiate due to morphological similarities (Techaprasan, Klinbunga, Ngamriabsakul, & Jenjittikul, 2010). Molecular profiling and genome sequencing show that *K. galanga* and *K. elegans* form a cluster within the *Kaempferia* genus (Labrooy, Abdullah, & Stanslas, 2018).

#### 7.7 VARIETIES AND CULTIVARS

Raina et al. (2015) found substantial genetic variation within the *Kaempferia* galanga species in India, while Rajasekharan et al. (2017) found little genetic diversity (Raina, Abraham, & Sivaraj, 2015; Rajasekharan, Abdul, Ravish, &

Mini, 2017). Few studies have considered intra-specific genetic variation within a given cultivar, as has been done for the local Indian cultivar, '*Vellanikkara*' (Kanakamanay, 2008). Approximately 10 varieties are present in Indonesia, of which '*Cileungsi Besar*' is the most high-yielding (Ibrahim, 1999).

In India, 'Kasturi' and 'Rajani' are two common, locally registered varieties (Raina, Abraham, & Sivaraj, 2015; Indrayan, Kurian, Tyagi, Shatru, & Rathi, 2007). Both varieties were developed using clonal selection by Kerala Agricultural University (Preetha, Sudarsanan, & Krishnan, 2016). The two varieties differ both morphologically and biochemically. The rhizomes of 'Kasturi' are large and light brown, while those of 'Rajani' are medium size and cream colored (Preetha, Sudarsanan, & Krishnan, 2016). A chemical analysis of the essential oils of these varieties revealed that 'Kasturi' contained 13 compounds not found in 'Rajani,' while the latter had 11 compounds not found in the former (Indrayan, Kurian, Tyagi, Shatru, & Rathi, 2007). The composition of their shared 45 compounds also differ (Indrayan, Kurian, Tyagi, Shatru, & Rathi, 2007). In terms of production, 'Kasturi' yields 2.52 tons of dry rhizomes per hectare with a dry recovery rate of 32.78% (Preetha, Sudarsanan, & Krishnan, 2016). It has high volatile oil content with 34% extractable (Preetha, Sudarsanan, & Krishnan, 2016). 'Rajani,' on the other hand, yields 2.55 tons of dry rhizomes per hectare (Preetha, Sudarsanan, & Krishnan, 2016). Its volatile content is 1% with high total extractives at 7.68% (Preetha, Sudarsanan, & Krishnan, 2016).

Other Indian cultivars have been recognized for particular traits and compounds. An analysis of eight cultivars from Manipur in northeast India found that the '*Tamenglong*' cultivar contained the highest Vitamin C levels, the '*Ukhrul*' cultivar had the highest phenol content, and the *Imphal*-east cultivar was highest in kaempferol (Sunitibala, Devala, Brojendro, Samarjit, & Punyarani, 2015). There are also other promising germplasm ascensions, which could be further developed (Raina, Abraham, & Sivaraj, 2015). For instance, the Bharamputra-1 (IC0610826; INGR17081) germplasm exhibits high essential oil content and dry rhizome recovery, as well as yields as high as 10 tons per hectare (Lal, 2019).

A promising variety of *Kaempferia galanga* is the newly registered Jor Lab K-1 variety, which is high yielding in both oil content and rhizome mass (Lal, Munda, Dutta, Baruah, & Pandey, 2017). This variety exhibited 35% superiority over the standard varieties of '*Kasturi*' and '*Rajani*' with an herbage yield of 7.156 tons per hectare (Lal, Munda, Dutta, Baruah, & Pandey, 2017). Dry matter recovery from fresh rhizomes was 25% (Lal, Munda, Dutta, Baruah, & Pandey, 2017). Average essential oil content was

2.38% (Lal, Munda, Dutta, Baruah, & Pandey, 2017). Of these, 31.12% was ethyl trans-cinnamate, 14.3% was ethyl trans-p-methoxycinnamate, 10.57% was 1,8-cineole and 4.8% was n-pentadecane (Lal, Munda, Dutta, Baruah, & Pandey, 2017).

#### 7.8 SOIL AND CLIMATE

Kaempferia galanga grows in the humid tropics and subtropics. It can remain dormant during the dry seasons of the subtropics. It grows best in warm climates with an average annual temperature of 25 to 30°C (Riptanti, Qonita, & Fajarningsih, 2018). The agro-climates most suitable for K. galanga growth are Type A, B, and C (Schmidt-Ferguson). K. galanga thrives in altitudes up to 1,000 meters above sea level (asl) with annual precipitation levels of 2,000 to 4,000 mm (Ibrahim, 1999) or preferably at 50 to 600 meters asl with 2,500 to 4,000 mm annual precipitation (Riptanti, Qonita, & Fajarningsih, 2018). A slope of less than 3% inclination is preferable (Riptanti, Qonita, & Fajarningsih, 2018). K. galanga can grow with full light intensity (100%) or in shade (25-30%). Overall, Kaempferia galanga prefers rich, moist, and partially shaded microclimates. Although Kaempferia galanga can grow on a diverse range of soil types from vertisols and latosols to andosols with clay to silt-loam textures, it grows best on humus-rich soils with good texture and drainage (Ibrahim, 1999; Riptanti, Qonita, & Fajarningsih, 2018; Kamaruddin, 2006). Waterlogged soils damage K. galanga, while acidic soils hinder development and growth (Ibrahim, 1999). Optimum growth occurs with a soil pH of 5.5 to 6.5, which can be achieved by adding dolomite to more acidic soils (Riptanti, Qonita, & Fajarningsih, 2018). Ecologically, K. galanga grows naturally in open and teak forest and alongside forest margins (Ibrahim, 1999). The plant may spread from cultivated areas into grasses, lawns, and abandoned areas (Ibrahim, 1999).

A study considering *K. galanga* yields in the Indian state of Kerala found that vegetative growth and nutrient uptake vary greatly according to ecotype (Gangadharan & Menon, 2003). The authors found that less shade appeared to promote rhizome yield, while increased shade appeared to increase the proportion of active compounds. Shade appeared to affect the compounds present in the rhizome and leaf. Although shade did not appear to affect nitrogen availability and absorption, it was linked to calcium, magnesium, manganese, and zinc uptake in the leaves and rhizome. In contrast, phosphorus and potassium uptake increased with greater light

exposure. Rhizome yield was correlated to nitrogen, potassium, phosphorus, and calcium nutrient uptake from the soil, while magnesium, sulfur, manganese, and zinc related to oleoresin and essential oil content. Phosphorus and potassium were linked to initial crop development, while magnesium and sulfur were important for development of active components. This indicates that phasic soil management is likely to optimize production, characterized by the addition of potassium and phosphorus at early growth stages. The field studies show that this initial treatment can improve vegetative growth and rhizome yield two months after planting. During the rhizome formation stages four months after planting, application of MgS04 can enhance the qualitative components of the rhizomes. Application of animal manure (20 tons per hectare) can fulfill crop nitrogen requirements. Soil surface dressings of sulfur and magnesium were shown to improve rhizome yields (Kavitha & Menon, 2013). In terms of soil preparation, shallow soil loosening of 10 cm appeared to optimize yields, while deeper soil loosening of 20 cm resulted in nutrient leaching and lower yields (Gangadharan & Menon, 2003).

#### 7.9 PROPAGATION AND ROOTSTOCK

The natural regeneration from the rhizomes or seeds is poor, to the extent that the species is on the brink of being endangered in the wild (Ibemhal, Laishram, Singh, & Toijam, 2012; Shirin, Kumar, & Mishra, 2000). The traditional propagation method is clonal using rhizome cuttings and plantings, as is commonly practiced with plants of the Zingiberaceae family (Bhattacharya & Sen, 2013). Studies of *K. galanga* populations in southern India found little genetic variation, but showed high genetic differentiation, attributable to traditional clonal propagation methods (Rajasekharan, Abdul, Ravish, & Mini, 2017). Habitat fragmentation contributes to high genetic differentiation between populations and reduces the potential for genetic drift (Rajasekharan, Abdul, Ravish, & Mini, 2017). Although traditional propagation methods potentially preserve ancient clonal lines with highly desirable traits, they also raise conservation concerns for the species due to reduced genetic variability (Rajasekharan, Abdul, Ravish, & Mini, 2017).

Similarly, in conventional commercial production, *K. galanga* is propagated using rhizome rootstocks (Rahman, Amin, Ahamed, Ali, & Habib, 2004). However, this method tends to lower the rate of multiplication

(Vincent, Bejoy, Hariharan, & Mathew, 1991; Ibemhal, Laishram, Singh, & Toijam, 2012; Parida, Mohanty, & Nayak, 2019). Moreover, high disease susceptibility and cost of production has led to the development and application of alternative in vitro propagation methods. These facilitate further commercial development and address conservation concerns regarding the species (Ibemhal, Laishram, Singh, & Toijam, 2012; Parida, Mohanty, Kuanar, & Nayak, 2010; Swapna, Binitha, & Manju, 2004). In vitro clonal propagation has been successful using rhizome buds (Vincent, Bejov, Hariharan, & Mathew, 1991; Chirangini, Sinha, & Sharma, 2005; Kalpana & Anbazhagan, 2009; Keng & Hing, 2004; Rahman et al., 2005; Vincent, Mathew, & Hariharan, 1992; Lakshmi & Mythili, 2003; Geetha et al., 1997), rhizome pieces (Kochuthressia, Britto, & Jaseentha, 2012; Shirin, Kumar, & Mishra, 2000), terminal buds (Prathanturarug, Apichartbutra, Chuakul, & Saralamp, 2007) and leaf materials (see Table 7.1) (Rahman, Amin, Ahamed, Ali, & Habib, 2004; Parida, Mohanty, Kuanar, & Nayak, 2010; Wijekoon & Senarath, 2006). Biochemical and molecular profiling of micro-propagated rhizome clones have shown that in vitro propagation methods can produce true-to-type K. galanga for a stable supply of medicinal compounds for commercial production (Mohanty et al., 2011). Genetic profiling of in vitro micro-propagated K. galanga leaf biomass from tissue culture showed that this propagation method is also suitable for commercial utilization and rapid production of genetically stable K. galanga leaf material (Parida, Mohanty, Kuanar, & Nayak, 2010). Moreover, in vitro micro-propagation of leaf shoot extracts found that this propagation method produced pathogen free Kampferia galanga plants efficiently and effectively (Bhattacharya & Sen, 2013). A bioassay comparing the larvicidal activity and phytochemical identities in naturally grown and cultured plants found that cultured plants had higher larvicidal activity, while the phytochemical properties were 90% similar with the exception of Cyclopentane (58% similar) and p-Hydroxycinnamic acid ethyl ester (78%) (RMUS, BMAC, WTPSK, & GC, 2017). Other comparative studies found that in vitro propagated plants obtained higher oil yields than naturally grown plants, while the composition remained constant (Sahoo, Parida, Singh, Padhy, & Nayak, 2014). To optimize the regeneration and plant growth during micro-propagation, sucrose concentrations and light exposure act as key variables (Bhatt, Kean, & Keng, 2012). Silver nitrate has also been shown to optimize micro-propagation outcomes (Chithra, Martin, Sunandakumari, & Madhusoodanan, 2005).

Plant Organ	Results	References
Vegetative bud (excised	80–85% survival rate	Vincent et al. (1991)
from rhizome)		
Rhizome bud	90% survival	Vincent et al. (1992)
Rhizome bud	-	Geetha (1997)
Rhizome pieces	_	Shirin et al. (2000)
Rhizome bud	_	Lakshmi (2003)
Rhizome bud	$\sim 76\%$ survival	Keng et al. (2004)
Leaf and rhizome extracts	_	Swapna et al. (2004)
Leaf base-derived callus	_	Rahman et al. (2004)
Rhizome tip and lateral bud	100% culturation success; 85% planting success.	Rahman et al. (2005)
Rhizome buds	80–90% survival	Chirangini et al. (2005)
Rhizome	12.1 shoots per explant with silver nitrate 95% survival. <i>In vitro</i> developed rhizome and naturally grown rhizome exhibited no difference in rhizome growth.	Chithra et al. (2005)
Leaf discs and axillary buds	100% survival	Wijekoon & Senarath (2006)
Terminal buds	20,000 successful regenerants	Prathanturarug (2007)
Rhizome tip and lateral bud	81% survival rate	Kalpana & Anbazhagan (2009)
Sprouting rhizome bud	>90% survival	Rajasekharan et al. (2009)
Leaf	-	Parida et al. (2010)
Rhizome	_	Mohanty et al. (2011)
-	Shoot height and proliferation affected by sucrose concentration and photoperiod.	Bhatt et al. (2012)
Rhizome explant	95% survival	Ibemhal et al. (2012)
Rhizome segment explants	100% regeneration	Kochuthressia et al. (2012)
Leaf shoot extract	Survival Rate: 94% in greenhouse; 95% in field.	Bhattacharya & Sen
	Pathogen free	(2013)
Rhizome bud	No difference in composition between <i>in vitro</i> and naturally propagated plants, but higher oil yield in <i>in vitro</i> plants.	Sahoo et al. (2014)
Shooting rhizome bud	100% regeneration. Higher larvicidal activity in cultured that naturally grown plants (max. 10% mortality of <i>A. agypti</i> ).	Senarath et al. (2017)
	Mostly 90% similarity in phytochemical constituents between naturally grown and cultured plants.	

 $\textbf{TABLE7.1} \quad Chronologically Organized Literature of Kampferia Galangal Micro-Propagation$ 

#### 7.10 PLANTING, IRRIGATION, AND NUTRIENT MANAGEMENT

Planting materials by conventional methods typically involve 2.5 to 4 cm long rhizome pieces with 2 to 3 buds (Ibrahim, 1999). These can be kept 1 to 2 weeks in dry, shaded conditions before planting at the beginning of the rainy season (Ibrahim, 1999). In Central Java, *K. galanga* rhizome planting material is planted in furrows 7.5 to 10 cm deep with spacing of 10 to 15 cm between planting materials (Ibrahim, 1999). In West Sumatra, rhizome planting materials are planted in separate holes 5 to 7.5 cm deep and 25 to 60 cm apart (Ibrahim, 1999). Approximately 840–1,700 kg of rhizome planting material are needed per hectare (Riptanti, Qonita, & Fajarningsih, 2018). Chitra et al. recommend 1,500 kg of rhizome planting material per hectare (Chithra, Martin, Sunandakumari, & Madhusoodanan, 2004).

Intercropping has been shown to be an effective planting strategy for *K. galanga*. These systems typically provide 30–50% shade (Thakur, Attar, & Chauhan, 2017). Nair et al. (1989) found no reduction in yield for *K. galanga* grown in intercrop systems, even at later stages of canopy growth with reduced light intensities (Nair, Sudhadevi, & 1989). Mahaswarappa et al. (1998) found higher yields for *K. galanga* grown in a 30-year-old coconut plantation (6.1 tons per hectare), rather than as a monocrop (4.8 tons per hectare) (Maheswarappa, Hegde, & Nanjappa, 1998). Mixed multistoried coconut-based agroforestry systems have also been successful in the production systems of other *Kaempferia* species, for instance *Kaempferia augustifolia* (Bari & Rahim, 2012). In Java, *K. galanga* is commonly intercropped with groundnut and rice (Ibrahim, 1999). Competition in intercrop systems with *K. galanga* is responsible for modestly reducing soil nitrogen levels (Kumar, Kumar, & Fisher, 2005).

A field experiment at the Kerala Agricultural University planted *K. galanga* as an understory crop in a coconut garden within a randomized block control trial. Chlorophyll, carotenoid, oil, and oleoresin levels did not significantly differ when mother or finger rhizomes were used as planting material (Maheswarappa, Nanjappa, & Hegde, 2000a, b; Maheswarappa, Nanjappa, Hegde, & Biddappa, 2000). These contents also did not significantly differ with respect to planting intensities (333,000 and 500,000 plants per hectare). However, in terms of yield, finger rhizome planting was 2.1% less than mother rhizome plantings. Moreover, planting intensities with plant population levels of 500,000 plants per hectare (10 x 20 cm) produced 6.7% more rhizome yield than at lower intensity planting densities (333,000 plants per hectare). Lower planting intensities and mother rhizome planting

materials exhibited a significantly higher harvest index (Maheswarappa, Nanjappa, & Hegde, 1999).

Soil nutrient applications, such as farmyard manure, vermi-compost, and combined manure and NPK treatments, significantly increased dry matter production, as compared to composted choir pith, NPK, and control treatment. The farmyard manure, vermi-compost, NPK, and combined manure and NPK treatments improved the harvest index, as compared to composted coir pith. Combined organic farmyard manure and NPK treatments resulted in the highest growth and yield levels, followed by manure and vermicompost treatments. The oil and oleoresin contents were significantly higher with combined manure and NPK treatments, followed by manure and vermi-compost treatments, in contrast to the composted coir pith and control treatments.

The study from Kerala Agricultural University recommends application of combined farmyard manure and NPK treatments at high planting intensities (500,000 plants per hectare; 10 x 20 cm spacing) using mother rhizomes as planting materials for the highest yields (6.3 tons per hectare, as compared to 4.8 tons per hectare), active compound and oil contents (Maheswarappa, Nanjappa, Hegde, & Biddappa, 2000). However, mother rhizome planting materials and higher planting intensities deplete soil nutrients at higher rates; thus, this planting method must be combined with soil additive measures, preferably combined farmyard manure and NPK treatments, to ensure high productivity and yields. Recommendations in Indonesia advise supplementary irrigation to optimize crop production with sprinklers, if possible, particularly during the dry seasons (Kamaruddin, 2006). However, further studies on specific irrigation regimes and their interactions with different production systems still need to be conducted for *K. galanga*.

#### 7.11 TRAINING AND PRUNING

The Kerala Agricultural University conducted an experimental planting of *Kaempferia galanga* under different shade intensities and canopy covers in a randomized block control trial (Kumar, Kumar, & Fisher, 2005). *K. galanga* was planted with no shade cover as a control, while *Cocos nucifera* provided a solitary canopy (Kumar, Kumar, & Fisher, 2005). For the multi-strata test environment, dicot trees, *Vateria indica, Ailanthus triphysa* or *Grevillea robusta* were grown in single and double rows (Kumar, Kumar, & Fisher, 2005). These treatments did not appear to influence rhizome yield (Kumar, Kumar, & Fisher, 2005). However, foliar phosphorus and potassium

concentrations were significantly higher under canopy cover (Kumar, Kumar, & Fisher, 2005). Since these nutrient concentrations are linked to the development of active compounds for essential oil production, planting a canopy cover is advisable for the production of active compounds for essential oils. It is also recommended that the associated dicot species in *Kaempferia spp*. intercropped production systems be pruned, so as to limit competition and enhance management for the temporal continuity of resources in the production system (Kumar, 2007).

#### 7.12 PEST AND DISEASE MANAGEMENT

Commonly transferred pathogens using conventional rhizome clonal propagation methods include the bacterial *Pseudomonas spp.* and fungal *Pythium* spp. (Bhattacharya & Sen, 2013). In Indonesia, *Pythium spp.* has been observed to cause root rot, particularly in soils with poor drainage (Ibrahim, 1999). *In vitro* propagation methods are a means of overcoming contamination, although *in vitro* planting cultures may become bacterially and fungal contaminated; however, these are effectively treated with mercuric chloride and ethanol treatments (Bhattacharya & Sen, 2013). Other major diseases and pathogens of *K. galanga* include *Ralstonia solanacearum* (Smith), *Colletotrichum gloeosporioides* (Penz.) Sacc, and *Colletotrichum capsici* (Syd.) Butler and Bisby (Priya, 2005). *Pseudomonas solanacearum* is the major pathogen causing bacterial wilt of *Kaempferia galanga* (Dake & Manoj, 1995). A common pest is *Erionata thrax*, which can be controlled with acephate spray. Mitex can be used for mite control under canopy cover.

Two genotypes are immune to bacterial wilt, while nine are highly resistant to leaf spot disease (Priya, 2005). In terms of biotechnology, significant developments have been made to identify the candidate disease resistant genes in *K. galanga* L. (Joshi, Kar, Mohanty, Subudhi, & Nayak, 2012). In an *in vitro* evaluation and in field studies, *Trichoderma viride* proved to be a highly efficient fungal antagonist with an inhibitory effect (Priya, 2005). The antagonists, *P. fluorescens* and *A. niger*, were also effective (Priya, 2005). Among other tested fungicides, Bordeaux mixture was most effective against fungal pathogens, while Kocide, followed by the application of Bordeaux mixture, was effective against bacterial pathogens. In addition, the soil microbial population impacts disease susceptibility and yield (Priya, 2005). Thus, soil additives, such as farmyard manure play a key role in disease management (Priya, 2005).

#### 7.13 HARVESTING AND YIELD

#### 7.13.1 HARVESTING

For culinary purposes, the leaves and rhizomes are harvested at vegetative stages. For medicinal purposes, mature rhizomes are harvested approximately 10 to 11 months after planting, characterized by drying and yellowing leaves as a marker. However, harvesting times may vary according to geographic locations. For instance, in Central Java, K. galanga rhizomes are harvested 6 to 18 months after planting, whereas in West Sumatra, they are harvested 18 to 24 months after planting (Ibrahim, 1999). In the former, yields are approximately 6 to 15 tons per hectare, while in the latter, yields range from 12 to 20 tons per hectare (Ibrahim, 1999). Harvesting by digging up the rhizomes at this stage helps to prevent fungal decay (Ibrahim, 1999). Potential fresh harvest yield is approximately 7-10 tons per hectare. However, some reports from Indonesia have indicated yields as low as 1.2 tons per hectare (Ibrahim, 1999). Harvesting and collection may be undertaken by specialist collectors and herbalists, particularly if collected from the wild. K. galanga is also harvested in home gardens manually. Detailed reports of mechanized harvesting techniques for K. galanga were not found under this review.

#### 7.13.2 YIELDS

Yields vary, depending on production systems, treatments, varieties, and other variables. However, intercropping has been shown to increase K. galanga yields from 4.8 tons per hectare to 6.1 tons per hectare (Maheswarappa, Hegde, & Nanjappa, 1998). In a field experiment in Kerala, the highest yields of 6.3 tons per hectare, were obtained in intensive planting systems with 500,000 plants per hectare (10 x 20 cm spacing) with mother rhizome planting materials and with the application of farmyard manure and NPK (Maheswarappa, Nanjappa, & Hedge, 2000b). In terms of the yields of different varieties, the common Indian varieties of 'Kasthuri' and 'Rajani' yield 2.52 and 2.55 tons of dry rhizomes per hectare, respectively (Preetha, Sudarsanan, & Krishnan, 2016). A promising registered variety is Jor Lab K-1 with an herbage yield of 7.16 tons per hectare, an improvement of 35% on the herbage yields of 'Kasthuri' and 'Rajani' (Lal, Munda, Dutta, Baruah, & Pandey, 2017). The highest yielding genome documented in the scientific literature appears to be that of the germplasm of Bharamputra-1 (IC0610826; INGR17081), which delivers yields as high as 10 tons per hectare (Lal, 2019).

In Indonesia, the production cost per hectare, including land preparation, external inputs and labor is approximately US \$1.12 (RM 15,530), which varies depending on yields per hectare (Kamaruddin, 2006). In 2006, fresh rhizome production cost approximately US \$0.00012 (RM 1.60) per kilogram, based on a yield of 10 tons of fresh rhizome per hectare (Kamaruddin, 2006). With a yield of 7 tons of fresh rhizome per hectare, the production costs increased to US \$0.00016 (RM 2.20) (Kamaruddin, 2006).

# 7.14 POST-HARVEST HANDLING AND STORAGE

# 7.14.1 POST-HARVEST HANDLING

The post-harvest handling of *Kaempferia galanga* typically entails slicing rhizomes into circular pieces to be sun-dried, followed by storage for indeterminate time periods (Chandana, 2011; Ibrahim, 1999). For storage and medicinal purposes, *K. galanga* rhizomes are sliced and dried at 40°C, either in the sun or using a commercial dryer (Kamaruddin, 2006). For the sale of freshly prepared rhizomes, harvested rhizomes are first washed and air-dried at outdoor temperatures and sold fresh.

# 7.14.2 STORAGE

*Kaempferia galanga* rhizomes can be stored for 6 months (Bhattacharya & Sen, 2013); in addition, they have the capacity to regrow 6 to 12 months after storage (Rajasekharan, Ambika, & Ganeshan, 2009). However, dried rhizomes are often stored in unhygienic, suboptimal conditions, and microbial contamination will deteriorate the quality (Chandana, 2011). Further research and development is needed to promote Good Agricultural Practice in post-harvest handling and storage of *K. galanga* (Chandana, 2011).

# 7.15 PROCESSING OF MEDICINAL/AROMATIC PLANTS

Conventional processing of *Kaempferia galanga* for medicinal purposes entails hydro-distillation of its essential oils. Other common processing methods include drying and slicing the rhizomes for medicinal decoctions and culinary spices. Blanching at 80°C can increase the water content of *K. galanga* products (Setiarso, Rusijono, Samik, & Kususmawati, 2018). Drying and raw material reversal procedures can reduce metal and microbial contamination (Setiarso, Rusijono, Samik, & Kususmawati, 2018). Thermal and non-thermal drying processes may reduce the antioxidant properties of *Kaempferia* sp. (Chan, Lye, Eng, & Tan, 2013; Atun, 2014). However, freeze drying will reduce the loss of antioxidants during processing (Atun, 2014). Literature on *Kaempferia galanga* processing remains limited and requires further research.

# 7.16 CHARACTERIZATION OF PHYTO-CONSTITUENTS

Our knowledge of the phyto-constituents of Kaempferia galanga is comprehensive and considerable work has been done on their characterization. As of 2010, 98.98% of essential oil constituents of K. galanga had been identified, and only 1.11% of its constituents remained unknown (Umar, Asmawi, Sadikun, Altaf, & Iqbal, 2011; Sutthanont et al., 2010). Ethly-paramethoxycinnamate and ethyl-cinnamate are the most vital constituents and have been successfully isolated (Othman, Ibrahim, Mohd, Mustafa, & Awang, 2006; Raina & Abraham, 2016). In a chemical profile of K. galanga rhizome volatile oils, 38 compounds comprised 96.4 to 98.9% of total oil contents, including trans-ethyl-p-methoxycinnamate (28.4-70.0%), transethyl cinnamate (11.5-26.6%), pentadecane (6.0-16.5%), δ-3-carene (0.1-6.5%), 1,8-cineole (0.2-5.2%) and borneol (1.0-2.4%) (Raina & Abraham, 2016). Other constituents include undecanone, isopropyl cinnamate, dicyclohexylpropane-dinitrile, dipentene dioxide, 9-hydroxy, 2-nonanone, 2,7-octadiene-1-yl acetate, ethyl cyclohexyl acetate, cis-11-tetradecenyl acetate, 2-heptadecanone, 4-methyl isopulegone, camphidine, trans, transocta-2, 4-dienyl acetate, 10-undecyn-1-ol, 3,7-dimethoxycoumarin, alpha pinene, camphene, cymene, alpha terpineol, alpha gurjunene, germacrenes, cadinenes, caryophyllenes, luteolin, and apigenin (Ling, Hoon, & Kian, 2009; Sutthanont et al., 2010; Raina & Abraham, 2016).

# 7.16.1 **TOXICITY**

No studies have linked *Kaempferia galanga* to mortality (Umar, Asmawi, Sadikun, Altaf, & Iqbal, 2011). Studies found that ethanolic extracts of *K. galanga* administered to rats resulted in central nervous system depression, reduced motor activity and respiration, loss of screen grip and analgesia in rats (25, 50, 100, and 800 mg/kg, respectively) (Ling, Hoon, & Kian, 2009; Kanjanapothi et al., 2004). A treatment of 25, 50, and 100 mg/kg over a 28-day period resulted in a slightly significant decreased lymphocyte count in male rats. Hexane fractions were not found to cause dermatological irritations in rats (Shanbhag et al., 2006) and rabbits (Kanjanapothi et al., 2004).

## 7.16.2 RHIZOME

Methanolic extract of *Kaempferia galanga* rhizomes revealed the presence of triterpenoids, alkaloids, flavonoids, carbohydrates, resins, and proteins (Rajendra, Magadum, Nadaf, Yashoda, & Manjula, 2011). Water extract of *K. galanga* rhizome revealed saponins, carbohydrates, and proteins present (Rajendra, Magadum, Nadaf, Yashoda, & Manjula, 2011). Petroleum ether extract and chloroform extract identified sterols, triterpenoids, and resins (Rajendra, Magadum, Nadaf, Yashoda, & Manjula, 2011). Chloroform extract also revealed the presence of flavonoids (Rajendra, Magadum, Nadaf, Yashoda, & Manjula, 2011). Chloroform extract also revealed the presence of flavonoids (Rajendra, Magadum, Nadaf, Yashoda, & Manjula, 2011). Tannins were not identified in any of these rhizome extracts (Rajendra, Magadum, Nadaf, Yashoda, & Manjula, 2011). The nutrient composition of *K. galanga* varies depending on production methods and inputs (Kavitha & Menon, 2013). For instance, soil management with application resulted in higher rhizome yields, while sulfur application resulted in higher oleoresin and essential oil contents (Kavitha & Menon, 2013).

Gas chromatography and mass spectroscopy analysis of *K. galanga* rhizome oil revealed that 95.5% of the oil was composed of 10 major compounds (Mohanty et al., 2011). These include ethyl-p-methoxy-cinnamate accounted for 59.5% of the detected compounds, followed by ethyl cinnamate, 3-carene, pentadecane, borneol, bornyl acetate, delta-selinene, camphor, alpha-piene, and immidazole 5-carbonylvinyl-4-nitro (Mohanty et al., 2011). Other sources report that *K. galanga* rhizome contains 2.4 to 3.9% essential oil, which is composed of up to 30% methyl-p-methoxycinnamate and approximately 25% ethyl cinnamate (Ibrahim, 1999).

#### 7.17 VALUE ADDITIONS OF MEDICINAL AND AROMATIC PLANTS

The value additions of *Kaempferia galanga* include further processing of essential oils in cosmetic, skin care and diverse other products. Studies with specific data on the supply chains and value additions of *K. galanga* are lacking at the time of this review.

#### 7.18 BIO-PROSPECTION OF MEDICINAL AND AROMATIC PLANTS

*Kaempferia galanga* holds prospects as an antifungal (Kochuthressia, Britto, & Jaseentha, 2012), antibacterial (Parvez, Khan, Islam, & Hasan, 2005;

Kochuthressia, Britto, & Jaseentha, 2012; Nillian et al., 2018; Hertiani, Pratiwi, Irianto, & Febriana, 2010; Dash, Nasrin, & Ali, 2014), anticarcinogenic (Srivastava et al., 2019; Kosuge et al., 1985; Amuamuta, Plengsuriyakarn, & Na-Bangchang, 2017; Jagadish, Raghu, Vinod, & Latha, 2010; Xue & Chen, 2002; Bagya, Rajashree, & Sam, 2011; Vimala, Norhanom, & Yadav, 1999; Yang, Ji, Feng, Yu, & Liu, 2018), and antioxidant (Chan et al., 2009; Sunitibala, Devala, Brojendro, Samarjit, & Punyarani, 2015). *K. galanga* also has potential as a pesticidal agent, and some herbicides have been developed and patented. Published literature on the bio-prospection of *K. galanga* was not found under this review.

## 7.19 COMMERCIAL PRODUCTS AVAILABLE

The most commonly available products of *Kaempferia galanga* are dried and sliced rhizomes for consumption. Rhizomes may be processed further into essential oil products. However, the oils are not regularly produced and are seldom available outside their country of origin (Arctander, 2017). Oils may be consumed directly or processed further into bath soaps, cosmetics, and perfumes (Kumar, 2014). *K. galanga* oil is registered under the European Chemical Agency (ECHA Number: 296-200-2). In the United States, *K. galanga* is registered under Safety Class 1 and Interaction Class A. There have been hundreds of patented applications for uses and products with *K. galanga* rhizomes, leaves, and active compounds.

#### 7.20 TECHNOLOGY TRANSFER/PATENTS

There is a long tradition of cosmetic and skin care use of *Kaempferia* galanga in Ayurvedic preparations. The traditional uses of *K. galanga* have been adapted and patented as sunscreens to enhance UV absorbance. The Chinese patent CN101756831A (2010) is for a sunscreen, which combines *K. galanga* extract with glycerin, stearic acid, stearyl alcohol, glycerin monostereate, vitamin E acetic ester, aethylparabenum, butyl p-hydroxybenzoate, propyl p-hydroxybenzoate, butanediol, propanediol, potassium dydroxide, essence, and refined water. A similar patent exists in the United States (U.S.) (US20030124070A1), assigned to Avon Products Inc. Another recent Chinese patent application was filed in 2018 for skin care using *K. galanga* in a face mask that sterilizes, moisturizes, and whitens the skin, and removes spots and acne, based on traditional Chinese medicine (CN108403603A).

Another U.S. patent utilizes the compound, ethyl p-methoxycinnamate, from *K. galanga* rhizomes against skin irritations and discoloration (US20040166132A1; US6719964B1). A Russian patent utilizes kaempferol and other compounds of *K. galanga* extracts to increase expression of redox factors in human epidermal cells (RU2606856C2).

For other medicinal purposes, a recent Chinese patent for the pharmaceutical use of *K. galanga* to treat muscle disease and to improve muscular function (CN104411310B) was approved in 2018. In addition, another Chinese patent utilizes *K. galanga* to promote blood circulation and prevent pain (CN105797100B). There is also a patent for a *K. galanga*-based blood-activating, analgesic plasters (CN104225550B). *K. galanga* is one ingredient in a medicinal mixture used to treat cervical and lumbar diseases, as well as osteoproliferation (CN104435947B). A culinary application for *K. galanga* as a health food to improve immune defense has also been patented (CN103652922B).

*K. galanga* has also been patented for incorporation into cotton fabrics to improve their durability (CN106946863B). A South Korean patent uses *K. galanga* to control the growth of nematodes (KR100764482B1). Pending patent applications include obtaining phenolic compounds of *K. galanga* from post-fermented tea sources (CN109863159A). A search on Google Patents found 667 granted patents and 4,721 patent applications using the search term "*Kaempferia galanga*," with a wide variety of medicinal, culinary, and other industrial uses and applications.

#### **KEYWORDS**

- aromatic plants
- ethyl cinnamate
- ethyl-p-methoxy-cinnamate
- Kaempferia galanga
- kaempferol
- rhizome
- value additions
- Zingiberaceae

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# Lavandula spp. (Lavender): A Herb More Than Just a Relaxing Scent

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## ABSTRACT

Lavendula, belongs to the family Lamiaceae, is perennial, sub-shrub or shrub in nature and contains 28 species. The distribution of this aromatic herb ranges from North temperate to tropical regions of old world. Generally, three species of lavender viz. L. officinalis Mill. (True Lavender), L. latifolia Medic (Spike Lavender) and L. hybrida Reverchan (Lavendin; L. officinalis x L. latifolia) are in cultivation throughout the world especially in Europe ad Mediterranean region while out of these only first two are popularly cultivated in India. These three species are known for their outstanding potential for the extraction of essential oil (EO). Since time immemorial plant extracts including essential oils have utilized for therapeutic purpose and too evinced to have multitudinous biological activities, anti-bacterial, and anti-fungal activities. The EOs derived from lavender are rich in wide range of phytoconstituents (~100) which secured their position for aromatherapy. The major constituents of its EOs are caryophyllene (8%), linalool (20-35%), tannins (5-10%) and linally acetate (30-55%). The meagre quantity of esters, oxides, ketones, cineole, camphor, sesquiterpenoids, betaocimene, limonene, caproic acid, p-vinylguaiacol, methanecarboxylic acid, dimethylamin, cyclopropyl, N,N-dimethyl methanesulfonamide, cyclpropyl carbonyl-D-2-methyl ether, melitoti, herniarine, heptanoic acid, acetic acid, pentadecanhoic acid, propane chemicals are also evident in lavender EOs.

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The percentage of phyto-chemicals are species dependent. Sequence-related amplified polymorphism (SRAP) markers are potent enough among other available molecular markers to study the genetic relatedness of lavender species at intra and inter-specific level.

## 8.1 INTRODUCTION

Lavender (*Lavendula* sp.) synonymously known as 'light shade of purple' is securing its position from ancient era and well-known for its uses for a vital aromatic ingredient since the foundation of human civilization. It contains 47 species, reside to the family Lamiaceae. Lavender has gained huge popularity in aromatic and medicinal field for their usage of their ingredients in perfumes, essential oils and variety of personal care products. On the other hand, the delicate foliage and distinct fragrance of its flowers make it a mandatory garden item. The genus *Lavandula*, means 'to wash' in Greek. The antiseptic and medicinal properties of lavender also used as used as disinfectants, fresheners, and laundry and bath additives. > 20 commercially vital lavender varieties need different atmospheric conditions and cultural practices too. Key limiting factors for commercial cultivation of lavender are low humidity, low winter temperatures, poorly drained soils. According to Ernst (2017), owing to rapidly increasing agrotourism enterprises, it is cultivated as 'Niche' crop in Kentucky farms.

This crop is native to old world. In Cape Verde, Canary Islands, Mediterranean region, Northern, and Eastern Africa, South-West Asia and South-East India the existence of lavender is evident (Retrieved from: https://www.hgtv. com/outdoors/flowers-and-plants/houseplants/mona-lavender). Soil with moderate fertility (e.g., rocky soil) is well suited for its growing. It prefers basically coastal climate but could also withstand chilling temperature (for example L. angustifolia var. 'Grosso' and L. x intermedia are winter hardy crop and thrive well throughout US countries). Wide range of Gulf countries like British Isles, Ukraine (especially surrounding areas of Black Sea), Japan, Argentina, Brazil, and East Africa are successfully producing lavender at commercial level owing to the adaptability of some lavender species and cultivars (L. angustifolia [English lavender]) at high water level condition. Some other places like Lake Champlain (in New York), Southern part of Lake Ontario, Illinois, Northern Nevada, Idaho, and Minnesota also producing lavender successfully although the growth patterns may affect due to location-based microclimate to some extent. In nutshell, elevation, topography, and degree of low temperature are 3 key factors influence lavender farming.

Other factors like level of elevation, mulching pattern and its thickness, drainage facilities, etc., are crucial for plant survival (Aam, 2016). Beyond its use as culinary herbs and for EO extraction, it is also used as a garden component in temperate countries (Retrieved from: http://www.missouribo-tanicalgarden.org/PlantFinder/PlantFinderDetails.aspx?kempercode=j560). Its health benefits, endorsed by so many researchers, without any adverse effects also paved their position in ethnomedicine and cosmetics industry (Retrieved from: "Lavender" [https://www.drugs.com/npp/lavender.html]; https://www.nccih.nih.gov/health/lavender).

By habit, lavender is a perennial herb to sub-shrub (Upson & Andrews, 2004). Leaf morphology is simple to pinnately toothed or sometimes pinnately compound and dissected also. Actually, leaf shape is species specific. Leaves surface covered by fine hairs or indumentum hold essential oils (Upson & Andrews, 2004). Flowers are borne in whorls, spike inflorescence often branched in some species. Bracts may be colored at the apex. Bloom colors range from blue-violet or lilac, sometimes blackish purple or vellowish. Tubular calyx and corolla are its taxonomical features. Corolla is 5 lobed (upper lip sometimes cleft while lower lip has 2 clefts) (Upson & Andrews, 2004; Bailey, 1949). Flowering spines of L. officinalis (known as 'Genuine lavender') are employed for production of highly fragrant lavender oil which has great demand in flavoring, perfumery, cosmetics, and soap industries. The reason of huge requirement of its oil is its medicinal (antiseptic and bactericidal) properties. According to Shawl & Kumar (2000), at CIMAP farm, Pulwama, Kashmir, high oil yielding lavender flowers (oil content ranges from 1-1.2%) are produced, in fact Kashmir is world famous for the production of international standard lavender EO may be due to the conducive agro-climatic condition and developed agro-technology. Linalool, 1,8-cineole, B-ocimene, linalyl acetate, terpinene-4-ol and camphor - are key chemical ingredients of lavender EO but their relative amount usually varies according to the species (Cavanagh & Wilkinsn, 2002). L. angustifolia derived EO (by steam distillation) majorly contains linalool (3,7-dimethylocta-1,6-dien-3-ol), linalyl acetate (3,7-dimethyl-1,6-octadien-3yl acetate), 1,8-cineole, lavandulol, lavandulyl acetate and camphor. Many authors (Cavanagh & Wilkinsn, 2002; Prashar et al., 2004) reported that linavl acetate (51%) and linalool (35%) are the chief components of lavender oil (as measured by Gas Chromatography and Gas Chromatography-linked FTIR) while these 2 components are hugely used in aromatherapy.

The use of lavender oil for its fragrance is well known whereas for having medicinal properties it is also used by topical application in aromatherapy (Sarkic & Stappen, 2018; Groom, 1997). Body massage therapy using this oil reduces stress and cause total body and mental relaxation both (Fismer & Pilkington, 2012; Sarkic & Stappen, 2018). Lavender oil capsule are often prescribed by medical practitioners as anxiety medication (Retrieved from: https://compendium.ch/product/1354538-laitea-kaps-80-mg; https://compendium.ch/fr/Product/Index/1321709-lasea-kaps-80-mg) since its evident that it may decrease the anxiety up to sub-threshold level (Moler et al., 2019). Its oil is also used as a solvent component in oil painting (Ward, 2008).

#### 8.2 HISTORICAL USES

In Mediterranean, Middle East and India lavender was first spotted around 2,500 years ago. Lavender word comes from the Latin '*lavare*' meaning 'to wash.' It was earlier known as 'Lavender Nardus' in Greece but later popular as 'Nard' which goes to the name of Syrian city Naarda. Its famous for its alluring fragrance and multitudinous uses like to scent baths, beds, clothes, and even hair on one hand and for medicinal properties on other hand. It is cultivated across the world counting Europe, Australia, New Zealand, North, and South America.

Versatile usages of lavender in diversified sectors (like perfume, cosmetic, and medicine industries along with household domain since antiquity) make it a mandatorily cultivable crop. Barring this, Vokou (1993) reported that the treatment using lavender extracts could effectively inhibit potato sprouting during the storage. Hence, it can substitute other chemical treatments. Bioactive constituents of its extracts are potent enough to control insects and pests (Sharma et al., 1992). Buchbauer et al. (1992) studied in Austria on the sedative impacts of lavender EOs. They found notable impact after inhalation. Presently, most of the lavender value-added products contain aromatic ingredients are chiefly used for essential oil production.

Since antiquity, the involvement of lavender in traditional medicine is known. Dioscorides, a Greek Naturalist also scriptured the medicinal benefits of lavender during 1<sup>st</sup> century A.D. For Greeks and Romans, it was the preferred component in herbal baths, for Egyptian lavender perfume and ncense sticks were most favorite. As aphrodisiac it was well liked during Middle Ages while lavender water was an emblem of purity, it supposed to elevate one's righteousness. The insecticidal properties of lavender extract prevent linen spoilage caused by moths, hence, in turn people get influenced to use it as disinfectant and deodorant. In ancient times, lavender was a mandatory component for 'White Flower Oil' (commonly known as 'Cure All' medicinal oil) and 'Smelling Salt' in China. Apart from these uses, its efficacy to tame animals, to embalm corpses, to repel mosquitoes, and also as snuffing material and as an ingredient in lacquers and varnishes had been proved since earlier. In historical times also, in variety of culinary items (like flavored vinegars, salads, jellies, etc.) it was used. To heal nervous palpitations, toothaches, sore joints, hysteria, chronic headache, cold, and coughs, apoplexy, its potency was peremptorily noticeable. Romans believed that nest of asp (a venomous viper) in lavender bushes enhance its value and indicate its judicious use. Culpeper (1652) revealed that to get rid of frequent fainting, swooning, abnormal heartbeats, palpitations, and choke throat, 2 spoonfuls of lavender flower derived water is sufficient. Use of different plant parts of lavender as poultice could aid to protect against some intense infections caused by biting of serpents, mad dogs and other venomous creatures (Sturdivant & Blakley, 1999). Dehydrated leaves and seeds of lavender also have potential to heal severe hysteria.

#### 8.3 AREA AND PRODUCTION

Lavender cultivation basically flourished in Bulgaria, France, UK, Spain, China, Russia, Italy, Morocco, Yugoslavia, Hungary, Romania, Poland, Tukey, Ukraine, Moldova, South Africa and USA (Grebenicharski, 2016; Stanev et al., 2016). Barring these countries lavender is also cultivated successfully in Western and Eastern Cape, Kwa-Zulu-natal, Gauteng, Mpumalanga, and Limpopo provinces to have identical weather condition like of Mediterranean climate. Based on location, management practices and cultivar, yield of flowers and other value-added products actually varies, as for example the yield of true lavender flowers in South Africa usually range from 8–30 kg/ha while 40–220 kg/ha and 500–1,000 kg/ha of essential oil (lavandin) and dried flower stems, respectively found highly profitable for grass-root level producers.

According to the global statistics of lavender oil production, the ratio of lavender to lavandin is 1:5 which denotes the production of quality lavender oil is around 200 metric tons/year globally. Habit of lavender plants usually affect the yield. It has found that more robust plants contain more lavandin oil (may be >1,000 metric tons/year). Lavandin oil is much cheaper as compared to lavender oil. France is the center of true lavender cultivation essential for perfume production. Along with Spain, France, Italy, the Balkan Peninsula, Australia, and Tasmania, Bulgaria is also an emerging country

for lavandin production now-a-days. Cooler and higher altitude is preferred place for lavender and lavandin production whereas warm and lower regions found suitable for spike lavender. Global production of spike lavender oil is 150–200 tons/annum and Spain contribute at least 30% of total production as it is the key producer of spike lavender while throughout the Mediterranean areas this type of lavender grows wildly.

Production of essential oil of lavender in India (up to year 2011) was 2 m. tones. Currently, India imports 42 tons of Lavender oil worth Rs. 18 million per annum (Stanev et al., 2016). In, 1957, Sir Col. R. N. Chopra introduced this plant in Kashmir valley (Handa et al., 1957). However, in India lavender cultivation and its oil production are not satisfactory. It was systemically cultivated at CIMAP (Central Institute of Medicinal and Aromatic Plants). Consequently, the total area, in Kashmir, under lavender cultivation is 100 hec (Shawl, 2000; Farooqi et al., 1996; Handa et al., 1957; Guenther, 1972; Lawrence, 1985). The grade of oil produced at CIMAP meets the global standard and secures a steady supply to the perfumery industry.

Among 30 different flowering species of Lavandula, 3 namely L. angustifolia Mill. (Lavender), L. latifolia L. (Spike lavender) and L. angustifolia x L. latifolia 'Lavandin' (a sterile hybrid) are the mainstream cultivated species (Lesage-Meessen et al., 2015 and Lis-Balchin, 2002). Bulgaria, France, UK, China, Spain, and others are key lavender producing countries. Bulgaria ranks top in the world for producing (occupied > 6,000 ha. of land for wide range of lavender varieties) high quality and quantity lavender oil as compared to France (Stanev et al., 2016). 'Lavandin' is mainly cultivated in France securing alone 90% of the total production of lavandin oil. Spain concentrates on cultivation and production of essential oils from exclusively Lavandula latifolia. Commercial usefulness (like pharmaceuticals, cosmetics, household products and perfumes) of both lavandin and lavender oil are highly significant (Lesage-Meessen et al., 2015; Cavanagh & Wilkinson, 2005) but the former one is of superior quality and sold at 3-5 times higher price than the latter one. Furthermore, in emerging field of aromatherapy, lavender oil is considered as a major component owing to its great impact for anxiety, insomnia, and hair loss treatment (Cavanagh & Wilkinson, 2002; Kashani et al., 2011; Soltani et al., 2013; Lis-Balchin & Hart, 1999; Sienkiewicz et al., 2011). All aforementioned quality attributes facilitate the popularity of lavender as medicinal, ornamental, and aromatic plant. It is evident from historic literature that Konstantin Malkov popular agronomist and founder of agricultural science in 1903 first introduce lavender in Bulgaria while later Bulgaria focused only on common lavender for commercial cultivation.

After a decade in 1925 Konstantin Georgiev re-initiated the cultivation of existing bushes of lavender. According to Georgiev (1964), 1<sup>st</sup> lavender plantation was taken place at Kazanlak and Karlovo while after few years' lavender plantation spread rapidly in Bulgaria after procuring seeds and planting materials from England and France. Since that period, Bulgaria is cultivating lavender successfully, but shrinkage of open spaces are the chief limiting factors now-a-days for its wide cultivation.

# 8.3.1 21<sup>st</sup> CENTURY DEVELOPMENTS OF LAVENDER CULTIVATION IN BULGARIA

More than 7,000 ha. of land had been found since 20th century under lavender plantation but further magnificent popularization and enhancement was evinced during early of 70's. The *in-situ* state distillery units basically carry out the distillation procedure of lavender oil followed by further export. During 1990s the dwindling condition of lavender cultivation and its essential oil distillation industry in Bulgaria yielded a major impact on agricultural sector. During this transition period any renovation of the existing lavender industry and fresh establishment of new lavender plantations hadn't made those results in manifold deterioration of quality lavender production and chances of formation of new plantations (Figure 8.1) (Stanev et al., 2016). This dwindling condition invited privatization of many EO distillation units. Early of 21st century showed the significant recovery rate of Bulgarian fiscal condition hugely dependent on lavender based essential oil production. Furthermore, substantial Agriculture State Fund investments also caused the significant resurrection of lavender cultivation and oil distillation facilities. Consequently, expansion of lavender industry highlighted the national importance of this crop. Earlier lavender was only cultivated in South Bulgaria (especially Blagoevgrad, Pazardzhik, Stara Zagora, Povdiv) but currently lavender cultivation has extended its wings at North Bulgarian regions (including Varna, Shumen, Turnovo, Vidin, Veliko, and Dobrich) also. Figure 8.1 highlights the massive growth rate of lavender cultivation which reached in 6892 ha of total areas in 2014. In present days, Bulgaria is at the pinnacle of the production of lavender and its oil. Based on the statistical data of 2014, total production of lavender blooms ranged from 175-259 kg/ha during 2005-2014 (Figure 8.2). Although environmental factors significantly affect lavender yield but in average harvest of 259 kg/ha fresh lavender flower stalk was evident during preceding years.

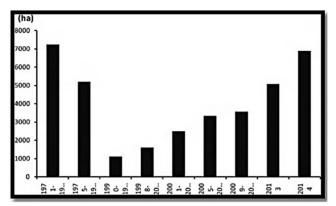
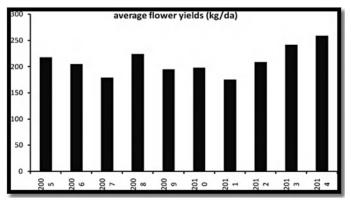


FIGURE 8.1 Total area (ha) of lavender cultivation in Bulgaria.



**FIGURE 8.2** Average flower yields from lavender plantations in Bulgaria during 2005–2014 period.

## 8.4 MARKETING AND TRADE

Lavender is one of the best-known essential oil-bearing plants, but grown for multifaceted uses (Petkova et al., 2018; Reid, 2000). Now-a-days lavender plantations has enhanced in Turkey also multitudinous usefulness and rural tourism too. Traditionally, Turkey occupies the market for rose, jasmine, and oregano oils, but recent interest in lavender motivates entrepreneurs to evaluate the current status of lavender oil markets worldwide. However, insufficient information(s) and database on world trade and production of lavender oil influence the producers to focus on the quality aspects and dynamics of price in pan world of lavender oil. *L. intermedia* is basically cultivated in Turkey. The trade potential of 'lavandin' is more as compared

to the essential oil produced from 'True Lavender' (L. angustifolia), the most famous and superior type. Bulgaria, France, China, and other few countries are now become the world's top most suppliers of lavender oil. The extended establishment of lavender plantations in Bulgaria caused the enhanced essential oil supply although the quantity and quality of oils are very much weather dependent. The qualitative and quantitative attributes of oils usually determine the demand but generally it remains more or less same in year after year although sometimes low production rate coupled with higher price. The health awareness of consumer makes them akin to use natural and organic ingredients which ultimately influences additional demand of lavender essential oil. Even after knowing its huge demand round the world, due to meagre farm size and complex value chain procedure, most of the farmers refrain them from the cultivation of lavender. To make it economical for farmers, low production cost should be coupled with high profit ratio which may force them to consider lavender as a vital crop for cultivation (Giray, 2018).

Maximum scientific studies on lavender have been made on medicinal, agricultural, and biological aspects. A case study made by Gül et al. (2016) exhibited that the relative profit (\$1.65), average yield (1,636.70 kg/ha), production cost (\$0.95 for 1 kg lavender) and net profit per hectare (\$1,018.37) of lavender cultivation were quite high as compared to other agricultural produces. Another alike case study on organic lavender cultivation in Bosnia and Herzegovina regions made by Peco et al. (2015) calculated that total profit/hectare as around \$1,237 on average. According to Singh et al. (2007) Chamba District of Himachal Pradesh was the most potent place to flourish lavender oil business. Previous studies by Giray (2018) were focused on farm and market analysis. In nutshell, commercialized production of lavender is vital for societal and environmental benefits except the upliftment of economic status of marginal farmers.

#### 8.4.1 MARKETING STRATEGIES OF LAVENDER OIL

Wide diversity in lavender species influence the trade of lavender oils and its blends on world markets (Adam, 2006). As for example, according to Stanev et al. (2016) majority of volatile compounds found in lavender essential oil has some sedative impact on human being while variety of lavender oils were used for chemical analyses in Japanese market (Tomi et al., 2018). The useful species for essential oil is *L. intermedia*, *L. angustifolia*, and *L. latifolia* (hybrid of the first two).

'English Lavender' botanically known as L. angustifolia. It has also secured another name, i.e., 'Bulgarian Lavender' for its highest production in Bulgaria. The other species, L. intermedia, the hybrid variety (L. angustifolia x L. latifolia) is popular as 'lavandin.' Several morphological differences (like flower and leaf shapes, hues, fragrance, robustness, etc.) at interspecific and intraspecific level are quite prominent while varied geographic locations also contribute towards this (Cristea & Boros-Iacob, 2017). Habitats also contribute towards the naming of several lavender species such as modern French and Bulgarian lavenders were earlier known as 'English Lavender' (Tomi et al., 2018). The high-quality oil yielding capacity of L. angustifolia is liable to its fame but the price factor determines its demand. Yield of low quantity of essential oil makes it highly expensive. Several factors like genotype, phyto-geographical conditions, mode of propagation and morphological features greatly influence the qualitative and quantitative compositions of essential oil extracted from L. angustifolia. But the oil yield potential of L. latifolia is much improved than L. angustifolia led to its huge market demand. High yield and adaptability of growing at lower altitudes make its essential oil quite pocket-friendly although intense odor of camphor deteriorates its quality (Agrifutures, 2017).

On the other hand, L. intermedia, the hybrid cultivar yields huge quantity of oil usually surpasses all other species but possess less demand for inferior quality attributes (Agrifutures, 2017). Tomi et al. (2018) reported that amalgamation of essential oils obtained summoned from various species (known as adulterated essential oils) are unsuitable for desirable aromatherapy effects on human beings. The market price of essential oil derived from *L. angustifolia* is quite less. According to Tomi et al. (2018) adulterated lavender oil, nothing but the mixture of synthetic linalool and linayl acetate, is much cheaper in price. Hence, 'Lavender Oil' is the actual essential oil extracts from *L. angustifolia* while 'Lavandin Oil' is the mixture of essential oils derived from all species of Lavender and 'Lavandula Oil' is the blended version of these two.

#### 8.4.2 WORLD LAVANDULA OIL MARKETS

Cultivation of lavender is popular in pan world but few countries counting France, Bulgaria, Russia, and some Eastern European countries are exclusively known for lavender oil production commercially. Several chronicles, national, and international market reports could be considered as vital resources to have an updated idea about business and transport chain of lavendula oil (*L. angustifolia*) markets. For last few years, New Zealand

is also another addition in world market (Anon., 2015). As we know that Bulgaria and France both are the leading producers of lavender oil contribute almost 2/3<sup>rd</sup> of total world's essential oil production. They're potent producer and exporter of lavender oil. Spain is now a relatively newcomer in the world market. Overall, Europe is the hub of lavender and lavandin oils while USA and Asian countries have started to emerge in this sector. Figure 8.3 shows approximate shares of main lavender oil producing countries in the world.

According to the Bulgarian statistical report in 2017, 4,500 ha of lands of Bulgaria are under lavender cultivation which consequently yield 230 tons of lavender oil. If we review last 5 years essential oil production statistics of Bulgaria (200 tons in 2016, 180 tons in 2015, 140 tons in 2014, 120 tons in 2013 and 45 tons in 2011) then it manifests a steep increase in production. Owing to continuous expansion of production areas, the predicted production of essential oils by Bulgaria alone will be around 350 tons in 2018 while fluctuating weather conditions led to lower production and yield (Anon., 2018b, c). Apart from abiotic stresses some biotic hazard namely Stolbur phytoplasma bacteria significantly lowers down the lavender oil production in France but in Bulgarian province absence of this stress facilitates the production to meet the worlds demand. Institute of Rose and Essential Oil Cultures in Bulgaria during 1960s contributed towards the production of first improved lavender hybrid but later (during 1970s and 1980s) totally 7 cultivars were made while 5 by hybridization and 2 using chemical mutagens (Grebenicharski, 2016). Presence of some chemical compounds act as quality indicators. Among those compounds linalyl acid content and proportion of linalyl acetate and linalool are key compounds. Bulgarian essential oil contains linalyl acetate content as high as 50% and ratio between linalyl acetate and linalool remains 1: 0.7 (Petkova et al., 2018). This high-quality index made Bulgaria frontrunner since 2005. According to Petkova et al. (2018) in total 1,600 farms are under lavender production which was much higher than the other routine crop farms. It's almost 4 times higher than 2017's statistics. Through this data a paradigm shift of farmers from grain producers to lavender producer is quite lucid. It's because of its simple cultivation and management strategies, common climate and soil requirements and high yield potential. Interestingly, small farmers are not only dealing with its cultivation but also concerned about its essential oil production adopting comparatively less expensive steam distillation technology. Despite having pharmaceutical and cosmetic properties its domestic consumption is insignificant, hence, Bulgaria's lavender oil production chiefly focuses on foreign exports (Grebenicharski, 2016).

France still steadily maintains the supply of Lavandula omitting biotic challenge caused by *Stolbur phytoplasma*. Even after having a smaller number of lavender farms, the area under its production has increased manifold times than earlier. It proves the modern day demands of lavender and its value-added products. France secured first rank being the highest producer of lavandin essential oil. France alone produces 1,439 tons in 2016 which contribute significantly to world's lavandin oil production. Based on the plants' origin its distribution is classified as 'Grosso' (89%), 'Sumian' (4%), 'Super' (4%) and 'Abrial' (3%) (Giray, 2018).

Xinjiang Autonomous province – collectivist units present China had produced lavender under 1,500 ha of land and resulted in production of 40 tons of lavandin oil yield but China's contribution towards international market was too insignificant, it was >10 tones. Collaterally, India yields 10 tons of lavender although major proportion is consumed for internal usages whereas in Ukraine 1,000 ha of land is under lavender cultivation another major producer contributes to the world lavender oil market by producing between 10 and 15 tons in recent years despite having adverse environmental factors (Agrifutures, 2017; Anon., 2018b, c).

Spain is a leading producer of spike lavender (*L. latifolia*) essential oil which has allowed them to secure their position behind France. About 2,000 ha of land is under cultivation of spike lavender and produces about 80 tons of oil. Morocco is another traditional supplier of medicinal and aromatic plants especially they've gained fame recently as a dominant producer of lavandin. Currently >1,000 ha of land is under lavender cultivation. United States, New Zealand and Australia – all these are new comer in the world of lavandin essential oil production while the former one successfully produces lavandin from 'Grosso' variety and latter two are at growing phase dealing with an agro-tourism project organized by The Australian Lavender Growers Association (TALGA). About 150 ha of land has been occupied for lavender and lavandin production in Italy while Moldova is at struggling stage although its production has declined (France Agri Mer, 2013).

According to Turkstat (2018) report a steep increase in lavender production (production area <50 ha in 2000 and >700 ha in 2018) is really noteworthy but unfortunately their contribution in international market very nominal. They're basically concentrating on *L. intermedia* and *L. angustifolia* production to meet market requirement of lavandin essential oil. A case study made by Bozkiran & Giray (2016) stated the reason of owning lavender cultivation in Turkey is nothing but its low production cost and easy trade, but the key disadvantage is the marketing. The same study revealed that the problem lies on delayed payments by traders or processors after selling. Lower market price of value-added products demotivates the producers although their low bargaining power may also another reason of it. Marginal farmers with meagre capitals merely depend on the lavender processing/distillation units which aren't enough equipped. So, overall improvement in marketing strategies may change the scenario.

For lavender and lavandin essential oil two basic selling strategies are adopted, i.e.: (i) domestic markets (counting local and niche market and aromatherapy outlets); and (ii) international markets. The former one is focused by small, local traders while the latter one by international companies or giant dealers. Established buyers, flavor, and fragrance industries, distribution units and digital marketing – all are essential components of a successful international marketing. Along with this quality assurance of the produce is also another factor for having a sustainable marketing (Reid, 2000).

The price of lavender produces actually varies depending on its quality attributes. Based on literature survey, it has found that France is the producer of supreme quality of lavender oil. As for example, in Bulgaria during 2016 even after having sumptuous production and the subsequent supply of lavender produces the net turn-over was quite low but in 2018 high production couples with high market price. The reason might be the quality as Bulgaria often experiences fluctuating weather conditions may create some meaningful impact on quality attributes of produces. Qualitative factors of essential oils basically depend on the mode of propagation. For 'Fine Lavender' prices may be as high as Rs. 220/–per kg while for 'Diva Lavender' it's as low as Rs. 40–60/–per kg. On the other hand, the supply rate can also influence the price of produces (price of Bulgarian lavender oil greatly affected due to the supply factor). Generally, the price differences between lavender oil and lavandin oil is noticeable. In national market the price of lavender oil > lavandin oil while the scenario is reverse in international market.

Kentucky is another emerging state for lavender cultivation. The congenial conditions of this state make it appropriate for conducting on-farm agritourism (lavender festival) and also to adopt its cultivation as an ornamental crop. More conclusively, in Kentucky the lavender market can be formed focusing on 3 aspects viz.: (i) agritourism (can anchor seasonal attractions); (ii) value-added products; and (iii) culinary ingredients. Some value-added products like soaps, use as culinary ingredients, potpourri, candles, etc., for daily uses and sometimes floral arrangements are also made using lavender blooms. So, successful market supply-chain (Wholesalers, Restaurateurs, and Florists) may aid to obtain profitable prices (Ernst, 2017) (Figure 8.4).

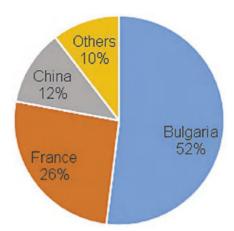


FIGURE 8.3 World lavender oil production by countries (2017).

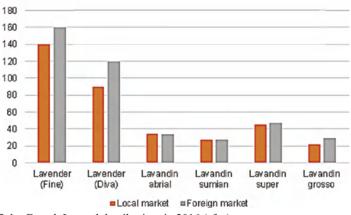


FIGURE 8.4 French Lavandula oil prices in 2016 (•/kg).

## 8.5 ORIGIN AND DISTRIBUTION

Latin word 'lavare' means 'to wash' or 'to bathe.' This Latin verb is the source of the name 'Lavender.' Mediterranean basin especially rocky and calcareous areas are considered to be the origin of lavender as it prefers warm weather conditions and low topography for their luxurious growth. During the Elizabethan era in England and also by the ancient Greeks and Romans lavender was cultivated mainly at North Africa, Europe, and Western India. Mediterranean weather is most suitable spike lavender. At present, lavender essential oil is production is distributed in haute province (France), Spain, Portugal, Hungary, United Kingdom, Bulgaria, Australia, China, and USA (McCoy, 1999).

#### 8.6 COMPOSITION

Linalool and linalyl acetate are two key components of lavender oil among others (it may be >100 compounds) (Barazandeh, 2002). These different constituents are thujene,  $\alpha$ -pinene, camphene, sabinene, p-cymene, limonene, myrcene, (Z) – and (E) farnesene, (Z) – and (E) ocimene, 1,8-cineole, camphor, terpinene-4-ol, lavandulylacetate-caryophyllene, terpinene, lavandulol, etc. Naeff & Morris (1992) identified key compounds viz. 1,8-cineole (22.9%), camphor (16.25%) and linalool (27.06%) adopting combined analytical techniques with a target of an elaborate analysis of oil extracted from spike lavender. L. latifolia grown in Almalagues (Portugal) could yield 0.3% oil (Proneca et al., 1985) enriched in linalool compounds while De Paseual et al. (1989) adopted a combined technique (conventional and modern analytical method) to estimate the chemical compounds found in L. latifolia collected from other parts of Portugal. The method of oil distillation and characterization actually affect the quantity of chemical compounds recovered and the nature of chemical composition of the extracted oil (Table 8.1). 1,8-cineole (36%, 34.9%), camphor (8%, 15%) and linalool (30.3%, 18.9%) found as major constituents both in lab-distilled and commercial oils. Carrasco et al. (1989) revealed that the chemical composition of extracted oil varied according to their stage of maturity. Linalyl acetate is the key component of lavender oil. French oils contain 30-60% acetate, English oil contained 8-18% while Kashmir oil contained 24.8% linalyl acetate (Table 8.2) (Agnel & Tiesseire, 1984; Boelens, 1995; Lawareiice, 1985, 1994, 1996). Other constituents present in the oil were linalool, geraniol, and its esters, lavandulol, nerol, cineole, caryophyllene, liinonene, P-ocimene, thujone, and pinocamphone (Schiweisheimer, 1960; Tewari & Sharma, 1987; Venskutonsis et al., 1997; Ramie et al., 1982; Reverchon et al., 1995). Solvent extracted perfumer contains umbelliferone methyl ether (7-methoxy coumarin) and coumarin. The ester content (linalyl acetate) actually determines different grades of lavender oil since this content considered as oil evaluation parameter. French and English lavender oil differ from each other as the former one contains much lower ester content and an appreciable proportion of cineole that imparts a characteristic pungency to the oil (Anonymous, 2001; Porter et al., 1982. Reverchon et al., 1995; Venskutonsis et al., 1997), Two series of carbonyl compounds with either santalane and cadinane skeletons or caryophyllanc and ccdrane skeletons have been reported (Kaiser et al., 1983a, b), Phytochemiscally L. officiniails was reported to have Turan, coumarins, and related derivatives (Naf et al., 1997; Brown, 1965). Saadatian et al. (2013) investigated the chemical composition of L. officinalis using dry and fresh

flowers adopting Maceration extraction method. He used 2 different concentration of ethanol (96 and 70%) during extraction. Characterization of ethanol (96%) based mass extracted lavender oil using Gas Chromatographic method revealed the existence of methanecarboxylic acid, pentadecanoic acid, ethane, dimethylamin, N,N-Dimethl methanesulfonamide, and p-vinylguaiacol. Heptanoic acid, Acetic acid, 2-Propanone, Melilotin, Herniarine, and cyclopropyl carbonyl-D-2-methyl ether – these constituents have been found to be present in 70% ethanol-based extraction method.

SI.	Compound	RI*	Leaves	Buds	Flowers
No.					
1.	Cumene	979	$1.0\pm0.0$	$0.2\pm0.0$	$0.1\pm0.0$
2.	<i>a</i> -Pinene	982	$0.5\pm0.0$	$0.4\pm0.0$	$0.2\pm0.0$
3.	Camphene	987	$1.4\pm0.0$	$0.7\pm0.0$	$0.2\pm0.0$
4.	Verbenene	993	$0.4\pm0.0$	$0.2\pm0.0$	Tr**
5.	Octen-3-ol	994	$0.9\pm0.0$	$0.4\pm0.0$	$0.3\pm0.0$
6.	3-Octanone	996	$0.2\pm0.0$	$0.3\pm0.0$	$0.5\pm0.0$
7.	Myrcene	997	$0.1\pm0.0$	$0.8\pm0.0$	$0.6\pm0.0$
8.	dehydro-1,8-Cineole	998	$0.1\pm0.0$	-	-
9.	Butyl butanoate	999	_	-	$0.1\pm0.0$
10.	dehydro-cis-Linalool oxide	1,007	_	-	Tr
11.	Hexyl acetate	1,012	$0.4\pm0.0$	$0.1\pm0.0$	$0.5\pm0.0$
12.	<i>p</i> -Cymene	1,021	$0.7\pm0.0$	$0.4\pm0.0$	Tr
13.	orto-Cymene	1,027	$1.5\pm0.0$	$0.3\pm0.0$	$0.2\pm0.0$
14.	Limonene	1,031	$3.8\pm 0.2$	$0.7\pm0.0$	$0.3\pm0.0$
15.	1,8-Cineole	1,035	$7.3\pm0.1$	$1.4\pm0.0$	$3.4\pm 0.0$
16.	γ-Terpinene	1,045	$0.1\pm0.0$	$3.3\pm 0.0$	$0.1\pm0.0$
17.	$(E)$ - $\beta$ -Ocimene	1,059	_	tr	$1.2\pm0.0$
18.	trans-Linalool oxide	1,070	$0.6\pm0.0$	$2.3\pm0.0$	$1.1\pm0.0$
19.	cis-Linalool oxide	1,086	$0.2\pm0.0$	$2.1\pm0.0$	$0.9\pm0.0$
20.	3,5-Heptadienal	1,089	_	$0.1\pm0.0$	Tr
21.	Linalool	1,097	$1.9\pm0.1$	$23.9\pm0.2$	$29.9\pm 0.2$
22.	1-Octen-3-y-yl acetate	1,101	$0.2\pm0.0$	$1.0\pm0.0$	$1.7\pm0.0$
23.	trans-p-Mentha-2,8-dien	1,112	$0.2\pm0.0$	$0.1\pm0.0$	Tr
24.	Octanol acetate	1,115	tr	$0.1\pm0.0$	Tr
25.	trans-p-Menth-2-en-1-ol	1,123	$0.2\pm0.0$	$0.1\pm0.0$	Tr
26.	trans-Limonene oxide	1,128	$0.1\pm0.0$	$0.1\pm0.0$	$0.1\pm0.0$
27.	E-Myroxide	1,136	-	$0.1\pm0.2$	$0.1\pm0.0$

**TABLE 8.1** Composition of Lavender Essential Oil (%)

330

SI.	Compound	RI*	Leaves	Buds	Flowers
No.					
28.	Camphor	1,152	$2.0\pm0.0$	$0.8\pm0.0$	$0.4\pm0.0$
29.	4-Hexen-1-ol	1,165	$1.0\pm0.1$	$0.6\pm0.0$	$0.6\pm0.1$
30.	Borneol	1,178	$11.7\pm0.3$	$4.3\pm0.1$	$1.7\pm0.1$
31.	Terpinen-4-ol	1,184	$0.9\pm0.0$	$1.7\pm0.0$	$5.1\pm0.0$
32.	Cryptone	1,193	$10.4\pm0.5$	$3.6\pm 0.0$	$0.6\pm0.0$
33.	a-Terpineol	1,201	$0.8\pm0.0$	$5.0\pm0.1$	$2.4\pm0.0$
34.	trans-Mentha-1(7),8-dien-2-ol	1,212	tr	$0.2\pm0.0$	$0.1\pm0.0$
35.	Verbenone	1,219	$0.7\pm0.0$	$0.4\pm0.0$	$0.1\pm0.0$
36.	trans-Carveol	1,226	$0.6\pm0.0$	$0.2\pm0.0$	Tr
37.	Nerol	1,229	$0.4\pm0.0$	$0.8\pm0.0$	$0.3\pm0.0$
38.	Isobornyl	1,238	$0.7\pm0.0$	$0.4\pm0.0$	$0.1\pm0.0$
39.	Linalyl acetate	1,253	$5.9\pm0.1$	$22.3\pm0.3$	$32.1\pm0.0$
40.	Piperitone	1,265	$0.4\pm0.0$	$0.1\pm0.0$	Tr
41.	Thymoquinone	1,279	$0.2\pm0.0$	$0.3\pm0.0$	$0.1\pm0.0$
42.	Lavandulyl acetate	1,289	$0.7\pm0.0$	$3.4\pm 0.1$	$5.9\pm0.0$
43.	Bornyl acetate	1,295	$0.1\pm0.0$	$0.6\pm0.0$	$0.2\pm0.0$
44.	p-Cymen-7-ol	1,303	$1.2\pm0.0$	$0.6\pm0.0$	$0.1\pm0.0$
45.	Thymol	1,308	$0.4\pm0.0$	Tr	Tr
46.	2,4-Cycloheptadien-1-one	1,321	$0.3\pm0.0$	$0.2\pm0.0$	Tr
47.	3-oxo-p-Menth-1-en-7-al	1,349	$0.7\pm0.0$	$0.8\pm0.0$	$0.3\pm0.0$
48.	Neryl acetate	1,362	$0.3\pm0.0$	$1.1\pm0.0$	$0.7\pm0.0$
49.	Ni***	1,380	-	$2.4\pm0.1$	_
50.	Sesquithujene	1,421	$0.1\pm0.0$	Tr	Tr
51.	a-Santalene	1,428	$1.5\pm0.0$	$0.7\pm0.0$	$0.4\pm0.0$
52.	E-Caryophyllene	1,433	$0.3\pm0.0$	$1.0\pm0.0$	$3.8\pm 0.0$
53.	a-trans-Bergamotene	1,444	$0.3\pm0.0$	$0.1\pm0.0$	$0.1\pm0.0$
54.	a-26,33 Farnesene	1,461	$0.1\pm0.0$	$0.1\pm0.0$	$0.5\pm0.0$
55.	a-Humulene	1,474	$0.1\pm0.0$	$0.1\pm0.0$	$0.2\pm0.0$
56.	Germacrene D	1,502	$0.3\pm0.0$	$0.2\pm0.0$	$0.3\pm0.0$
57.	γ-Cadinene	1,530	$3.4\pm 0.1$	$0.4\pm0.0$	$0.2\pm0.0$
58.	trans-Calamene	1,537	$0.3\pm0.0$	$0.1\pm0.0$	Tr
59.	9,11-epoxy-Guaia-3,10(14)-diene	1,576	$0.7\pm0.0$	$0.1\pm0.0$	Tr
60.	Caryophyllene oxide	1,595	$7.2\pm0.2$	$4.5\pm0.0$	$1.6\pm0.0$
61.	Thujopsan-2-a-ol	1,601	$0.2\pm0.0$	Tr	Tr
62.	Guaiol	1,608	$0.2\pm0.0$	_	_
63.	1,10-di-epi-Cubenol	1,629	$1.7 \pm 0.0$	$0.2\pm0.0$	Tr

**TABLE 8.1** (Contrinued)

SI. No.	Compound	RI*	Leaves	Buds	Flowers
64.	epi-a-Cadinol	1,658	$17.8\pm0.5$	$2.3\pm0.1$	_
65.	a-Cadinol	1,665	$0.2\pm0.0$	Tr	Tr
66.	trans-Calanen-10-ol	1,673	$0.8\pm0.0$	$0.2\pm0.0$	-
67.	14-hydroxy-9-epi-(E)-Caryophyllene	1,690	$0.3\pm0.0$	$0.3\pm0.0$	$0.1\pm0.0$
68.	cis-14-nor-Muurol-5-en-4-one	1,708	$2.1\pm0.1$	$0.3\pm0.0$	-
69.	Guaia-3,10(14)-dien-11-ol	1,730	$0.5\pm0.0$	$0.1\pm0.0$	-
70.	Ni	1,757	$1.6\pm0.1$	$0.1\pm0.0$	tr
	Total (%)		99.91	99.78	99.49

**TABLE 8.1** (Contrinued)

\*RI – non-isothermal Kovats retention indices (from temperature-programming, using the definition of Van den Dool and Kratz [1963]) for a series of *n*-alkanes ( $C_6-C_{40}$ );

\*\*Tr-traces, contents below 0.05%;

\*\*\*Ni – unidentified compound.

TABLE 8.2	Chemical Composition of	of Volatile Oil of L.	officinalis, L.	angustifolia, and
L. lattifolia				

Sl. No.	Components	L.	L. officinalis		
		RI	%		
1.	Acetic acid	-	0.1		
2.	Octane	-	0.1		
3.	Cyclooctanone	-	1.1		
4.	α-Pinene	937	0.2		
5.	β-Myrecene	970	0.2		
6.	<i>p</i> -Cymene	1,005	4.4		
7.	Decane	_	0.1		
8.	1,8-Cineole	1,035	5.9		
9.	Linalool	1,080	14.3		
10.	t-3-Pinen-2-ol	1,085	1.6		
11.	Ipsenol	1,087	1.3		
12.	Tagetonol	1,138	0.4		
13.	Lavaadulol	1,156	10.0		
14.	α-Terpineoil	1,162	3.4		
15.	Dihydrocarveol	1,177	3.0		
16.	Geraniol	1,260	9.3		
17.	1-p-Menthen-8-yl acetate	1,285	1.8		
18.	Citronallic acid	1,301	0.3		
19.	α-Terpenyl acetate	1,342	1.1		

Sl. No.	Components	L. officinalis	
		RI	%
20.	Unknown	—	1.4
21.	C <sub>15</sub> H <sub>24</sub> *	—	0.7
22.	Caryophyllene	1,421	0.6
23.	Oleic acid	—	0.2
24.	$C_{20}H_{42}$	_	0.2
	L. angustifolia		
25.	Linalool	28.92	
26.	α-Terpineol	0.90	
27.	γ-Terpineol	_	
28.	Borneol	_	
29.	Isoborneol	—	
30.	Terpinen-4-ol	4.32	
31.	Nerol	0.20	
32.	Lavandulol	0.78	
33.	Linayly acetate	32.98	
34.	Geranyl acetate	0.60	
35.	Neryl acetate	0.32	
36.	Octene-3-yl-acetate	0.65	
37.	Lavandulyl acetate	4.52	
38.	Myrcene	0.46	
39.	α-Pinene	_	
40.	β-Pinene	_	
41.	Camphene	_	
42.	( <i>E</i> )-β-Ocimene	3.09	
43.	(Z)-β-Ocimene	4.44	
44.	β-Phellandrene	0.12	
45.	Eucalyptol (1,8-Cineol)	_	
46.	β-Caryophyllene	4.62	
47.	β-Farnesene	2.73	
48.	Germacrene	0.27	
49.	α-Humulene	_	
50.	Camphor	0.85	
51.	3-Octanone	0.72	
52.	Cryptone	0.35	
	L. lattifolia		
53.	Linalool	49.47	

**TABLE 8.2** (Contrinued)

Sl. No.	Components	L. officinalis		
		RI %		
54.	α-Terpineol	1.08		
55.	γ-Terpineol	0.09		
56.	Borneol	1.43		
57.	Isoborneol	0.82		
58.	Terpinen-4-ol	_		
59.	Nerol	_		
60.	Lavandulol	_		
61.	Linayly acetate	_		
62.	Geranyl acetate	_		
63.	Neryl acetate	_		
64.	Octene-3-yl-acetate	_		
65.	Lavandulyl acetate	_		
66.	Myrcene	0.41		
67.	α-Pinene	0.54		
68.	β-Pinene	0.33		
69.	Camphene	0.30		
70.	( <i>E</i> )-β-Ocimene	_		
71.	(Z)-β-Ocimene	_		
72.	β-Phellandrene	_		
73.	Eucalyptol (1,8-Cineol)	25.91		
74.	β-Caryophyllene	2.10		
75.	β-Farnesene	_		
76.	Germacrene	_		
77.	α-Humulene	0.28		
78.	Camphor	13.00		
79.	3-Octanone	0.72		
80.	Cryptone	0.35		

**TABLE 8.2** (Contrinued)

\*Partially identified.

Monoterpene Hydrocabons (3): 4.8% Oxygenated Monoterpenes (12): 52.4% Sesquiterpene Hydrocarbons (1): 0.6% Others (8): 3.9% Total (24): 61.7%

Actually, lavender essential oil consists of monoterpeneoids and sesquiterpeneoids while among them linalool and linayl acetate are dominant also contained average quantity of 1,8-cineole, terpinen-4-ol, camphor, lavandulol, and lavandulyl acetate. Latter compounds are generally found in medium to low quality of oils. The concentrations of all these compounds generally vary species to species (Shellie et al., 2002).

## 8.7 USES

The multifaceted use of lavender oil could be proved by its natural antibiotic, antiseptic, antispasmodic, sedative, and detoxifier properties. Wide range of aliments (may be burns, scales, cuts, bruises, skin irritations, etc.) could be healed using its oil. Nervine, diuretic, aromatic, antiseptic, sedative, carminative, cholagogie, antispasmodic, stimulative, stomachic, and tonic, anti-inflammatory, analgesic, anti-infectious, cardiotonic, hypotensive, and anticoagulant properties of lavender essential oil help to fight against several diseases. Along with boosting the immune system, it also causes the regeneration of wound cells. It can be also considered as mood tonic and antidepressant. It can effectively minimize the psychological shock of any major injury (Anonymous, 2001). Barring these, its use as muscle relaxant, soothing agent, skin conditioner. Astringent, headaches, and PMS symptoms reliever is well known. For physical and emotional calmness and also to have soothing effect, the fragrance of lavender oil is actually very much effective (Anonymous, 2001; Schweishmer, 1960). Linalyl acetate, linalool, other aroma components (β-ocimene, cin eol, and caryophyllene epoxide) and coumarin derivates (coumarin, dihydrocoumarine, herniarin, umbelliferone) are principally liable for its soothing fragrance which bring calming, relaxing, and balancing effect physically and emotionally both. This information could endorse its further uses in commercial food and cosmetic industry. Apart from its vast medicinal usages, it could be exploited too for industrial purposes, food, and cosmetic industry.

Apart from its oral usages, it is too used in aromatherapy. Basically, inhalation method is preferred while topical applications (like sirdhara treatment, ayurvedic spa and body massage) are also sometimes adopted. Use of undiluted form of lavender oil, the key merit of its use, is not harmful for the skin due to the chemical nature of bio-constituents which are easy-to-absorb as suggested by Jager et al. (1992). Few research findings exhibited that body massage using lavender essential oil caused great relax owing to instant absorption of linalool and linalyl acetate components. Since time immemorial, its dual usages, i.e., on one hand, as perfumes, soaps, flavorings, crafts, and as anticonvulsant, anti-depressive, anxiolytic, sedative, and calming agents, on the other hand. Lavender too recommended by few medieval physicians (such as Ebn-e-sina and Razi) to treat epilepsy and migraine attacks (Koulivand et al., 2012). Furthermore, it can be also useful for pain and tremor. According to Koulivand et al. (2012) lavender could be successfully used to heal some neurological disorders. Now-a-days, more advanced research investigations using improved scientific tools are now taking place to extract the utmost benefit of this wonder aromatic crop.

#### 8.7.1 CULINARY USE

'English lavender' (L. angustifolia 'Munstead') is familiar as 'Culinary Lavender' popularly used for preparing several cuisines. Its importance is especially for the mesmerizing fragrance and citrus taste (Retrieved from: https://whatscookingamerica.net/Lavender.htm). It is consumed in diversified forms like as a raw salad ingredient and sometimes for top dressings and desserts preparation (Kains, 1912), etc. Floral buds and green leaves often used for aromatic tea preparation while honey bees pollinated buds become an imperative ingredient of mono-floral honey. Since Victorian era (around 1600s) preserved lavender in 'jam' and 'jelly' form was used in England. Apart from this, it is also highly acclaimed as herbal teas. Interestingly, in Southern France usages of lavender was quite restricted based on a myth that during 20th century French lambs were intentionally allowed to graze on lavender field to make their meat more fragrant and tender. 'Herbes de Provence' - nothing but a blend of herb was introduced in French market during 1970s by spice wholesalers while North Americans used culinary lavenders as spice blend (Laget, 2005).

#### 8.7.2 USE OF BUDS

Dried buds of lavender often used as cooking ingredients, but green, juvenile leaves are used more frequently owing to its subtle flavor as compared to rosemary. Appropriate processing (such as optimum drying of flowers) of lavender blooms are essential before its incorporation in any cuisines to avoid heavy and soapy taste. To bring intense sweet and savory flavors in sheep's-milk and goat's-milk cheeses, lavender buds become the first choice. It is useful as blending ingredient with black, green, and herbal teas while occasionally it goes very well for flavored chocolates. In baking industry, flavored sugar syrup (lavender buds are immersed in sugar syrup for 2 weeks to make it fragrant) seems imperative. Its use has been also stretched in wine industry. Scones and marshmallows become incomplete without lavender syrup and dried lavender buds in United States (Stradley, 2015; Maclain, 2015).

# 8.7.3 USE OF GREENS

For flavoring meat and vegetables in savory dishes, lavender is more preferred, in fact, it has surpassed rosemary for having its equivalent flavor. They often been used for tea preparation, usually contain milder aroma as compared to bud flavored tea (Retrieved from: https://livingonagreenthumb. wordpress.com/2015/10/07/lavender-12-uses-beyond-potpourri).

# 8.7.4 INDUSTRIAL USES

Lavender has secured its commercial importance since ancient period. Its uses in soap industry and perfumery (such as dried arrangements, filler materials, incense sachets, potpourri, 'eau de cologne,' candles, wands, flower bundles, wreaths, wall hangings, etc.) is indescribable. Its multifaceted uses like as washing agents, mosquito repellents, essential toiletries (soap, shampoo, bath oil, lotion, bath salt), incense sticks, etc., are really fascinating. In fact, its fresh leaves could be successfully used for pest control. As organic pesticide, mixture of 2% Rosemary oil and lavender EO could be also used.

# 8.7.5 COSMETIC USES

Sedative action and dermatologically friendly nature of lavender oil broaden its application in various sectors including cosmetic industry. Lavender body creams can prevent scar of tissues being maintained skin moisture level.

# 8.7.6 PHARMACEUTICAL AND THERAPEUTIC USES

Use of lavender as an herbal remedy is well-known. It is used significantly to treat bites, vaginal discharge, anal fissure, scalds, nurns, and sunburns. It is mostly used externally especially on temple region to heal nervous headache than the internal application although having carminative and vervine properties. Lavender extracts are also useful to treat various bacterial ailments like typhoid, diphtheria, *Streptococcus*, and *Pneumococcus* while it's also an effective antidote to some snake venoms. Lavender EO is a vital ingredient in aromatherapy. Due to having therapeutic properties, greens are often used in bath water to make it fragrant.

# 8.7.7 FOOD AND FLAVORING

Exclusively, in bakery industry its importance is indefinable. It is used as food flavoring agents such as ice cream, culinary herb blends, cookies, lavender tea, salt, honey, and jelly. It can emit pleasant fragrance as well as can restrict insects if used as strewing herb.

# 8.7.8 OTHER USES

In dry flower industry its importance is high. Desiccated pale purple colored flower buds are used mostly in potpourris. Instead of using any commercial chemical insect and pest control agents, sachet sealed dried lavender flowers could be used as a viable alternative specially to make linens fragrant and to deter moths. To make wedding confetti, scented waters and sachets lavender found essential.

## 8.7.9 ADVERSE EFFECTS

Along with its all-positive health effects, it had found that some health abnormalities like breast development (prepubertal gynecomastia) especially in boys of adolescent period due to the use (both externally and internally) of lavender oil could also take place (Henley et al., 2007). Sedative effect (inhalation application) and allergic rashes (topical application) may cause rarely due to presence of linalyl acetate, linalool, camphor, and terpinen-4-ol as major lavender essential oil constituents (Sarkic and Stappen, 2018; Elshafie & Camele, 2017). Unfortunately, in Australia many adverse results most importantly poisoning incidents among children are evident recently. Findings of Lee et al. (2019) revealed that inappropriate percentage of lavender EO could be poisonous also. Authors mentioned that based on the report of New South Wales during 2014–2018, 271 death cases due to health poisoning after using lavender EO were also found.

## 8.8 BOTANY AND TAXONOMY

During Roman era, 3 species of lavender namely L. stoechas, L. pedunculata and L. dentata were popular while during early of Middle Ages, few European species had started to proliferate. Those European species were classified into 2 genera viz. Lavandula (L. latifolia and L. spica) and Stoechas (L. pedunculata, L. dentata and L. stoechas). In 'Species Plantarum,' the names of other 5 European species (L. dentata and L. multifida [from Spain]; L. spica, L. stoechas and L. pedunculata [from Southern Europe]) had been found (Linnaeus, 1753). L. carnosa and L. pinnata were identified during 1,790 but the former one was repositioned to Anisochilus sp. According to Lis-Balchin (2002), lavender species (approx. 18) registered by Frédéric Charles Jean Gingins de la Sarraz in 1848, out of which 12 were already known during 1848. During 1937, a new modern lavender classification was introduced by Dorothy Chaytor where 28 species under 6 sections were included. Spica, Stoechas, Pterostoechus, Chaetostachys, Subnudae, and Dentatae were the respective sections she incorporated. All commercial and cultivable species were added under Stoechas (L. dentata, L. stoechas, L. viridis, and L. pedunculata) and Spica (L. officinalis, L. latifolia and L. lanata) sections. Chaytor (1937) reported that L. angustifolia (True Lavender) and L. latifolia (Spike Lavender) are the parents of lavender garden varieties. Two major clades are found in its classification, i.e., subgenus lavendula and Fabricia while all sections are under the former subgenus and under the latter subgenus Pterostoechas, Subnudae, and Chaetostachys are included.

## 8.8.1 TAXONOMIC STATUS

According to the classification of Upson & Andrews (2004), Lavender is classified into three key sub-genus, i.e., I. Subgenus *Lavendula* Upson and Andrews II. Subgenus *Fabricia* (Adams.) Upson & S. Andrews and III. Subgenus *Sabaudia* (Bascal. & Muschl) Upson and Andrews.

## 8.8.1.1 SUBGENUS LAVENDULA UPSON AND ANDREW

Under this subgenus, *Lavendula* contains three species namely *L. angusti-folia* Mill, *L. latifolia* Medik and *L. lanata* Boiss and two hybrids namely *L. angustifolia* sub-sp. *angustifolia*  $\times$  *L. lanata*,  $L \times$  *Chaytorae* Upson and Andrews and *L. angustifolia* sub-sp. *angustifolia*  $\times$  *L. latifolia*, *Lavendula* 

× intermedia Emric ex Loisel. L. angustifolia sub-sp. angustifolia were identified first from Catalonia and the Pyrenees while L. angustifolia sub-sp. Pyrenaica from South East France and adjacent areas of Italy. And this L. latifolia was native to Central and Eastern Spain, Southern France and Northern Italy and Southern Spain was known as the native of L. lanata. And this section under the same sub-genus is Dentatae suarex-Cerv. & Seoane-Camba which contains any 1 sp., i.e., L. dentata L. This species is native to Eastern Spain, Northern Algeria and South Western Morocco. Two Varieties of L. dentata have been identified, i.e., L. dentata Var Dentata (Batt) & L. dentata Var candicans (Batt). Stoechas Ging is another section under the currently discussed subgenus. It contains 3 Species namely L. stoechas L., L. Pedunculata and L. viridis L., L. stoches sub-sp. Stoechas is native to Eastern Spain, Southern France, Western Italy, Greece, Bulgaria, Mediterranean Turkey, Levantine Coast and most of the Mediterranean island. One more sub-sp. under L. stoechas had been identified, i.e., L. stoechas sub. sp. luisieri which was native to coastal and inland Portugal and adjacent Spain. L. pedunculata Mill (Cav.) contains 5 sub-sp. namely L. pedunculata sub-sp. pedunculata (native to Spain and Portugal), sub-sp. Cariensis (native to Western Turkey and Southern Bulgaria), sub-sp. atlantica (native to Montane Morocco), sub-sp. lusitanica (native to Southern Portugal and South Western Spain), sub-sp. Sampiana (native to Portugal and South west Spain). One more species L. viridis L'Her. was originated from South West Spain, Southern Portugal and also from Madeira. Barring these three species, three Intersectional Hybrids (Dentatae and Lavendula) are found to be present like L. × heterophylla Viv. (L. dentata × L. latifolia), L. allardii and L. ginginsii Upson and Andrews (L. dentata × L. lanata).

## 8.8.1.2 SUBGENUS FABRICA (ADAMS.) UPSON & S. ANDREWS

Under this subgenus 4 sections had identified which are section *Pterostoechas* Ging, Section *Subnudae* Chaytor, section *Chaetostachys* Benth. and section *Hasikenses* Upson and Andrews. Under section *Pterostoechas* 16 species reside like *L. multifida* (from wide Range Like Morocco, Southern Portugal, Spain, Northern Algeria, Tunisia, Tripolitania, Calabria, Sicily, and in Nile valley), *L. canariensis* (native to Canaries), *L. minutolii* Bolle. (From canary Isles), *L. bramwellii* Upson and Andrews (from Gran canaria), *L. pinnata* L. (from the canaries and maderia), *L. buchii* Webb. and Berthef (from Tenirife), *L. rotundifolia* Benth. (from Cape Verde islands), *L. maroccana* Murb. (from Atlas Mountains of Morocco), *L. tenuisecta* coss.ex Ball (from

Atlas Mountains in morocco), *L. rejdalii* Upson and Jury (from Morocco), *L. mairei* Humbert (from Morocco), *L. coronopifolia* Poir. (from North Africa, Northeast of tropical Africa, Arabia, and Eastern Iran), *L. saharica* Upson & Jury (native to Southern Algeria and nearby regions), *L. antinaea* Maire (native to Central Sahara region), *L. pubescens* Decne (from Egypt and Eritrea, Sinai, Israel, and Palestine, Jordan, western Arabian Peninsula to Yemen) and *L. Citriodora* A.G. Mill (from Southwestern Arabian Peninsula).

L. canariensis possesses 7 sub-sp. namely subsp. palmensis (from La Palma), subsp. hierrensis (from El Hierro), subsp. canariensis (from Tenerife), subsp. Canariae (from Gran Canaria), subsp. fuerteventurae (from Fuerteventura), subsp. gomerensis (from La Gomera), subsp. lancerottensis (from Lanzarote), while L. minutolii contains two sub-sp., i.e., subsp. minutolii and subsp. tenuipinna. L. antineae Maire also possesses 3 sub-sp. namely subsp. antinae, subsp. marrana, subsp. tibestica.

Under there Pterostoechas section, hybrid has also been identified L. × *christiana* Gattef. and Maire (*L. pinnata* × *L. canariensis*).

Under section Subnudae, 10 species reside such as *L. subnuda* Benth. (from mountains of Oman and UAE), *L. macra* Baker (from Southern Arabian Peninsula and Northern Somalia), *L. dhofarensis* A.G. Mill. (native to Dhofar in southern Oman), *L. samhanensis* Upson & S. Andrews (from Dhofar, Oman), *L. setifera* T. Anderson (from coastal regions of Yemen and Somalia), *L. qishnensis* Upson & S. Andrews (from Southern Yemen), *L. nimmoi* Benth. (from Socotra), *L. galgalloensis* A.G. Mill. (from Northern Somalia), *L. aristibracteata* A.G. Mill. (Northern Somalia), and *L. somaliensis* Chaytor (from Northern Somalia). Among these 10 species only 1 species, i.e., *L. dhofarensis* contains 2 subsp. namely subsp. *dhofarensis* and subsp. *Ayunensis*.

Under section *Chaetostachys* Benth. Only 2 species have been identified which are *L. bipinnata* (Roth) Kuntze (from Deccan peninsula and Central North India) and *L. gibsonii* J. Graham (native to Western Ghats, India).

Under section *Hasikenses* Upson & S. Andrews also 2 species have been found, i.e., *L. hasikensis* A.G. Mill. (Native to Oman) and *L. sublepidota* Rech. f. (from Southern Iran).

# 8.8.1.3 SUBGENUS SABAUDIA (BUSCAL. & MUSCHL.) UPSON & S. ANDREWS

Under this subgenus only one section has been found, i.e., Section Sabaudia which contains only 2 species namely *L. atriplicifolia* Benth. (Native to

Western Arabian Peninsula, Egypt) and *L. erythraeae* (Chiov.) Cufod. (Native to Eritrea).

## 8.8.2 HARDY LAVENDERS

English Lavender (L. angustifolia) synonymously known as L. vera, L. latifolia, L. officinalis, L. spica, L. delphinensis is commonly available lavender species. They usually attain the height of 1–3 ft. Morphologically, these are the narrow-leafed type (ovate in shape) having irregular, multi-branched, erect, slender stem enveloped with yellowish-grey bark (flakes type). Branches are often slender, bluntly quadrangular, finely pubescent with stellate hairs. Taxonomically, leaves are lance-shaped with entire margin, sessile, blunt ended with opposite phyllotaxy. Juvenile leaves are white and densely stellate on both dorsal and ventral surfaces with strongly revolute margins, but dark green colored full-grown leaves (usually 2.5 cm long) possess different taxonomical features. The dorsal surface of leaf is enveloped by scattered hairs while ventral surface with smooth, fine hairs with slightly revolute margins. They have spike inflorescences which produce 6-10 whorls of 1.5 inches long flowers. The flowers are short stalked found in cluster (3-5) in the axils of rhomboidal, brown, thin, and dry bracts. Leafy, small bracts are arranged in opposite aestivation below each whorl. Tucker & Hensen (1985) suggested that based on the features of bracts lavenders could be classified. As for example, leaves of L. angustifolia are ovate rhombic (length: width 0.83: 2.20), bracteoles absent, calyx purple-grey in color, tubular, and ribbed (13 prominent veins), 5-toothed, pubescent, and sleek surface for having oil glands chief source of oil for extraction, corolla bi-lipped with bluish-violet hue. Flowering takes place during mid to late June to early July.

Popular 'English Lavender' cultivars are 'White Dwarf Nana Alba' (white), 'Rosea,' 'Jean Davis,' and 'Lodden Pink' (pink), 'Twickle Purple,' 'Dwarf Blue,' 'Hidcote,' 'Royal Purple,' 'Loddon Blue,' 'Middachten Nana Atropurperea,' 'Mitcham Cray,' 'Munstead,' and 'Summerland Supreme' (purple), 'Backhouse Purple,' 'Bowles Early,' 'Compacta,' 'Folgate,' 'Graves,' 'Gray Lady,' 'Gwendolyn Anley,' 'Irene Doyle,' and 'Maillette' (purple-blue). 'Irene Doyle' cultivar flowers twice in a year (Tucker & DeBaggio, 1984) and most commercially acclaimed for excellent fragrance and high concentrations of essential oil. It was the first identified recurrent blooming lavender. 'Susan Belsinger' (common name – 'Dark supreme lavender') is example of another recurrent bloomer variety. A popular variety of lavender namely 'Lady' (Late Ted Torrey developed it) possesses unique attributes such as quick germination (within 14–28 days; 78% germination rate) and blooms in the first year.

Lavandin, botanically known as *L. x intermedia*, synonymously *L. hybrida* (*L. angustifolia* [broad leaf type] *x L. latifolia* [short leaf type]). It is a sterile hybrid usually propagated vegetatively. Bracts are ovate-rhombic in shape (width: length 1.33:3) and bracteoles are 1–4 mm long, hints some similarity with English lavender. Plant height (3 ft.) and leaf size duo are larger in lavandin than English lavender while lavandin blooms 1 month later than English lavender. Lavandin contains significantly higher concentrations of essential oil which influence commercial growers for selection. Commercial lavandin cultivars are 'Grosso,' 'Abrialii,' 'Super,' 'Standard,' 'Maime Epis,' 'Dutch,' 'Grappenhall,' 'Hidcote Giant,' 'Old English,' 'Provence,' 'Seal,' and 'Silver Gray' (McCoy, 1999).

#### 8.8.3 TENDER LAVENDERS

'Spike Lavender' known as L. latifolia comes under this category due to its non-hardy nature. It is native of Mediterranean region. It is one of the species used to develop lavandin hybrid and also grown as source of essential oils. It usually attains the height of 3 feet. 'Wooly lavender,' a variety of L. lanata is used exclusively for potpourri as it has balsam lavender fragrance. It has lilac-colored spikes usually flowers during midsummer. L. heterophylla, another tender lavender grows up to height of 4 feet in height and appropriate for container growing. Characteristically, it has irregular shaped, toothed margin, grey-green foliage. 'French lavender' or 'Fringed lavender' (Lavendula dentata) grows commonly in warmer part of Spain. It is treated as an ornamental annual. It has its linear-oblong shaped (1.5 inches long), rounded teethed margin leaves with pubescent texture. It generally attains the height of around 3 feet. It has spike inflorescences (1.34-inches long and 0.5 inch in diameter). Bracts are dark purple, oblong to oval-shaped (1/2 inch in length and 1/4 inch wide) hold purple-colored flowers. It possesses rosemary-scented flowers which promote its use for potpourri production. L. stoechas, 'Spanish Lavender,' is another one grows up to 4 feet tall. It has linear to oblong, lance shaped leaves (3/4 inch in diameter), dark purple elegant flowers used in dry flower industry. They are quite tolerant in acidic soils than other lavender varieties but tender in nature L. pinnata, L. canariensis, and L. multifida (fern-leaf lavender) have some distinguishing characteristics like lacy, finely divided fern-like leaves and solitary spikes in threes (trident form). They are

well-adapted for container growing especially used in U.S. *L. canariensis,* an annual, characterized for its feathery foliage, spike inflorescence, deepblue hue and turpentine scent. They are the inhabitant of Canary Islands. *L.* x *allardii*, or 'Giant Lavender' is a tender hybrid (*L. dentata* x *L. latifolia*) usually 5 feet tall, spreads around 4 feet, have characteristic scalloped leaves and violet-purple colored flower heads. Another hybrid is *L. sawyers* (*L. angustifolia* x *L. lanata*) which is a half-hardy type with grey hued foliage, blooms hue ranges from lavender-blue to deep purple commonly used for crafting and potpourri (McCoy, 1999).

#### 8.9 CULTIVATION

L. officinalis, synonymously known as L. angustifolia (English Lavender), is the most common cultivable species of lavender albeit diversified lavender cultivars are found in significant number. L. dentata, L. stoechas and L. multifida (Egyptian Lavender) - these are other commonly grown lavender species. According to Seidler-àolykowska et al. (2009), to produce high quality raw material and to facilitate the crop rotation, adoption of organic farming is quite vital. In fact, the demand of organically produced lavender flowers is increasing day by day in cosmetic industry. To meet the burgeoning demand of lavender raw materials for pharmaceutical and cosmetic industries, the quality production in terms of high concentration of active ingredients, high yield, pathogens, pesticides, and heavy metals free materials are quite vital. Seidler-àolykowska et al. (2014) evaluated the efficacy of 'Organic' and 'Conventional' mode cultivation of lavender with the aim to find the impact of both mode of cultivation on fresh and dried flower yields, quality, yield, and purity of seeds, wright of 1,000 kernels, essential oil content percentage and its composition and microbial contamination. Definitely, they welcomed the produce of organic origin. Another field experiment on the influence of natural (organic) method of cultivation on the quality yield of lavender flowers had been conducted in Poland during 2008–2010. It is evident that organic method is significantly better than the traditional method. Seidler-àolykowska et al. (2014) also reported that one of the vital attributes of lavender blooms is the essential oil yield which is not at all affected by localization rather the mode of cultivation affect greatly. Investigators confirmed that organically produced flowers yield essential oil and number of seeds at more satisfactory level.

## 8.9.1 CLIMATIC REQUIREMENTS

'English Lavender' is basically a hardy crop. It basically grows in the range of 700-1,000 m above sea level but *L. angustifolia* grows sometimes >1,700 m above sea level while *L. latifolia* prefers lower regions. At the height of 700-1,000 m above the sea level, lavandin can grow satisfactorily. It can tolerate moderate frost to drought while 'Spike lavender' can't withstand frost. Their sensitivity towards high humidity is quite common. In general, high temperature conditions pose negative impact on essential oil quality as cooler conditions are preferable for getting quality oil content (Anon, 2009). Actually, varietal differences are the root cause of different climatic requirements.

## 8.9.2 RAINFALL

It needs an annual average rainfall range from 300 to 1,400 mm. Excessive irrigations are discouraged. Dry spell is required between irrigational routines.

## 8.9.3 SOIL REQUIREMENTS

Sandy to sandy-loam soil or gravelly soil with good drainage capacity and pH ranges from 5.8–8.3 found appropriate for lavender cultivation. It requires full sun condition. Low-fertile soils are unsuitable (Anon, 2009). High moisture level in soils results in poor plant growth and causes diseases. Specifically, 'English lavenders' prefer alkaline soils while in contrast lavandin requires acidic soils.

## 8.9.4 SOIL PREPARATION

Natural soil condition is most preferred to develop healthy lavender plants since soil conditions affect the oil qualitative and quantitatively. Soil analysis before site selection should be made, so that, proper soil amendments could be added. Balanced mineral status and correct pH are the key to successful cultivation. Mineral deficiencies or its excess quantity, organic status and carbon ratios affect the growth of lavender plants. Organic matter content (OMC), soil texture, structure, and type, nutrient, and mineral status are integrally related with each other for having optimum plant growth. Appropriate monitoring of soil health status is quite vital. Good topsoil is another essential requirement for lavender cultivation since it contains high humus content, balanced minerals and plant nutrients. Conclusively, optimum soil status can only cause optimum lavender plant growth.

# 8.9.5 PLANTING

# 8.9.5.1 SLOPE

On a sloppy land, lavender cultivation could be carried out. It has found that North or North West facing slope are better for harvesting quality produce due to receiving maximum sunlight.

# 8.9.6 PLANTING DENSITY/SPACING

Generally, row-to-row spacing of 1.2–2.0 m and plant-to-plant spacing of 30–60 cm is recommended for lavender cultivation. It results in planting density of 8,000 to 28,000 plants per hectare (Anon, 2009). Several factors like soil moisture content, type of variety, cultivation, and harvesting strategies also affect spacing between plants. High density planting involves higher input cost but causes high yield too consequently provide high profit. Longevity of lavender plants could be as long as 10 or 15 years, if managed appropriately.

# 8.9.7 PLANTING DATE

Autumn and spring, these 2 seasons are appropriate for lavender plantation. Former planting season is best where climate is mild since lavender prefers winter season. There planting should be started immediately after completion of frost condition.

# 8.9.8 FERTILIZATION

Lavender cultivation could be adopted in nutrition deficit soils. Excess  $N_2$  content in soil can impair oil quality as well as make plants very lanky and

enhance weed competition. Polydeonny et al. (1979) recommended 0.8 kg of nitrogen, 0.2 kg of phosphorus and 0.8 kg of potassium for the production of 100 kg of inflorescence. The  $N_2$  dose should be applied in 3 to 4 split doses throughout the growing season. Phosphorus and potassium requirements are low but may vary according to soil type and nutritional status. To maintain soil pH line could be added.

# 8.9.9 IRRIGATION

Irrigation during the first 2 years is necessary; otherwise, for lavender, moderate irrigations are fine unless they suffer from excessively low rainfall. Irrigation routine should be critically maintained during blooming seasons. Overhead irrigation is discouraged as it may increase the chances of disease infestations, that's why drip irrigation is preferred most. It may also control weed growth effectively. Irrigation may increase production in mature plantings.

# 8.9.10 WEED CONTROL

Weeding is an essential operation for lavender cultivation. Around 2–3 weeding sessions annually are required to make the land weed free. Handhoeing and mechanical weeding with a tractor-drawn cultivator is highly recommended to control of weeds without any root damage. Mulching could be also another effective measure. On one hand, it restricts weed growth and retain soil moisture content for longer period, on the other hand. Drip irrigation is encouraged in this regard. Distillation waste in compost form is quite useful as organic mulching agent. Cover-cropping practices are also advised while mulching with compost or grass will inhibit weed growth. But thick mulching may cause stem rot due to excess retention of moisture, so, sufficient should be taken. As of now, any information regarding application of herbicides has not been found.

# 8.9.11 OTHER CULTIVATION PRACTICES

Pruning, is an essential operation which involves removal of apical portion of plant shoots to promote emergence of multiple flowering shoots. It also develops a sturdy plant framework. For first 2 years pruning is quite vital but 2 years onwards it should be made separately in autumn after harvesting because pruned plants usually allowed to retain 2–3 leaf bearing nodes for vigorous growth resumption. But degree of pruning (heavy or low) usually depends on type of cultivars. For quick and slow growing cultivars heavy and light pruning should be adopted, respectively. Specialized trimmers are used for lavender pruning. Development of windbreaks as a protective strategy could be adopted to protect lavenders from winter injury as they are susceptible to freezing injury. During harvesting, flowers and leaves are plucked separately for oil extraction purposes.

#### 8.9.12 LAVENDER IMPROVEMENT AND VARIETY CULTIVATION

In France, Russia, Ukraine, and Moldova diversified augmentation measures for having increased lavender plantations and essential oil production has been adopted. Not only that, hi-tech breeding technologies have also been developed to improve wide array of lavender characteristics counting flower yield, essential oil content's quality and quantity, content of linalyl acetate, cold tolerance, etc. Earlier lavender improvement programs involved chiefly testing, selection, and mode of propagation of superior seed-derived plants but later advanced hybridization techniques, heterosis breeding, polyploidy breeding and mutagenesis (using chemical mutagens and ionization radiation) were also adopted as effective improvement measures (Singh et al., 1989; Louzina, 1968; Romanenko, 1973).

Since 1950s, several lavender improvement strategies had been adopted in Bulgaria (Dimitrova, 1959). Currently, IRAP (Institute of Roses and Aromatic Plants) in Kazanlak, Bulgaria has introduced some more advanced technologies quite effective for further lavender breeding program. Clonal selection, intraspecific hybridization, gamma-irradiation, and chemical mutagenesis had resulted in the development of >10 highly productive lavender varieties (Dimitrova, 1959; Stanev, 2007, 2010; Topalov, 1962, 1969; Staikov & Boyadzhieva, 1989; Raev & Boyadzhieva, 1988). 'Hemus,' 'Druzhba,' 'Karlovo,' 'Sevtopolis,' 'Yubileina,' 'Raya' and 'Hebar' are key lavender cultivars used commercially in Bulgaria currently. Among these varieties, the total cultivation areas under 'Hemus' and 'Sevtopolist' varieties have found more due to their promising essential oil quality attributes.

As compared to the sexually (using seeds) and asexually (by cuttings) propagated lavender populations, selected accessions of lavender are more preferred for commercial propagation since rate of flowering and yield

percentage of essential oils both are manifold times higher. According to Stanev et al. (2016) the essential oil yield percentage is two to threefold times higher in case of seed propagated populations than vegetative propagated one. Around 30% increase in flower yield also found in seed propagated plants. The relative content of all chemical constituents also lies within optimum range. Due to cultivation of many promising selected varieties, the production rate of blended essential oils is also high in Bulgaria. From the above discussion, merits of growing locally available superior lavender varieties commercially are quite lucid.

Supreme quality and high adaptability to adverse climatic condition of Bulgarian lavender varieties facilitate their spreading in neighboring countries. As for example, in Romania the promising Bulgarian variety 'Karlovo' is predominantly cultivated since 1998 (Pohrib & Nistor, 2012). Apart from that in Turkey several other Bulgarian lavender varieties are also cultivated. According to Baser et al. (2005) the varieties 'Druzhba' and 'Hemus' cultivated in Turkey had shown high enantiomeric purity of linalool and linalyl acetate. A study made by Kara & Baydar (2013) revealed that in Isparta region of Turkey, Bulgarian 'Raya' and 'Vera' varieties had performed very well especially regarding their upliftment of qualitative and quantitative attributes. 'Hemus' and 'Raya' both varieties are highly tolerant to uncongenial climatic conditions (Stanev, 2010). CIMAP Regional Center, Kashmir, India, introduced in 1978 the cultivation of Bulgarian var. 'Karlovo' for cultivation and further breeding improvement (Singh et al., 1989).

# 8.9.13 STRATEGIES AND CONSTRAINTS OF LAVENDER CULTIVATION UNDER BULGARIAN CONDITION

From the beginning, Bulgaria ranked top for Lavender cultivation, especially during the 21<sup>st</sup> century. The significant increase in its cultivation is really noteworthy. Many new, advanced cultivation management and post-harvest practices have been introduced but effective implementation of those to keep lavender production in sustainable manner. Hence, sustainable production can only maintain the status of Bulgaria as world's top lavender producer and also supplier of supreme quality essential oil. Hence, some specialized strategies and their consequences followed during 21<sup>st</sup> century in Bulgaria have enumerated below.

Owing to complete mechanization system of lavender cultivation and processing the steady production of lavender is evident. The huge enhancement

of total area of cultivation and extended lavender plantations coupled with various machinery agricultural practices (for example harvesting, tilling, etc.) and enhanced demand. Further improvement in plant architecture (like erect plant posture and solid flower pedicel) for easy mechanized tillage and harvesting is need of the hour. These features will cause least damages to the plants during mechanized harvesting.

The burgeoning popularity due to high quality of produce and wide range of adaptability to local weather conditions are the main rationale of expansion of areas under lavender cultivation. In Bulgaria, lavender has also been cultivated at outskirts beyond the conventional growing areas but still it also has some limitations regarding its adaptability. Due to varied soil and climatic conditions (that means nonoptimal conditions) sometimes the quality of extracted lavender oil may got deteriorated. This period considered as 'Bad' years. So, species/variety specific conditions are vital indeed. Further improvement of existing gene pool, selection of superior breeding lines and best performed varieties and successive stability testing in terms of essential traits (for example EO and flower yielding capacity and its quality, growth parameters, etc.) – could be considered as further directions for further improvement of lavender cultivation (Stanev, 2010).

Enhanced flower and essential oil yield are two key tasks for lavender producers. To keep pace with increasing demand, development of high oil yielding varieties through genetic modifications is essential but that needs continuous pursue of cutting-edge research. Complex genetic background of lavender has made this work quite tough. Further research on genes and alleles associated with biosynthesis oil compounds and marker assisted selection (MAS) of superior lavender varieties are only ways to fulfil commercial demands. Not only new variety development, essential infrastructure for optimum preservation of essential oils is also important.

Barring the aforementioned aspects, suitable oil extraction procedures should be also adopted. Generally, steam distillation methods are used in Bulgaria for oil extraction but due to increased consumer interest and demand during last decade, 'Solvent' or 'Supercritical  $CO_2$  extractions' methods are far more appropriate. To adopt such modern extraction technologies, improved, new high oil yielding varieties are also needed. Hence, it can be speculated that to manufacture new, innovative value-added products, new varieties and advanced oil extraction techniques should be introduced which may augment the market demand throughout the world.

Use of quality and certified planting materials are essential measures towards lavender improvement. Use of homogenous, seed, and vegetative propagated lavender varieties for reestablishment of lavender plantations could be made. It can significantly increase flower and essential oil yields duo. A paradigm shifts in Bulgarian agriculture system have effectively changed the production and supply systems. Rather than free market offering system of planting materials made earlier by marginal farmers now changed into centralized production and distribution system by IRAP. But this new system is putting question mark on maintenance of existing lavender gene pools and also preservation of last century production models used to produce prebasic, basic, and standard propagation materials. According to Stanev et al. (2016) in Bulgaria there is no well-established system on quality checking of lavender planting materials which may cause the distribution of either mixed varieties or inferior quality seed derived planting materials. Hence, certified asexually propagated planting materials and quality check services at reasonable price rate can merely handle the increased demand.

## 8.9.14 PROPAGATION

- 1. Seeds: Sexual (seed) and asexual (vegetative) propagation both are suitable for lavender but considering the merits and demerits, sexual propagation should be discouraged in lavender due to the slow growth rate and sporadic germination rate. Generally, lavender seeds are planted during late spring or early of summer (30–36 inches apart row-to-row). Usually, after germination 6 months are needed to attain transplantation size. Hence, vegetative propagation using stem cuttings, layering, and division of roots for lavender found most appropriate. Variation at intra-specific level, inconstant growth habit, color, essential oil composition and staggered maturity often noticed in seed propagated varieties due to overlapping of traits. These above stated problems are usually not evident in case of asexual propagation, furthermore, it is highly suggested to retain desirable features.
- 2. Cuttings: Use of stem tip cuttings for lavender propagation is quite common. Owing to their non-winter hardiness and poor rooting ability cautious selection of cultivars are essential. According to McCoy (1990), disease free stem tip cuttings of 4 inches length could be used as propagating materials, but nipping of buds and stripping off leaves from bottom of cuttings are essential operations to mitigate the transpiration water loss. A soil medium containing

perlite and peat moss, each 1 part found suitable for cuttings growth. The size of plastic pro-trays may be  $11 \times 21 \times 2.5$ -inches can hold 100–300 cuttings. Bottom heat (75–80°F) coupled with light nutrition can enhance the rooting from lavender tip while long day condition (12–14 hrs./day) and proper ventilation can improve rate of germination. These conditions seemed conducive to produce marketable plants within 2 months.

- 3. Layering: Simple layering technique can be a viable mode of propagation for lavender although sprouting time and number of regenerants plant<sup>-1</sup> are quite less in this method. It's the appropriate technique for woody herbs. So, the plants having long, healthy flexible stems are only suitable to propagate by layering. In case of ground layering, the trailing branch is bend down at the soil surface level after removing foliage(s) for easy rooting while for air layering peeling off of 1–2 inches of bark of the selected branch followed by scrapping of exposed cambium portion and covering up with moist soil or a sphagnum moss and perlite combination. The rooting portions should be kept moist to get optimum rooting (Oliver, 1996). After rooting, the regenerants can be detached from mother plant.
- 4. Tissue Culture: *In vitro* or micropropagation is one of the feasible ways for mass production of the target plant. Adopting this technique, lavender varieties can be developed within short span from hybrid stock plants. It is the most effective mode to produce genetically identical plantlets, but its use is limited for high expenditure especially marginal farmers generally avoid this technique for its high cost, specialized laboratory infrastructure and skilled manpower.
- 5. Field Culture: South facing raised beds with fertile, well-drained soil having pH between 6.4 and 8.3 is most suitable field condition for lavender culture (McCoy, 1999). Hybrid soil composed of compost or peat moss will cause good growth while cover crop as a source of organic nutrition or manure could be also grown in lavender field. Optimum P-P distance is 1 feet and R-R is 2 feet. Optionally, another spacing method where spacing of 18 inches are provided P-P during 1<sup>st</sup> year of growth followed by removal of every other plant result in 36 inches gap between P-P in next consecutive year could be also adopted to get maximum flower and essential oil yield. Uprooted plants can be replanted in new rows. Ideal pruning time for lavender is mid-April. Removal of 1/3 to 1/2 of the stem length

of fully established plant could be adopted for boosting growth from plant base. Mulching during frost is highly recommended but heavy organic mulching materials like sawdust, wood chips, etc., should be avoided to restrict fungal and insect infestations. Proper spacing (approximately 2–3 feet), pruning or trimming operations are essential to protect plants from some fungal infections. For commercial lavender species plantations *viz*. English lavender (*L. angustifolia*), Lavandin (*L. x intermedia*) and spike lavender (*L. spica* or *L. latifolia*) sufficient spacing between rows for tractor access should be given. Dead and dried plants should be uprooted from the main field.

## 8.9.15 HARVESTING

Generally, hand picking method of harvesting is followed in case of lavender in India but in other foreign countries (especially in Bulgaria) specially designed machineries are employed for getting utmost efficacy. Harvesting time usually varies according to the purpose of utilizations of harvested materials. When lavender flowers re harvested for essential oil extraction then blooms are harvested at half-opened condition while dried and full opened blooms are used to sell as flowers bundles or dried buds at local outlet.

English lavender (*L. angustifolia*) cultivars basically used as dry flowers due to extended persist of flowers on stems whereas 'Lavandin' cultivars suitable for essential oil and potpourri purposes. Lavender twigs (flower with pair of leaves) should be cut with sharp secateurs when 1<sup>st</sup> 2 florets have unfolded. Interestingly, not only flowers, but its pedicles can also contribute for essential oils. Effective harvesting of lavender flowers from the same plantations could be made up to 30 years. To get optimal ester levels, flowers should be harvested at full bloom stage from 2<sup>nd</sup> year onwards. Fully sunny conditions should be avoided during harvesting to get maximum oil content (McCoy, 1999).

Biomass production, yield percentage and phytochemical composition of essential oils greatly vary based on shading percentage and harvesting time (Mambri et al., 2018). The author reported that hydroponic (soil less) culture of *Lavandula dentate* can effectively increase all 3 aforementioned parameters. Sand is a good medium for this type of culture can cause the harvesting at 150, 213, and 320 days after planting date (DAP) in winter, spring, and summer, respectively but to get the produce earliest 50% of shading is necessary. About 70 g of fresh mass of leaves and inflorescences were found sufficient for essential oil extraction grown hydroponically. The maximum FM of inflorescences were found at 213 DAP while highest number of leaves obtained at 320 DAP grown under shade less condition. Around 31 compounds including 1,8-cineol, camphor, and linalool were identified in hydroponically grown lavender variety.

# 8.9.16 MATURING TIME AND METHODS

Generally, lavender flowers are harvested at full bloom stage as their maturity level determine several qualitative and quantitative parameters. Best harvesting time is ending part of December and early part of January (depends on season of individual countries). Mass harvesting operations should be essentially planned during the soothing weather condition. Very high temperature and windy condition can deteriorate the oils volume. High temperature causes the free water in contact with the oil and reduce oil quality. On the other hand, too cold weather affects the ester development, hence, harvesting should be halted unless and until weather becomes warmer. For fresh and dried flower markets, blooms must contain long pedicels and must be more mature than the blooms/buds usually picked for essential oil extraction purposes. Before subjecting lavender buds into steam distillation process, removal of stems is crucial. Sometimes, organic solvents or  $CO_2$  could be also employed for its effective extraction (Ernst, 2017).

# 8.10 POST-HARVEST HANDLING

# 8.10.1 SORTING AND DISTILLATION

Steam distillation is the most effective method for lavender essential oil extraction. It determines the quality of the oils. Optimum pressure and temperature are essential to maintain the quality since higher degree of these two conditions alter the molecular structure of fragrance molecules cause modification of chemical constituents. Quantity of yield may also differ essentially on the maturity level of the produce and weather conditions.

# 8.10.2 SOLVENT EXTRACTION

Lavender and lavandin based 'Concrete' and 'Absolute' – are two widely used components of perfumery industry. To make these two usually solvent extraction methods are adopted. In this method toluene, hexane, and petroleum ether are popularly used as potential solvent materials. To produce concretes, first, fresh plant materials are treated in solvents followed by evaporation of solvents leaving 'concrete' residue. It has importance especially in soap industry. Ethanol is sometimes mixed with concrete for further refinement purpose. Interestingly, this concrete-ethanol mixture is used to produce 'absolute,' a wax-free residue produced after evaporation of ethanol from cooled and filtered mixture. Conventionally, the loss percentage from concrete to absolute is 50%.

# 8.10.3 DRIED FLOWERS

The conventional 'Hang Drying' (inverted) method is adopted to produce dehydrated lavender flowers employed extensively in pot-pourris. Sometimes, dried flowers are removed from spikes and packed in sachets wellinsulated by paper. Generally, to yield 1 kg of dry flowers 8–10 kgs of fresh mass is needed.

# 8.10.4 GRADING

Esters, linalyl acetate and linalyl butyrate – these three chemical compounds are liable to pleasant fragrances of lavender. Grading plays significant role to produce quality value-added products. Ester content (measured by chemical analysis) is a prime factor to produce quality essential oil, hence, grading of lavender blooms are made following this attribute (Anon, 2009).

# 8.10.5 PACKAGING AND STORAGE

Careful handling procedure of essential oil is vital for its volatility. It must be stored in glass made air-tight dark colored container without allowing them to come in contact with heat or heavy metals. Fluorinated plastic, treated aluminum, and dark glass or ceramic containers, can be also used as viable storage containers. Higher degree of viscosity and darkness can cause deterioration. Optimum packaging and preservation technique could make it use-worthy up to 6 months to 2 years. Supreme quality, professional, scientific, and clean labelling are essential for value added marketing.

# 8.11 PATHOGENS ASSOCIATED WITH LAVENDER

- *Armillaria mellea* root rot.
- Cuscuta epithymum Dodder vine (parasitic flowering plant).
- *Fusarium* sp. root rot.

- Fusarium solani wilt.
- *Meloidogyne incognita* Southern Root-knot Nematode.
- *Phoma lavendulae* stem blight.
- *Phytophthora nicotianae* root rot.
- *Phytophthora* sp. wilt.
- *Pythium* sp. root rot.
- Septoria lavandulae leaf spot.
- *Verticillium* sp. wilt.

## 8.12 VALUE ADDITION

## 8.12.1 LAVENDER ESSENTIAL OIL

Qualitative attributes of lavender essential oil usually vary according to the certain species. 'Lavender flower oil' and 'lavender spike oil' are two distinct categories of lavender essential oil. The former one is a transparent oil, insoluble in water with a density of 0.885 g/ml while the latter one is the distillate form of essential oil with a density of 0.905 g/ml excreted from *Lavandula latifolia* but an impure compound. It is the complex mixture of phytochemicals, including linalool and linalyl acetate.

L. augustifolia and L. X intermedia used effectively for essential oil extraction. L. X intermedia produced in Australia province could yield 4,000 lb of fresh flowers per acre. From this amount off fresh blooms 53 lb per acre of essential oils could be extracted (Foster, 1984). Foster (1984) also found that 300-1,800 lb per acre of fresh flowers of L. angustifolia could yield 12-15 lb per acre of essential oil while L. X intermedia grown out of the Australian province could yield 53-67 lb per acre of essential oil at the expense of 3,500–4,500 lb per acre of blooms. L. angustifolia and L. X intermedia grown in New Zealand, could yield 30 gal per acre and 50 gal per acre of essential oil, respectively (Douglas, 1991). The quality of both of these species of flowers is suitable enough to make dry flowers. Sturdivant & Blakley (1999) confirmed that 150-200 lb per acre and 250-300 lb per acre of dry flowers could be produced from L. angustifolia and L. X intermedia. On the other hand, the dehydrated Lavender blooms could be also used for essential oil extraction as reported by MC Gimpsey (1994). He stated that from 12,000 dried bunches of flowers (per acre) of L. angustifolia, 2.6 gal per acre of essential oil could be produced while 16,000 dried bunches of blooms (per acre) of L. X intermedia could extract 14.7 gal per acre of essential oil.

Basically, these two species are cultivated at Bridgestowe estates, Australia, and Red bank research center, New Zealand.

## 8.12.2 PROCEDURE OF LAVENDER OIL PRODUCTION

Steam distillation causes the elimination of polar compounds results in production of pure essential oil (Masango, 2005). 'June' harvested blooms are firstly packed in steel containers having some air pockets for necessary air circulation and then steaming is made at the bottom of loaded container (using a boiler) at very low pressure. Owing to the heating process, oil contained floral parts start to release oils, then, to condense the vaporized form of hot lavender oil, a cold-water channel is fixed with steady water supply at the center of steel container. Polarity and density of water and oil make two distinct layers inside the holding tank caused due to condensation of essential oil. At the end of the procedure, barring lavender essential oil, water is drained off (Chanamai et al., 2002).

Essential oil made from 'English Lavender' (*L. angustifolia*) is susceptible towards some antibiotic resistant bacteria(s) (like VRE [Vancomycinresistant *Enterococcus*] and MRSA [methicillin-resistant *Staphylococcus aureus*]) (Nelson, 1997; Lis-Balchin, 1998; Hammer et al., 1999). Some research investigations support the anecdotal use of wide range of *Lavendula* oils (e.g., *L. angustifolia*, *L. allardii*, *L. x intermedia* var. 'Grosso,' *L. x intermedia* var. 'Seal,' *L. x intermedia* var. 'Miss Donnington,' *L. x heterophylla* and *L. stoechas* var. 'Avonview') which significantly contain antibacterial properties but the antibacterial properties of *L. heterophylla* is still behind the veil. Its inhibitory action against range of bacteria(s) counting *Streptococcus pyogenes*, *Exterobacter aerogenes*, *S. aureus*, *Proteus vulgaits*, *Psudomonas aeruginosa*, MRSA, *Citrobacter freundii*, *Escherichia coli*, VRE, *Shigella son net* and *Proyionibacteriuin acnes*' are really noticeable.

The efficacy of lavender essential oils against bacteria(s) are also species specific [for example oils excreted from *L. angustifolia* and *L x intermedia* showed effective impact against few bacteria(s)]. Chemical compositional differences of essential oils determine their efficacy against certain bacteria. According to Moon et al. (2004) there is no correlation between the quantity of chemical compounds and its efficacy (such as *P. aeruginosa* was non-susceptible to any *Lavandula* oil). These results endorse their anecdotal uses merely. Barring antibacterial properties, they also possess some clinical utilities. The MIC of lavender oil obtained from *L. angustifolia* and *L. latifolia* is equivalent to tea tree oil. Inouye et al. (2001) reported that the degree of efficacy of essential oils extracted from above stated species were 0.32% against *S. pyogenes* and *S. aureus*, 0.16% against *Haeitioyliilus influenzae*, and >0.32% against *E. coli* which further endorse the above statement. From

the above view, it can be recommended that lavender oils are more appropriate for topical applications (as a prophylactic of minor ailments) rather than using against any serious infections.

Antifungal properties of lavender essential oils had been also identified by Daferera et al. (2000). According to them, their oil prevents germ-tube formation. As for example, to get significant effect against Trichophyton mentagrophytes and Aspergillus nidulans, EO extracted from L. angustifolia, and L. x intermedia is most suitable one while EO of L. stoechus was only impactful for some agricultural fungal strains (like Leptosphaeria maculans and Sclerotinia sclerotiorum). Moon et al. (2004) suggested that Lavandula oils could be effectively used against both agriculturally and medically important fungal strains. Inactivity of Lavendula hydrosols had been also confirmed by some authors (actually distillate water produced as a by-product of steam distillation process contains various chemical components) for any microbial treatments. Thus, for certain therapeutic treatments use of speciesspecific Eos is beneficial. Further in vitro studies on this aspect are need of the hour. Vapor treatment (used in aromatherapy) with optimum vapor concentration and duration could significantly heals ranges of antifungal ailments especially potentially inhibit sporulation at a little amount (Moon et al., 2004; Inouye et al., 1998, 2000, 2001). During early stage of infection, prolonged gaseous contact by vapor treatment with high concentration of essential oils are not necessary (Inouye et al., 2001).

Since time immemorial essential oils are used for getting therapeutic benefit. Lavender essential oil is highly acclaimed in aromatherapy to get relief from stress and depression symptoms, to improving 'mood' and reduce anxiety (Cavanagh & Wilkinson, 2002). Aromatherapy causes both psychological and physiological effect using the odor of volatile compounds of lavender essential oils. It affects the limbic system, particularly the amygdala and hippocampus. Several authors have affirmed that volatiles of lavender essential oils are potent enough to improve moods and sleeping pattern of patients (Cavanagh & Wilkinson, 2002; Bumett et al., 2004; Camped et al., 2004). Usually, inhalation and topical application are two popular methods of use of lavender essential oils in aromatherapy, but the potential benefits of each mode need to be reevaluated in a comparative manner. A study made by Snow et al. (2004) confirmed the affirmative impact cutaneous application as compared to inhalation mode (purely olfactory form of aromatherapy) of lavender essential oils during aromatherapy in chronic dementia patients. 'Linalool,' key ingredient of lavender essential oil can effectively lower down the systolic blood pressure and skin temperature as compared to

placebo group of patients but only through the percutaneous administration (Heuberger et al., 2004). Another study made by Soden et al. (2004) explained that the use of inert oil containing lavender essential oil could not cause any long-term significant benefits to heal pain, but anxiety related problems could be effectively improved by mixed oil massage. But irrespective of massage oil ingredients (i.e., inert carrier oil and mixed lavender oil). generally the depression scores of 'massage group' of patients were less than the 'control group.' Lavender aromatherapy coupled with radiotherapy can also effectively impair the anxiety (Graham et al., 2003). According to some authors, lavender odor has some integral relation with positive emotional states and therapeutic benefit (Alaoui-Ismaili et al., 1997; Tysoe, 2000; Masago et al., 2000; Millot & Brand, 2001). Inhalation treatment using 10% lavender oil for 3 mins could significantly cause relaxation, lower anxiety scores, improved moods and increased alpha power in EEG (an indication of increased drowsiness) (Diego et al., 1998). The aforementioned result can easily exemplify the role of lavender essential oils odor on our olfactory system. Aromatherapy using tea tree, rosemary, and L. angustifolia oils can minimize psychological stresses of chronic neurological patients without causing any harmful physical or behavioral changes as reported by Walsh & Wilson (1999) by their pilot study. Short-term treatments through acupoint stimulation using lavender essential oil can also bring down pack pain (Yip & Tse, 2004). Aromatic lavender oil is also used in acupressure treatment for getting relief from pain. One-hour inhalation treatment by essential oil extracted from L. x intermedia 'Grosso' can bring analgesic effect without developing any sedative symptoms (Barocelli et al., 2004). This oil can significantly reduce the acetic acid-writhing response in a naloxone-sensitive manner. Barring analgesic effects, inhalation mode of aromatherapy can also elicit several other affirmative appraisals and positive retrospective evaluation (Cavanagh & Wilkinson, 2005; Gedney et al., 2004). It has been also reported that the oral administration of lavender oil, or its key components like linalool or linalyl acetate, could prevent acute ethanol-induced gastric ulcers in group of animals (Barocelli et al., 2004). Further extensive investigations should be carried out to determine the synergistic effects of biologically active constituents of 'mixed' lavender essential oils since meagre information(s) are in in hand. Several existing inconsistencies in lavender oil research could be solved, through identification of biologically active components and determination of their mode of action which can aid in recognition of a novel therapeutic compound (Lis-Balchin et al., 1998). A constituent of lavender oil namely perillyl alcohol (POH) had been identified by Samaila et al. (2004); and Liston et al. (2003) as a potential anticancer agent. But in contrast, several authors (Prashar et al., 2004; Matthieu et al., 2004) refuted the statement that 'lavender – a safe oil' by highlighting the toxic effects of linally acetate (a major constituent) on human skin cells *in-vitro*. Although, lavender oil as a safe topical agent has been recommended by several scientists but as of now its oral administration is not advisable.

## 8.13 **BIO-PROSPECTATION**

## 8.13.1 ANIMAL STUDIES

Anxiolytic, sedative, analgesic, anticonvulsive, anticonflict, and neuroprotective properties of lavender is well-known. Inhibition capacity of lavender essential oils against anxiety and depression has been proved based on the results of animal test models (7 days treatment). The antianxiety property of lavender oils had been also evinced by Geller conflict and the Vogel conflict tests in mice. 'Linalool,' a key component of lavender oil is liable to anticonflict effects. Koulivand et al. (2012) reported that the anxiolytic effect of lavender oil in rats was almost equivalent to 'Chlordiazepoxide' (used as reference anxiolytic). Several authors further confirmed by light/dark test that odor of 'linalool' (by inhalation) could effectively cause affirmative impact on anxiety, aggressiveness, and social interaction in mice (Koulivand et al., 2012; Umezu, 2000). On the other hand, 'linalool' and 'linalyl acetate' - two major constituents can cause local anesthetic effect proved in rabbit conjunctival reflex test (in situ and in vivo experiments). The test oil (lavender essential oil + linalyl acetate/linalool) dose-dependently provoked the reflex. The dose rate of 200-600 mg/kg of methanolic extracts of lavender can also cause sedative effects in mice proved by their immobility after lavender oil inhalation. Repeated lavender administration results in quick onset of sleep for prolonged duration in mice. Reduced neuro-motor activity and increased plasma level had been observed in mice exposed for 4 hrs. in lavender-fragrance dark cage (Sakurada et al., 2009). Capsaicin induced nociceptive response could be lessened through intra-plantar injection of linalool. Cholinergic neurotransmitter system enacts vital role in lavender analgesia (Koulivand et al., 2012; Buchbauer et al., 1991; Sakurada et al., 2009). Hence, irrespective of mode of treatments (oral administration or inhalation) lavender essential oils can effectively reduce pain without causing any detrimental effects. Defunct cholinergic system induced 'spatial memory deficit' problems could be solved by lavender essential oil treatment. To heal Alzheimer's disease,

efficacy of dose-dependent lavender oil treatment was proved in rat model while hydroalcoholic extract of lavender could cause anticonvulsant effect in male mice. Pentylenetetrazol, nicotine, or electro shock induced convulsion could be minimized by inhalation of lavender in mice. Linalool component is responsible for this anticonvulsant effect may cause a straight interaction with the glutamatergic NMDA sub-receptor as well as GABAA receptors. Its neuroprotective effect had been also investigated on animal models. Water based extract of lavender can minimize glutamate-induced neurotoxicity in rats. Lowered neurological deficit scores, decreased levels of mitochondriagenerated ROS and attenuated neuronal injury in focal cerebral ischemia could be caused by lavender essential oil treatment which was proved in mice model (Koulivand et al., 2012; deSousa et al., 2010; Silva Brum et al., 2001).

# 8.13.2 MECHANISMS OF ACTION OF LAVENDER IN THE NERVOUS SYSTEM

To prove the efficacy of lavender oil on neuronal tissues by inhibiting lipopolysaccharide induced inflammatory reaction in human monocyte (THP-1), a report by Salah & Jager (2005) has been found. Linalool is the key healing agent of lavender essential oil could be used for the treatment of Alzheimer's disease and also as anticholinergic and neuro-protective component. Antioxidant effects of lavender oil provide neuro-protective effect against cerebral ischemia/reperfusion injury. Rotarod activity and level of dopamine receptors (subtype D3) in olfactory bulbs could be enhanced by intra-peritoneal application of lavender oil in mice model due to its association with dopaminergic neurotransmission. Lavender oil modulate GABA ergicneuro transmission can improve several nervous system disorders (Aoshimaand & Hamamoto, 1999; Koulivand et al., 2012).

Fos is a nuclear transcriptional factor and neural activation marker controls expression of genes. Lavender oil can inhibit c-fos expression in paraventricular and dorsomedial nucleus of hypothalamus, can control histamine release and anti-DNP IgE-induced tumour necrosis factor-alpha secretion from peritoneal mast cells in mice (Koulivand et al., 2012). Lavender oil can reduce the activity of sympathetic nerves whereas can excite the parasympathetic gastric nerve. 'Linalool' component affects greatly the autonomic nervous system by histaminergic response. Its odor also decreases lipolysis by activating H<sub>3</sub> receptors (histamine neurons and hypothalamic

suprachiasmatic nucleus), heat generation, and increased body weight with high appetite in rats. Other related signaling pathways are activated by lavender induced tyrosine phosphorylation of BIT (immunoglobulin alike molecule) (Koulivand et al., 2012; Shen et al., 2007).

## 8.13.3 HUMAN STUDIES

Clinical efficacy of lavender essential oils obtained whether by its single use or as an adjunct in its other carrier components, raise a serious debate issue although its noteworthy effect on several neurological and psychological disorders have been studied well. Predominant ways of using lavender oil are generally through oral administration and aromatherapy but the latter one gets preference for maximizing the psychological benefits of patients due to inhalation mode of treatment. In inhalation mode, lavender oil acts via limbic system (especially affect amygdala and hippocampus) while in topical application essential constituents (linalool and linalyl acetate) are absorbed by skin and affect our central nervous system (Koulivand et al., 2012).

## 8.13.4 ANXIETY, DEPRESSION, AND LAVENDER

Importance of lavender essential oils to heal various anxiety disorders are well-informed. Woelk & Schlafke (2010) studied the effect of 'Silexanessential oil,' prepared from lavender flowers by steam distillation method oil through some clinical trials. The said essential oil at the rate of 80 mg day<sup>-1</sup> was administered orally once in a day for 6 weeks. Treatment was implemented on three categories of patients such as patients having (i) subsyndromal (mixed) anxiety disorder; (ii) generalized anxiety disorder; and (iii) restlessness and agitation. Consequently, significant improvements of treated group of patients were found over the placebo (control) group of patients. Additionally, it reduced restlessness, disturbed sleep and somatic complaints significantly. Overall, quality of life was improved subsequently. The oral administration of lavender oil preparation (Silexan, 80 mg/day) performed better than lorazepam (used as reference medicine at the dose of 0.5 mg daily<sup>-1</sup>) specially for treating generalized anxiety disorder. Alleviation of anxiety and mood improvement of group of 31 patients were observed as reported by Dunn et al. (1995) through using diluted lavender oil (1% concentration). Another study made by Itai et al. (2000) where significant improvements in 14 female patients who were earlier gone through the

chronic emodialysis process were found after lavender oil treatment. A survey report showed that lavender oil mixed with tea tree and rosemary oils can increase mood scores and psychological distress. Conrad & Adams (2012) reported that lavender aromatherapy (diluted oil@ 2% concentration) in case of any postpartum woman could effectively cause improvements on anxiety and depression level (measured by Edinburgh Postnatal Depression Scale and Generalized Anxiety Disorder Scale) after 1 month of continuous lavender administration. Lavender odor minimizes anxiety of dental patients (Kritsidima et al., 2010). To improve pre-operative anxiety, lavender administration is enough worthy in terms of its efficacy, simple procedure and costeffectiveness. Clinical research proved that induced anxiety (may be made by some anxiety-provoking film clips) could be reduced by oral administration of lavender capsules, containing 100 or 200µL of organic Lavandula angustifolia oil (Bradley et al., 2009). Braden et al. (2009) revealed that positive mood, controlled heart rate, improved galvanic skin response could be evaluated by continuous administration but non-persistent in nature especially for long duration. A clinical investigation points to anti-depressive effect of lavender. Akhondzadeh et al. (2003) got affirmative response by adopting adjuvant therapy using imipramine (100 mg day-1) and lavender tincture (1:5 in 50% alcohol; dose rate 60 drops day<sup>-1</sup>) for 48 adult patients of mild-to-moderate depression. Authors confirmed that the combined effect of lavender and impramine treatment had effectively reduced the anticholinergic side effects (dry mouth and urinary retention) caused by imipramine (Akhondzadeh et al., 2003; Koulivand et al., 2012).

#### 8.13.5 DERMATOLOGICAL USES OF LAVENDER

The wound healing potential of lavender oil is well-known since World War I, but very finite scientific evidence is available on its success history. It can effectively heal various skin problems viz. psoriasis, dermatitis, and eczema not only that can significantly reduce the scarring. Its topical application can cause obstructions in many allergic pathways (Kim & Cho, 1999).

It is evident in many scientific literatures that application of essential oils can cause allergic or skin irritation reactions. In tune of this, Tisserand & Balacs (1999) cited that the essential oils extracted from *L. latifolia* and *L. angustifolia* couldn't cause any skin irritations, in fact, essential oil of the latter one never causes any phototoxicity, but authors were unable to cite any proves in support of their statement. According to Thomson & Wilkinson (1998), the incident of contact dermatitis was quite less after

lavender administration as compared to tea tree administration, but the report published by Clinical in 2000 exhibited the opposite view. During 1997 the incident of contact dermatitis had increased owing to the enhanced rate of use of essential oils. Sugiura et al. (2000) had reported that the chances of contact dermatitis were as low as 13.9% after lavender oil treatments, but frequent exposure at dried lavender products continuously might enhance the frequency of this disease, as reported during their later parts of study. Coulson & Khan (1999); and Benito et al. (1996) mentioned that the use of lavender pillow, may trigger the allergic reactions. To treat eczema efficacy of lavender essential oils are quite limited but could be used as an alternative of conventional steroids. Anderson et al. (2000) recommended to add 6 drops of lavender essential oil in bath water (at least for 2 months continuously) to lessen the eczema irritations. Walsh et al. (1996) reported the significant improvement in terms of physical symptoms, confidence and self-esteem of severe psoriasis patients. Lavender oil coupled with rosemary, cedar wood and thyme oil could cause new hair growth in alopecia patients. Hay et al. (1998) in their investigation applied the mixture of Rosmarinus officinalis (114 mg), Cedrus atlantica (94 mg), Thyme vulgaris (108 mg) and L. angustifolia (108 mg) oil in the scalp of severe alopecia areata patients every night. The evaluation report at an interval of 3 and 7 months revealed the significant reduction of alopecia area with new hair growths.

## 8.13.6 NEUROIMAGING AND LAVENDER

Different neuronal enhancement (especially in posterior cingulated gyrus, orbitofrontal, thalamus, brainstem, and cerebellum) could be obtained through lavender odor stimulus which indicate the supplemental impact of aromatherapy using lavender oils (Duan et al., 2007). To get improved function in vital olfactory brain structures (entorhinal cortex, hippocampus, thalamus, parahippocampal cortex, primary olfactory cortex, orbitofrontal cortex, insular cortex and hypothalamus), use of diluted form (using dipropylene glycol) of 10% lavender oil could be beneficial (Wang et al., 2005) as evident by functional magnetic resonance imaging (fMRI) (DiNardo et al., 2000). Cortical perfusion increment was also reported after lavender administration. The application of lavender odor through orthonasal (odor perceived through the nose) and retronasal (odor perceived through the nose) and retronasal (odor perceived through the nose) and retronasal in resulted a peak in ventral insula while retronasal in right caudate nucleus.

#### 8.13.7 ELECTROENCEPHALOGRAPHY (EEG) AND LAVENDER

According to Gorjiand & Ghadiri (2002), aromatherapy using lavender oil could be useful to treat epilepsy (a neurological disorder). Along with its anxiolytic effect, lavender administration could also improve the EEG pattern. This could be validated by the research report of Holmes et al. (2002) where they found that inhalation of 10% diluted concentration of lavender essential oils for 3 mins could reduce the anxiety level and uplift the mood by increasing alpha power of EEG. Enhanced theta (4–8 Hz) and alpha (8–13 Hz) wave activity could also bring general relaxation effects in treated group of patients. Steam inhalation of lavender oils during aromatherapy could also ameliorate the agitated behavior of severe dementia patients, in fact they become quite modest as reported by (Koulivand et al., 2012; Holmes et al., 2002). According to Sanders et al. (2002) and Moscovitch et al. (2011) lavender application could cause immense relative right frontal EEG activation at baseline.

#### 8.13.8 SLEEP AND LAVENDER

To heal insomnia problem, natural treatment using lavender products could be considered as the most effective remedy. Experimental consequences revealed that lavender odor could improve the sleep quality and lower down the intensity of ischemic heart disease also along with its potential for treating insomnia. Experiment conducted by Lewith et al. (2005) reported the significant lower scores of insomnias verified by Pittsburgh Sleep Quality Index (PSQI) after treating patients (notable female and young aged patients) with lavender odor (6-8 drops). Kasper et al. (2010) exhibited that the oral administration of lavender oil at the dose rate of 80 mg/day could efficaciously improve general mental and physical health, sleep quality and its duration of patients with mixed anxiety disorder without any sedative or drug intervention. Furthermore, the concoction of lavender, basil, juniper, and sweet marjoram's essential oil can improve insomnia in older patients. Aromatherapy using lavender oil could significantly restore the normal sleep duration in case of Benzodiazepine dependent geriatric patients after withdrawing this chemical drug administration (Koulivand et al., 2012). This treatment can cause impermanent relief from continuous insomnia medication and lessen the side-effects of these drugs. It also improves the quality of daytime wakefulness. Williams (2006) found the advantages of lavender oil massage on sleep patterns of children suffered from autism.

## 8.13.9 PAIN AND LAVENDER

Lavender, as a natural remedy for intractable pain, has gained huge fame. For lowering heart rate, blood pressure, pain, wakefulness, and respiratory rate, foot massage using lavender EO could be beneficial. Lavender oil is not only useful to treat the recurrent aphthous ulceration but also to reduce ulcer size and to increase the rate of mucosal repair. As compared to placebo groups, the patients received oxygen through lavender coated facemask (for 5 mins) had experienced reduced stress level and pain intensity of needle insertion. Blended aromatic oil (lavender, clary sage, and marjoram@ 2:1:1 ratio) massage could reduce the duration of dysmenorrhea. Aromatherapy with lavender essence could cause lowered pain at caesarean section (Sheikhan et al., 2012; Dell & Cornwell, 1994). Opioid administration is mandatory for post-operative breast biopsy surgery. To avoid the use of unsafe drugs, inhalation of 1-2 drops of lavender oil (2%) using oxygen face mask could be highly impactful. Its analgesic effect could be proved by its application on patients who have undergone laparoscopic adjustable gastric banding. But its inefficacy to reduce the perception of pain generated during botulinum toxin facial injection should be also noted. Around 3% lavender oil could alleviate the neck and back pain and cervical and lumbar spine movements through the mode of acupressure treatment. Inhalation treatment of lavender essential oil (by rubbing 2–3 drops onto the upper lip) could heal acute migraine headaches and its associated symptoms. Blended version of body massage oil (in aromatherapy) using lavender oil with geranium, rose, jasmine, almond, and primrose oils could heal menopausal symptoms (like menstrual pain, hot flushes and depression) (Koulivand et al., 2012).

## 8.13.10 COGNITION AND LAVENDER

Since time immemorial, the use of diversified aromas was evident as mood modulator. The beneficial impact of medicinal plants and their essential oils on olfactory organs as cognition enhancers are well known. Jimbo et al. (2009) made the cognitive drug research under the computerized cognitive assessment and revealed the efficacy of lavender odor on lowering the activity of working memory and also the reaction times for memory and attention duo. Additionally, it can also uplift the level of contentedness and mood. Lavender oil burners (after continuous use for 3 months) can effectively elevate the emotional state. Successful application of rosemary and lemon essential oils during morning hours followed by lavender and orange essential oils during

evening hours in aromatherapy treatment can upgrade the cognitive function (related to personal orientation) of dementia patients (Jimbo et al., 2009). In one of the investigations made by Degel & Koster (1999) found that lavender odor could effectively ameliorate the rate of errors during mathematical tests, but the odor of jasmine essential oil was less effective in this regard. Furthermore, lavender odor could impair the arithmetic reasoning capacity, but not memory, while the blended effect of lavender and clove never cause any concomitant effect on mood. Oral administration of lavender (80 mg/ day) (for 2.5 months) can improve general mental health in case of patients suffering from neurasthenia (Uehleke et al., 2012).

## 8.13.11 SAFETY

The efficacy of lavender for short-term diseases (especially some neurological disorders) is well established, but its effectiveness and safety for some chronic diseases and long-term uses are yet to be proven. Although the safe nature of lavender is proved but some reports have highlighted the adverse effects. Koulivand et al. (2012) reported the negative impact of lavender oils being blended with tea tree oil on Gynecomastia (Swollen male breast tissue caused by a hormone imbalance). On the other hand, also possesses estrogenic and anti-androgenic activities. Hence, before its application the allergy proneness of the individual should be evaluated appropriately. Kasper et al. (2010) and Woelk & Schlafke (2010) found that gastrointestinal disorder (like nausea, dyspepsia, etc.) and other neuro disorders could be induced by oral administration of lavender products while its ingestion may also cause problem for a pregnant woman (i.e., emmanagogue effect) and breastfeeding women (Koulivand et al., 2012).

## 8.13.12 LAVENDER AS ANTIMICROBIAL AGENT

Lavender oil especially essential oils of *L. angustifolia* (English Lavender) could be used to treat wide range of bacterial and fungal infections (Lis-Balchin et al., 1998; Hammer et al., 1999) even antibiotic resistant bacteria(s) too. Nelson (1997) found the efficacy of *L. angustifolia*'s essential oil at the dose rate of <1% under *in vitro* condition against both vanomycin-resistant *Enterococcus faecalis* (VRE) and methicillin-resistant *Staphylococcus aureus* (MRSA). Lavender oil and oil vapor have some antifungal activity. As for example, *L. angustifolia* at 1% and 10% concentrations could restrict germination of conidium, hyphal growth and germ tube growth

of Botrytis cinerea (Antonov et al., 1997) while it doesn't possess any efficacy to prohibit the conidial production of *Penicillium digitatum* even at 1,000 g/mL concentrations (Daferera et al., 2000). Interestingly, Inouye et al. (1998) reported the potential of gaseous contact of lavender oil (L. angustifolia) than solution contact which could effectively restrict the growth of some filamentous fungi. They described that the gaseous form of lavender oil rightly binds with the spore-forming aerial mycelia. Among two major constituents, 'linalyl acetate' can prohibit spore formation due to respiratory suppression of aerial mycelia while linalool only restricts spore germination and fungal growth. The latter one has less inhibitory capacity for sporulation. Inouye et al. (2000) revealed that lavender vapor (63 mg/ mL air) could inhibit the mycelial growth of Aspergillus fumigatus and its efficacy is higher than tea tree, lemon grass, cinnamon bark and thyme oils. At the dose rate of 10-20 g/mL of air, L. angustifolia could inhibit the germination and hyphal elongation of Trichophyton mentagrophytes and Trichophyton rubrum might be due to the straight deposition and absorption of it on fungal mycelia (Inouye et al., 2001a). The merits of lavender vapor treatment at appropriate concentrations and duration are higher than the solution treatment owing to their direct mode of action. At this condition, extensive research on biologically active substances of lavender oil and their synergistic impact on antimicrobial activity, is need of the hour. According to, Lis-Balchin et al. (1998) there is no correlation between the chemical constituents (like linalool/linalyl acetate) of lavender oil and antibacterial/antifungal activity. But origin of lavender plants affects its chemical composition like lavender of Bulgarian origin contains 51.9% linalool and 9.5% linalyl acetate and effective against ESKAPE pathogens while French origin lavender contains 29.1% linalool and 43.2% linalyl acetate and potential for the treatment of 13 bacteria(s) only. The extracts (by) of both Bulgarian and French lavender varieties are effective against Aspergillus niger and Fusarium culmorum. The mode of extraction also determines the degree of antibacterial and antifungal efficacy. Pattnaik et al. (1997) found that steam distillation and supercritical CO<sub>2</sub> - these processes are most effective. Disc/well diffusion method and agar/broth dilution method are the most common, effective, and accurate methods to evaluate the antimicrobial activity in vitro but since essential oils are volatile, insoluble in water, viscous, and complex in nature that's why afore mentioned tests are insufficient to measure the antimicrobial testing of oil. Basically, different factors vary for different methodologies such as inoculum size, medium used, use of sealants, surfactants, and solvents (like

Tween, dimethyl sulfoxide and ethanol) (Cavanagh & Wilkinson, 2002). The antimicrobial activity of lavender oils is not only depending on species and origin but also on their growing conditions and distillation procedures. According to Inouye et al. (2001) the MIC values of lavender oil (*L. angustifolia* and *L. latifolia*) was reported as 0.16% against *Haemophilus influenza*, 0.32% against *Streptococcus pyogenes* and *Staphylococcus aureus* and >0.32% against *Escherichia coli* evaluated *in vitro* which suggested its efficacy as a prophylactic not for any chronic infections. The immune stimulating, anti-inflammatory, and other pharmacological effects of lavender oil under *in vivo* condition are very limited and inconclusive (Ferley et al., 1989).

## 8.13.13 PESTICIDAL ACTIVITIES OF LAVENDER OIL

The acaricidal activity of both linalool and *L. angustifolia* oil is well demonstrated. Perrucci et al. (1996) in his study investigated the effect of *L. angustifolia* oil and linalool on *Psoroptes cuniculi*. They concluded that the acaricidal activity could be taken place through inhalation of volatile essential oils rather than its direct contact. Lavender oil, its grounded foliage and blooms could be also successfully utilized as commercial and domestic pesticide, in fact lavender can control mites, grain weevils, aphids, clothes moth, etc. (Perrucci, 1995; Ignatowicz, 1997).

# 8.13.14 CURRENT AND FUTURE DEVELOPMENTS OF LAVENDER ESSENTIAL OILS

Recent developments on lavender essential oils can combat the multidrug resistant strains of bacteria on one hand while their effectiveness in terms of human treatment is also noteworthy, on other hand. Essential oils are useful as natural preservatives in cosmetics, toiletries, drugs, and food products. Even after having the detailed information (like detailed monographs published in Native Pharmacopeia, European Pharmacopoeia, ISO, WHO, Council of Europe) about its source, chemical compositions and their effective therapeutic doses, rarely they are utilized by medical practitioners for healing any infectious diseases. More in-depth *in vitro* and *in vivo* studies are needed to establish their therapeutic properties and side effects (Sienkiewicz et al., 2012). Conclusively, the value-added products of lavender could be an essential weapon to combat against pathogenic microorganisms and insects.

# 8.14 PATENTS

SI. No.	Patent/ Publication No.	Title/Name of Lavendula Plant	Description of Patent	Applicant and Inventor	Publication Date
1.	CN104739722A	Preparation of lavender essential oil using microemulsion method.	Microemulsion method of lavender essential oil (EO) extraction is unique and effective enough. In this method as raw materials 15–40% of lavender EO, 10–35% non-ionic emulsifier, 10–35% of an ionic emulsifier, 0–30% water and 15–40% of co-emulsifier was used (in percentage by weight). Along with lavender EO (an active component) reuse of emulsifier and co-emulsifier become useful for stable delusion and dispersion of oil into water required to form nano-scale emulsion. The uniqueness of this invention is that based on requirements, additional dilution with water can be made to form an O/W nano-scale emulsion with good stability and free from layering. Additional merits of this invention are its low cost, simple, and convenient technique, economic benefit and huge demand in cosmetic industry.	Wang Yifei, Zheng Feng, He Yan, Zhang Yunbin, Yu Ling	July 1, 2015
2.	CN1904012A	Method of lavender nano-EO formulation.	In this method, firstly acetone or n-hexane is sprayed on the surface of lavender petals uniformly based on the ratio of solvent and raw materials (1:1–3) using ultrasonic technique. After that for the removal of solvent and to extract lavender oil, pressure distillation and steam distillation techniques are utilized. Here, ion condensation action of chitosan and sodium tripolyphos- phate is used as nano-carrier. The nano-carrier is used as embedded material for the formulation of lavender nano-EO.	Zhang Jian, Zhang Suning, Xiao Zuobing, Cai Baoguo, Fu Guanming	January 31, 2007
3.	CN1295310C	Extraction and refine methods of lavender oil.	Investigation relates to supercritical CO.	Liao Bing, Che Guoyong, Pang Hao, Zhang Jingcheng, Liu Junling	January 17, 2007

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SI. No.	Patent/ Publication No.	Title/Name of Lavendula Plant	Description of Patent	Applicant and Inventor	Publication Date
ŀ.	20190269059	'FW Whimsical,' 'FW Spellbound,' 'FW Radiance'	A new and distinct cultivar of Lavandula plant characterized by its compact and broadly upright plant habit; freely branching growth habit, dense, and bushy appearance; round the year flowering, blooms hue ranges from purple-red color of sterile flowers slightly differing from the fertile one. Sepals of sterile flowers are arranged on dwarf terminal spike. These varieties are highly appropriate for garden performance.	Plant Growers Australia By Steven Eggleton	August 29, 2019
	20190124815	'LA007'	This new cultivar is taxonomically characterized for its silver hued foliage, light purple-colored blooms, erect, pyramidal, and well-branched habit.	Rodney Bierhuizen	April 25, 2019
ō.	20190029161, 20190029162, 20180014448	'KLELV16119,' 'KLELV16122,' *'KLELV15115'	Early blooming and unique branching habit are the distinguishing features of this new cultivar. It possesses dark purple colored terminal bracts.	Klemm+Sohn GmbH & Co. KG By Ruijun Li	January 24, 2019 * January 11, 2018
-	20190029163	IBPRU 41016	Compact growth habit, dark violet- blue colored fertile flowers arranged in terminal spike inflorescence with dark purple sterile bracts with undulating margins. It is propagated asexually by stem cuttings which results in development of off spring with uniform features.	Plant Growers Australia By Steven Eggleton	January 24, 2019
-	20190021205	LAAZ 0006	This new cultivar is characterized for its flower hue (purple-blue) and extended blooming season, dark green greyish foliage, compact, well-branched, and upright habit and cold resistance.	Syngenta Participations AG By Henricus Godefridus Wilhelmus Stemkens	January 17, 2019
).	20180110169	LAMPU31-0	This new cultivar is characterized by its long inflorescence. Its inflorescence consists of dark purple-colored flowers and light purple-colored infertile bracts. By habit it is erect and resist lodging. It is especially suitable as pot plant.	John Robb	April 19, 2018

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SI. No.	Patent/ Publication No.	Title/Name of Lavendula Plant	Description of Patent	Applicant and Inventor	Publication Date
10.	20180027721	'BKLVSTFPU'	Compact and broad upright habit are its distinct feature. Apart from these, branching habit, early, and free blooming pattern, flower hue (purple colored), arrangement pattern of blooms on terminal spike and its good garden performance are the key distinguishing features.	Beekenkamp Plants B.V. By Annie Cornelia Beekenkamp	January 25, 2018
1.	20170290248, 20170290250, 20170290249	<ul><li>'Anouk Deluxe 1312'</li><li>*'Anouk Deluxe 173'</li><li>'Anouk Deluxe 169'</li></ul>	These new cultivars are character- ized by its compact growth habit, branching pattern, silvery grey-colored foliages, blooming habit, violet-blue to purple-blue colored flowers, durability of plants and peduncle, garden performance and re-flowering habit.	L. Koning Beheer B.V. By Lammert Koning	October 5, 2017
2.	20170290251	'Annet'	Compact growth pattern, upright habit, quick growth rate, durability, free blooming habit, unique flower hue and its arrangements are key distinguishing features.	L. Koning Beheer B.V. By Lammert Koning	October 5, 2017
3.	20170064898	'Riverina Margaret'	It is featured for its purple flowers with light pink infertile bracts and green foliage.	Nigel Alexander Russell Urwin	March 2, 201
4.	20160338254	'Dowphscnt'	It is a short statured plant with compact growth habit, long uniform spike inflorescence, basically used for growing in outdoor garden.	Simon Charlesworth and Fiona Wilson	November 17 2016
5.	PP26814	'Riverina Gurli'	This is characterized by globose shaped, pink-red flowers with light pink sterile bracts and compact growth.	Nigel Alexander Russel Urwin	June 7, 2016
6.	PP27157	'LOWI2010-05'	Unique features are late commencing and prolonged blooming habit, significant shelf-life and resistant against downy mildew.	Wim Bergs	September 13 2016
7.	PP27182	'Bridget Chloe'	It is characterized by its vivid purple bloom hue, round shape with mounding habit, nultiscented fragrance and resistance against Septoria leaf spot and Alfalfa mosaic virus.	John Thomas Hendon, Jr.	September 20 2016
8.	PP27398, PP27399,	'LABZ0005,' *'LABZ0006'	Its distinguishing features are its flower color. Its rose-pink blooms contain rose-pink infertile, large bracts and medium lengthed green foliage.	Henricus Godefridus Wilhelmus Stemkens	November 15 2016

SI. No.	Patent/ Publication No.	Title/Name of Lavendula Plant	Description of Patent	Applicant and Inventor	Publication Date
19.	PP27447	'LABZ0005'	Its habit (erect, well-branched, and compact) and extended flowering season are key distinct features.	Do	December 6, 2016
20.	PP2749	'LABZ0004'	Innumerable deep purple-colored sterile bracts, durability, mounding habit and long blooming period are the unique features of this new cultivar.	Do	December 20, 2016
21.	PP27519	'LMARO32-0'	It is characterized for its long, red-purple colored terminal bracts.	John Robb	December 27, 2016
22.	PP27653	'DOWPHSCNT'	Dwarf, compact growth habit, wide flower spike and uniform inflorescence are its key features.	Simon Charlesworth, Fiona Wilson	February 7, 2017
23.	PP27768	'DLAVENLPOP'	It is characterized by its pink blooms and terminal bracts. Mostly used as container plant.	Gavriel Danziger	March 7, 2017
24.	PP28264	'Anouk Deluxe 1205'	Upright habit, free branching, durability, violet colored corolla, red bracts, erect peduncle – are its characteristics.	Lammert Koning	August 8, 2017
25.	PP28265	'Anouk Deluxe 1201'	Additional and repeated blooming habit and excellent garden performance are its features.	Lammert Koning	August 8, 2017
26.	PP2830	'Anouk Deluxe 1173'	The unique violet-blue colored flowers with new numerous white colored terminal bracts can tolerate full sunlight conditions which enhance its acceptability.	Lammert Koning	August 15, 2017

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## 8.15 LAVENDER GENOMIC RESOURCES AND OPPORTUNITIES FOR MARKER ASSISTED LAVENDER IMPROVEMENT

The huge demand of lavender is imposing as compulsion on researchers to conduct cutting edge research on lavender breeding. To achieve the goal, selection of mutant clones or seed propagated lavender having improved traits are needed. Modern cutting-edge research is making the target-specific breeding program quite easy. Under such circumstances, use of marker assisted breeding (MAS) will be most appropriate to study the genetic relatedness of diversified floral volatiles in a comparative manner. Molecular characterization of major *Lavendula* members become imperative for acquiring in-depth knowledge on the diversity of its gene pool and also for achieving focused breeding objectives whereas molecular markers can be a reliable resort. The EST-SSR

marker model system was proposed by Lane et al. (2010) to study the molecular regulation of essential oil biosynthesis supported by adequate genomic resources. According to Adal et al. (2015), the use of EST-SSR markers is enough useful to test the transmittance potential of genetic traits of Lavandula species which may contribute to develop unique genetic markers for targeted breeding. Use of genetic markers for Lavandula species were mostly noted for their biodiversity study and phylogenetic relationship establishment although the application is quite finite (Chunshan et al., 2009; Bräuchler et al., 2010). Zagorcheva et al. (2020) had revealed that SRAP (Sequence-related amplified polymorphism) markers (Li & Quiros, 2001) could be considered as affordable genome wide markers to achieve the breeding objectives including diversity analysis. The wide use of SRAP marker is basically due to its simplicity, reliability, effectiveness, and genome-wide coverage (Aneja et al., 2012; Roberts & Wolfe, 2014). Sometimes, the consequences of its application in taxonomic studies might get influenced by anthropogenic or other specific influencers (Roberts & Wolfe, 2014). During the last decade SRAP markers had been used for diversity analysis of the species of Lamiaceae family. Zagorcheva et al. (2020) made inter and intraspecific and intergeneric studies using 51 SRAP primer pairs employing 10 Bulgarian and 5 other lavender varieties to characterize genetic resources and diversity. On one hand, they obtained high polymorphic scores (4697) and ratio (77.2%) and higher degree of genetic diversity (through molecular variance analysis) (96.6% of total variations), on other hand, at both interspecific and intergeneric level. Characterization of key genes responsible for biosynthesis of lavender essential oil could be also an effective step for targeted breeding program (Demissie et al., 2011, 2012; Landmann et al., 2007, 2011; Jullien et al., 2014; Sarker & Mahmoud, 2015; Sarker et al., 2012, 2013; Benabdelkader et al., 2015). Terpene synthase genes basically control the biosynthesis of lavender essential oil's constituents. Several authors studied the expression of terpene synthases gene of lavender and suggested its regulation at transcriptional level in lavender inflorescences (Lane et al., 2010; Guitton et al., 2010; Tholl, 2006). Based on this knowledge, it is feasible enough to improve lavender essential oils qualitatively like other agronomic traits and also to conduct biosynthesis of metabolically engineered target-specific essential oils by manipulating key essential oil genes. The instant identification of gene or allele of lavender varieties using specific SNP markers is possible if gene sequencing data is in hand. Sequenced trans-alpha-bergamotene synthase DNA fragments obtained from 7 Bulgarian varieties could be useful to identify the diversity between tested lavender varieties and also to analyze SNP allele configurations of parent plant which can exemplify the above statement (Curto et al., 2018). Hence, EST-SSR, SRAP, and gene specific SNP could be effective enough to initiate target specific breeding programs. Except cloning and characterization of major genes of lavender, genetic marker's development and its subsequent application will be more impactful although it's very finite. Curto et al. (2018) developed molecular markers using single-copy nuclear genes at inter-specific level of *Lamiaceae* family while Hind et al. (2019) based on that data distinguished *L. latifolia* from *L. angustifolia* and *L. x intermedia* hybrid. Hnia et al. (2017) characterized *L. multifida* using RAPD markers. The EST database of *L. angustifolia* and *L. x intermedia* hybrid aided Adal et al. (2019) to develop set of SSR markers for *Lavandula* sp. which had proved its significant efficacy. Thus, to evaluate the genetic authenticity and homogeneity of commercial lavender cultivars, development of reliable molecular markers is highly important (Zagorcheva et al., 2020).

Comparative chemical analysis of wide range of extracted floral volatiles is another target for further lavender breeding program. As of now, selection of promising lavender varieties is made depending on outcomes of lavender oil analysis but direct analysis of flower volatiles (Kara & Baydar, 2013; Baser et al., 2005; Staikov & Boyadzhieva, 1989; Laurence, 1988; Raev & Boyadzhieva, 1988; Munoz-Bertomeu et al., 2007) involves accurate comparison of volatile compositions could be more significant. Furthermore, procedural routine application needed for chemical characterization of essential oils of tested materials make the procedure more complicated and less precise (Duskova et al., 2016; Cassel et al., 2006, 2009; Angioni et al., 2016; Hassiotis et al., 2014; Zheljazkov et al., 2013). According to Zagorcheva et al. (2013) the milling and successive extraction of oils from frozen inflorescences generally cause huge variations in chemical compounds quantitatively (during replica analyses) which nullify its application. The direct GC-MS analysis of lavender extracts found suitable in this regard (Zheljazkov et al., 2020).

Adal et al. (2019) demonstrated 3 major aspects namely development of unique genetic markers, identification, and characterization of geranyl pyrophosphate synthase (GPPS) genes and cloning of cDNA encoding monoterpene synthase genes which seems essential for further lavender improvement program. Firstly, they developed 250 simple sequence repeat (SSR) markers ( $\geq$ 18 bp) from *L. angustifolia* and *L. x intermedia* ESTs (Expressed Sequence Tags). Among 31 valid SSR-ESTs, 24 only showed discriminatory power. GPPS (a heterodimeric protein consists of small subunit [LiGPPS. SSU1] and large subunit [LiGPPS.LSU]) produces GPP, precursor for all

common monoterpenes. Finally, catalyzing the condensation procedure of IPP (isopentenyl pyrophosphate) and DMAPP (dimethylallyl pyrophosphate) by recombinant GPPS produces GPP. Authors also identified and characterized cDNAs encoding GPPS. Interestingly, *N. benthamiana* based GGPPS (geranylgeranyl pyrophosphate synthase, precursor of diterpenes) can also produce GPP due to modification made by recombinant LiGPPS. SSU1 through interaction. Concentrations of monoterpenes determine the accumulation of lavender essential oils. Finally, they cloned and characterized 2 cDNA encoding monoterpene synthase genes (viz. 3-carene synthase [Li3CARS] and S-linalool synthase [LiSLINS]) of lavender *in-vitro*.

# 8.16 CONCLUSION

Elevated demands of lavender plants throughout the world, motivating entire scientific community for its further improvement. The asexually propagated crops are in high demand than seed derived populations due to the high oil yielding potential of the former one. Further adoption of upgraded harvesting procedures and more advanced targeted breeding programs (using MAS and direct analysis of floral volatiles) should be taken into consideration. Available genomic and molecular marker databases could assist to identify the major bottlenecks of lavender improvements. In fact, SRAP markers as a powerful and efficient tool could be utilized for detailed diversity analysis (like characterization of gene pools and segregating populations, genetic mapping and MAS) of lavender. Moreover, tested SRAP markers could be employed for quality testing of asexually propagated lavender planting materials. It could be suggested that the currently available genetic resources could be used as solid ground for initiation of long-term lavender breeding program.

# **KEYWORDS**

- antimicrobial agent
- aromatic
- electroencephalography
- essential oils

- Lavandula
- phytochemicals
- SRAP
- therapeutic

• herb

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#### α

 $\alpha$ -acoradiene, 47  $\alpha$ -asarone, 39, 59, 60  $\alpha$ -bisabolol, 44  $\alpha$ -cadinol, 44  $\alpha$ -calacorene, 43, 44  $\alpha$ -caryophyllene, 39  $\alpha$ -cedrene, 43  $\alpha$ -cubebene, 89, 229  $\alpha$ -pinene, 46, 246, 248  $\alpha$ -selinene, 44  $\alpha$ -terpineol, 47

# β

β-acoradiene, 47 β-asarone, 40, 56, 59, 60 β-cedrene, 43 β-elemene, 43 β-gurjunene, 43 β-pinene, 39, 46, 185, 225, 228

# γ

γ-asarone, 39 γ-terpinene, 47 γ-terpinenemay, 120

# A

Abdominal pain, 23, 286 Acetate-chloroform extract, 59 Acetic acid, 121, 125, 315, 359 *Achillea*, 1, 3, 4, 6–12 *millefolium* L, 5, 6, 8–10 Acoraceae, 21, 22, 35 Acorine, 39 Acoro, 34 *Acorus*, 21, 22, 24, 28, 34–36, 39, 55, 56, 58–60, 72–75 *americanus*, 28, 34 *calamus*, 21, 22, 24, 28, 34–36, 39, 55–62, 72–75 Adipocyte, 59, 60, 177, 178, 273 Adipose tissues, 59, 177 Adoption, 223, 344, 376 Adverse effects, 57, 59, 317, 338, 367 Agroforestry systems, 298 Ailments, 1, 2, 11, 21, 22, 24, 95, 96, 133, 136, 165, 192, 247, 285, 286, 338, 358 Alcohols, 40, 119, 189, 263 Aldehydes, 40, 116, 119, 226 Alkaloids, 24, 39, 40, 116, 171, 228, 304 Alkamid database, 5, 6 Alkylamides, 5, 6 Allantoin, 171, 172 Allium sativum, 2 Alpha-asarone, 39, 62 Alzheimer's disease, 121, 360, 361 Amino acids, 40, 263 Analgesic, 27, 89, 96, 121, 166, 189, 190, 195, 232, 247, 290, 306, 335, 359, 360, 366 Anodyne, 27, 189 Anthelmintic, 23, 59, 166 Anthemideae, 4-6 Antibacterial properties, 57, 357 Antibiotics, 2, 57, 176 Anticancer, 56, 89, 96, 108, 174, 185, 195, 235, 265, 271, 272, 276, 291, 360 activity, 56, 126, 173, 271 Anticholinergic, 361, 363 Antidiabetic, 56, 121, 272 activity, 56, 177, 272 effect, 242 study, 56 Antidiarrheal activity, 58, 74 Antifungal, 11, 57, 120, 189, 190, 222, 238, 240, 250, 283, 288, 304, 358, 368 activity, 57, 367, 368 Anti-HIV activity, 178, 181 Anti-inflammatory, 4, 5, 8, 10, 12, 57, 58, 89, 96, 118, 120, 121, 165, 166, 171, 176, 177, 181, 185, 189, 190, 195, 231, 247, 250, 268, 270, 276, 283, 287, 289, 290, 335, 369 activity, 8, 57, 121, 118, 268

drugs, 57 properties, 4, 289, 290 Antimicrobial, 11, 57, 89, 91, 93, 96, 119, 120, 124, 175, 176, 185, 188, 195, 237-239, 247, 248, 288, 289, 368, 369, 376 action, 57 activity, 57, 120, 124, 175, 176, 237, 238, 368, 369 agent, 239, 367, 376 bioassay, 57 effect, 237 Antioxidant, 2, 11, 12, 56, 89, 91, 93, 94, 96, 107, 108, 118, 120, 122, 123, 126-128, 166, 171, 172, 175, 178, 179, 181, 185, 189, 192, 195, 232-234, 273, 274, 287, 302, 305 activity, 91, 94, 108, 127, 128, 178, 179, 181, 233, 234, 273 effect, 232 Anti-pancreatitis, 179 Antiparasitic, 125, 235 activity, 125 Antiproliferative, 171, 175, 234 Anti-secretory impacts, 122 Antispasmodic, 8, 10, 23, 72, 335 Antiulcerogenic, 89, 96 Anxiety, 362 Apex, 97, 99, 112, 168, 292, 317 Aphrodisiac, 27, 166, 268, 318 Apigenin, 9, 118, 125, 303 Apoptotic action, 271, 272 Aqueous extract, 95, 116, 122, 124-126, 128, 129, 131, 133, 176, 236, 238, 241, 272 Arachidonic acid (AA), 231 Aromatic, 7, 8, 23, 27, 34, 39, 40, 58, 89, 91, 93, 95, 96, 98, 100, 101, 105, 115, 118, 119, 136, 189, 195, 223–225, 262, 283, 284, 292, 306, 315, 316, 318, 320, 326, 335, 336, 366, 376 odor, 23, 262 plants, 7, 119, 136, 302, 304, 306, 326 Artemisia, 4 dracunculus, 5 Arthritis, 21, 28, 196, 268, 269, 287 Articular cartilage deterioration, 176 Asarone, 24, 39, 40, 57, 59, 60, 62 Asexual propagation, 187, 244, 351

Aspirin, 95, 121, 122, 290 *Asteraceae*, 1, 3–5, 12 family, 3, 5 Asthma, 3, 4, 21, 27, 167, 196, 231, 247, 286, 287 Asthmatic symptoms, 97, 133 Astringent, 10, 185, 189, 262 Atomic force microscopy (AFM), 241 Axillary fascicles, 168, 292 Ayurveda, 23, 73, 74, 89, 106, 116, 136, 262, 275 Ayurvedic, 24, 27, 58, 72, 74, 135, 153, 261, 262, 268, 276, 284, 287, 305 Azadirachtin, 112, 113

# B

Bacterial infection, 57, 242 Basil, 89-102, 104-114, 116, 118-136, 153, 237, 365 leaf extracts, 96, 97 plants, 91, 98, 113, 124 species, 103 Basilica, 90, 91 Bile salt export pump (BSEP), 271 Bioactive compounds, 5, 61, 62, 175 constituents, 1, 2, 5, 263 Bio-prospection, 121, 230, 304 Bleeding on probing (BOP), 241 Blood glucose level, 56, 57 pressure (BP), 132, 247, 366 Blue gum chalcid (BGC), 175, 220 Body mass index (BMI), 132 Bornyl acetate, 263, 304 Botanicals, 9, 10, 224, 225 Botany, 34, 98, 199, 291, 339 Botyrosphaeria sp., 221 Brassica oleracea L., 2 Breeding programs, 186, 187, 243, 244, 375, 376 Bronchitis, 3, 11, 21, 24, 27, 28, 96, 167, 196, 247 Bruises, 11, 335 Bug, 113, 221 Bulked segregant analysis (BSA), 246 Burgeoning demand, 187, 192, 344

#### 390

### С

Caffeate/5-hydroxyconiferaldehyde O-methvltransferase 1 (COMT1), 245 Caffeoyl coenzyme A O-methyltransferase (CCoAOMT), 245 Calamus, 22-24, 27-29, 34-41, 56-63, 68, 72 - 74rhizome, 56, 61, 62 root, 27, 58, 61, 73 vapors, 24 Campanulate, 206, 212 Camphene, 39, 225, 228, 285, 288, 303, 329 Camphor, 39, 94, 100, 101, 103, 116, 120, 190, 248, 286, 304, 315, 317, 324, 329, 335, 338, 354 Cancer cell, 174, 235, 271, 272 lines, 126, 234, 235, 271 Cardioprotective activity, 273, 276 Carminative, 23, 27, 286, 335, 337 Caryophyllene, 39, 116, 118, 120, 227, 229, 315, 329, 335 Catharanthus roseus, 97 Central Drug Research Institute (CDRI), 270 Institute of Medicinal and Aromatic Plants (CIMAP), 131, 275, 317, 320, 349 nervous system (CNS), 24, 60, 89, 96, 123, 177, 231, 303, 362 Cerebrospinal FLUID (CSF), 236 Chemical components, 35, 40, 125, 222, 226, 358 constituents, 100, 103, 131, 145, 170, 188–190, 195, 196, 226, 246, 248, 263, 349, 354, 368 Chemomodulatory, 89, 96 Chlorhexidine (CLX), 134, 239, 241 Cholesterol, 122, 128, 170, 171, 175, 263, 270-273, 290 Cineole, 39, 94, 120, 146, 185, 189, 190, 194-197, 210, 211, 225-228, 230-232, 234, 237, 238, 242, 246-248, 285, 294, 303, 315, 317, 329, 335 Cinnamate 4-hydroxylase (C4H), 245 Cinnamon, 22, 23, 27, 90, 119, 368 Citrus limonum, 2 sinensis, 2

Clinical attachment level (CAL), 241 Clonal propagation, 215, 295, 296 Commiphora wightii, 261, 262, 276 Composition, 24, 93, 195, 285, 329 Conical, 205, 206, 211, 212 Conjunction, 59, 62 Constipation, 27, 287 Continuous passive motion (CPM), 247 Convention on international trade in endangered species (CITES), 274 Convulsions, 28, 123 Coronary, 62, 273 Corymbia, 204, 205, 208, 211 Cosmetic, 91, 93, 96, 185, 276, 283, 304, 305, 318, 325, 335, 337, 344, 370 Cough, 21, 24, 96, 97, 196 Coumarins, 1, 329 C-reactive protein (CRP), 247 Cucumis melo, 2 Cuisines, 91, 119, 286, 336 Culinary, 7, 89-91, 93, 94, 96, 100, 102-107, 115, 116, 118, 129, 187, 243, 283, 285, 286, 293, 301, 302, 306, 316, 317, 319, 325, 327, 336, 338, 342-344, 348, 351, 353, 372, 375 lavender, 336 use, 336 Cultivars, 35, 103, 209, 211, 292 Cultivation, 7, 12, 24, 37, 91, 98, 107, 112, 116, 187, 189, 213–215, 250, 275, 284, 315, 316, 319-323, 325-327, 344-350 Cyclin-dependent kinase (CDK), 272 Cyclooxygenase-2 (COX-2), 177, 269, 270 Cyclopropyl, 315, 330 Cylindrical, 22, 34, 35, 206, 207, 213, 292 rhizome, 34 shaped, 34 Cymose nature, 204 Cytological features, 103 Cytotoxic effect, 234 Czech, 24-26

# D

Days after planting (DAP), 37, 114, 353, 354

- Decoction, 10, 95–97, 286
- Decussate, 201, 204
- Delayed-type hypersensitivity (DTH), 127

Depression, 62, 97, 133, 288, 303, 358–360, 362, 363, 366

Deteriorate, 28, 131, 224, 302, 354 Diabetes, 56, 272 Diaphoretic, 10, 27, 189 Diarrhea, 10, 11, 21, 28, 58, 166, 177 Digestive problems, 11, 27 system, 2, 24 Dimension, 202, 217 Dimethylallyl pyrophosphate (DMAPP), 376 Dimethylamin, 315, 330 Dioscorea, 165-172, 174, 178-181 Diosgenin, 165, 166, 171, 172, 174-178, 180 Diseases, 113, 220, 221 Dispersed, 23, 91 Dispersion, 170, 370 Distillate water, 224, 358 Distillation procedure, 225, 321 process, 115, 130, 224, 225, 354, 358 Distinctive, 23, 170, 194 Distribution, 5, 91, 92, 165, 180, 181, 188, 192, 193, 198, 243, 315, 326, 327, 351 Diterpenes, 1, 270 Diterpenoids, 172, 263 Diuretic, 10, 166, 268, 286, 335 Diverse, 3, 11, 23, 40, 56, 116, 119, 294, 304 Diversity, 1, 3, 90, 100, 116, 120, 181, 199, 214, 243, 244, 292, 323, 373, 374, 376 DNA fragmentation, 127, 234, 235 Dorsal, 90, 200, 221, 342 surface, 342 Douche, 10 Doxorubicin, 174 Dried buds, 336 flowers, 355 Drip irrigation system, 216 Drought tolerant, 216 Drug resistance, 57, 59 Dysentery, 23, 58, 96, 97, 166 Dysmenorrhea, 11, 366 Dyspepsia, 1, 11, 21, 24, 28, 167, 286, 367

# E

*Echinacea*, 3–5, 9 *angustifolia*, 5 extracts, 4 *pallida*, 5 *purpurea*, 5 species, 3 Eco-friendly nature, 193, 225 Economic measures, 224 Eczema, 21, 363, 364 Edema, 27, 246 Ehrlich ascites carcinoma (EAC), 235 Electroencephalography (EEG), 123, 359, 365, 376 Embryo, 170 Emmenagogic agents, 10 Enclosed valves, 207 Endocannabinoid system (ECS), 5 Endodermal cells, 23 Endothelial tyrosine kinase, 177 Enormous, 3, 21, 23, 90 Enzyme, 4, 126, 128, 174, 178, 246, 270-272, 284 Epilepsy, 24, 28, 60, 286, 336, 365 Epistasis, 244 Erect, 34, 100, 106, 206, 211, 342, 350, 371, 373 Ergostanol, 170 Erysiphe cichoracearum, 221 Escherichia coli, 124, 145, 175, 237-240, 288, 357, 369 Essential oil (EO), 9, 24, 27, 39, 56, 61, 62, 72, 73, 89, 91, 93-98, 104, 106, 107, 114–116, 118, 120, 121, 123–126, 129, 130, 133, 135, 137, 145, 153, 185, 186, 188-196, 210, 222-228, 232-235, 237-240, 248, 250, 263, 284, 285, 293, 295, 300, 302-305, 315-328, 330, 334, 335, 338, 342-345, 348-370, 374-376 Esters, 40, 119, 189, 196, 227, 315, 329 Ethnobotanical records, 3, 4 reports, 3, 4 uses, 285 Ethnomedical uses, 286 Ethnomedicinal, 1, 3, 12, 97, 186 uses, 1, 3, 12 Ethnopharmacological, 286 Ethyl, 59, 124, 173, 178, 179, 229, 235, 236, 269, 270, 283, 285, 288-291, 294, 296, 303, 304, 306 cinnamate, 283, 288, 289, 304, 306 p-methoxy-cinnamate, 283, 288, 304, 306 Eucalypt trunks, 201

# 392

Eucalyptus, 185-194, 197-200, 204, 206, 208-211, 213-222, 224, 225, 227, 228, 230, 233-235, 237, 240, 246, 249, 250 bicolor, 211 camaldulensis, 209-211, 227, 230, 233-235, 237 coolabah, 213 farming, 213, 215 globulus, 195, 196 griffithsii, 212, 227 incrassata, 209, 212, 227 oil, 194, 196, 197 plantation, 198, 215 progenies, 243 torquata, 209, 213 tree, 218 Eugenol, 39, 40, 62, 89, 91, 93, 94, 103, 104, 116, 118, 120, 131, 143, 145, 146, 263 basil, 100 Eukaryota, 35 European Chemical Agency (ECHA), 305 triploid type, 24 Evolutionary components, 5 Exhaustion, 27 Expectorant, 23, 27, 58, 189, 286, 287 Expressed sequence tag (EST), 188, 373-375 Exsert valves, 207 Extracellular, 176 Extraction methods, 224 procedure, 223

# F

Farmyard, 36, 299–301 Farnesoid X receptor (FXR), 271–273 Fatigue, 27, 96 Fatty acids, 39, 40, 116, 118, 129, 196, 222 Febrifuge, 27, 189 Feminine, 10 Fenofibrate treatments, 122 Fertility, 128 Fertilization, 346 Feruloyl, 9 caffeoylquinic acid, 9 Fiber patches, 23 Fibrous roots, 39, 292 texture, 201 Field culture, 352 Fingerprinting, 244 Flatulence, 1, 28, 95 Flavonoids, 1, 8, 24, 123, 128, 170, 185, 222, 225, 226, 263, 267, 291, 304 Flotation, 197 Foliage, 96, 105, 107, 125, 189, 202, 217, 219, 221, 316, 343, 344, 352, 369, 371, 372 Food preparations, 27, 93 Fragrant, 27, 99, 107, 120, 197, 248, 317, 336-338 Free radicals, 56, 91, 93 Furostarol, 170

# G

Gall bladder disorders, 28 Garlic, 2, 97, 106, 119 Gastritis, 246 Gastrointestinal, 10-12, 58, 95, 286, 367 effects. 230 issues, 10 Gene bank collection, 24 Generally recognized as safe (GRAS), 95 Genetic diversity, 243 dominance, 244 Genus, 4, 6, 8, 9, 90–92, 102, 165, 167, 168, 170, 185, 188, 190, 225, 244, 283, 292, 316, 339, 340 Achillea, 6 Geographical source, 103, 168 Geraniol, 94, 120, 225, 263, 329 Geranyl pyrophosphate synthase (GPPS), 375 Geranylgeranyl pyrophosphate synthase (GGPPS), 376 Germination, 7, 108, 275, 343, 351, 352, 367, 368 Gingival index (GI), 241 Global biodiversity information facility, 34 trade, 8 Globose, 102, 168, 372 Globular, 206, 212, 292 Glucokinase (GK), 122, 178

Glucose, 171 Glutathione S-transferase-pi (GSTP1), 126 Glycomimetics, 174 Glycoside, 27, 39, 40, 116, 170, 171, 225, 226, 267 Golden pheasant, 36 Gonorrhea, 176 Gouging, 217 Grading, 355 Gramineus, 35, 36 Gram-positive, 175 Green hue, 217 Grey-green foliage, 343 Grindelia, 3 Guggul, 74, 261–276 Guggulipid, 270, 273 Guggulsterones, 269-274, 276

### Η

Hakuro-nishiki, 36 Hallucinogenic, 27 Hardy lavenders, 342 Harvest, 28, 37, 108, 114, 115, 130, 222, 299, 301, 302, 321, 349 Hazardless construction, 224 Headache, 1, 27, 96, 97, 196, 287, 290, 319, 337 Healthy volunteers, 62 Heavy metal accumulators, 8 Heleniums, 3 Helminthiasis, 59 Hemagglutination (HA), 127 Hemispherical, 206, 211-213 Hemorrhage, 10 Hemorrhoids, 11, 166 Hepatitis C virus (HCV), 175 Hepatocellular carcinoma (HCC), 174, 175 Hepatoma-derived cell line (HepG2), 127 Heptanoic acid, 315 Herb, 7, 21–23, 27, 35–38, 57, 60, 89–96, 98, 100, 106, 112, 114, 115, 118, 129, 130, 153, 167, 283, 291, 315, 317, 336, 338, 376 Herbaceous perennial plant, 74 Herbal drug, 12, 27 Herbivorous, 5 Heredity materials, 214 Herniarine, 315

Heterozygosity, 244 Hexane, 124, 146, 223, 236, 289, 354, 370 Hexokinase (HK), 122 Hexose, 171 High-density lipoproteins (HDLP), 290 plantations, 217 Histopathological improvements, 122 Historical uses, 318 Homeopathic cure, 28 Homo-neanderthalensis grave, 10 Hues, 90, 99, 103, 114, 200, 201, 324 Human chronic myeloid leukemia, 175 colorectal cell lines, 56 studies, 362 tumors, 175 Hybrid cultivation, 213 Hybridization, 102, 244, 325, 348 Hydro-distillation, 224 Hydroxycinnamoyl, 9 Hypanthium, 204-207, 211-213 Hypertension, 11, 192, 247, 287 Hyphal growth, 367 Hypoglycemic, 56, 171, 178, 185 action, 56, 185 Hypolipidemic, 59, 60, 89, 96, 171, 178, 270, 273, 276 activity, 59, 270, 273 efficacy, 59 Hypotensive, 27, 335 Hypothesized, 5, 171

# I

Immunoglobulin, 362 Immunological activity, 176, 181 exercises, 9 Immunomodulation, 133 Immunomodulatory, 5, 12, 61, 127, 176, 262 activity, 127 Immunosuppressant, 61 Importers, 24, 93, 194 Individual drug, 175 Indomethacin, 122, 178 Industrial uses, 337 Inebriated, 10 Inexpensive, 166, 224

#### 394

Inferior quality timber, 214 Inflammation, 1, 3, 57, 58, 133, 177, 178, 231, 246, 261 Inflorescence, 97, 99, 204, 211-213, 317 Inhibitory concentration (IC), 126, 178, 179, 233-236.239 effect, 4, 121, 176, 179, 238, 240, 288, 300 Insect repelling activity, 24 Insecticidal, 61, 235, 289 activity, 61, 62, 74 Insect-pests, 220 In-situ, 131, 275, 321 Institute of Roses and Aromatic Plants (IRAP), 348, 351 Insulin, 56, 121, 122, 132, 178, 272 Intellectual property rights (IPRs), 136 Intercrop, 112, 215, 298 Intermediate leaves, 202 Internal market, 24 International code of botanical nomenclature (ICBN), 102 market, 120, 284, 324, 326, 327 Union for Conservation of Nature (IUCN), 166, 261, 274 Internode, 203 Inter-specific hybridization, 244 Intoxicating scent, 197 Intracellular superoxide anion, 176 Intra-marginal vein, 203, 204 Intraperitoneal acetic acid, 231 Intra-peritoneal application, 361 Intra-plantar, 231, 360 In-vitro, 1, 57, 61, 175, 180, 231, 360, 376 In-vivo, 1, 180 Iris, 34 Iron phosphate, 37 Ironbark type, 201 Irrigation, 37, 109, 111, 298, 347 management, 216 Isolated, 4, 5, 9, 28, 59, 118, 179, 227, 235, 263, 265, 266, 268, 290, 303 Isomerization, 172 Isopentenyl pyrophosphate (IPP), 376 Isoprene, 40 Isothymonin, 118 Isothymusin, 118

### J

Jaccard's coefficient analysis method, 243 Jasmonic acid (JA), 94 Jungles, 3 Juniper, 119, 365 Juvenile foliages develop, 202 leaves, 112, 201, 202, 212, 222, 227, 342, 336 stage, 202, 203, 211, 212

# K

Kaempferia galanga, 283–285, 287–294, 299, 300, 302–306 Kaempferol, 172, 283, 288, 291, 293, 306 Ketones, 40, 119, 142, 196, 225, 315 Kidney, 11, 21, 95 Klebsiella, 237 pneumoniae, 57, 238, 288

# L

Lactone rings, 40 Lamiaceae, 89, 90, 98, 101, 127, 130, 315, 316, 374, 375 Lamiales, 101 Lanceolate, 202, 212, 213 Land preparation, 110, 215 Landscaping industry, 217 Larvae, 113, 126, 220, 236, 237 Larvicidal, 125 activities, 89, 96 Lateral veins, 203, 204 diverge, 203 Lavandula, 315, 316, 320, 324, 326, 328, 339, 353, 356–358, 363, 371, 374–376 Lavender, 315–324, 326–328, 330, 336-339, 342-346, 348, 349, 353-359, 361-367, 369, 373 blooms, 321, 327, 336, 344, 355 buds, 336, 337, 354 cultivation, 320, 321, 323, 326, 349, 350 essential oil, 356, 369 field, 336, 352 flower stalk, 321 genomic resources, 373 improvement, 348, 373 odor, 364-367

oil, 317, 319-330, 335, 337, 338, 350, 357-370.375 production, 357 plants, 319, 345, 346, 368, 376 species, 316, 323, 324, 339, 342, 344, 353 Leaf edge, 203, 204 faces, 203 rollers, 112, 113 spot, 38, 113 Lemon essential oils, 366 Lepidocephalus, 38 Lesbos, 105 Leucas indica, 97 Leukotriene amalgamation, 4 Level valves, 207 Lignans, 266 Lignotuber, 201, 218 Liliaceae, 2 Limonene, 91, 93, 94, 105, 185, 195, 196, 211, 225, 248, 263, 315, 329 Linalool, 93, 94, 100, 103, 104, 106, 116, 118-120, 125, 146, 185, 195, 248, 263, 315, 317, 324, 325, 329, 334, 335, 338, 349, 354, 356, 359, 360, 362, 368, 369, 376 Linalyl acetate, 315, 317, 325, 329, 335, 338, 348, 349, 355, 356, 359, 360, 362, 368 butyrate, 355 Lipid, 268 levels, 132, 270, 273 metabolism disorders, 270 Lipolysis, 59, 273, 361 Lipophilic, 9 Lipopolysaccharides (LPS), 61, 179, 180, 242 Locules, 168, 292 Long diosgenin therapy, 177 Long-fibred brown bark, 201 Longitudinal, 204 Loppers, 217 Lorazepam, 362 Low-density lipoprotein (LDL)-cholesterol, 122 Lower temperate latitudes, 3 Lozenges, 196, 230 Lung resistance, 4 Luteolin, 4 Lycopene, 2

### Μ

Macro-parasitic worm disease, 59 Magnoliopsida, 101 Malarial vector, 125 Malignant growth, 2 Mallees, 199 Malus domestica, 2 Marjoram, 119, 365, 366 Marker-assisted selection (MAS), 242, 244-246, 350, 373, 376 Marshes, 28 Mast cells, 177, 361 Matrix metalloproteinase 9 (MMP-9), 175, 272 Maturing time, 354 Meagre quantity, 118, 315 Mealy bugs, 38 Medication, 2, 7, 10, 56, 125, 180, 318, 365 Medicinal plant, 1, 2, 4, 5, 8, 58, 59, 136, 153, 166, 234.366 uses, 286 value, 23 Menstrual bleeding, 10 problems, 11 Meristems, 215 Metabolic conditions, 62 disorders, 131 Metabolize pyrrolizidine alkaloids, 5 Metaldehyde, 37 Methanecarboxylic acid, 315, 330 Methanolic extract, 56, 58, 124, 125, 230, 232, 233, 236–238, 240, 290, 304, 360 Methicillin-resistant staphylococcus aureus (MRSA), 357, 367 Methyl cinnamate, 91, 93, 94, 100, 103, 104, 120, 146, 190, 211, 225, 285 basil, 100 eugenol, 89, 116, 120 heptanone, 263 parathion, 38 thiazol tetrazolium (MTT), 127, 235, 236 Micropropagation, 187 Microsatellite, 244 markers, 243, 244

Midrib, 203, 204, 220 Mildly tonic, 27 Minimum inhibitory concentration (MIC), 125, 176, 230, 238-240, 357, 369 Minimus aureus, 36 Mitogen-activated protein kinases (MAPK), 174, 176, 233, 271 Modern extraction technologies, 350 Molecular targets, 22, 276 Monocalyptus, 204-206, 208 Monochasial, 204 Monocotyledonae, 35 Monocyclic, 40 Mono-floral honey, 336 Monoterpene, 1, 9, 39, 40, 120, 185, 195, 225, 226, 232, 376 alcohol linalool, 91, 93 Monoterpenoids, 263 Monotherapy, 62 Monsoon plantation, 215 Mood modulator, 366 Morphological, 24, 91, 102, 103, 119, 237, 292.324 characteristics, 25 Mucous, 4 Mulching, 111, 112, 347, 353 Multi-branched, 342 Mustard, 119 Mycosphaerella sp., 221 Myocardial infraction (MI), 122

# Ν

Narrow-lanceolate, 211 Nasal effect, 242 National level data, 284 Medicinal Plants Board, 275, 284 Nematodes, 59, 306 Neoplasms, 290 Nerve growth factor (NGF), 179 Nervous system, 23, 27, 60, 361 Neuralgia, 21, 28, 196 Neuro protection, 60 protective component, 361 toxic conditions, 60 Neurocognition, 132 Neuroimaging, 364

Neurological disorder, 365 Neuronal function, 60 Neuroprotection disorders, 62 Neuroprotective activity, 60, 179, 181 Nitric oxide (NO), 61, 121, 127, 128, 143, 179, 180, 270 Nitrogen, phosphorus, potassium (NPK), 37, 275, 299, 301 treatments, 299 N,N-dimethyl methanesulfonamide, 315 Non-opioid mechanism, 231 Non-steroidal anti-inflammatory drug (NSAIDS), 57 Non-volatile residue, 222 Normalize appetite, 28 North India (NI), 109, 215, 341 temperate zone, 99 Nuclear, 90, 130, 235, 274, 361, 375 factor erythroid 2 (NFE2), 274 Nurns, 337 Nutraceuticals, 153 Nutrient management, 37, 109, 216, 298

# 0

Obesity, 62, 132, 177, 268, 270 Oblique, 204 Oblong, 22, 201, 202, 292, 343 Obscure, 212 Obtrusive, 3 Ocimum, 89-91, 99, 101-104, 107, 109-111, 114-116, 118, 130, 132, 137, 145, 147, 153 sanctum, 107, 109-112, 114, 115, 147, 153 Odor, 23, 28, 93, 100, 105, 115, 248, 268, 324, 358-361, 363-367 Ogon, 36 Oil distillation facilities, 321 extraction techniques, 350 qualitative, 345 Oleo-gum resin, 261-263, 275 Oleoresin, 23, 295, 298, 299, 304 Operator, 61 Operculum lying, 204 **Opportunities**, 373 Optimum soil moisture tension, 216

# Oral health, 97, 153 hygiene, 27 practice, 10 Orange essential oils, 366 Oregano, 119, 322

Oregano, 119, 322 Organic fertilizers, 108 matter content (OMC), 345 Ornamental, 7, 8, 91, 96, 106, 107, 214, 320, 327, 343 Osmoprotectants, 9 Osmosis, 224 Osteoarthritis, 176, 177, 246 Osteoporosis, 181, 246 Ovate rhombic, 342 Ovules, 168, 208 Oxalic acid, 27 Oxidative stress, 12, 232, 273

# Р

Pacific region, 190 Paprika, 119 Parched, 3 Parsley, 119 Patent, 74, 136, 180, 305, 370 Pathogens, 57, 120, 124, 175, 176, 197, 288, 300, 344, 368 Pedicel, 199, 205-207, 213, 350 Penniveined, 203, 204 Pentacyclic terpene, 40 Pentadecanhoic acid, 315 Pentose, 171 Pepper, 97, 119 Peppermint, 201 Perceptive medication, 10 Perennial, 6, 21, 34, 35, 74, 90, 98, 101, 102, 168, 291, 315, 317 herbaceous vine, 21 Perillyl alcohol (POH), 359 Peroxisome proliferative-activated gamma receptor (PPARy), 178 receptor (PPAR), 272 Perpetual species, 3 Persian literature, 10 Persistent bark, 200 Pesticidal activities, 369 Pests, 112, 220

Petroleum ether, 62, 179, 237, 288, 354 Phagocytic action, 127 Pharmaceutical, 62, 129, 181, 242, 250, 320, 337 products, 91, 93, 192 Pharmacological, 5, 9, 22, 23, 40, 136, 172, 185, 195, 250, 268, 276, 284, 285, 288, 291, 369 activity, 5, 40, 173, 268, 276, 284, 288 agents, 172 effects, 230 properties, 121 Phellandreneand piperitone, 197 Phenolic, 108, 128, 170, 227 acids, 1, 91, 93 Phenols, 24, 40, 116, 119, 171 Phenotypically, 24 Phenylalanine, 39, 245 ammonia-lyase (PAL), 21, 245 Phenylpropanoids, 39, 40, 120, 130, 131 Phosphofructokinase (PFK), 122 Phyllotaxy, 99, 100, 199, 212, 342 Phylum, 35, 101, 167 Physiological side effects, 4 Phyto- constituents, 39 Phytochemical, 4, 5, 58, 61, 91, 93, 118, 136, 166, 170, 195, 356, 376 analysis, 5, 116 groups, 5 studies, 1, 39 Phytochemistry, 3, 8, 12, 170, 261, 263 Phytoconstituent, 4, 55, 116, 133, 225, 303 Phytomolecules, 89, 130, 153 Phytoremediation, 8, 22 Pierre green, 36 Pigment content, 108 Pinching, 112 operation, 112 Pinkish tinge, 23 Pittsburgh sleep quality index (PSQI), 365 Plaguing, 136 Plant animal, 5 microbe interactions, 5 taxa, 5 tissues, 171, 215, 224 variety protection and farmers right (PVPFR), 186

#### 398

Plantae, 35, 101, 167, 208 Plantation, 7, 23, 37, 187, 189, 198, 213-216, 222, 298, 321, 346 areas, 190 management, 214 Planting, 37, 109, 215, 298, 346 date. 346 density, 346 time, 109 Plaque accumulation (PLA), 241 index (PI), 133, 134 Plasma, 56, 175, 177, 232 Plumajillo, 10 Pneumococcus, 338 Pneumonia, 3, 10, 175, 176, 238, 240 Pneumonic conditions, 4 Pollens, 9, 188 Pollinated buds, 336 Polyacetylenes, 4 Polymerase chain reaction (PCR), 234, 242, 245 Polymorphic evaluation, 243 Pongamia pinnata, 36 Portable nature, 224 Portrayed, 10, 40, 58, 62 Post-harvest handling, 38, 302, 354 Pot marigold, 3 Potent biologically active molecules, 266 Potential biomolecules, 131, 246 Powdery mildews, 221 Power-operated equipment, 39 Preadipocytes, 59 Pre-clinical studies, 131 Predominant chronic musculoskeletal disorder, 246 Pregnanol, 170 Preliminary phase, 37 Pressure fluctuations, 224 Principal component analysis (PCA), 118 Prior, 37, 110, 198, 204, 215, 224, 247, 262 Probing depth (PD), 241 Processing techniques, 115, 129, 222, 242 Profound scope, 24 Profusion, 4 Progenitors, 243, 246 Progesterone, 263 Pro-inflammatory, 174, 269

Propagation, 36, 108, 170, 186, 187, 214, 215, 275, 295, 296, 300, 324, 327, 348, 351.352 Propane chemicals, 315 Propanoic acid, 118 Prostaglandin E2 (PGE2), 180, 231 Prostate cancer (PC), 175, 271 Protein kinase A (PKA), 176, 234 Protozoa, 175 Protrusions, 217 Pruning, 216-220, 299, 347, 348, 352, 353 Pseudococcus, 38 Pseudomonas, 237, 300 aeruginosa, 57, 145, 237, 240, 288 Psycho-somatic ailments, 24 Pulmonary, 3, 5 aid, 5 illnesses, 3 species, 5 symptoms, 4 treatments, 5 P-vinylguaiacol, 315, 330

# Q

Quantitative trait locus (QTL), 245, 246 mapping, 244 Quaternary ammonium moiety, 9 Quench, 91, 93 Quercetin, 178, 185, 227, 267 Quinalphos, 38 Quinic acid, 9 Quinones, 170

# R

Radiation, 118, 348 Rahmnose, 171 Rainfall, 345 Rationale, 3, 350 Reactive oxygen species (ROS), 119, 286, 361 Recalcitrant, 214 Receptor, 5, 123, 177, 269, 361 activator of NF-κB ligand (RANKL), 270 Recombination techniques, 244 Red blood cells (RBC), 129 imported fire ant (RIFA), 62

#### 400

Redox, 91, 93 Reestablishment, 202, 351 Reinforcement, 2 Rejuvenating, 23, 166, 262 brain, 23 Relative humidity (RH), 107 Repellent, 8, 62, 125, 189, 195, 196, 237, 248, 289 effects, 235 Rescue, 37 Reserpine, 122 Respiratory diseases, 3 Resurrection, 136, 284, 321 Reunion basil, 100 Reversion shoots, 201, 202 Rheumatic complaints, 11 pain, 10, 28, 94 Rheumatoid arthritis, 177 Rhizome, 21–23, 27, 34–38, 40, 56–62, 171, 179, 284-288, 290, 292-306 formation, 34, 295 planting materials, 298, 299, 301 powder, 27 Rhytidome, 200 River edges, 28 Root collar, 218 Rootstock, 295 Rosemary, 119, 336, 337, 343, 359, 363, 364, 366 Rosmarinic acid, 118, 145 Rotarod activity, 361 Rough stream, 6

# S

Safer alternative therapeutics, 22 Safety, 135, 367 Sage, 119, 366 Saline, 107, 111, 134, 213, 226 soils, 111, 213 Salmonella typhi, 57, 145, 176, 238, 240, 288 Sandy, 213, 275, 345 Saponins, 24, 58, 59, 116, 118, 166, 170–172, 179, 226, 304 Sapwood, 221 Savory flavors, 336 Scab, 113 Scalds, 337 Scanning electron microscope (SEM), 235, 240Scape, 22 Schistosoma worm's typography, 235 Scots language, 6 Secondary plant metabolites, 39 veins, 34 Sedative, 11, 23, 27, 189, 283, 288, 318, 323, 335, 359, 360, 365 Seed propagated plantations, 214 propagation entails, 214 Seedling leaves, 201 Segregate, 243, 244 Semiarid locales, 3 regions, 186 Semi-perennials, 168 Sequenced characterized amplified region (SCAR), 246 Sequence-related amplified polymorphism (SRAP), 316, 374-376 Serotonin, 122 Sesquiterpene, 1, 9, 39, 40, 94, 120, 185, 195, 225, 226 lactones, 4, 9, 40 Sesquiterpenoids, 39, 40, 263, 315 Sharp bypass-style secateurs, 217 Sheep red blood cells (SRBCs), 127 Shikimate-phenylpropanoid pathway, 9 Shrubs, 102, 218 Siddha medicine, 24 Simple sequence repeat (SSR), 130, 186, 244, 245, 250, 373-375 Sinusitis, 21, 28, 196, 222, 247 Skin eruptions, 28 health, 134 Slovenia, 24 Slug damage, 37 Snakebite, 11 Soil analysis, 345 condition, 107 nutrient applications, 299 preparation, 345

requirements, 345 texture, 345 Solitary polygonal crystals, 23 prominent mid vein, 34 Solvent extraction, 354 Spasmodic diseases, 1 Specimen tree, 217 Spermatophyta, 35, 208 Spice, 10, 27, 105, 106, 285, 286, 336 Spicy flavor, 23, 27, 105 Sprouted rhizomes, 36, 37 Stamens, 205 Staminal ring, 206 Staphylococcus aureus, 57, 145, 175, 237-240, 288, 369 Starch grains, 23 Statistics, 92, 193, 194, 242, 284, 319, 325 Steam distillation, 223, 224 method, 223 Stellar area, 23 Steroid, 40, 165, 166, 171, 172, 176, 177, 180, 196, 263, 271 Steroidal, 57, 170, 171, 174, 179, 261, 263 compound cancer therapy, 173 hormones, 171 Sterols, 1, 39, 170, 222, 263, 304 Stigmastarol, 170 Stimulant, 27, 58, 89, 95, 96, 189, 286 activity, 122 Stomachic, 27, 287, 335 Storage, 38, 302, 355 Stout, 168 Strategies, 323, 349 Streptococcus, 134, 288, 289, 338, 357, 369 Streptozotocin, 56, 121, 177, 272 Sub-chronic toxicity, 129 Subcritical-water extraction (SWE), 225 Subero-phellodermal layer, 200 Subgenus fabrica, 340 Subphylum, 35 Subtropical, 3, 28, 36, 168, 186, 187, 284, 288 areas, 101 Supercritical fluid extraction (SFE), 223, 225, 233 Superior eucalypt genotypes, 214 Superoxide, 128, 176, 179 Supplemental merits, 224

Sweet cane, 34 flag, 22, 23, 34, 36, 37, 40, 57–60, 62, 74 leaves, 34 rhizomes, 40, 59 Symphyomyrtus, 204–206 Sympodial, 34, 292 Synovial inflammation, 176, 177 Syphilis, 166, 176 Systolic blood pressure (SBP), 232, 358

# Т

Tannins, 24, 116, 118, 123, 170, 171, 225, 226, 228, 315 Taraxacum officinale, 3 Taxonomic status, 339 Taxonomically laminas, 90 Taxonomy, 102, 104, 118, 181, 292 Technology transfer, 74, 305 Tender, 27, 98, 113, 336, 343, 344 inflorescence, 27 lavenders, 343 Terminal raceme, 99 Termites, 221 Terpene, 40, 124, 374 Terpenoidal constituents, 263 Terpenoids, 1, 8, 91, 93, 123, 131, 185, 189, 198, 225, 226, 263 Tertiary veins, 34 Testicular toxicity, 128 Tetra locular fruits, 212 Tetraploid, 9, 28, 39 Texture glaucous, 211 Thai lemon basil, 105 Thaumastocoris peregrines, 221 The Australian Lavender Growers Association (TALGA), 326 T-helper cells, 133 Therapeutic, 3, 4, 6, 9, 21, 22, 56, 58, 89, 95, 96, 130, 131, 153, 165, 166, 171, 173, 185, 189, 193, 231, 262, 268, 274, 276, 315, 338, 358, 359, 369, 376 applications, 153 efficacy, 21 properties, 136 uses, 337 Three flowered axillary cyme, 204

petal corolla, 168 sepal calyx, 168 Tidal volume, 4 Tissue culture, 352 Toothache, 11, 27, 28, 287 Total calories intake (TCI), 132 cholesterol, 122 flavonoids, 108, 227 knee replacement (TKR), 246, 247 phenolics, 108, 233 Toxicity, 303 Trade, 7, 23, 92, 192, 284, 322 Traditional medicine, 2, 3, 5, 12, 23, 74, 166, 248, 286, 318 Transplantation, 108, 110, 216, 351 Tricyclic, 40 Triglycerides level, 122 Triploid, 24, 39 Triterpene, 1, 24, 265 glycoside, 39, 40 Triterpenoid, 265 saponins, 39, 40 Tropics, 190 Trunk, 187, 189, 200, 202, 211, 213, 217-219 Trychosanthes diocia, 97 Tuberculosis, 11, 125, 239 Tulsi lace wing, 112

# U

Uniform biomass production, 187 Unique habit, 217 role, 2 Unsaturated, 8, 9, 40 fats, 8 Unusual feminine dying, 10 Unweighted pair group method with arithmetic mean (UPGMA), 243, 244 Urceolate, 206, 207 Uterine discharge, 11

# V

Vacuum distillation, 225 Vaginal discharge, 337 Value additions, 119, 304, 306 Vanilla pods, 27 Vanomycin-resistant Enterococcus faecalis (VRE), 367 Varicose veins, 11 Variegatus, 35, 36 Vascular cell adhesive molecules (VCAM-1), 176 smooth muscle cells (VSMC), 176 Vegetative reproduction, 170 Venation, 203 Ventral surface, 91, 99, 112, 113, 342 Vermifuge, 27, 95, 189 Vinegars, 119, 319 Visual analog scale (VAS), 247 Volatile compounds, 94, 130, 323, 358 fraction, 222 medicinal, 24 oil, 39, 99, 118, 119, 195, 224, 230, 237, 263, 293, 303

# W

Water distillation, 224 Weed control, 216, 347 Western cultures, 10 ghats, 165, 341 medicine experts, 24 Wetland, 28 monocot, 21, 74 White blood cell (WBC), 127, 247 Wide assortment, 3 Wilt, 113 Winter bronzing bug, 221 World eucalyptus, 192 Health Organization (WHO), 2, 3, 136, 166, 369 lavandula oil markets, 324 renowned medicinal plant species, 2 Trade Organization (WTO), 136

# X

Xanthone glycoside, 40 Xenobiotics, 126 Xenotransplanted mice, 2334

# Y

Yarrow, 1, 3, 4, 6, 8–11 Yield, 9, 22, 38, 93, 94, 106, 110–112, 114, 115, 130, 131, 187, 188, 213, 215, 216, 218, 222, 224, 226, 248, 275, 293–295, 297–302, 319, 321, 323–326, 329, 344, 346, 348–350, 352–356

# Z

Zebrafish, 291 Zero side-effects, 192 Z-guggulsterone, 263 Zimbabwe, 230 Zinc (Zn), 107, 111, 294, 295 Zingiberaceae, 283, 284, 286, 292, 295, 306 Zinnias, 3

# Advances in Medicinal and Aromatic Plants

Production, Processing, and Pharmaceutics

VOLUME 2



Amritesh C. Shukla | Sunita Facknath Debashis Mandal | Bernadette Montanari Editors



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Edited by Amritesh C. Shukla, PhD Sunita Facknath, PhD Debashis Mandal, PhD Bernadette Montanari, PhD



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# Contents

Со	ntributorsxiii
Ab	breviationsxv
Pre	efacexxi
1.	<i>Mentha</i> <b>spp.:</b> A Miraculous Plant with Pharmaceutical Uses1 Ankita Maurya, Richa Sharma, Himanshu Yadav, Debashis Mandal, and Amritesh C. Shukla
2.	Neem: The Drug Cabinet of Mother Nature
3.	Patchouli ( <i>Pogostemon cablin</i> Benth.): A Comprehensive Review on the Medicinal Aromatic Plant with its Industrial Utilization131 Sonia Singh, Shilpi Pathak, Nidhi Sharma, and Nitin Agrawal
4.	Pippali ( <i>Piper longum</i> L.): A Plant with Versatile         Pharmaceutical Uses
5.	Pomegranate ( <i>Punica granatum</i> ): A Medicinal and Commercial Perspective
6.	Rhubarb ( <i>Rheum</i> sp.): A Rare and Endangered Medicinal Plant of the Himalayas241 Meenakshi Thakur
7.	<b>Rose (</b> <i>Rosa</i> <b>sp.), More Than Just Beautiful: Exploring the</b> <b>Therapeutic Properties of the Rose Species263</b> Naoko Takahashi
8.	Safed Musli: A Critical Review on its Bioactive Compounds, Medicinal Properties, and Biological Activity

9.	Bishop's Weed or Ajwain ( <i>Trachyspermum ammi</i> Linn.): A Traditional Medicinal Plant with Pharmacological Importance, Ethno-Botanical Uses, and Nutritional Benefits for Quality
	Life Improvement
	Somenath Das
10.	<i>Tinospora cordifolia</i> (Willd.) Miers: A Review on the Medicinal Aromatic Plant with Industrial and Therapeutical Utilization
11.	White Tea: An Emerging Gift of Nature and Bioprospection Towards the Development of Novel Herbal Formulations, Nutraceuticals, and Cosmetics
Ind	ex

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xiv

<sup>13</sup> C-NMR	carbon-13 nuclear magnetic resonance
<sup>1</sup> H-NMR	proton nuclear magnetic resonance
5-HIAA	5-hydroxyindoleacetic acid
5-FU	5-fluorouracil
AAILE	aqueous A. indica leaf extract
ABTS	2,20-azino-bis(3-ethylbenzothiazoline-6-sulfonic acid)
ACF	aberrant crypt foci
ADR	Adriamycin
AFLP	amplified fragment length polymorphism
AFRI	Arid Forest Research Institute
ai	active ingredient
AI	azadirachtin
AJC	ajmodadichurna
ALP	alkaline phosphatase
ALT	alanine transaminase
APC	antigen-presenting cells
ApMV	apple mosaic virus
ArMV	Arabis mosaic virus
AST	aspartate aminotransferase
ATP	adenosine Triphosphate
AZ	azadirachtin
BHA	butylated hydroxyl anisole
CAF	Chinese Academy of Forestry
CAM	chick chorioallantoic membrane
CAT	catalase
CC	column chromatography
$CCL_4$	carbon tetrachloride
CEA	carcinoembryonic antigen
CFB	corrugated fiberboard
СН	cholesterol
CMV	cucumber mosaic virus
COX-2	cyclooxygenase
CTL	cytotoxic T lymphocyte
DA	dopamine
DAA	days after anthesis
DAD	diode array detection
DDW	double distilled water

DES	deep eutectic solvent
DIPAS	Defense Institute of Physiology and Allied Sciences
DLA	Dalton's Lymphoma Ascites
DMBA	7,12-dimethylbenz-(a)-anthracene
DOPAC	3,4-dihydroxyphenylacetic acid
DPPH	1,1-diphenyl-2-picrylhydrazyl
DTH	delayed-type hypersensitivity response
EAC	Ehrlich ascites carcinoma
EC	epicatechin
ECG	epicatechin gallate
ED	erectile dysfunctions
$ED_{50}$	median effective dose
EGČ	epigallocatechin
EGCG	epigallocatechin-3-gallate
EHPEA	Ethiopian Horticulture Producer and Exporters Association
ELISA	enzyme-linked immunosorbent assay
EOs	essential oils
EPA	Environmental Protection Act
ER	enhancement ratio
ESI-MS <sup>n</sup>	electrospray ionization multistage mass spectrometry
ETI	ethical trading initiative
FID	flame-ionization detection
FMA	fermented M. arvensis
FOS	fructooligosaccharides
FYM	farmyard manure
GC	gas chromatography
GC-MS	gas chromatography-mass spectrometry
GCSF	granulocyte colony-stimulating factor
GM-CSF	granulocyte-macrophage colony-stimulating factor
GSH	glutathione
GSHP <sub>x</sub>	glutathione peroxidase
$H_2N_2$	influenza A virus subtype
$H_2O_2$	hydrogen peroxide
HBP	hamster buccal pouch
HCl	hydrochloric acid
HDHC	heavy-duty hand cleanser
HDL	high-density lipoproteins
HIV	human immunodeficiency virus
HPLC	high-performance liquid chromatography
HPTLC	high-performance thin layer chromatography
HSCCC	high-speed counter-current chromatography
HSV-1	herpes simplex type-1
HVA	homo-vanillic acid

xvi

$IC_{50}$ $ICFRE$ $ICM$ $IFN$ $IGRs$ $IL-1\beta$ $IL-2$ $IL-6$ $IMC$ $INN$ $iNO$ $IPC$ $IPM$ $IPNM$ $IR$ $I_{\beta}B$ $K^{+}$ $KFC$ $KVIC$ $LC-MS$ $LDH$ $LDL$ $LER$ $LPO$ $LPS$ $LT50$ $MA$ $MAPs$ $MBC$ $MCX$ $MDA$ $MIC$ $MMP$ $MNNG$ $MPTP$ $MS$ $MTT$ $NADPH$	half maximal inhibitory concentration Indian Council for Forest Research and Education integrated crop management Interferons insect growth regulators interleukin-1β interleukin-2 interleukin-6 indomethacin international neem network inducible NO international patent classification integrated pest management integrated plant nutrient management infrared inhibitor protein potassium ion Kenya Flower Council Khadi and Village Industries Commission liquid chromatography-mass spectrometry lactate dehydrogenase low-density lipoproteins land equivalent ratio lipid peroxidation lipopolysaccharide median lethal time <i>M. arvensis</i> medicinal and aromatic plants minimum bactericidal concentration multi-commodity exchange methylenedioxyamphetamine minimum inhibition concentration matrix metalloproteinase N-methyl-N-nitro-N-nitroso-guanidine 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine mass spectroscopy methyl thiazolyldiphenyl-tetrazolium bromide nicotinamide adenine dinucleotide phosphate
	methyl thiazolyldiphenyl-tetrazolium bromide
NADPH NDEX	nicotinamide adenine dinucleotide phosphate National Commodity and Derivatives Exchange
NFEs	neem fruit extracts
Nf-k <sub>8</sub>	nuclear factor kappa enhancer of B cells.
NK	natural killer
NLE	neem leaf extract

xviii

NH CD	
NLGP	neem leaf glycoprotein
NMCEIL	National Multi Commodity Exchange of India
NMR	nuclear magnetic resonance
NO	nitric oxide/nitrous oxide
NOS	3,4-nitric oxide synthases
NSE	neem seed extracts
OHM	Orchard Health Management
OVA	ovalbumin
PA	patchouli alcohol
PAR	photosynthetically active radiation
PARPs	poly(ADP-ribose) polymerases
PBMČ	peripheral blood mononuclear cells
PCA	principal component analysis
PCNA	proliferating cell nuclear antigen
PGE2	prostaglandin E2
PGPRs	plant growth promoting rhizobacteria
PI-PLCy1	phosphoinositide-specific phospholipase C
PMS	premenstrual syndrome
PNN	polymorpho nuclear neutrophil
PNRS	prunus necrotic ring spot virus
PPP	public-private partnership
PSQI	Pittsburg sleep quality index
PTTH	prothoracicotropic hormone
PTZ	pentylenetetrazol
RCV-1	rose cryptic virus-1
RI	retention index
ROS	reactive oxygen species
RP-HPAE	reverse-phase high-pressure anion exchange
SCF	supercritical fluid
SGOT	serum glutamic oxaloacetic transaminase
SGPT	serum glutamic-pyruvic transaminase
SLRS	strawberry latent ring spot
SOD	superoxide dismutase
SOS	global response to DNA damage
SRB	sulforhodamine blue
SRBC	
	sheep red blood cell
STPLC	sitopaladichurna
TAA	tumor-associated antigen
TCM	Traditional Chinese Medicine
TG	triglyceride
TH TH	tyrosine hydroxylase
TIMP-1	tissue inhibitor of metalloproteinases
TLC	thin-layer chromatography

tumor necrosis factor
tumor necrosis factor-alpha
tomato ring spot virus
total protein content
tobacco ring spot virus
tobacco streak virus
ultrasound-assisted extraction
ultraviolet
vascular endothelial growth factor
vanillylmandelic acid
vesicular stomatitis
World Health Organization
white pH
Yunan Guangming Neem Development Company



## Preface

The progression of human civilization has brought about enormous changes in society and livelihood. However, these changes have also yielded different health problems in the process of making the earth more hospitable. We witness critical health issues today but medical evolution has also brought about a range of solutions to these. Herbal medicine and the therapeutic properties of natural products have gained immense importance simultaneously as well. Besides their dietary and cosmetic uses, new dimensions in the use of medicinal and therapeutic herbs are being explored. Extensive research has led to the discovery of medicinal uses of various plants and herbs, their bioactive compounds, and their therapeutic role in human and livestock health. Compared to plant variability, diversity, and human needs, this is still a needle in the ocean. A lot of more work needs to be done in this field.

Bioactive compounds isolated from different plants have been researched and used for various needs. Their use in entomo-pathological formulations, has paved the way for eco-friendly control of disease and pests in crops. It has thus provided a healthier means of food grain production, free from pesticide contamination. Shelf-life management of fresh-cut, unprocessed, and processed food has success stories of using herbal products, essential oils, and formulations. Enormous industrial and cosmetic have also been reported for essential oils extracted from plants. It is as if Mother Nature is facilitating an on-going in-depth search for potent life in the realm of natural biodiversity and coming up with gems for nurturing life in a healthier way, with the process continuing indefinitely.

This volume, Advances in Medicinal and Aromatic Plants: Production, Processing, and Pharmaceutics (Vol. 2) is an effort to compile profuse research information and scientific reports available for making comprehensive editions for catering to the needs of researchers, academician, scholars, farmers, industrialist, entrepreneurs, and extension workers. The current volume has covered information on mentha, neem, patchouli, pippali, pomegranate, rhubarb, rose, safed musli, ajwain, tinospora, and tea for its cultivation, marketing, processing, bio prospection, and therapeutic uses.

-Editors



# *Mentha* spp.: A Miraculous Plant with Pharmaceutical Uses

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## ABSTRACT

Menthol mint, named as Mentha arvensis L., which is an aromatic and medicinal oil-yielding herb with minty flavor belongs to the mint family, distributed, and grown all throughout the world mainly in tropical/subtropics and temperate zones. The genus Mentha traditionally used in numerous systems of treatment including the Ayurvedic, Chinese, and Unani system. *Mentha* spp. are used for remedy of various illnesses of diseases/disorders; as an antiseptic, analgesic, carminative, nervous disorders, nose and throat diseases, gastric problem, stomach ache, chest pains, insomnia, and snake and insect bites. The herb is propagated for its essential oil (EOs), mostly utilized in food, cosmetics, herbal medicines, and pharmaceutical industries. The oil contains menthol, considered as major components. Extract of M. arvensis plant part (leaves, stem, root, and inflorescence) has shown to possess various activity such as antioxidant, antifungal, antibacterial, anti-inflammatory, insecticidal, hepatoprotective, anti-asthmatic effect, and anti-allergic activity; as well as show effect against cardiovascular disease, anti-angiogenic effect, and antiulcerogenic activity, etc. The demand of Mentha oil has been raised

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extremely in pharmaceutical industry. Although, *Mentha* species are having great economic, but there are number of 'pests and diseases' viz., fungi, virus, bacteria, nematode, and insects, which deteriorate the quantitative and qualitative yield of Mentha. These 'pest and disease' sometimes decrease the essential oil production, up to 60–80%. An integrated disease management approach implementation is required to control this disease effectively. Host resistance, inter-cropping, time of planting and careful use of fungicides and pesticides are important components in management package of pest and diseases.

In the present chapter, we are focusing on the different aspects of M. arvensis such as phytochemistry, pharmacological aspects, and future opportunities to investigate this plant. The information, shared in this review will provide an exhaustive knowledge in exploring the usefulness of main active compounds of Mentha in various medical and related fields.

#### **1.1 INTRODUCTION**

According to World Health Organization, nearly 80% of population in developing nations are exploitation botanical medicine systems (extracts of natural origin as medicines or health-promoting agents) and around 3.3 billion populaces are utilizing medicinal flora for therapeutic purposes. Among them, approximately, 25% of active substances in medicines are obtained from plants/plant extracts. Over the last 150 years, pharmacists, as well as researchers, have isolated and purified "active" components from medicinal plants in an effort to produce authentic pharmaceutical medicines (Thawkar, Jawarkar, Kalamkar, Pawar, & Kale, 2016).

Mint is an aromatic plant that belongs to the Lamiaceae family (known as mint family) and is a common medicinal oil yielding herb cultivated extensively in the in temperate and tropical region of the world. *Mentha* species has long been known as a kitchen herb for humans. *M. arvensis* introduced in India by Regional Research laboratory, Jammu, in 1952, and now cultivated throughout India. At the present time it's considered to be the most popular essential oil crops in Uttar Pradesh (Chand, Patra, Anwar, & Patra, 2004). Currently, In the international market, India is the leading producer and exporter, followed by China, Brazil, and the United States. The economic sustainability of *Mentha* cultivation depends on its profitability among smallholders. Hence, mint growers consider Mentha as a

cash crop in Indo-Gangetic plains because it does not disturb or replace the cultivation of any major Rabi (winter) or Kharif (rainy) crop (Choudhary, Badal, Singh, & Osti, 2017; Singh et al., 2020). *Mentha* herb is susceptible to weeds and many 'pests and diseases' namely fungi, viruses, bacteria, and nematode and insects. These pathogens impose different constraints and deteriorate the quantitative and qualitative losses of production (Pandey et al., 2019).

Mentha genus is well known for its traditional uses and its medicinal properties in Ayurvedic, Unani, and Chinese system. According to the classical literature, it has been called Misni by Unani scholars. Its three types, Pahari, Jangal, and Bustani, have been described (Saleem & Idris, 2016). The use of *M. arvensis* is mentioned in the traditional medicinal system and available literature has suggested that tea made from its leaves can be used to cure fever, headache, digestive problems and other mild ailments. While the essential oil is widely used to treat low-intensity fungal and bacterial infections of the human skin, it is also used to treat a number of other conditions (Salehi et al., 2018). M. arvensis are known to contain monoterpene alcohol which is found as main component in essential oil is referred as menthol, which gives cooling minty flavor and smell to this herb (Farco & Grundmann, 2013). The menthol is wellknown cooling characteristics and remaining minty odor of the oil and used in a various product and revealed diverse biological properties like anti-microbial, anti-inflammatory, anti-cancer activities and also as insect repellents or fumigants (Kamatou, Vermaak, Viljoen, & Lawrence, 2013). The pharmacological properties of this plant also include radioprotective, anti-fungal, antibacterial, antioxidant activity, hepatoprotective, insecticidal activity, anti-asthmatic effect, anti-allergic activity, cardioprotective, anti-angiogenic effect, and antiulcerogenic activity (Thawkar, Jawarkar, Kalamkar, Pawar, & Kale, 2016; Aihetasham, Umer, Akhtar, Din, & Rasib, 2015; Chetia & Saikia, 2020; Dar, Masoodi, Wali, Mir, & Shapoo, 2014; Malik et al., 2012; Naseem, Zahid, Hanif, & Shahid, 2020; Sagadevan, Suresh, Kumar, & Revathy, 2014; Sevindik, 2018; Sharma, Rasal, Patil, & Joshi, 2018). Therefore, all these characteristics features of *M. arvensis* are considered as a commercial and pharmaceutical based crop with great significance in their applications worldwide.

We believe that the present chapter will provide a better understanding of *M. arvensis* and its properties. This data may be precious in planning future observations, particularly clinical preliminaries, and in growing new pharmaceuticals containing *M. arvensis* or its bioactive components.

## **1.2 BOTANY, PRODUCTION, AND CROP PROTECTION**

## 1.2.1 BOTANICAL TAXONOMIC CLASSIFICATION

The genus *Mentha* is a member of Lamiaceae family. The taxonomic classification of the plant is as described as below:

- Kingdom: Planta.
- **Division:** Magnoliophyta.
- Class: Magnoliopsida.
- **Order:** *Lamiales*.
- Family: Lamiaceae (Labiatae).
- Genus: Mentha.

## 1.2.2 SYNONYMS AND VERNACULAR NAMES

More than 3,000 names have been recorded for the *Mentha* plant since 1753. Mint plants probably originated in Europe and the Mediterranean, from where it extended to other parts of the Earth and now occurred in all five continents (Salehi et al., 2018; Peter, 2006). *Mentha arvensis* has more than 142 synonyms. The plant, *Mentha* is to be called by vernacular names like Pudina (Sanskrit), ban pudina (Hindi), chetamargugu (Kannada), iyeccakkirai (Tamil) and so on detailed in Table 1.1 (Brahmi, Khodir, Mohamed, & Pierre, 2017).

Region	Vernacular Names
India	Sanskrit: Pudina, Putiha;
	Hindi: Ban pudina, Paudina, Podina, Pudina, Pudinah;
	Kannada: Chetamargugu, Chetni-marugu;
	Tamil: Iyeccakkirai, Iyeccirkirai, Kumarakamuli, Potina;
	Malayalam: Putina, Puttina, Puttityana;
	Telugu: Igaenglikoora, Igaenglikura;
	Marathi: Pudina;
	Mizo: Pudina;
	Urdu: Ab-i-podina, Arq nana, Nana (pudina), Podina, Podina khushk, Podina taza, Pudina, Pudinah
English	Japanese mint, Corn mint, Menthol mint, Field mint, Wild mint

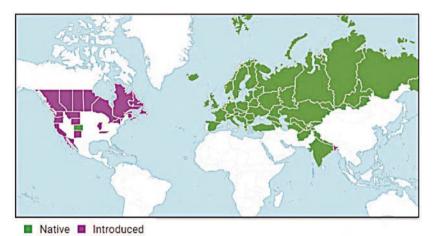
**TABLE 1.1**Vernacular Names of Mentha arvensis L.

Region	Vernacular Names
Arabic	Fodanaje-hindi, Fotanaje-hindi, Habaqulhind, Naanaaul-hind, Nana, Nana hindi, Nana yabani
Persian	Pudinah
China	Bo He
Spain	Menta
French	Menthe anglaise, Menthe poivrée, Sentebon
Georgian	P'it'na, Pitna
German	Pfefferminze, Minze, Edelminze, Englische Minze
Thai	Bai saranai, Peppeo-mint, Saranae, Saranae yipun
Turkish	Nane
Vietnam	Rau thơm, Húng nhũi, Húng dũi, Bạc h
	Húng giũi
Portugal	Hortelã

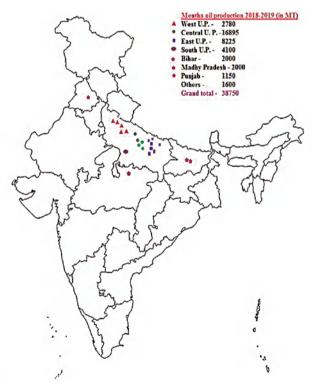
**TABLE 1.1** (Continued)

#### **1.2.3 GEOGRAPHICAL SOURCE**

Mentha genus has around 30 species that are found mostly in temperate and tropical/subtropical climates across the world (Figure 1.1) (Lawrence, 2007; Padalia, Verma, Chauhan, Sundaresan, & Chanotiya, 2013; Štefanidesová et al., 2019). The genus name Mentha comes from the Greek word Menthe, which refers to a mythological nymph who transformed into this plant. It is mainly cultivated on a vast scale in India, China, Japan, Thailand, Paraguay, Brazil, and Angola (Salehi et al., 2018; Singh, Singh, Singh, Singh, & Kumar, 2014). M. arvensis was first brought into India in 1952 by Col. R.N. Chopra and I.C. Chopra from Japan through the courtesy of UNESCO, and cultivated in 1954 by the Regional Research Laboratory, Jammu (Chand, Patra, Anwar, & Patra, 2004; Munsi, 1992; Vivek et al., 2009). Mentha is cultivated annually in the sub-tropical agro-climate region of Indo-Gangetic plains in the states of Uttar Pradesh, Uttarakhand, Himachal Pradesh, Punjab, and Bihar with maximum area in Uttar Pradesh. The cultivation of Mentha in Uttar Pradesh is done in central region namely Barabanki, Sitapur, Ambedkar Nagar and Lucknow districts and in tarai belt namely Moradabad, Badaun, Rampur, and Bareilly districts (Figure 1.2). The occurrence and distribution of Mentha with its species is summarized in Table 1.2 and Figure 1.3 (Peter, 2006; Lawrence, 2007; Ghosh & Chatterjee, 1978; Gobert, Moja, Colson, & Taberlet, 2002).



**FIGURE 1.1** Occurrence and geographical distribution of *M. arvensis (Mentha,* 2020). *Source:* Reprinted [or adapted] from Mentha L., by Royal Botanic Gardens, Kew.



**FIGURE 1.2** Indian *M. arvensis* growing areas (PRAKASH Chemicals, 2018). *Source:* Adapted from Prakash Chemicals, 2018.

Plants with Species	Synonyms	Common Name	Distribution and Occurrence	Habitat
<i>M. arvensis</i> L.	<i>M. lapponica</i> Wahlenb. <i>M. parieteriifolia</i> J. Beck.	Corn mint, Field mint, Wild mint	Western Europe, the Himalayas, India, China, Siberia, North America.	Moist fields and roadsides
<i>M. suaveo-</i> <i>lens</i> Ehrh.	M. rotundifolia, M. macro- stachya, M. insularis	Apple mint	Southern and western Europe, and Mediterranean region.	Damp and wet locations.
<i>M. longifolia</i> (L.) Huds.	M. incana Wield. M. spicata L. var. longifolia L. M. sylvestris L.	Biblical mint, silver mint, Horse mint.	North west Africa, Siberia, western Asia, India, West south Europe.	Wet alpine meadows and stream banks.
M. spicata L.	M. viridis M. romana M. glabra M. candicans M. rubra M. sylvestris var. glabra	Native spearmint	Europe and southern temperate Asia, Ireland, China.	Stream banks, lake shores, moist farms and road sides, often cultivated.
M. aquatica L.	M. hirsute M. palustris M. capitata M. dubia M. aquatic M. ortmanniana M. purpurea M. pyrifolia M. dumetorum M. perhirsuta	Horse mint, marsh mint, water mint.	Europe, Turkey, Asia, Africa, North America	Lakes, Wet edges of ponds, and canals.
<i>M. piperita</i> L.	<i>M. balsamea</i> Wild	Peppermint	Europe, India, China	_

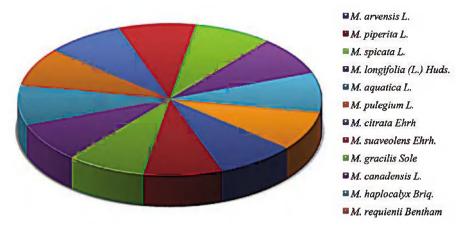
## **TABLE 1.2** Occurrence and Distribution of *Mentha* with its Species

**TABLE 1.2** (Continued)

Plants with Species	Synonyms	Common Name	Distribution and Occurrence	Habitat
Mentha citrata Ehrh	M. citrate M. adspersa M. aquatic M. piperita	Bergamot mint, Lemon mint	India, North America and Central Europe, Italy	Lakes, Wet edges of ponds, and canals.
Mentha gracilis Sole	<i>Mentha cardiaca</i> (S.F. Gray) Bak.)	Scotch mint, ginger mint	China, east Asia, Russian, Canada, USA	_
<i>M.</i> <i>canadensis</i> L.	<i>M. arvensis</i> var. <i>piperascens</i> Malinv	Chinese mint	China, east Asia, Russian, Canada, USA	Lake shores, streambanks, moist areas and roads.
<i>M. haplo-</i> <i>calyx</i> Briq.	-	Japanese peppermint	Asia, New Zealand, Cuba, Mexico, Brazil, USA, India, Europe	-
M. requienii Bentham	Thymus parvifloru Thymus corsicus Audibertia pusilla Menthella requienii Thymus micranthus Audibertia parviflora	Corsican mint	Tyrrhenian Isles (Corsica, Sardinia, Montecristo, Caprera)	_
M. pulegium L.	Pulegium vulgare P. erectum M. aromatic P. aromaticum P. pubescen P. heterophyllum P. omentellum P. daghestanicum	Squaw mint, Mosquito plant.	Europe, northwards to Ireland, Poland, and extending to southern and western Ukraine.	Wetland areas, pool banks and waysides.

*Note:* -: not known.

Source: Peter (2006); Lawrence (2007); Ghosh & Chatterjee (1978); Gobert, Moja, Colson, & Taberlet (2002)



**FIGURE 1.3** Pie chart representing various species of genus *Mentha* (Peter, 2006; Lawrence, 2007; Ghosh & Chatterjee, 1978; Gobert, Moja, Colson, & Taberlet, 2002).

## 1.2.4 MORPHOLOGY

The genus Mentha belongs to Lamiaceae family, and classified within the tribe Mentheae. There are over 260 genera and about 7,000 species in the Lamiaceae family. Tribe Mentheae also includes the some economically important genera viz., Origanum, Rosmarinus, Salvia, Thymus, Cedronella, Hedeoma, Agastache, Monarda, Hyssopus, Nepeta, Satureja, and Calamintha (Lawrence, 2007). Mentha is an aromatic oil-yielding plant, perennial, and herbaceous in nature that grows 10-120 cm on erect, square, branched stems and characterized by its wide-spreading stolons that grow both below and on top of ground. Plants having big leaves arranged in opposite, oblong (relatively narrow) to lanceolate or ovate in shape, serrated at the edges and are often downy with variety of colors, including purple-blue, gray-green, dark green and occasionally pale yellow. The flowers are bisexual, zygomorphic bilaterally symmetrical and small in size, white or purple color, Campaulate/ bell-shaped, have longer stamens than petals, located in the leaf axils which produced in false whorls known as verticillasters. Fruits are nutlets, brown in color containing a single seed. The plant calyx has tubular, lobe shape, often purplish, and corolla has two lips and four sub-equal lobes. The chromosome number in the genus *Mentha* is x = 12, but other species differ widely. Due to the existences of volatile EOs in the leaves and other parts of plant, the mint has pleasant minty aroma (Figure 1.4) (Peter, 2006; Brahmi, Khodir, Mohamed, & Pierre, 2017).

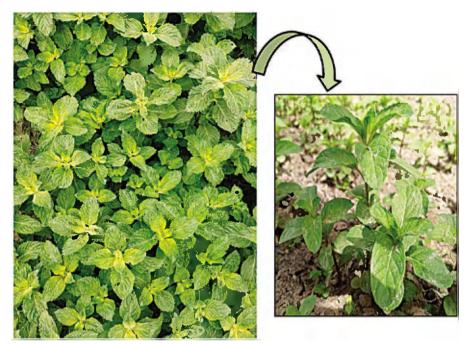


FIGURE 1.4 Morphological diagram of Mentha arvensis L.

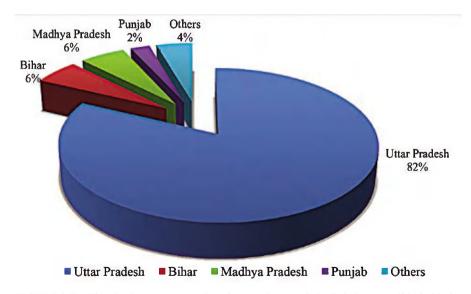
## 1.2.5 CULTIVATION

In the global market of the Mentha production; India is the world's largest consumer, producer, and exporter of Mentha (Bajeli, Tripathi, Kumar, Tripathi, & Upadhyay, 2016). India's contribution in global market is 75%-80% of the total global production of Mentha spp. Export of mint products from India has been increasing rapidly in the last few years. For the maximum share, *M. arvensis* is cultivated annually in the sub-tropical agro-climate region of Indo-Gangetic plains; Uttar Pradesh with maximum cultivated area is followed by Uttarakhand, Himachal Pradesh, Punjab, and Bihar (Figure 1.5). In India, with a total production of 344.73000 MT (metric tons) and productivity of 1.74 MT/ha (metric tons/hectare), Uttar Pradesh is the largest Mentha oil producing state producing an area of about 198,000 hectares. Mentha usually cultivated in central region of Uttar Pradesh viz., Barabanki, Sitapur, Ambedkar Nagar and Lucknow districts, and in tarai belt viz., Moradabad, Badaun, Rampur, and Bareilly districts. Uttar Pradesh is known as export basket for Mentha oil (Pal, Mohanty, & Devarani, 2015). Some websites reported that southern, eastern, and central parts of Uttar

#### Mentha spp.

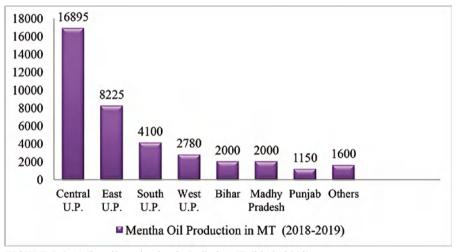
Pradesh produce 4100 MT, 8225 MT, and 16895 MT of mint oil respectively which is represented in Figure 1.6. Because of this, the economic condition of the farmers in this region is mostly depending on Mentha cultivation. The major commercially cultivated spp. in India is *M. arvensis* L. var. *piperascens* (Japanese mint), *M. spicata* L. (spearmint), *M. piperita* L (peppermint.), *M. cardiaca* Baker (Scotch spearmint), *M. viridis* L. (garden mint) and *M. citrata* Ehrh (bergamot mint), which cultivated on more than 2,50,000 hectare of land in India (Figure 1.7) (Pandey et al., 2019). Common varieties of *M. arvensis* is commercially cultivated in India, viz. *Koshi, Himalaya, MAS-l, Saksham, Shivalik, Gomti,* and *Kalka*. These are early-maturing, high yield, higher menthol content and pest and disease resistant than traditional varieties.

In India, *Mentha* have been widely cultivated for over 60 years. However, the geographical areas of India are suitable for mint cultivation, with the largest area occupied by Indus-Ganga plains, located in the north-central part of the Indian subcontinent; extend westward from the combined delta of the Brahmaputra River basin and the Ganges River in the Indus River basin. The area is characterized by various soils and agro-climates conditions. Well drained loamy soil high in organic matter content with pH 6.5 to 7.0 is suitable for vegetative growth of *Mentha* cultivation. *Mentha* is propagated mainly through



**FIGURE 1.5** The pie chart represents the mint growing area in India in hectares (2018–2019). *Source:* Data retrieved from: PRAKASH Chemicals, "Mentha Oil," 2018; http://www.prk-chemicals.com/2018.html.

the creeping stolons and terminal cuttings during the months of December-January and for *M. arvensis*, last week of January–early February, delayed planting leads to a significant reduction in yield. *Mentha* plant is generally planted in during the months of June–July (Tiwari, 2016). The water requirement is very high; hence frequently irrigation is required for the crop. About 15 times irrigations is required for maximum yield of crop. *Mentha* cultivation requires heavy nutrient in the soil. Therefore, for optimum growth and yield of *Mentha* requires tolerant application of fertilizers. The use of micronutrients like iron, phosphorus, nitrogen increases its yield by 20–55%.



**FIGURE 1.6** Mint oil production in India in MT (2018–2019). *Source:* Adapted from Prakash Chemicals, 2018



FIGURE 1.7 Five major cultivated species of the Mentha in India.

#### Mentha spp.

#### 1.2.6 CROP PROTECTION

*Mentha* crop is sensitive to many biotic and abiotic stresses and affected by many pests and diseases (viz., fungi, viruses, bacteria, and nematode and insects), Mint does not compete well with weeds and susceptible to a range of diseases. Therefore, weed infestation and these ailments impose significant constraints on mint oil production affecting it's quality and quantity. Management of pest and diseases of mint is very important both from an economical and environmental perspectives as well. A number of mint disease management techniques have been developed and used. There is a need to implement an integrated disease management approach for effective control of the disease. Host resistance, inter-cropping, time of planting and careful use of fungicides and pesticides are important components in management package of pest and diseases.

#### 1.2.6.1 WEED

The presence of weeds is a major reason of deterioration in the quality of the mint oil production, and the crop is infested with varieties of seasonal weeds. Annual weeds have become a major factor of weed management compared to perennial weeds, which is a minor problem for most mint fields. Therefore, weeds must be controlled to reduce the potential loss of mint production and economic yield which causes lower profitability. Use of herbicides is partially successful due to the wide varieties of weeds. Herbicides such as Oxyflurofen (0.5 kg/ha), Pedimenthalin (1.0 kg/ha), Dalapon (4 kg/ha), Diuron (0.4 kg/ha) and Gramaxone (2.5 kg/ ha) have been found to be quite effective against weeds, but the use of herbicides should be avoided due to adverse effects (Chand, Patra, Anwar, & Patra, 2004; Peter, 2006; Lawrence, 2007; Singh, Singh, Singh, Singh, & Kumar, 2014; Kothari & Singh, 1994; Yadav et al., 2019). However, the best management of weeds is the unified use of mulching, manual or mechanical, and chemical method; in which manual weeding method is most common in India. Some of the important weeds of Mentha are summarized in Table 1.3 (Kaur, Singh, Bhullar, Shergill, & Kaur, 2013; Singh & Saini, 2008).

Weed spp.	Common Name	Family Name	Life Cycle
Arenaria serpyllifolia L.	Sandwort	Caryophyllaceae	Annual
<i>Poa annua</i> L.	Annual bluegrass	Poaceae	Perennial
Melilotus indicus (L.) All.	Indian sweet clover	Fabaceae	Annual
Phalaris minor Retz.	Littleseed canarygrass	Poaceae	Annual
<i>Digitaria ischaemum</i> (Schreb.) Muhl.	Smooth crabgrass	Poaceae	Annual
Eleusine indica (L.) Gaertn.	Goosegrass	Poaceae	Annual
Cyperus rotundus L.	Purple nutsedge	Cyperaceae	Perennial
C. compressus L.	Annual sedge	Cyperaceae	Perennial
Echinochloa colona L. Link	Jungle rice	Poaceae	Annual
Eclipta alba (L.) Hassk.	False daisy/Bhringraj	Asteraceae	Annual
Caesulia axillaris Roxb.	Pink node flower	Asteraceae	Annual
Ammannia baccifera L.	Monarch redstem	Lythraceae	Annual
Trianthema portulacastrum L.	Black pigweed	Aizoaceae	Annual
<i>Acrachne racemose</i> (B. Heyne ex Roth) Ohwi	Chinkhe	Poaceae	Annual
Digera arvensis Forsk.	False amaranth	Amaranthaceae	Annual
Cleome viscose L.	Asian spider flower	Cleomacea	Annual
Phyllanthus nirurii L.	Stonebreaker	Phyllanthaceae	Perennial
Physalis minima L.	Wild cape gooseberry	Solanaceae	Annual
Chenopodium album L.	Wild spinach/Bathua	Amaranthaceae	Annual
Asphodelus tenuifolius Cav.	Wield onion/Pyazi	Asphodelaceae	Annual
Trigonella polycerata L.	Fenugreek	Fabaceae	Annual

**TABLE 1.3** Some Important Weeds of *Mentha arvensis* (Kaur, Singh, Bhullar, Shergill, &Kaur, 2013; Singh & Saini, 2008)

## 1.2.6.2 PATHOGENS

Mints are associated with huge numbers of microbial pathogens and pests and are susceptible to a range of diseases that cause considerable damage to crop and influence both quantity and overall quality of oil content. Among the more than 30 pests and diseases of mint crop have already been reported, around a dozen are of major economic significance.

## 1.2.6.2.1 Fungi

The mainly economically threatening fungal ailments of *Mentha* are caused by fungi with *Puccinia menthae* (rust), *Verticillium dahliae* (wilt), *Alternaria*  *alternata* (leaf spot), *Helminthosporium spiciferum* (leaf blight), *Erysiphe cischoracearum* (powdery mildew), *Phoma stasseri* (stem rot) and *Rhizoc-tonia solani/bataticola* (stolon and root rot) being the most important fungal pathogens.

## 1. Mint Rust Disease:

- i. Symptoms: These of mint rust disease is small (ellipsoidal or obovoidal), powdery, bright orange, brown or yellow, pustules (uredospores) on the underside of the leaves and young shoots during rainy season. Later on, leaves turn yellow and fall off, when teliospores (overwintering spores) produces; and spreads rapidly from one plant to another, and gradually devastate the entire crop within few days (Kalra et al., 2005; Mekonnen, Manahile, & Mengesha, 2015).
- **ii.** Casual Organism: Rust disease is caused by fungal pathogen *Puccinia menthae*. It is widely deteriorating pathogen in Uttar Pradesh, which is a prime area of mint cultivation and causes heavy losses to the crop (Mekonnen, Manahile, & Mengesha, 2015; Bhat, Maheshwari, Kumar, & Kumar, 2002).
- iii. Disease Management: Use of disease-free planting materials and the eradication of old rust infected mint plants is the major preventive method of rust disease, and also helps to prevent disease from spreading and reduce the rust inoculum. Treatment of runners with hot water to obtain rust-free planting material and use of resistant variety is common method of disease management. Application of fungicides like mancozeb propiconazole, Diclobutazol, nickel chloride (Pandey et al., 2019; Kalra et al., 2005), Folicur plus, Baycor (Margina & Zheljazkov, 1994, 1996), tebuconazole, belixasol, and plantovax (Kalra et al., 2005), offer reliable protection against mint rust. Authors in (Mekonnen, Manahile, & Mengesha, 2015) reported that application of crude extracts of *Datura stramonium* L., *Mesal anceolata* L. and *Milletia ferruginea* L. were effective against mint rust.

## 2. Leaf Spot:

i. Symptoms: Infection appears in the form of asymmetrical dark brown spots. These spots occur on the surface of the leaves which are encircled by pale yellow margin; leading to serious defoliation during summer season that is commonly widespread and to a marked reduction in EOs content. The ailment can be also allied with uredia of *P. menthae* (mint rust) on menthol mint with the uredia on the under surface and the *Alternaria* spots occurring on the upper surface of leaves.

- Causal Organism: The disease is caused by mainly Alternaria alernata and other fungal pathogens viz. Corynespora cassiicola, Curvularia lunata. It is causes economic losses by causing serious defoliation of the mint plant (Bhat, Maheshwari, Kumar, & Kumar, 2002).
- iii. Disease Management: Spraying mint plants with chlorothalonil (Kalra, Singh, Patra, & Kumar, 2003), copper fungicides (Kalra et al., 2005) are effective against the disease. Application of *Pseudomonas fluorescens* (Karnwal, 2011), and plant extracts of Lantana provides effective control of the disease (Bisht, Kumar, Purohit, & Srinivasanraghvan, 2013).

## 3. Leaf Blight of Mint:

- i. Symptoms: These of leaf blight disease of *M. arvensis* was reported in India in the year 2011. The symptoms of diseased plant show dark brown color from margins or tip towards the leaf lamina; later on, the entire leaf turned brown and curled upwards, and gives blighted look.
- **ii.** Causal Organism: Leaf bight disease of mint is caused by pathogen *Helminthosporium spiciferum*. *H. Spiciferum* (Kumar, Gupta, & Verma, 2013).
- 4. Wilt Disease:
- i. Symptoms: The major signs of the wilt disease are dwarfing the plant, one-sided development of branches, etiolating, and wilting. The pathogen infects the roots, thus affecting the regular growth and development of the affected plants; and the leaves turn yellow, curled, and in the end dry. The disease mainly spreads throughout contaminated stolons when used as propagating material.
- **ii.** Causal Organism: Wilt disease is caused by pathogen *Verticillium albo-otrum, V. dahliae* and *Fusarium oxysporum* (Mekonnen, Manahile, & Mengesha, 2015).

#### Mentha spp.

iii. Disease Management: Use of healthy planting material, heat treatment of rhizomes at 48°C for 35 minute or 47°C for 55-minute, ethyl bromide application for soil fumigation and crop rotation are very useful method of the wilt severity reduction (Pandey et al., 2019; Kalra et al., 2005). Authors in (Gupta, Sharma, & Naik, 2011) reported that plant-derived essential oils of clove and ajwain are effective against the wilt disease.

## 5. Rhizome/Stem Rot:

- i. Symptoms: The major symptoms of the rot disease are black to dark brown lesions, cankers on stems and rhizomes. On aboveground part, infection occurs near the soil-surface part of stems, and sunken cankers formation, subsequently girdle the stem which causes the plant to collapse. In case of underground infection occurred on the rhizomes plants develop black lesions that subsequently coalesce, leading to normal necrosis of the rhizomes.
- **ii.** Causal Organism: The fungal pathogens *Phoma strasseri*, and *Sclerotinia sclerotoriu* are responsible for the rot disease. Rhizome rot is the major serious disease which causes heavy economic losses. Stem rot can be especially severe in the green house and losses as high as sometimes 90% have occurred in the greenhouse (Perveen, Haseeb, & Shukla, 2007).
- iii. Disease Management: Use of healthy planting material, heat treatment of plant material, and application of combined formulation of bio agents *Trichoderma harzianum* and *Pseudomonas fluorescens*) provides effective control of the rot disease.

## 6. Stolon Rot and Root Rot:

- i. Symptoms: During rainy season, it occurs on the underground parts of the *Mentha* plant and infected stolon. The major symptoms of stolon rot consist of reddish brown to black discoloration on stolon region of the stem, drying of leaves of infected plants followed by leaves wilt and turn yellow and finally rotting of entire plant (Muthukumar & Venkatesh, 2012).
- **ii.** Causal Organism: The disease is caused by multiple pathogens viz., *Macrophomina phaseoli, Pythium aphanidermatum, Theilavia basicola, Rhizoctonia bataticolala*; out of which *T. basicola* is widely deteriorating pathogen in Uttar Pradesh, and causes heavy losses to the crop (Bhat, Maheshwari, Kumar, & Kumar, 2002).

iii. Disease Management: Usage of disease-free planting material; treatment of stolen with 0.5% Dithane Z-78 or Dithane M-45 before plating, application of bio agent *Pseudomonas fluorescens* more effective in lysing the cell wall of fungal pathogens and reducing the rot severity (Pandey et al., 2019; Karnwal, 2011).

## 7. Powdery Mildew:

- i. Symptoms: These of powdery mildew diseased plant shows powdery circular white patches on both surfaces (upper and lower) of the leaves; later on, it spreads to the stem and other parts of the plant.
- **ii.** Causal Organism: The fungal pathogen *Erysiphe cichora-cearum* are responsible for the powdery mildew disease; and reported from Argentina, Russia, and India. It reduces the yield of essential oil up to 20%–50% (Bhat, Maheshwari, Kumar, & Kumar, 2002).
- iii. Disease Management: Application of ground sulfur or dusting with wettable sulfur @ 0.2%. Spraying of Hexasul or Karathane WD 0.05% at 15 days interval effectively controls the disease.

## 1.2.6.2.2 Bacterial Disease

Several bacterial diseases have been observed to infect the Mentha crop:

- 1. White Spot-on Mint:
  - **i.** Symptoms: White spot diseased plant shows small watersoaked yellow patches on the edges of leaf, later on, at advanced stages, the spots caused leaf abscission (Maia et al., 1996).
  - ii. Causal organism: White spot-on mint disease is caused by bacterial pathogen *Pseudomonas cichorii*.

## 1.2.6.2.3 Viral Disease

Viruses are obligate parasites and mint crop are also affected by viruses. Several viruses belonging more than 12 genera which cause diseases to have been reported worldwide but there are only few reports of viral diseases on mints from India.

## 1. Mosaic Disease:

- i. Symptoms: Thees of mosaic diseased plant shows high incidence of leaf mosaic, showing necrotic spots on leaves, deformity, and affect apical plant growth severely and the leaves of the newly developed apex are small with very distinctive symptoms (Sevik, 2012).
- **ii.** Causal Organism: Mosaic disease of mint is caused by viral pathogen Cucumber mosaic virus (CMV).

## 2. Begomovirus Disease:

- i. Symptoms: These of Begomovirus disease plant shows high incidence of drastic reduction in the crop yield up to range of 50 to 60%; and plant show foliar rugosity, light yellow mosaic on leaves, upward curling and crinkling of plant.
- **ii.** Causal Organism: Bagomovirus disease is caused by viral pathogen Tomato leaf curl Pakistan virus (Samad, Gupta, Shasany, Ajayakumar, & Alam, 2009).

## 1.2.6.2.4 Nematodes

The commercial cultivation of mint crop endures a great yield loss due to root-lesion and root knot nematodes in the region of Indo-Gangetic plains because the heavy nature of the soils in this region also remediates nematode infestation.

- 1. Root Knot Nematode:
  - i. **Symptoms:** These of the root knot disease are characterized by smaller and chlorotic leaves; uprooted plant; the rootlets and root-hairs are fewer and shorter than those of uninfected plants; and the roots have small knots/galls.
  - ii. Causal Organism: Meloidogyne incognita (Kofoid and White) Chitwood and other nematodes viz. M. javanica are responsible for the root knot disease. It is an important deteriorating pathogen that causes an economical loss of more than US \$100 bn per year globally; it reduces crop yields up to 73%; and M. javanica reduces oil yield up to 25–30% (Pandey et al., 2019; Pandey, 2005a, b; Pandey, Mishra, Tiwari, Singh, & Kalra, 2011; Sultan et al., 2017).

## 2. Root Lesion Nematode:

- i. Symptoms: Infected plants show stunted plant development, leaf burning, and lesions on the root system. It is considered to be the most deteriorating nematode pest of Mentha, which reduces crop production; by foliage 46%, by root mass 86%, and by oil yields 95% (Lawrence, 2006). Root-lesion nematodes can interact with the soil pathogen *Verticillium dahlia*; which reduces crop yields up to 40% more than by nematodes alone (Pandey et al., 2019; Sultan et al., 2017).
- **ii.** Causal Organism: Root lesion disease caused by *Pratylenchus* spp.
- iii. Disease Management: Use of disease-free sucker, nematode free soil for sucker's multiplication, and eliminating important weed and alternate hosts of phytonematodes. Application of Carbofuran, combination of carbofuran and neem seed powder, combined use of oil seed cakes of neem and bio-agent *Trichoderma harzianum*, dual application of plant growth promoting Rhizobacteria (PGPRs) and mutualistic fungal endophytes effectively controls the nematode disease (Perveen, Haseeb, & Shukla, 2007; Pandey, Mishra, Tiwari, Singh, & Kalra, 2011; Pandey, 2005).

## 1.2.6.3 INSECT PESTS

A large number of insect pests are found in India associated with *Mentha* crops, which cause substantial damage to crop yield. Some major relatively insect pests of *Mentha* and insecticides frequently used to control them in India are shown in Table 1.4 (Lawrence, 2007; Bhat, Maheshwari, Kumar, & Kumar, 2002; Weller, Green, Janssen, & Whitford, 2000; Ricupero, Desneux, Zappalà, & Biondi, 2020).

## **1.3 PHYTOCHEMISTRY**

## 1.3.1 ESSENTIAL OIL CONSTITUENTS

The genus *Mentha* contains highly important volatile oils, and presence of different bioactive secondary metabolites in the different solvent extracts of mint. Plant metabolites of mints are extracted using various solvent

TABLE 1.4	Major	Insect Pest	of Mentha	spp.
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Pest	Zoological Name	Affected Part of Plant	Chemical Used	References
Termites	Microtermis shasi, Odontotermis obesus	Attacks underground parts of plant which damages the root system and main stem. Plants get cut at the base.	Use of Gondal mixtures (asafetida, rosin, <i>Gardenia gummifera</i> and aloes, in the ratio of 2:2:1:2 diluted in water); and insecticides like dieldrin, chlordane, toxaphene, and heptachlor.	Lawrence (2007); Bhat, Maheshwari, Kumar, & Kumar (2002)
Cutworms	Agrotos flammatra	After being sprouted, young shoots get damaged, Scission of plant in Collar region.	Spraying with metasystox, malathion or thiometan; Soil treatment with Chloropyrophos.	Lawrence (2007); Weller, Green, Janssen, & Whitford (2000)
Polyphagous caterpillars	Larval stage of members of the order lepidoptera.	Defoliating the crop within a few days.	Spraying 2% folidol or 5% diptrex (for early stage) with endrin or thiodon (for advanced stage).	Bhat, Maheshwari, Kumar, & Kumar (2002)
Polyphagous semiloopers	Achaea janata	Voraciously devour the tender foliage.	Spraying with Endosulfan or carbayl mixed in water.	Bhat, Maheshwari, Kumar, & Kumar (2002)
Hairy caterpillar	Diacrisia oblique	Leaves are damaged	Quinolphos sprays	Lawrence (2007)
White flies	Bemesia tabaci	Lay eggs on the ventral surface of the leaves and leaves badly infested; Sucks the juice and Spread's viruses.	Sprayed with rosin compounds to destroy the insects; phosphomidon sprays	Lawrence (2007); Bhat, Maheshwari, Kumar, & Kumar (2002)
White grub	Leucophalis coneophora	Roots and leaves are eaten away.	Savidol or Chloropyrophos treatment of soil.	Lawrence (2007)
Macho	<i>Macrosiphum pisi</i> and <i>Aphis species</i>	Sucks plant juice and transmits viruses.	Phosphomidon sprays	Lawrence (2007)
Mint leaf roller	Syngamia abruptalis	Rolling of leaves	Monocrotophos sprays.	Lawrence (2007)
Red pumpkin beetle	Aulocophora favicollis	Buds and leaves are eaten away.	Monocrotophos, pyrethrin sprays	Lawrence (2007)

**TABLE 1.4** (Continued)

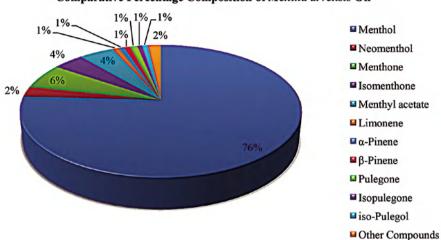
Pest	Zoological Name	Affected Part of Plant	Chemical Used	References
Pod borer	Heliothes armigera	Leaves and buds are eaten away.	Cipermetheline and malathion sprays.	Lawrence (2007)
Mint flea beetle	Longitarsus waterhousei	Affected plants are stunted, usually red in color, occur in spots in the field; stunted plants may die.	Malathion and methomyl sprays.	Weller, Green, Janssen, & Whitford (2000)
Mint bud mite	Florido tarsonemus sp.	Stunting and distortion of the upper part of plant	Planting stock from mite-free areas, crop rotations, use of miticide propargite.	Weller, Green, Janssen, & Whitford (2000)
Aphids	Myzus persicae	Leaves get distorted and/or turn yellow, leaves may have necrotic spots and/or stunted shoots; aphids encourage sooty mold's growth by secreting honeydew.	Insecticidal soaps or use of canola or neem oil.	Ricupero, Desneux, Zappalà, & Biondi (2020)

Source: Lawrence (2007); Bhat, Maheshwari, Kumar, & Kumar (2002); Weller, Green, Janssen, & Whitford (2000); Ricupero, Desneux, Zappalà, & Biondi (2020).

extraction systems viz., water, methanol, ethanol, acetone, and petroleum ether extracts, which are selected based on polarity level and their ability of extraction. However, the volatile constituents/essential oil is extracted using the hydro-distillation process. The volatile oil of *M. arvensis* collected from various cultivation areas and harvesting period were generally different. Mint essential oil comprises more than 200 constituents, which influenced by interaction between the season, cultivar, crop age, genotype, climatic conditions, environment, geographic location, technique of extraction, harvest time and kind of storage. However, the most abundant components of the volatile oil are a complex mixture of monoterpenoids represented by oxygenated monoterpenes followed by mono-terpene hydrocarbons and sesquiterpene hydrocarbons (Chetia & Saikia, 2020; Brahmi, Khodir, Mohamed, & Pierre, 2017; Padalia et al., 2013; Kasrati et al., 2017; Pandey, Rai, & Acharya, 2003). The phytochemical study revealed that, several flavonoids, tannins, steroids, terpenoids, alkaloids, polyphenols, saponins, cardiac glycosides, anthraquinone, free anthraquinone, carotenoids, coumarins, and phenolic have been detected from various plant parts of M. arvensis (Chetia & Saikia, 2020; Sagadevan, Suresh, Kumar, & Revathy, 2014; Kumar, Khajuria, & Aggarwal, 2012; Patil et al., 2015; Roy & Mallick, 2017).

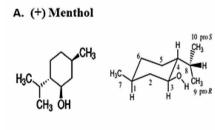
The EOs is collected from the fresh aerial parts (leaves, stem, and flower) of the mint plant using Clevenger apparatus. Variations in the amount of phytoconstituents present in relation to different regions and variant varieties are summarized in Table 1.5 (Zachariah & Leela, 2006). Using GC-MS techniques (Gas Chromatography-Mass Spectrometry) some of chemical compounds have been isolated as EOs and identified on the basis of RT (retention time), RI (retention index), coinjection with standards, mass spectra library search and by comparing mass spectral data and RI with various literatures (Padalia et al., 2013). GC-MS identified various compounds in the EOs of mint, the most important compounds of which are menthol, menthone, limonene, menthyl acetate, iso-menthone, neomenthol, pulegone, Camphor, (Z)-3-Hexenol, 3-Octanal, borneol, α-pinene, β-pinene, β-Myrcene, iso-Pulegol,  $\beta$ -Caryophyllene, (E)- $\beta$ -Farnesene, terpinen-4-ol,  $\alpha$ -terpineol, piperitone, carvone, germacrene-D, Piperitone, Germacrene D-4-ol, Spathulenol, and 1,8-Cineole (Figure 1.8) (Padalia et al., 2013; Pandey, Rai, & Acharya, 2003; Kumar, Khajuria, & Aggarwal, 2012; Makkar, Sharma, & Kaur, 2018; Nilo et al., 2017; Pandey, Dubey, & Saini, 2010; Pino, Rosado, & Fuentes, 1996; Singh et al., 2005; Verma et al., 2010). Around twenty-seven compounds have been identified using GC-MS analysis, including oxygenated monoterpenes, monoterpene hydrocarbons, sesquiterpene hydrocarbon,

oxygenated sesquiterpenes and aliphatic compounds. Among which, menthol were considered as a major component (Padalia et al., 2013; Kasrati et al., 2017). Using GC-MS analysis, various compounds isolated and identified in the EOs of *Mentha*, the major compounds of which are limonene (0.02%), 1,8-cineole (0.16%), sabinene (0.18%), myrcene (0.21%), α-pinene (0.43%), β-pinene (0.46%), β-caryophyllene (0.52%), cis-3-hexenol (0.62%), neomenthol (1.05%), menthyl acetate (1.49%), isomenthone (2.72%), menthone (4.10%) and L-menthol (78.87%) (Ahmad, Darokar, & Tandon, 2011). Menthol [5-methyl-2-(1-methylethyl) cyclohexanol; 2-isopropyl-5-methylcyclohexanol or p-menthan-3-ol] is a cyclic monoterpene alcohol with three asymmetric carbon atoms. Molecular formula of Menthol is C10H200 and molecular weight is 156.27. It occurs as four pairs of optical isomers namely (+) and (-)-menthol, (+) and (-)-isomenthol, (+) and (-)-neomenthol, (+) and (-)-neoisomenthol as in Figure 1.9 (Farco & Grundmann, 2013; Kamatou, Vermaak, Viljoen, & Lawrence, 2013; Klumpp et al., 2020; Vishwakarma et al., 2019). Another study done on the essential oil obtained by the variant cultivars of M. arvensis viz., 'Himalaya,' 'Kosi,' 'Kalka' and 'Saksham' have found to differ from 0.38%-1.0%, 0.35%-1.2%, 0.26%-1.2% and 0.42%-1.1% respectively, and has identified twenty-six components including major biomolecules menthol, menthyl acetate, menthone, neomenthol, isomenthone, and limonene (Verma et al., 2010). Another investigation revealed that, around six compounds have been identified using GC analysis, including Menthol, Menthone, (+) Limonene, Transmethone, Menthol Acetate and Pinenediol compounds (Pandey, Dubey, & Saini, 2010). Makkar et al. examined the essential oil of M. arvensis and stated 34 chemical components including piperitone (1.32%), menthone (5.00%), neomenthyl acetate (5.18%), isomenthone (5.24%) and menthol (77.94%) using GC-MS techniques (Makkar, Sharma, & Kaur, 2018). Another study found around 26 components in the oil of M. arvensis constituting 99.5% of the identified components, including sesquiterpene hydrocarbons (0.8%), monoterpene hydrocarbons (4.7%) and oxygenated monoterpenoids (93.6%). Among which, menthol (75.8%) were considered as a major component followed by menthone (6.3%), isomenthone (4.1%), menthyl acetate (3.0%) and neomenthol (2.7%) (Prakash et al., 2018). Using GS technique, identified about 300 constituents in the essential oil of *M. arvensis*, the major compounds of which are menthol (30–50%) followed by menthone (17–35%), iso-menthone (5.0–13.0%), methyl acetate (1.5–7.0%), 1,8-cineole (maximum 1.5%), limonene (1.5–7.0%), iso-pulegol (1-3%), pulegone (maximum 2.0%), and carvone (maximum 2.0%) (Kalemba & Synowiec, 2019).

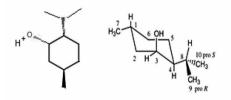


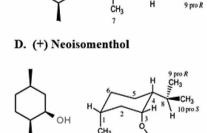
**FIGURE 1.8** Comparative percentage composition of *Mentha arvensis* oil yield (Padalia et al., 2013; Pandey, Rai, & Acharya, 2003; Kumar, Khajuria, & Aggarwal, 2012; Zachariah & Leela, 2006; Makkar, Sharma, & Kaur, 2018; Nilo et al., 2017; Prakash et al., 2018).

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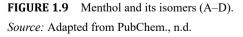


C. (+) Neomenthol





B. (+) Isomenthol



Comparative Percentage Composition of Mentha arvensis Oil

10 pro S

CH3

SI. No.	Phytochemical Compound	Chemical Formula	Chemical Structure	IUPAC Name
1.	Menthol	C <sub>10</sub> H <sub>20</sub> O	CH <sub>3</sub> OH H <sub>3</sub> C CH <sub>3</sub>	(1R,2S,5R)-2-isopropyl- 5-methylcyclohexanol
2.	Menthone	C <sub>10</sub> H <sub>18</sub> O		(2S,5R)-2-Isopropyl-5- methylcyclohexanone
3.	Limonene	C <sub>10</sub> H <sub>16</sub>		1-methyl-4-prop-1-en-2- ylcyclohexene
4.	Menthyl Acetate	C <sub>12</sub> H <sub>22</sub> O <sub>2</sub>	H H	(5-methyl-2-propan- 2-ylcyclohexyl) acetate
5.	Iso-Menthone	C <sub>10</sub> H <sub>18</sub> O		(2S,5S)-5-methyl-2- propan-2-ylcyclohexan- 1-one
6.	Neomenthol	(C <sub>10</sub> H <sub>20</sub> O	H.O.	(2R,5R)-5-methyl-2- propan-2-ylcyclohexan- 1-ol
7.	Pulegone	C <sub>10</sub> H <sub>16</sub> O	•	(5R)-5-methyl- 2-propan-2- ylidenecyclohexan- 1-one

**TABLE 1.5** Chemical Structures of the Major Phytochemicals of *M. arvensis* (PubChem., n.d.)

**TABLE 1.5** (Continued)

SI. No.	Phytochemical Compound	Chemical Formula	Chemical Structure	IUPAC Name
8.	Camphor	C <sub>10</sub> H <sub>16</sub> O	Å.	1,7,7-trimethylbicyclo [2.2.1] heptan-2-one
9.	1,8-Cineole	C <sub>10</sub> H <sub>18</sub> O	ot	1,3,3-trimethyl- 2-oxabicyclo [2.2.2] octane
10.	(Z)-3-Hexenol	C <sub>6</sub> H <sub>12</sub> O	Н Н О.Н	(Z)-hex-3-en-1-ol
11.	3-Octanal	C <sub>8</sub> H <sub>16</sub> O		Octanal
12.	Borneol	C <sub>10</sub> H <sub>18</sub> O	но	1,7,7-trimethylbicyclo [2.2.1] heptan-2-ol
13.	α-Pinene	C <sub>10</sub> H <sub>16</sub>	H	2,6,6-trimethylbicyclo [3.1.1] hept-2-ene
14.	β-Pinene	C <sub>10</sub> H <sub>16</sub>	H	6,6-dimethyl- 2-methylidenebicyclo [3.1.1] heptane
15.	β-Myrcene	$C_{10}H_{16}$		7-methyl-3-methylide- neocta-1,6-diene
16.	Iso-Pulegol	C <sub>10</sub> H <sub>18</sub> O	H, O	5-methyl-2-prop-1-en-2- ylcyclohexan-1-ol

SI. No.	Phytochemical Compound	Chemical Formula	Chemical Structure	IUPAC Name
17.	β-Caryophyllene	C <sub>15</sub> H <sub>24</sub>	H m.	(1R,4E,9S)- 4,11,11-trimethyl-8- methylidenebicyclo [7.2.0] undec-4-ene
18.	(E)-β-Farnesene	C <sub>15</sub> H <sub>24</sub>		(6E)-7,11-dimethyl-3- methylidenedodeca- 1,6,10-triene
19.	Terpinen-4-Ol	C <sub>10</sub> H <sub>18</sub> O	H-O	4-methyl-1-propan-2- ylcyclohex-3-en-1-ol
20.	α-Terpineol	C <sub>10</sub> H <sub>18</sub> O	°,H	2-(4-methylcy- clohex-3-en-1-yl) propan-2-ol
21.	Piperitone	C <sub>10</sub> H <sub>16</sub> O	0	3-methyl-6-propan-2- ylcyclohex-2-en-1-one
22.	Carvone	C <sub>10</sub> H <sub>14</sub> O	0	2-methyl-5-prop-1-en-2- ylcyclohex-2-en-1-one
23.	Germacrene-D	C <sub>15</sub> H <sub>24</sub>	H H H	(1Z,6Z)-1-methyl-5- methylidene-8-propan- 2-ylcyclodeca-1,6-diene

**TABLE 1.5** (Continued)

SI. No.	Phytochemical Compound	Chemical Formula	Chemical Structure	IUPAC Name
24.	Germacrene D-4-Ol	C <sub>15</sub> H <sub>26</sub> O	H H H H	(2E,7E)-1,7-dimethyl-4- propan-2-ylcyclodeca- 2,7-dien-1-ol
25.	Spathulenol	C <sub>15</sub> H <sub>24</sub> O	H-O H H	(1aR,4aR,7S,7aR,7bR)- 1,1,7-trimethyl- 4-methylidene- 1a,2,3,4a,5,6,7a,7b- octahydrocyclopropa[h] azulen-7-ol

**TABLE 1.5** (Continued)

Source: Chemical structure, Chemical formula, and IUPAC Name. Retrieved from: https://pubchem.ncbi.nlm.nih.gov/.

#### **1.3.2 NON-VOLATILE CHEMICAL CONSTITUENTS**

Investigators isolated some bioactive compounds of *M. arvensis* from deep eutectic solvent (DES) and aqueous methanol using ultrasound-assisted extraction (UAE). With the application of Liquid chromatography-mass spectrometry (LC-MS), nine new compounds were isolated and identified as p-coumaric and caffeic acid which are hydroxycinnamic acid derivatives and some flavonoids such as chrysin, naringenin, phenylpyruvic acid, pinocembrin, and hesperidin (Naseem, Zahid, Hanif, & Shahid, 2020). Another study revealed that, presence of six bioactive secondary metabolites viz., cardiac glycosides, flavonoids, saponins, alkaloids, tannins, and terpenoids in the acetonic and methanolic solvent extracts of leaves of Mentha. Among which, maximum secondary metabolites were observed in acetonic and methanolic extracts, and saponin and alkaloids compounds were observed only in acetonic extract (Patil et al., 2015). Using high-performance liquid chromatography coupled with PDA detection, nine different phytochemical components were isolated and identified from the genus Mentha as caffeic acid, apigenin, luteolin-7-O-glucoside, eriocitrin, luteolin, rosmarinic acid, naringenin-7-O-glucoside, isorhoifolin, and eriodictyol (Dorman, Koşar, Kahlos, Holm, & Hiltunen, 2003).

With the application of GC-MS, 11 different phytochemical components were extracted and identified from the mint as N-ethyl-hexahydro-1H-azepine,

2-Methyl-E-E-3,13-octadecadien-1-ol, Tricyclo [4.2.1.1(2,5)] deca-3,7-dien-9-one,10-hydroxy-10-methyl-, 7-Oxabicyclo [4.1.0] heptan-2-one, 6-methyl-2-methyl-5-(1-methylethyl), 3-(1-methylethyl), Phenol, stereoisomer, 5,5,6-Trimethyl-2 phenylethynylbicyclo [2.2.1] heptan-2-ol, 2-Cyclopenten-1-one.2-(2-butenyl)-4-hydroxy-3-methyl, 3-Cyclopenten-1-one, 2-hydroxy-3-(3-methyl-2-butenyl), 5,8,11,14-Eicosatetraenoic acid. methvl ester. 1-(1-chloro-2,3 dimethylcyclopropyl)-3, 3-dimethyl-1-butyne and Isoborneol (Aihetasham, Umer, Akhtar, Din, & Rasib, 2015). Mentha phytochemical compounds obtained from different source treatments such as either in fresh, and dried form of plant material were subjected to quantitative analysis, revealed the detection of around 100 individual terpenoids and aromatic compounds of which 1,8-cineole,  $\beta$ - and  $\alpha$ -pinene, *trans*-, and *cis*- $\beta$ -ocimene, sabinene,  $\beta$ -caryophyllene and germacrene D were the main ones (Shelepova et al., 2014). Using (LC-ESI-MS) and HPLC-DAD technique, chemical constituents ursolic acid, rosmarinic acid, luteolin, oleanolic acid and isoorientin, were identified from the aerial part of menthol mint ethanolic extract (Zhang et al., 2015).

Four secondary metabolites-tannins, cardiac glycosides, steroids, and flavonoids were obtained from the methanolic extract of Japanese mint leaf. Using GC-MS analysis, 19 biochemical compounds were extracted and identified from the *M. arvensis* plant parts (leaves and roots) in which 2,4 Dioxaspiro[5.5] undec-8-ene, 3,7,9-trim ethyl, gamma sitosterol, 4H-Pyran-4-one,2,3-dihydro-3,5-dihydroxy-6-methyl-, alpha.-Tocopherol-.beta.-D-mannoside, neophytadiene, phytol, linolenic acid, n-hexadecanoic acid and ethyl linolenate were the maximum in percentage (Sagadevan, Suresh, Kumar, & Revathy, 2014). Apart from this, some study revealed that distilled biomass (free from terpenoids) obtained from *M. arvensis* contained different major biopolymers such as cellulose (38–42%), hemicellulose (27–30%), lignin (12–15%), lipids (0.5–0.8%), followed by chlorophyll and waxes (Prakash et al., 2018).

#### **1.4 PHARMACOLOGICAL ACTIVITIES**

The past investigation have revealed that *Mentha* and its phytochemicals compounds possess various biological activities including mainly antibacterial, antiviral, antifungal, anti-inflammatory, antioxidant, insecticidal, and acaricidal properties as in Figure 1.10 (Sevindik, 2018).

#### 1.4.1 ANTIOXIDANT ACTIVITY

The phytochemicals obtained from various extracts of *M. arvensis* showed ABTS radical scavenging and DPPH activity (Chetia & Saikia, 2020). Some

study revealed that, antioxidant activity of *M. arvensis* plant extract protects against hydrogen peroxide-induced DNA damage in human lymphocytes. The study were found the presence of flavonols mainly morin in the plant extract, which are important for preventing per-oxidation and oxidative damage to DNA (Lin et al., 2013). Methanolic leaf extract of M. arvensis were tested with enzymatic and non-enzymatic methods. Among them, enzymatic antioxidants catalyzed and glutathione S transferase was analyzed in the enzymatic method and ascorbic acid in the non-enzymatic method. The study showed that extracts proved to be a good source of having strong antimicrobial effects. Such activities were evidenced by the nitrous oxide scavenging, free radical scavenging property, reducing power property, iron chelating and H<sub>2</sub>O<sub>2</sub> scavenging (Dar, Masoodi, Wali, Mir, & Shapoo, 2014). Additionally, ethanolic extract of *M. arvensis* using DPPH assay with  $IC_{50}$ value ~41 µg/mL showed, free radical scavenging properties compared to the standard antioxidant ascorbic acid with  $IC_{_{50}}$  value ~19  $\mu g/mL)$  (Biswas, Saha, & Ali, 2014). Investigator investigated that antioxidant efficacy of M. arvensis using ABTS (2,2'-azino-bis 3-ethylbenzothiazoline-6-sulfuric acid) assay. Another study found that, the essential oil of menthol mint is a free radical scavenger with highest antioxidant activity at 4.0 mg mL<sup>-1</sup> concentration (Kumar, Dubey, Tiwari, Tripathi, & Sinha, 2007). Pandian et al. investigated that antioxidant efficacy of M. arvensis EOs using FRAP (ferric ion reducing antioxidant power), and DPPH (2,2-diphenyl-1-picrylhydrazyl) assay. The study showed that, the EOs of Mentha is a free radical scavenger showing highest antioxidant activity with  $EC_{50}$  values of 0.55 mg/100 g (Pandian et al., 2017).

#### 1.4.2 ANTIBACTERIAL ACTIVITY

Methanolic extract of *Mentha* leaves were screened to possess inhibitory action against five human pathogenic bacteria. Out of these bacteria *Escherichia coli, Proteus vulgaris, Klebsialla pnuemoniae* were gram negative and *Staphylococcus auries* and *Bacillus subtilis* were gram positive (Sagadevan, Suresh, Kumar, & Revathy, 2014; Suresh et al., 2012). Another study also showed that, photochemical derived from ethanol extract of *M. arvensis* namely tannin, phenols, flavonoids, steroids, and volatile oils show antimicrobial efficacy under *in vitro* condition, against strains of *Pseudomonas aeruginosa, Escherichia coli, Shigella flexneri, Staphylococcus aureus* and *Klebsiella pneumoniae* (Sugandhi & Bai, 2011). Methanolic extracts of the plant showed maximum zone of inhibition against *S. aureus* using agar well diffusion assay (Patil et al., 2015). The *Mentha* plant possessed antibacterial

action against three bacterial strains namely, Escherichia coli, Bacillus cereus, B. subtilis, Staphylococcus aureus, S. epidermis, and Enterococcus faecalis by agar well diffusion method (Chetia & Saikia, 2020). Though, the ethanolic extract obtained from *M. arvensis* inhibited the growth of the Staphylococcus aureus a gram positive (+) bacterial strains (Do Nascimento, Campos, & Da Costa, 2009). Another study also showed that, inter-nodal callus and leaves extracts from Mentha arvensis possess in vitro antimicrobial efficacy by disc diffusion method against four bacterial strains namely, Streptococcus pyogenes, Bacillus subtilis, Proteus vulgaris, and Salmonella typhi (Johnson, Wesely, Kavitha, & Uma, 2011). Another investigation found that antimicrobial activity of menthol compounds derived from M. arvensis against four bacterial strain Candida albicans, Proteus mirabilis, Staphylococcus aureus and Escherichia coli using broth dilution assay (Mickienė, Ragažinskienė, & Bakutis, 2011). Naseem et al. explained the DES extract of M. arvensis, showed that larger zone of inhibition with MIC against on Staphylococcus aureus and Escherichia coli using broth micro-dilution method (Naseem, Zahid, Hanif, & Shahid, 2020). Zhang et al. investigate the ethanol extract of different parts of *M. arvensis* showed the antibacterial effect against Acinetobacter baumannii a multi-drug resistant, using liquid chromatography-mass spectrometry (LC-ESI-MS). The study revealed that, extract exhibited dose-dependent growth inhibitory effects against A. baumannii with Minimum inhibitory concentration (MIC) and Minimum bactericidal concentration (MBC), and induced protein leakage and potent ROS generation in bacterial cells (Zhang et al., 2015).

#### 1.4.3 ANTIFUNGAL ACTIVITY

The bioactive compounds, mainly menthol (69.2%) present in the mint essential oil exhibited suppression action on the conidia germination and mycelial growth of *Alternaria alternata* (Fries) Keissler under *in vitro* and *in vivo* condition (Perveen & Bokhari, 2020). Another investigation showed that, acetone extract of *M. arvensis* leaves possess antifungal activity against mycelial growth of the *Penicillium chrysogenum* (Chetia & Saikia, 2020). DES extract of *M. arvensis*, showed that larger zone of inhibition with MIC against two fungal strain *Fusarium solani* and *Aspergillus niger* using broth micro-dilution method (Naseem, Zahid, Hanif, & Shahid, 2020). Additionally, essential oil component, menthol showed anti-fungal activity against two human pathogens *Fusarium oxysporum* and *Trichophyton mentagrophytes* 

using disc diffusion test (Pandey, Rai, & Acharya, 2003). The essential oil component, menthol, and menthone possessed anti-fungal activity against *Fusarium moniliforme* and *Rhizoctonia solani* in a dose-dependent manner with ED<sub>50</sub> value 495 µg/ml and 331 µg/ml respectively (Makkar, Sharma, & Kaur, 2018). Apart from this, EOs of menthol mint showed absolute toxicity against Navjot 4NSt and Saktiman 3NSt, two toxigenic strains of *Aspergillus flavus* at MIC 0.05 mg mL<sup>-1</sup>. The study revealed that, the oil was highly sensitive *against Sclerotium rolfsii*, *A. fumigatus*, and *Helminthosporium oryzae* at 0.10 mg mL<sup>-1</sup> (Kumar, Dubey, Tiwari, Tripathi, & Sinha, 2007). Furthermore, antifungal activity has been seen in EOs of *M. arvensis* against *A. flavus* LHP5 and completely inhibited fungal growth at 1,000 µL L<sup>-1</sup> (Kumar, Shukla, Singh, & Dubey, 2009).

### 1.4.4 ANTIVIRAL ACTIVITY

Ethanolic leaf extract of *M. arvensis* were screened to possess antiviral activity against the herpes simplex type-1 (HSV-1) and vesicular stomatitis (VSV) viruses using simplified plaque reduction assay. The study revealed that, extract showed a minimum inhibitory concentration (MIC) value of 0.002–0.1 mg/ml against both viruses (Ali et al., 1996). Another study reported that direct virucidal activity of peppermint oil against Herpes simplex virus type 1 (HSV-1) and type 2 (HSV-2) and reduced plaque formation effectively with IC<sub>50</sub> value at 0.002% and 0.0008% for HSV-1 and HSV-2, respectively (Schuhmacher, Reichling, & Schnitzler, 2003).

### 1.4.5 ANTI-INFLAMMATORY ACTIVITY

Chemical compound that obtained from aqueous and ethanolic extracts of various parts (leaves, stem, and root) of *Mentha*, exhibited that inhibiting histamine release from mast cells and/or block histamine receptors using histamine-induced paw edema test (Malik et al., 2012). Rosmarinic acid, ursolic acid, and oleanolic acid isolated from *M. arvensis* by using LC/MS/MS technique showed anti-inflammatory activity; and use as an anti-inflammatory agent (Shen et al., 2011). Another study showed that, synergetic effects of *M. arvensis* leaf oil with acting phyto-active materials, non-psychotropic phytocannabinoids from the *Cannabis sativa* plant, extract of *Olive europaea* fruit oil, and *Silica colloidalanhydrous* against in reducing deep tissue pain and inflammation of joints and skeletal muscles induced by osteoarthritis/arthritis or/and caused by trauma (Siurkus, 2019).

#### 1.4.6 CYTOTOXICITY ACTIVITY

A number of studies have shown that the chemical constituents of Mentha plants contain cytotoxic properties that can be used to develop anticancer agents. Aqueous and methanolic extracts of M. arvensis plant showed anti-proliferative effect against various cancer cell lines namely MCF-7, COLO-205, THP-1, and NCI-H322 from 6 different origins (colon, breast, leukemia, lung, glioblastoma, and prostrate) at concentration of 100 µg/mL using sulforhodamine blue (SRB) under in-vitro (Sharma, Hussain, Gupta, & Saxena, 2014). Using microtitration cytotoxicity assay, ethanolic leaf extract of M. arvensis showed cytotoxicity activity against HeLa cells with CD<sub>50</sub> value 0.1 mg/ml (Ali et al., 1996). Another study showed that, EOs of M. arvensis leaves possess cytotoxicity activity against the breast cancer (MCF-7) and prostate cancer (LNCaP) cell lines with the (3-[4,5-dimethylthiazole-2-yl]-2,5-diphenyltetrazolium bromide) MTT assay. However, it has resulted that the inhibitory effect of *M. arvensis* EOs on cell viability ranged from 91% to 97% at 0.5 mg mL<sup>-1</sup> (Hussain, Anwar, Nigam, Ashraf, & Gilani, 2010).

#### 1.4.7 HEPATOPROTECTIVE ACTIVITY

Investigator investigated in rats that aqueous, ethanol, and chloroform leaves extracts of *Mentha* showed hepatoprotective activity against Carbon tetrachloride (CCL<sub>4</sub>) induced liver damage. Study revealed that, extract found the presence of alkaloids, glycosides, flavonoids, triterpenoids, steroids, carbohydrates, tannins, and phenolic compounds. Among them, mainly flavonoids have a protective effect against CCL<sub>4</sub> induced hepatotoxicity in rats (Patil & Mall, 2012).

#### 1.4.8 ANTI-ASTHMATIC EFFECT

The herb, *Mentha arvensis*, is well known as traditional medicine in curing bronchial asthma. Sharma et al. investigated that EOs of *M. arvensis* contains oxygenated monoterpenes and more than 70% menthol along with other chemicals compound. Among them menthol showed anti-asthmatic effect and suppressed immunological response to ovalbumin (OVA) and relaxes bronchial smooth muscles in mice (Sharma, Rasal, Patil, & Joshi, 2018).

# 1.4.9 ANTI-ALLERGIC ACTIVITY

The ethanolic extract of different parts of *M. arvensis* plant (leaf, stem, and root) exhibits a high inhibitory effect against histamine release from mast cells with the histamine release inhibition assay; mast cell being well known for their important role in anaphylomic and allergic reactions (Malik et al., 2012). Another study has shown, flavonoids possess an antiallergic potential and have an inherent ability to modify the body's response to allergies and use them as nature's biological response modifier (Aiyelaagbe & Osamudiamen, 2009).

# 1.4.10 CARDIOVASCULAR DISEASE

Crude extract of *M. arvensis* were screened to their effects on arachidonic acid metabolism. Though, it is resulted that inhibition of arachidonic acid metabolite thromboxane B2-a stable analog of thromboxane-A2, produced via cyclooxygenase pathway and lipoxygenase product 1 and 12-hydroxyeicosatetraenoic acid produced via lipoxygenase pathway and also showed antiplatelet activity in the form of thromboxane-B2 which is one of the strongest proponents of platelet aggregation. The study also revealed that MA inhibited of platelet aggregation which may be significant mechanism for observed beneficial effects of *Mentha* plant in patients with ischemic heart disease (Thawkar, Jawarkar, Kalamkar, Pawar, & Kale, 2016).

# 1.4.11 ANTI-ANGIOGENIC EFFECT

Anti-angiogenic properties of mint oil was evaluated using chick chorioallantoic membrane assay under *in vivo* condition. However, it is resulted that methanol and ethanol leaves extracts of *Mentha* plant showed a major reduction in total number of blood vessels, ethyl acetate extracts affected for new blood vessel formation; this would play a crucial role as an anti-angiogenic effect and prevent CAM angiogenesis (Sonawane, Shinde, & Jadhav, 2016).

### 1.4.12 ANTIULCEROGENIC ACTIVITY

With the three experimental models viz., ethanol induced ulceration, 0.6 mol/L HCl induced ulceration and 90% ibuprofen induced gastric ulceration,

tannins, and flavonoids compounds were isolated and identified from the *M. arvensis* plant extract showed a significant reduce in the pH value of gastric juice, total acidity and ulcer number in the ibuprofen induced pyloric ligation ulcer model in rats. Hence, this study indicates the mint has antisecretory, cytoprotective, and antiulcerogenic actions (Londonkar & Poddar, 2009).

# 1.4.13 ANTI-STRESS EFFECT

Investigators investigated that phytochemical compound rosmarinic acid isolated from *M. arvensis* (MA) extract and fermented *M. arvensis* (FMA) using HPLC analysis showed potent anti-stress effect in immobilized rats. The study concluded that MA and FMA treatment-controlled stress-related hormone in rats by decreasing  $\beta$ -endorphin and corticosterone and increasing serotonin level. This treatment also improved the duodenum histology, daily food intake and body weight in rats (Tian et al., 2018).

# 1.4.14 ANTIAFLATOXIGENIC ACTIVITY

EOs of menthol mint were screened to their effects on aflatoxin B1 production by the toxigenic *Aspergillus flavus* strain namely Saktiman 3NSt of in SMKY medium. Though, it has resulted that menthol oil inhibits aflatoxin B1 production at 0.05 mg mL<sup>-1</sup> and mycelial growth at 0.10 mg mL<sup>-1</sup>, and reduces the possibility of aflatoxin contamination in food products (Kumar, Dubey, Tiwari, Tripathi, & Sinha, 2007). Another study showed that, EOs of *Mentha* spp. reduced the production of Aflatoxin B1 at approximately 200  $\mu$ L L<sup>-1</sup> and onwards (Kumar, Shukla, Singh, Singh, & Dubey, 2009).

# 1.4.15 INSECTICIDAL ACTIVITY

Chemical compound obtained from ethanolic seed extracts of *M. arvensis* possessed antitermitic activity against *Heterotermes indicola* (Wasmann). Investigation revealed that, extract exhibit antitermitic activity in a dose dependent manner and exhibited percent mortality at 10%, 5% and 3% conc. was 100%, 72% and 60%, respectively after 96 hours with  $LT_{50}$  value 38.48, 67.54, and 83.19 hour respectively (Aihetasham, Umer, Akhtar, Din, & Rasib, 2015). Apart from this, EOs of *Mentha* spp. showed insecticidal activity against *Callosobruchus chinensis* using a direct-contact method. This investigation indicated that, the insecticidal activity of essential oil was found to be directly proportional to concentration and exposure period. The

100% mortality rate of insects was recorded in oil at 200  $\mu$ L L<sup>-1</sup> for 2 hours, while absolute mortality was recorded at 1  $\mu$ L L<sup>-1</sup> over the 10 h exposure period (Kumar, Shukla, Singh, Singh, & Dubey, 2009).

# 1.4.16 ACARICIDAL ACTIVITY

Using filter paper bioassays and fumigant, the essential oil of *Mentha* sp. showed acaricidal activity against *Tyrophagus putrescentiae* a stored food mite, in comparison to activity of benzyl benzoate a synthetic acaricide. However, it has resulted that all isomer of menthol, except (+)-isomenthol, indicates acaricidal activities against T. putrescentiae. This study indicates that the  $LD_{50}$  for menthol against *T. putrescentiae* was equal to 0.55 and 0.96 mg/cm<sup>2</sup> for the filter paper bioassays and fumigant, respectively. The result showed the values of fumigant and filter paper bioassays were about 12.18 times and about 15.18 times more effective against stored food mite, respectively as compared to benzyl benzoate (Park, Yang, & Lee, 2014). Another study indicates the, essential oil of M. arvensis leaves caused total mortality of the house dust mites, Dermatophagoides farinae and D. pteronyssinus, and stored food mite, *Tyrophagus putrescentiae* at doses of 5  $\mu$ g/cm<sup>2</sup>, with an  $LD_{50}$  value of 1.46, 1.83, and 3.41 µg/cm<sup>2</sup>, respectively. The relative toxicity of essential oil, calculated as a proportion of  $LD_{50}$  of benzyl benzoate versus the  $LD_{50}$  of essential oil, was 6.74, 5.01, and 3.52 times more active than that of the acaricide, respectively (Jeon & Lee, 2016).

# 1.4.17 DENTAL HEALTH

*Mentha* herb is also used for oral dentifrice as it can provide freshness in the breath and also removes foul breath due to menthol component and also create an un-favorable environment for bacteria (Balakrishnan, 2015).

# **1.5 TRADITIONAL USES**

# 1.5.1 AS HERBAL TEAS

The upper part of *Mentha* spp. viz., leaves, stems, and flowers traditionally used as condiments and herbal teas both in fresh as well as dried form because of their distinct aroma. And due to its antibacterial, antiviral, and anti-inflammatory properties tea can fight clogged sinuses due to infections, the common cold, and allergies. The phytochemical constituent rosmarinic acid present in *Mentha* plant, herbal tea reduce symptoms of allergic



FIGURE 1.10 Schematic representation of pharmacological activities of *Mentha* spp.

reactions, such as itchy eyes, runny nose and asthma (Baser, Kürkçüoglu, Tarimcilar, & Kaynak, 1999; Hajlaoui et al., 2009; Oh, Park, Ahn, Park, & Kim, 2011; Asghari et al., 2018).

#### 1.5.2 AS FOOD

The young stem tips of the mint plant commonly used as food (Tian et al., 2018). The mint plant is also used in sprinkling over fruits, salads, combining with cilantro or basil to make mint pesto, and fresh chopped mint to carrots, green beans, peas, zucchini, or cauliflower to prepare minted vegetables.

### 1.5.3 AS MEDICINE

In ancient time, *Mentha* species has been known for its medicinal uses for treatment of bronchitis, nausea, flatulence, ulcerative colitis, anorexia, and

liver ailments. It is used as folk remedy because of its antimicrobial, carminative, spasmolytic, anti-inflammatory, choleretic, antiemetic, diaphoretic, analgesic, antispasmodic, anticatarrhal, stimulant, and emmenagogue activities (Tian et al., 2018; Hajlaoui et al., 2009; Asghari et al., 2018).

# 1.6 PATENT RELATED TO MENTHA SPP.

# 1.6.1 PATENT NUMBER: US 20190167749A1

The invention relates to the delivery system and oleo gel composition with active phytochemical components extracted from *Mentha arvensis* and *Cannabis sativa* for deep tissues pain reduction and inflammation of joints and skeletal muscles induced by osteoarthritis/arthritis or/and caused by trauma (Siurkus, 2019).

# 1.6.2 PATENT NUMBER: US 20190112547A1

The invention is related to a cultivation method of hybrid mint plant denominated 'Teton Mint' which is a new *Mentha* sp. cultivar designated 14-27-89 for production of essential oil composition (Roberts, 2019).

# 1.6.3 PATENT NUMBER: US 20180352767A1

The invention relates to a method for cultivation of hybrid mint plant identified as 'Blue Mountain Mint' designated 14-27-71 for production of essential oil composition (Roberts, 2018).

# 1.6.4 PATENT NUMBER: US007410937B2

The invention relates to a new heavy duty hand cleanser (HDHC) which can be used with/without a solvent. It is highly biodegradable, non-ecotoxic, highly efficient with maximum skin tolerance and has no systemic toxicity. The cleansing compositions include the combination of natural essential oils (*Mentha piperita*, *M. arvensis* and *Eucalyptus globules*), provides a pleasant odor, fresh, and flowery Smell without using any perfume (Grascha & Joyce, 2008).

### 1.6.5 PATENT NUMBER: US 20050150027A1

The invention is related to a novel different *Mentha* plant of *Mentha piperita* 'Cim Indus,' which has a high quantity of menthofuran (most important constituent for aroma of the EOs) ranging between 22 and 30%, pulegone between 9.0 and 18% of total oil content, with EOs content ranging between 0.32 and 0.40% of the total oil content (Khanuja et al., 2005).

# 1.6.6 PATENT NUMBER: US00PP12791P2

The invention relates to a novel *M. arvensis* high yielding stable variety 'Damroo' which is capable of producing viable seeds and phenotypically homogeneous seed-derived plant population with high mint oil yield capability (Patra et al., 2002).

# 1.6.7 PATENT NUMBER: US005871988A

The invention relates to cDNA encoding (–)-4S-limonene synthase from Spearmint (*M. spicata*) (Croteau & Colby, 1999).

# 1.6.8 PATENT NUMBER: US00PP10935P

The invention relates to a different hybrid plant 'Himalaya' (*M. arvensis*) which can be characterized by its high oil yield with menthol-rich, vigorous growth, tolerance to rust and improved regeneration potentials such as corynespora leaf spot, Alternaria leaf blight and powdery mildew (Kumar et al., 1999).

# 1.6.9 PATENT NUMBER: US00PP08645P

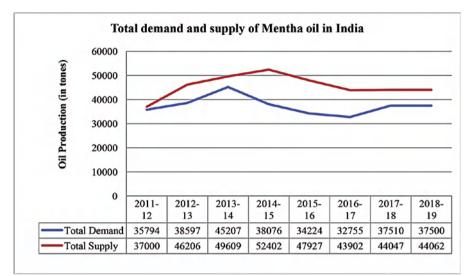
The invention is related to a distinct variety of mint plant known as Mentha spicata botanically which is resistant to mint rust and verticillium wilt. EOs extracted from this plant has high menthone and low l-menthol content relatively (Sturtz, 1994).

# 1.6.10 PATENT NUMBER: US005182194A

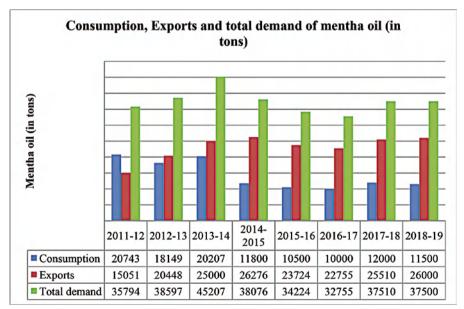
The invention relates to method for preparing thiol compounds P-MENTHA-8-THOL-3-ONE (Kerkenaar, Schmedding, & Nieuwegein, 1993).

#### 1.7 MARKET TRADE AND ITS APPLICATION

Mentha genus is well known for its EOs and chemical constituents mainly menthol extensively used in herbal medicines; pharmaceutical industry; food industries; cosmetic industries; used in organic chemistry; used as raw material in toothpowder, toothpaste, antiseptic mouth rinses, mouth fresheners, cough drops, chewing tobacco, perfumes, desserts, mint chocolates, chewing gums and candies that has increased its market demand. India is world's largest producer which produces 75–80% of global production and annually produces 48,500–50,000 tone an area under 3,00,000 hectares, and exporting nearly 22,500 tons in various countries including Brazil, Argentina, France, Japan, Germany, USA, UK, etc. Uttar Pradesh contributes nearly 90% of India's total production and ranked first in Mentha production. Total demand of Mentha oil was highest in 2013–2014, total supply along with difference in demand and supply was highest in 2014-2015 which are represented in Figure 1.11. Figure 1.12 represents that export of Mentha oil exceeds it consumption from 2012-2013 onwards and highest export was observed in 2014-2015. In India, there are 3 national commodity exchanges namely National Multi Commodity Exchange of India (NMCEIL), National Commodity and Derivatives Exchange (NDEX) and Multi Commodity Exchange (MCX) that offer future price. However, the average price of Mentha oil is 1,023/ Kg, with fluctuations ranging from Rs 1,012 to Rs. 1,320 or more per kg, depending on harvest season and off-season (Kumar, Suresh, Singh, & Singh, 2011). Some of the companies that sell Mentha oil in India also export are Malik Polychem Ltd (Delhi), Essential Oils Manufacturers India AOS Product (Uttar Pradesh), Indian Aroma Exports Essential Oil Manufacturer (Uttar Pradesh), Herbochem Industries (Uttar Pradesh), Hariom Industries and Chemicals (Uttar Pradesh), JD Chem India (Delhi), India Essential Oils (Delhi), Mentha And Allied Products Limited (Delhi), Natures Natural India (Delhi), Pioneer Aromatics and Agri Solutions (Uttar Pradesh), Quality Mint and Allied Chemicals (Uttar Pradesh), Micro Lifesafe HealthCare (Punjab), Bhandari Hosiery Exports Limited (Punjab), Samde Aromatic Pvt. Ltd. (Rajashtan), Silverline Chemicals Ltd (Haryana), Akhil Healthcare Pvt Ltd (Gujarat), Alpha Menthol (Gujarat), Essential Natural Oils Manufacturers (Maharashtra), Maya Aromatics (Madhya Pradesh), and Green Essence Extraction Pvt. Ltd. (Odisha), etc. These companies purchase raw material of Mentha or Mentha oil from the cultivators, filtered, and distilled in their dispensation unit and packed in bottles or drums for export.



**FIGURE 1.11** Total demand and supply of Mentha oil in India during 2011–2019. *Source:* Adapted from KEDIA Advisory – Mentha oil Outlook – May 2019.



**FIGURE 1.12** Data of consumption, exports, and total demand of Mentha oil in India during 2011–2019.

Source: Adapted from KEDIA Advisory - Mentha oil Outlook - May 2019.

### 1.7.1 INDUSTRIAL USE

Menthol is a major component obtained from *M. arvensis* which are used in various pharmaceutical products and in cosmetics as stimulant, inhibitor, and antiseptic. Menthol have unique minty flavor which is used in various food products, oral products such as tooth paste and mouth fresheners, fragrance constituent used in detergents, cosmetics, and perfumes, soaps, and industrial fragrances because of its physiological cooling effect (Akram et al., 2011).

### 1.7.2 COSMETIC INDUSTRY

MAPs plant extracts, oils, and waxes are widely used in cosmetic industries and have become a famous trend. Nowadays, herbal based cosmetic products are more in demand than chemical-based products. As per reports of the International Patent Classification (IPC), the genus *Mentha* has 25 patents under IPC number A61K-036/534 in the application for skin care. It has been applied mainly in formulations for the treatment of acne, firming, and slimming skin, and skin surgeries to increase the fatty tissue of the skin. Monoterpenes mainly menthol and menthone derived from the essential oil of *Mentha* have pleasing odor and skin conditioning properties which helps to soothe dry, itchy irritated skin due to contact dermatitis or allergies (César, Carnevale, Porto, & Campos, 2017). Some investigators have studied the effect of anti-microbial efficacy of mint essential oil in cosmetic formulations containing collagen hydrolysate and are generally used in Dentifrices and toothpastes products (Carvalho, Estevinho, & Santos, 2016).

### 1.7.3 AS BIO-ADSORBENT

Dyes which are significant components of discharged waste by industries, especially the textile sector, and can significantly affect the photosynthetic activity of aquatic life and may even be toxic to some aquatic life. Ahmed & Kumar investigated that probable use of agricultural waste of Mentha to eliminate acidic dyes Patent Blue VF and Alizarin Red S which are most hazardous dyes responsible for skin and eyes irritation, from aqueous solutions using the Langmuir and Freundlich models (Ahmad & Kumar, 2008).

#### 1.7.4 ALTERNATIVE RENEWABLE BIOFUEL

Genus *Mentha* is well known for its valuable EOs, and after extraction of EOs the distilled biomass is normally considered as waste product. Some investigators investigate that; the efficient utilization of distilled biomass can synthesize high value chemicals or produce alternative renewable biofuel. The study revealed that the physicochemical characterizations of biomass showed that distilled biomass had a higher percentage of cellulose. So distilled lignocellulosic biomass can be a potential candidate for biochemical production and biofuel (Prakash et al., 2018).

#### 1.7.5 POSTHARVEST DECAY CONTROL

Fruits and vegetables are enriched with high moisture content, which is likely to be susceptible to various pathogens, bacteria, and fungi, and then cause diseases, which lead to high production losses during pre-harvest and post-harvest stage. Some study revealed that, vegetables, and fruits are effective against the treatment of essential oils to control post-harvest decay and reduce their loss and improve their quality, shelf life, and safety (Kalemba & Synowiec, 2019). The EOs *M. arvensis* have potential to be used as botanical fungi toxicants. The volatile oils from mint plants have revealed fungicidal activity against post-harvest diseases such as blue mold rot of citrus fruits caused by *Penicillium italicum* (Tripathi, Dubey, Banerji, & Chansouria, 2004). Another study revealed that, Essential oil of *Mentha* showed strong postharvest fungicidal and insecticidal activity against *Aspergillus flavus* (stored product fungi) and *Tribolium castaneum* and, *Sitophilus oryzae* (insect pests), at 600 ppm (Varma & Dubey, 2001).

#### 1.8 CONCLUSION

The oil-yielding herb, *Mentha* genus is known for its pharmaceutical properties from ancient time. *Mentha* and their extracts and essential oil are being used for various purposes; and well known for their mankind's medicinal uses, in particular for the treatment of cold, fever, and digestive and cardiovascular disorders. *Mentha* plant that contain a mixture of phytochemicals such as phenols, flavonoids, volatile oil, and tannins, exhibit several biological activities viz., antioxidant, antibacterial, antifungal, antitumor, anticancer, antiallergic, and anti-inflammatory activities as well as have various uses in industrial and other fields (Figure 1.13). As it is very well evident from literature that major phytochemicals of *Mentha* have possess advanced therapeutic potential, therefore the plant metabolites of mint can be explored and provide significant potential for development of natural drugs. This chapter summarizes all the latest updated experimental and research work with respect to the pharmacological efficiency of the plant.

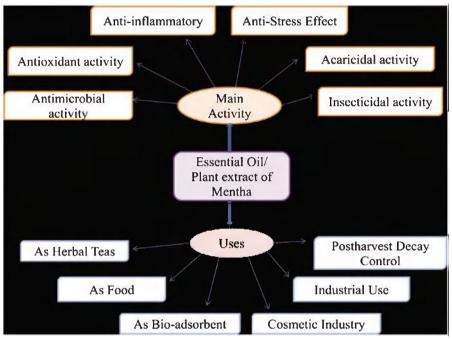


FIGURE 1.13 Various activities and uses of essential oils/plant extract of Mentha.

# **KEYWORDS**

- acaricidal activity
- anti-asthmatic effect
- essential oil
- Mentha arvensis
- menthol
- pest and disease
- pharmacology
- phytochemistry

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# Neem-Drug Cabinet of Mother Nature

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#### ABSTRACT

Neem (Azadirachta indica) resides to the family Meliaceae, well-known for its medicinal importance since time immemorial. Its contributions towards human civilization and culture are known to all generations. By habit it's a fast growing, evergreen, arborescent tree having strong highly branched trunk. Its tropical origin facilitates its cultivation in the Indian subcontinent. Major number of world's population keen to gain the medicinal importance of neem tree since it is easily available and cheapest source of wide array of active ingredients employed in medicinal products. According to World Health Organization (WHO) 80% population of developing countries trusts on natural medicines for their primary health care. It is also familiar as 'the wonder tree' and 'nature's drug store' owing to its immense ethnomedicinal, domestic, therapeutic, and agricultural significances. Almost all plant parts exclusively seed oil, bark, leaves, and its other purified products are quite potent to treat the most fatal disease like cancer which has been validated by several pre-clinical research reports during last decade. Wide range of phytochemicals (>60) including steroids and terpenoids have been obtained from neem. The isolated and purified crude bio-active compounds possess anticancer, antitumor, immunomodulatory, and apoptotic impacts which are effective properties against various fatal diseases and their respective

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molecular mechanisms. Among numerous chemical compounds, Azadirachtin (AZ) ( $C_{35}H_{44}O_{16}$ ) is a key component. Genetic diversity study with aid of various advanced biotechnological tools (e.g., DNA-based markers like RAPD, AFLP) can furnish many worthy information especially will be useful to make relationship between their relatives.

#### 2.1 INTRODUCTION

Meliaceae is the family of Azadirachta indica (neem). It is native to dry forests of South and Southeast Asia. 'Azadirachtin,' major phytoconstituent available in neem seed kernel contains notable pesticidal property, the root cause of its huge acceptance towards public. The concentration of bioactive compounds in neem leaves, bark, and fruit pulp are comparatively less than seeds. It's a vital component in agroforestry system for its multifunctionality. It is a medium to large stature tree (approx. 20 m in height). It has its adaptability to grow in varied soils and climatic conditions but preferably in acidic clay soils. Collaterally, it can also thrive well in soils having pH of 10. Congenial range of temperature is between 15 and 45°C with annual downpour of 750-1,000 mm. In India, it grows in tropical dry areas up to an elevation of 1,200 m. Among various establishment measures for neem plantation 'Stump Planting' is the most commercial one while seed plantation can be also opted but its low viability is the major hindrance. Neem seeds lose their viability within a month of storage. Neem tree starts to bloom in the month of April and within 80-120 days of flowering fruits start to attain maturity. This tree is not totally free from pests and diseases. Among range of post-harvest products produced from neem plant neem seed oil and neem seed cake are most vital whereas the former one used popularly as therapeutic oil and latter one as organic manure. Its kernel contains about 30-40% oil, which has the similar range of uses as that from other TBOS (therapeutic behavioral on-site). Removal of bitter constituents from its seed oil and cake broadened their use using recent advanced processing technology. The wood is strong and can be used for furniture, implements, and construction (Balaji, 2017).

The multifaceted use and medicinal importance of neem had been also mentioned in 'Charak-Samhita' and 'Sushruta-Samhita' considered as root of Indian System of natural treatment (known as 'Ayurveda'). In Sanskrit it has secured its name as 'SarvaRogaNivarini' (meaning 'the curer of all ailments') for its unique, versatile medicinal attributes (Bhuiyan et al., 1997;

Shrivastava & Swarnkar, 2014). In rural areas of Indian sub-continent, neem twigs are often used as chewing stick for having many active substances. Neem tree was pervaded all over the globe especially in South East Asia, Australia, South America and East and Sub-Sahelian Africa took place by different esteemed Indian emigrants. Its potential to cure range of ailments influenced them to carry neem plant with them at their destination. But during the reign of Europeans in Indian subcontinent, the use of neem tree for theological purposes was totally prohibited while laterite was most acclaimed for its day-to-day use. Along with its therapeutic properties it has also enact vital role in Indian agriculture, for example, after colonial's era the use of neem cake (scarp after oil extraction from neem seeds) as fertilizer and pesticide in sugarcane field was heightened during 1930s. IISc, Bangalore in 1920s first started to explore various possibilities of neem-based products and had taken initiatives to commercialize neem oil and cake. Many research investigations had been performed also by researchers during early 60s to isolate key active ingredients liable to its insecticidal properties and its successive use against major crop pests. Neem plant can control locust disturbances as reported by German entomologist in 1920s in Sudan, neem leaf extracted oil can cause insect sterility or inhibit egg-laving while seed borne 'azadirachtin' can interrupt metamorphosis of insect larvae. Hence, all these endorse the efficacy of neem plant again diversified pests. Thus, neem oil found great value as an organic-based pesticide. Barring the native tropical countries (like Myanmar, India, and Bangladesh), neem trees now colonized in Old World Tropics. Arid meteorological conditions favor neem trees' luxurious growth. Hence, Northern Australia, Sub-Saharan Africa (especially Ethiopia) and South-East Asian countries, are quite rich in neem germplasm. In Jijiga, Gambella, Amhara, Tigray region and afar – naturalized groves of neem are frequently found but the native people (Ethiopian) owing to lack of knowledge unable to use this 'Drug Reservoir' appropriately. But now-a-days, rural people, especially of Amhara, use leafy parts of neem for healing malaria and diabetes diseases. Neem oil could be effectively used in cosmetics, bath soap and also as a source of biofuel which prove its versatility and environmentfriendly nature. Apart from this, its waste could be used as organic fertilizer, a value-added product. The history of neem endorses its significance during Harappa and Mohenjo Daro civilizations of India although it was successfully utilized for wide range of household purposes around 5,000 years ago also. Medical practitioners of those ancient era also used neem products for our traditional ayurvedic treatments owing to its huge therapeutic value.

After that, its name was successfully enrolled in traditional Indian medicine

system as found in Indian scriptures of medicine - the 'Charak Samhita' and 'Sushruta Samhita.' Many internationally acclaimed organizations promote neem allied research owing to the growing fame of this 'wonder tree.' It was hugely accepted by Western countries for its therapeutic value and not having any side-effects. To unveil and tap the miraculous power and potential of neem tree researchers worked day and night, so that the derived benefits can be used commercially. The fascinating spiritual background story behind the neem tree can reveal that drops of heavenly nectar bestowed all curative properties in neem tree. Another story revealed that Amrita (Ambrosia known as elixir of immortality) passed by Garuda (part bird and part human; a creature of Hindu mythology) to the paradise poured on neem tree caused the miraculous effect while other mythological story narrated that Amrita once sprinkled by 'Indira' (the celestial kind) gave birth of neem tree with numerous therapeutic attributes could serve as beneficial for human civilization than 'Kalpa-Vriksha,' the wish fulfilling tree. It is saturated with its divine origin as muttered in the stories of ancient history of India. Not only this it was believed that afforestation using neem tree guaranteed a passage to heaven. It is also considered as 'Dhanmantri' (the Aryan God of medicine). Devi 'Sithala' (the Goddess of smallpox) resides in the neem tree as believed and scripture in ancient literatures (Retrieved from: file:///F:/ PROJECT/neem/Neem history Neem and India.htm). The stalwart like Mahatma Gandhi (during 1869 to 1948) also gone through the neem diet (boiled neem leaf chutney) to resolve health issues. Neem oil massage paired with neem shampoo washing can effectively lower down the dandruff and hair fall problem. Barring enormous curative properties, neem oil and leaf extracts can be also used for cosmetic purpose which bestowed its name as 'the village pharmacy.' Neem oil can moisturize dry skin while quite effective for the treatment of acne and even boils. The remarkable contribution in of neem poultices could prove its potency in veterinary medicine as it can help to heal sores in elephants and horses. The Unani texts, which originated with Muslim doctors in Byzantine Greece, also recommend neem as a cure-all that is free of costs. The Unani recommends neem for inflammatory conditions, arthritis, gas, worms, wounds, leprosy, bile or phlegm, white patches on the skin, blood purification and as an antiseptic (Miah & Bhattacharjee, 2013).

India is famous for conserving large number of medicinal plants which show a synergistic effect in our ecosystem and are useful for human beings (Serrone et al., 2015) and plants besides being environmentally friendly. Climate change has some significant role on changes in biochemical profiling of this tree. More clearly, the content and types of secondary

metabolites can also vary according to adverse environmental conditions. These secondary metabolites have pharmacophoric and medicinal properties which are characterized as phenols, terpenes, alkaloids, and hence have used against human and plant pathogens besides their use in many antimutagenic and anticancerous studies. So, it could be deduced that the antimicrobial constituents of neem can effectively control human pathogens like Escherichia coli, Staphylococcus aureus, Pseudomonas aeruginosa, Salmonella typhimurium, Bacillus pumilus) and plants pathogens (Pythium, Rhizoctonia, Fusarium, Sclerotinia, Dematophora). A. indica from as early as the Vedic times has held the interest of phytochemists all over the world for its rich source of alkaloids and terpenoids. It also possesses multitudinous biological activities like antiallergic, antidermatic, antibacterial, antifungal, and antiinflammatory. Neem plant parts like roots, bark, leaves, flowers, seed, and fruit possess biological activities against human and plant pathogens. Girish & Shankara (2008) reported neem as 'Arista' - a name derived from Sanskrit which is considered the reliever of sickness hence called 'Sarbarogaribarini.' It is also used as a spermicidal and mouth wash. Oil that is extracted from neem leaves, seed, and bark has a broad range of antibacterial properties (both gram [+] and gram [-]) against Mycobacterium tuberculosis and some Streptomycin resistant strains. In Himachal Pradesh, the neem plant is grown in low hills. Shah et al. (2006) reported that this medicinal plant can be a source of drugs for having chemical moiety acts as hub of novel biomolecules.

'Heal All,' 'Panacea of All Diseases,' 'Divine Tree,' 'Village Dispensary' and 'Nature's Drugstore' are the colloquial names of neem tree due to the acknowledgement of its wide array of utilities in European and Chinese 'Materia Medica,' and 'Unani' (world's best ayurveda system). Extracts derived from various plant parts of neem are used to heal ophthalmia, leprosy, fever, tuberculosis, and malaria while as pediculicide, anthelmintic, diuretic, contraceptive, insecticide, febrifuge, antifeedant, parasiticide, emmenagogue, and antiseptic it is used since time immemorial. Neem extracts are conventionally used for curing purpose through oral, vaginal, and topical application. The semi-mature stems of neem are used by rural dwellers as natural tooth brush whereas its juice is used for some skin disorders and leaves to shoo away insects (Maithani et al., 2011). Among countless folk uses of neem tree, the followings are considered to be most vital:

• Bark, seeds, flowers, and leaves are used for making neem based medical formulations.

- Several neem formulations are popularly used in India and Pakistan for dental care.
- To cure acne and keep radiant skin, neem oil is indispensable.
- To treat chicken pox, patients are advised to lie down on neem leaves for getting its medicinal effect.
- To get relief from range of afflictions (pain), use of neem botanicals could be impactful as declared in ayurveda (Unani).

# 2.2 VERNACULAR NAMES

'Dogon yaro' (Nigerian), 'Kohomba' (Sinhala), 'Neem' (Hindi and Bengali), 'Indian lilac' (English), 'Vaypum' (Tamil), 'Nimba' (Sanskrit and Marathi), 'Tamar' (Burmese), 'Nimtree'/'Vepu'/'Vempu'/'Vepa' (Telugu), 'Azadirakhta' (Persian), 'Bevu' (Kannada), 'Pokoksemambu' (Malaysia), 'Margosa'/'Neeb' (Arabic), 'Arya-Veppu' (Malayalam) and 'Mwarobaini' (Swahili – East African language; semantically 'the tree of the 40').

## 2.3 ORIGIN AND DISTRIBUTION

#### 2.3.1 ORIGIN

It is believed that neem tree had been originated either in the forest of Karnataka (South India) or in the dry island forest of Myanmar (Burma). But still controversies are there concerning its exact place of origin. According to Roxburgh (1874) India is considered as the primary center of origin for neem tree. In consonance with this information Brandis (1921); and Jacobs (1961) reported Upper Myanmar (Irrawaddy valley, upper region of Prome) as its place of origin. Its natural distribution range extends up to Shivalik hills in India (Koul, 2004).

# 2.3.2 DISTRIBUTION

- 1. Nativeplaces: Sri Lanka, India, Myanmar, Pakistan, Senegal, Thailand, Churu (state of Rajasthan), Indonesia
- 2. Exotic: Antigua, Argentina, Cuba, Gambia, Jamaica, Barbados, Mauritania, Nepal, Mexico, Kenya, Madagascar.

The established neem groves are now available in 30 countries worldwide while in India alone 18 million neem trees have been estimated. This expansive distribution in pan world (South-East Asia, East and Sub Sahelian

Africa, Australia, and South America) of neem tree by Indian emigrants is due to its wide array of utilities, easy-quick growing (usually attain 20 feet height in three years), wide climatic adaptability especially in arid condition (maximum temperature 120°C and annual downpour as low as 18 inches) and long productive phase (life span around 150-200 years). Few patches of neem plantation are also reported in USA and Europe (Venugopalan & Navaratnam, 2013). In different states of Malaysia (Perlis, Penang, Langkawi, Sungai, and Kedah) and China (Pan Zhi Hua and Sichuan province) neem trees are found in abundant. Neem tree was first introduced in China (at the hot river valley of Yunnan) from India in 1995 by researchers of Chinese Academy of Forestry (CAF) and now China is globally announced as hub of neem raw materials since ~4.00.000 artificial neem plantations have been established in Yunnan province. Then, again in 1997 from Africa, South-East, and South Asia neem trees had brought in China by CAF research groups and in 1998, commercial promotion on neem plantation was initiated. The existing topography and meteorological conditions favored thrive of neem trees in Yunnan province while CAF had also significant role for the dissemination of standard neem cultivation technology. Intensified cultivation and research on neem is reported since last two decades. Kenya is now going to be an emerging hub of neem raw materials. In Ghana and Nigeria (situated in West Africa) neem trees are cultivated extensively now. Earlier, they considered neem as shade providing plants but its global fame especially as medicinal tree ultimately influences its establishment. Now, to treat malaria, in all African countries neem formulations are widely used while the demand of neem trunks as firewood (in Ghana) is also quite high. The stretch of neem plantations have aided to keep the ecological equilibrium by interrupting the Southward spread (from Somalia to Mauritania) of Sahara Desert. For its bountiful importance, colloquially neem tree is known as 'Green Gold' in Africa and 'Independence Tree' in Senegal (name given by Senegal Neem Foundation [SNF]). In Saudi Arabia (in plains of Arafat), largest (10 sq. km. Areas with 50,000 neem trees) roadside neem plantations done by a Saudi philanthropist have been reported for the shade and comfort of pilgrims. Neem trees were introduced in last decade in Caribbean and Haiti too (Venugopalan & Navaratnam, 2013).

The major production of neem in India is found in 3 states principally, i.e., Andhra Pradesh (AP), Tamil Nadu (TN) and Karnataka. Several states of India (UP, WB, Gujrat, Bihar, Andhra Pradesh, Maharashtra, Delhi, Tamil Nadu and Orissa) now become the leading producer of neem. Mahabubnagar, Jedcherla, Shadnagar, Nalgonda, Bhongir, Vikarabad, Pargi, Tandur,

Warangal, Jangaon, Hyderabad, Jogipet, Zaheerabad, Ongole, Khammam these districts of AP; Salem, Dindigal, Madurai, Thene, Thirunamalai - these districts of TN; and Bangalore and Tumkur districts of Karnataka meet the entire domestic need of neem and its value-added products (Anon, 2012b). Mahabubnagar, Medak, Nalgonda, Warangal, Khammam, Ananthapur, Chittor, Viziznagram, Srikakulam - these districts of AP also secured highest rank for neem fruit production (Anon, 2012a). Different districts of TN viz. Virudunagar (34.34 ha), Thoothukundi (22.80 ha), Tirunelveli (11.53 ha), and Madurai (9.72 ha) occupy notable territory for neem cultivation while other 2 states occupy 21.58 hectares of land for neem plantation. Maithani et al. (2011) reported about the existence of 20 million neem trees in India. It's an evergreen tree but during February-March leaf fall starts. Blooming takes place during January-March in Southern parts while bit later in Northern parts. At the juvenile stage, neem trees need full sunlight condition. Their frost susceptibility has been confirmed by Arid Forest Research Institute (AFRI), Jodhpur. Balaji (2017) stated that 'coppicing' and 'pollarding' – as plant rejuvenation strategy could be adopted in case of neem while propagation using root suckers could be an alternative of conventional seed propagation.

#### 2.4 MARKETING AND TRADE

Neem fruits and seeds prices range from Rs. 50-150/- and 20-250/- kg<sup>-1</sup>. Major importers of these are Karnataka, Tamil Nadu and Kerala used for production of bio-pesticides and oil extraction. The fruiting age of neem is 35 yrs. It turns fully productive in 10 yrs. So, the normal production of neem is 30-50 kg of fruit/tree/annum (Balaji, 2017).

#### 2.4.1 NEEM PRODUCTS TRADED IN THE WORLD MARKET

Value added products of neem are traded throughout the world. The leading producers of these products are India, United States and Australia.

#### 2.4.1.1 NEEM OIL IN INDIA

'Neem oil' is a vital value-added product. It is traded throughout the world but still neem trees growing in India and the production of neem oil are improportionate. The estimated yield quantity of neem kernels (principle oil source materials) in India is 3.5 million tons, hence, approximately 7,00,000 tons of neem oil could be produced but the actual production is  $\sim$ 1,50,000 tons out of which 34 tons of 3,00,000 INR worth are exported.

In 1920, first neem soap and in 1929, neem toothpaste were manufactured by 'the Calcutta Chemical Company.' After that, 'Sunida Exports' and 'Plasma Power P Ltd.' – these 2 Indian private companies had launched variety of neem products like neem-based food supplements, agricultural, and veterinary products, neem oil, soap, neem leaf granules, neem soap nut powders, neem cake and neem bark powders. Among these value-added products, neem leaf granules, bark powders and soap nut powders are sold in the market at high price rate of US\$ 1.8 kg<sup>-1</sup>, US\$ 1.26 kg<sup>-1</sup>, and US\$ 4.68 kg<sup>-1</sup> respectively while 'Plasma oil' has not only high domestic demand rather exported throughout the world (like Netherlands, UK, Hawaii, New Zealand, Panama, Switzerland, etc.) (Retrieved from: http://www.calchemico.com).

There is different methods like 'Ghani' and 'Expeller' used for neem oil yielding while whole fruit, developed seed and kernels – these 3 raw materials are basically used for neem oil yield. The percentage of oil yield also varies according to the method of crushing like in 'Expeller' method of crushing, 4–6, 12–16, and 30–40% of oil yielding is possible from whole fruits, developed seed and kernels respectively while in 'Ghani' method only neem kernels are appropriate for yielding 25–35% of oil; rest 2 types of raw materials are inappropriate for this method (Anon, 2012c).

The extract of neem seed was first commercialized by 'Thermo-Trilogy Corporation' (a USA based company) as non-edible product being approved by U.S. Environmental Protection Act (EPA), 1985. 'Margosan-O' - a neem-based product used to check leaf miners and gypsy moths in plants (trees and shrubs), manufactured by Vikwood Botanical but the patent, registration, and technology of this product was purchased by W.R. Grace in 1988. Later, its formulation was changed before successful greenhouse crops application. 'Neemix' is 'azadirachtin' (0.25%) (a chemical constituent of neem extract) based product got approval from U.S. EPA in 1993 to use on agricultural crops in Florida. Later, a collaborative approach had been established between Florida and India for commercial cultivation of neem tree and production of 'Neemix' product. This product can effectively control pests (army worms, leaf miners and aphids) in citrus and range of vegetable crops. W.R. Grace also purchased the patent of 'Neemix' and got registration after changing its formulation by increasing the concentration of azadirachtin from 0.25% to 4.5% (18 times more concentrated) in 1994 and launched in the agricultural market. The upgraded formulation of 'Neemix' made it easy to-handle and to-package. 'Thermo Trilogy Corporation' is the new name of 'Biopesticide Group of Companies' which was earlier owned by W.R. Grace. In 1996, W.R. Grace sold his company. 'Thermo Trilogy' then renamed the product 'Margosan-O' into 'Neemazad.' Among several neem-based products manufactured by 'Thermo Trilogy,' key are broad spectrum fungicides to check agricultural and horticultural diseases and mite populations. These fungicides basically contain hydrophobic extract of neem oil (application rate 5.46 lb US gallon<sup>-1</sup>) to control 'greasy spot,' '*Alternaria* leaf spot' and 'mildew' diseases, 'post bloom fruit drop' disorder and mites (Retrieved from: http://www.neemfoundation.org).

Barring these companies, 'Agro Logistic Systems,' Inc. Of USA also produce diversified neem-based products which are as follows:

#### 2.4.1.2 AGRONEEM

This product contains 0.15% of azadirachtin (AI) and neem biomass [neem lipids] (a) 15%. In other words, it's an emulsified product. Around 1 ton of seeds (raw material) are needed for 220 l of oil yielding (approx. 22% oil recovery). According to Tinghui et al. (2001), the yield of oil from the same amount of seeds could be enhanced up to 314 l by using the proportion of oil and emulsifier (a) 70:30 (tentative return could be \$22,400 ton<sup>-1</sup>). These compositions made this product as an effective pest management tool (2–3 liters hec<sup>-1</sup> is an effective dose rate). Key merits of this product are its nontoxic, biodegradable, eco-friendliness (obtained registration by U.S. EPA) while it could be used also as anti-feedant, insecticide, insect repellent and growth regulator. Its compatibility with broad array of fertilizers, fungicides, and insecticides is noticeable (Singh et al., 1996, 1999). It is currently manufactured by Agro Logistics Systems and price range of 32oz (1 quart) plastic bottle is ~\$400-\$600. In Australian market this product is available at comparatively cheap rate of \$15–\$18 lit<sup>-1</sup>.

#### 2.4.1.3 NEEM CAKE

The key ingredients of this product are NPK. It's commonly known as 'Soil supplement.' It enhances the porosity of the soil which consequently increases the nutrient uptake and improves soil structure. It could also be used to control soil borne nematodes. Marz (1989) reported that the price

rate of 50 lb. bags of neem cake was around \$300-\$600 (data is based on the Australian market survey).

#### 2.4.1.4 AGROMORE FOLIAR

It is basically used as plant growth promoter which enhances the crop yield. This foliar fertilizer is equipped with phosphorous (Ph), magnesium (Mg) and zinc (Zn). The chemical constituents of neem help in rapid absorption of essential nutrients which in turn assist in photosynthesis. Several authors (Marz, 1989; Tinghui et al., 2001) had reported about its poor market price and selling percentage. The approximate price of 12 bottles of this product is \$192 which supports the above report.

'Neem Aura Naturals' is a new product (like 'Toothpaste,' 'Wild-Craft Neem Oil,' 'Organic Neem Leaf,' 'Neem Cream,' 'Neem hand and Body Lotion,' 'Neem Herbal Spray,' 'Organic Neem Leaf Extract,' and 'Neem Soap') crafted using leaves of organically grown neem trees.

Another, popular neem oil-based products' manufacturer is 'Bio Neem' company of New South Wales, Australia. This company produces highly concentrated emulsified 'Neem Seed Oil' which contains 3,000 ppm of key active ingredients of neem. It is highly effective to control broad spectrum of pests. The price ranges from \$13 to \$154 (Retrieved from: http://www. bioneem.aunz.com).Several other neem-based products like 'Neem Facial Moisturizing Lotion,' 'Neem Shampoo' for all hair types, 'Neem Soaps,' 'Cold Pressed Neem Oil,' 'Neem Toothpaste,' 'Neem Seedling,' and 'Neem Meal Cake' are manufactured, and exported by Neem Co-operative established in 1996 in Northern NSW, Australia (Retrieved from: http://www. nor.com.au). For further flourishment of neem bio-business and before large-scale capital investments in this field, qualitative, and quantitative evaluations of the products are quite vital. The products must be economical; otherwise unrealistically high price may lead to the commercial failure. The annual turnover was of US \$125 million year<sup>-1</sup> by Thermo-Trilogy' in the year of 2001 (Tinghui et al., 2001).

#### 2.4.1.5 NEEM POTENTIAL IN CHINA

The huge annual loss (ranged from 16-30 million tons) of agricultural products due to diseases and insect-pest infestations were evident in China since 1980. Even after applying the farm chemicals (quantity ~2,50,000

tons) sufficiently, the loss ranged like the above. Several cons like nonenvironmentally friendly nature, toxicity, and growing resistance against broad array of biological threats of using the chemical fertilizers made the Chinese Central and Local Governments bound to ban them to use which paved the position of bio-chemicals. China started to cultivate neem tree in Waning County of Hunan province and use neem-based products from 1986 but increased developmental activities have significantly reduced the neem populations. Again in 1995, new neem plantations were started by Luxi Biological Resources Development (a research institute) situated in Yunan province. This institute also produced 1 million micropropagated plantlets during 1999 to strengthen neem cultivation in Yunan province and other prefectures. It had received various governmental aids in this regard too. The provincial government had also aided by US \$2.8 million funding to develop a processing factory for neem named 'Yunan Guangming Neem Development Company' (YGND) which targeted to produce 2.8 million seedlings and their subsequent plantation at 3,300 hectares of land per year. Currently, a joint venture between YGND and Australia is about to made for azadirachtin extraction and production of neem-based pesticide formulations. The organic fertilizers are now receiving much attention by several government, research, and business organizations. China's first neem-based formulation was neem oil (raw materials used neem seeds; imported from India, Thailand, and Burma) with 0.3% azadirachtin, released during 1997 and was successfully registered by the Ministry of Agriculture of China. This product also received much appreciation from other relevant ministries owing to its qualitative attributes. Yunan and Hainan based few companies (Xinkian Eco-Science and Technology Company) are also in the process of establishing few neem processing factories. They are targeting to produce 6,70,000 liters of 0.3% azadirachtin preparation and 250 tons of neem oil per annum. The popularization of neem-based value-added products are for its diversified application such as:

- Application as biopesticides at cheap rate which in turn may enhance the export rate and quantity of horticultural products for 'Green' production strategy.
- Serve as source of income for rural people.
- Conducive climatic condition for abundant production and environmental benignity of products.
- Used as non-toxic, natural, cost-effective contraceptive, also potentially impacting the population control.

#### 2.4.1.6 EXPORT POTENTIAL

Neem is known for its versatility. In one side, it could be used for pharmaceutical purposes while on other side, as forest produce. It is one of the forest species from which diversified value added products are produced and exported. These reasons compulse many countries (like Japan, USA, Netherlands, Germany, and France) to establish research laboratories exclusively for neem (Retrieved from: http://agritech.tnau.ac.in/banking/PDF/Forestry%20 Wasteland\_Neem.pdf) but even after having abundant neem wealth in India, very little systematic research have been made. 'Azadirachtin,' the key biologically active constituents extracted from neem seeds (yield rate 3 g kg<sup>-1</sup> of neem seeds), has huge export potential but in India, millions of neem trees are abandoned and grow in scattered way. Hence, to reap the export benefits at the best, several initiatives by government organizations (ICAR, ICFRE, NGOs, and Agricultural Universities) should be adopted for organized and commercial cultivation of neem.

#### 2.5 COMPOSITION AND USES

#### 2.5.1 CHEMISTRY OF NEEM

Among uncountable number of chemical constituents (like meliacin, gedunin, nimbolides, nimbidin, nimbin, salanin, valassin, etc.) obtained from neem, terpenoids, and azadirachtin are of special interest. The former one is obtained from different plant parts while the latter one from neem seeds. Azadirachtin is the most well studied compound (types A-K) and employed for preparing almost every neem formulation (e.g., pesticides, medicine, etc.) as active ingredient. The chemical compounds of neem are accumulated and synthesized at secretory cells, found to be present in seed kernels. Barring, terpenoids, and azadirachtin, 20 sulfurous compounds had been also identified by Lokanadhan et al. (2012). Along with these, tignic acid are also responsible for the distinctive odor of neem crushed seeds and oil while biologically active substances cause bitter taste of neem oil. The oil recovery percentage from neem seed kernel is 30-50%. It contains different active chemical ingredients, together known as 'triterpene' or 'limonoids.' Azadirachtin, salannin, nimbin, and meliantriol are 4 best limonoids used exclusively for pesticidal and insecticidal properties (Mondal & Mondal, 2012; Hashmat et al., 2012).

Different plant parts of neem are rich in chemical compounds like neem bark contains tannins (gallic acid), catechin (epicatechin), diterpenoids (margolone, margolonone, isomargolonone), polysaccharides (GlaGlb, GllaGlla), and glycoside. All these bio-chemical constituents possess antibacterial, antitumor, antiulcer, and anti-inflammatory properties. 'Ethanolic extract' of neem has hypotensive, spasmolytic, and diuretic properties while its 'Chloroform extract' has inflammation activity and 'Aqueous extract' possesses anti-complement property and act as an immune stimulant. Overall, all these chemical substances obtained from neem bark are analgesic in nature.

Cyclic trisulfide, tetra sulfide, flavonoid, quercetin – these bio-chemical constituents are available in neem leaf and possess anti-fungal and hyperglycemic features. Furthermore, 'Methanolic extract' of neem is antipyretic in nature and has anti-plaque property while the 'Aqueous extract' of neem leaf is hypoglycemic in nature, possesses antiulcer, antifertility, and antiviral properties and also stimulates the immune system. 'Acetone extract' of neem leaf constituents also aid to heal eye problem, epistaxis, intestinal worms, leprosy, anorexia, biliousness, skin ulcers and also act as CNS depressant.

'Nimbidine,' 'Nimbine,' 'Nimbolide' and 'Az' – all these chemical compounds are available in neem oil and contain anti-inflammatory, anti-pyretic, antifeedant, anti-malarial properties. These can also cure leprosy and intestinal worm issues.

To heal the urinary disorder, piles, epistaxis, intestinal worm, diabetes, and leprosy, neem fruits are infallible since it contains phytosterols (Maithani et al., 2011).

#### 2.5.2 ACTIVE INGREDIENTS

Different plant parts of neem tree are the sources of range of bio-active compounds. Among these, azadirachtin (AZ) ( $C_{33}H_{44}O_{16}$ ), a steroid like tetranotriterpenoid (limonoid) is the key compound. It was first isolated from neem seeds by Butterworth & Morgan (1968) from *A. Indica* while the detailed insecticidal properties and complete elucidation of chemical structure of AZ were reported by Chopra (1928); and Kraus et al. (1987) for the first time. Isman et al. (1990) stated the correlation between the AZ content and the degree of insecticidal activity which determines the efficacy of neem oil. Several authors (Schmutterer & Zebitz, 1984; Chirathamjaree et al.,

1997; Sidhu & Behl, 1996) found the impact of eco-geographical conditions and origin of individual species on the total yield of AZ. Based on the minor variations in chemical structure of AZ, it is classified into Azadirachtin-A-Z (different analogs of AZ). AZ-A is the major component while AZ-B (3-tigloylazadirachtol) occupies 15% of the total concentration of AZ and AZ-C to AZ-Z analogs is found to be available in least quantity (Rembold, 1989). One more AZ analog, i.e., AZ-K had been isolated from neem seeds by Govindacharu et al. (1992) while Ramji et al. (1996) found a novel tetrarnotriterpenoids compound, i.e., 1-epiazadirachtin from the same source. Other species of Meliaceae family also contain AZ (Morgan & Thornton, 1973). Schmutterer (1990) mentioned about the other bio-active compounds like salannol, salannolacetate, 3-deacetylsalannin, azadiradion, gedunin, nimbinen. salannin. 23-dihydro-23p-methoxyazadirachtin,1-tigoloyl-3-acetyl-1-methoxyazadirachtin, deacetylnimbinen, 14-epoxyazadiradion, 3tigoylazadirachtol, meliantriol, 14-apoxyazadiradione, vilasinin derivatives, azadiradione, deacetylazadirachtinol, 6-0-acetylnimbandiol, and 3-deacetylsalanninobtained from oil of neem seed kernels. Siddiqui et al. (1986) isolated isonimocinolide and nimocinolide from fresh neem leaves and later (Siddiqui et al., 1988) alkanes from desiccated leaves while Chavan (1984); and Chavan & Nikam (1988) recovered non-terpenoidal constituents, saturated hydrocarbons, coumarins, and isocoumarins from juvenile, whole neem twigs. The bark, whole fruits, heartwood could be also the source of key biocidal components but extensive investigations are required in this field.

#### 2.5.3 MINERAL CONSTITUENTS OF NEEM KERNELS

Magnesium@ 0.29–0.46%, phosphorous@ 0.24–0.38%, calcium@ 0.2–0.4% and chemically enriched neem oil@ 40% could be obtained from neem seed kernels summoned from different agro-ecological conditions.

#### 2.5.4 USES

Zoonotic food and waterborne pathogens are becoming resistant to antibiotics and thus is an environmental problem. Neem is a viable option in 21<sup>st</sup> century for its great use in pest management, environmental protection and medicine. Neem leaf extract increases the blood platelet and white blood cell counts which work effectively against the ZIKA virus and fortifies the immune

system of a patient. Gum degeneration problem could be also solved using tooth paste containing neem formulations. Scalp condition problems respond to treatment with neem shampoo and conditioner. The antibiotic principles are the defensive mechanism of these plants against different pathogens. It has a role in anti-inflammatory, antiarthritic, antipyretic, hypoglycemic, antigasteric ulcer and antitumor activities (Paul et al., 2011). Neem formulations may be used in antibiotics as an adjuvant to get synergistic effect and to treat opportunistic infections which are the cause of death in HIV infected patients. Wheat and paddy crops are glazed with neem-based urea (a source of N<sub>a</sub>) could give significant yield. It facilitates Vit N, P, and K uptake. The health promoting effect of neem is for its antioxidant richness. Due to chemicals like nimbin, nimbidin, nimbolide, and limonoids of neem plant parts, it is of significant importance in agricultural fields and horticultural sciences (Dohroo & Gupta, 1995). Quercetin and β-sitosterol, polyphenolic flavonoids possess antifungal and antibacterial properties. Staphylococcus aureus and Pseudomonas aeruginosa are sensitive to neem plant extract. Candida albicans was inhibited more often by neem plant extract (Dohroo et al., 2016).

Insecticidal properties of neem extracts had been elucidated by several authors but Balaji et al. (2017) emphasized the ways of its action. They revealed that the extracts don't perish insects directly but it alters their life cycle (like feeding and breeding incapability, inability to metamorphose, etc.) which in turn make their action very subtle.

Neem oil (as foliar spray) and litter compost (as green manure) is used extensively in rice cultivation to control various pests while neem residues (obtained after oil extraction from seeds) by soil application could enrich the soil organic matter and nitrogen content by inhibiting nitrification. Grounded neem leaves could be also used to store grains and horticultural seed components. To check fleas and sucking pest populations in rice field, neem bark and root in powdered form are also used while neem bark and root extracts could also act as nematicide (Lokanadhan et al., 2012).

#### 2.5.4.1 THERAPEUTIC USES

Wide array of neem formulations are well-known for its therapeutic uses. For example, oral intake (as tonic) by adult females of neem bark extract (using hot water) could work as emmenagogue, extracts containing anthraquinone fraction prepared using dehydrated neem blooms, fruits, and leaves

could be used for leprosy, to heal hysteria (a psychological disorder), fever, and deep wounds hot water extract of neem flower and leaf could be effective, for diabetic patients dried neem flowers work fabulously, to heal piles, dermatological issues (itching, eczema, etc.) and ulcers hot water extract of dried neem fruits is infallible, as insecticide, anthelmintic, and purgative the whole plant extract (using hot water) is useful, to treat gonorrhea, leucorrhea, and dyspepsia neem leaf and flower juice are very useful, to soothe boils use of neem leaves as poultice is effective, for ring worm infestation and to treat eczema neem leaf paste is unparallel, to control nasal worm infestation use of neem leaf juice (as nasal drop) is impactful, for throat inflammation treatment steam inhalation of neem bark has gathered praise, to restore the appetite and cure intermittent fever, neem decoction could be used, for long term storage purpose of woolens neem leaves could be used, and to treat filariasis oral intake of neem bark juice is very effective (Chatterjee & Pakrashi, 2010; Kabeeruddin & Mufradat, 2007). A neem fruits, flowers, and root-based formulation is prepared by using the stated neem parts, 'Triphala' and dried ginger to treat common flu. Several authors (Hashmat et al., 2012; Chatterjee & Pakrashi, 2010) recommended the intake of neem flower decoction for general debility convalescent. To get rid of oral bad odor, use of juvenile neem twigs is in age old practice (Siddiqui et al., 1988; Chavan, 1984; Kabeeruddin & Mufradat, 2007; Tandon & Sirohi, 2010).

#### 2.5.4.2 NEEM AND ITS INDUSTRIAL USES

In cosmetic, pharmaceutical, ayurvedic drug, fertilizer, animal-feed, textile, and rubber industries the uses of neem value-added products (especially neem oil) are mentioned worthy. Neem oil and grounded neem leaves is the major ingredient for the preparation of several neem-based commercial formulations like nail polish and oil, shampoos, conditioners, and face creams. Among these products, neem shampoo is highly effective to control hair lice problem. Neem toothpaste to care about the oral-hygiene and dental issues has been acknowledged by European countries (Aneesa, 2016).

#### 2.5.4.3 AZADIRACHTIN

It is the key bio-active ingredient of neem. It is the outright killers of insects and pests.

#### 2.5.4.4 FUNGICIDES

Fungicidal properties of neem extracts are also evident. Its action is far significant as compared to the synthetic fungicides.

## 2.5.4.5 ANTIBACTERIALS

The use of neem oil as anti-bactericide (e.g., *Staphylococcus* sp., *Salmonella* sp., etc.) is authenticated by different research institutions.

#### 2.5.4.6 ANTIVIRAL AGENTS

Several empirical information(s) on antiviral properties neem extracts are available. Since time immemorial, the efficacy of neem leaf paste to heal small pox and chicken pox viruses have well been acknowledged. Rubbibg of neem leaf paste directly on infected skin usually give better results (Balaji, 2017).

#### 2.5.4.7 DERMATOLOGICAL USES

For range of skin problems like itching, dry, and scaly skin, redness of patchy skin, etc., neem oil is infallible. It's considered as wonder drug to cure psoriasis.

#### 2.5.4.8 NEEM AS PESTICIDE

The roles of neem-based products to control pest infestations are well known due to the presence of 'Azadirachtin' component. Its organic nature is the key reason of its huge popularity and acceptance as compared to the synthetic pesticides since the harmful impacts of synthetic ones on soil microorganisms, plants, and human beings are well evident. The upward trend of uses of natural or organic pesticides, is motivating different research communities to dig up its range of potency (Anis Joseph et al., 2010; Venthanayagam & Rajendran, 2010). Barring, the efficacy of this herbal pesticide against broad array of germs and bacteria, another key merit is their non-residual nature.

#### Neem-Drug Cabinet of Mother Nature

#### 2.5.4.9 DENTAL TREATMENTS

The use of neem twigs as 'Organic Toothbrush' is an age-old practice in India, in fact, it is endorsed by dentists for the efficacy to heal periodontal diseases.

#### 2.5.4.10 MALARIA

Ayurvedists, Charaka (during 2000 BC) and Sushruta (during 1500 BC) had stated the potential of neem formulations to cure malaria, a fatal disease in Africa and India. To date, every medical practitioner also recommend neembased pills as antimalarial drug.

#### 2.5.4.11 PAIN RELIEF AND FEVER REDUCTION

The potency of neem products as cheap source of analgesic, antipyretic, and anti-inflammatory is evinced since long ago.

#### 2.5.4.12 CONTRACEPTIVE AGENTS

The bio-active components like DK-1, DNM-5, and DNM-7 are effective contraceptive agents, isolated from neem oil by DIPAS (Defense Institute of Physiology and Allied Sciences). The oral administration of these components especially DNM-7 is highly impactful for abortion after implantation. 'Sensal' is a neem-based abortifacient used popularly in India.

#### 2.5.4.13 VETERINARY MEDICINE

Various neem-based products are also useful to prevent wide array of livestock diseases. This aspect could further prosper neem's usages.

#### 2.5.4.14 COSMETICS

For facial cream, body oil, nail polish and other cosmetics, the uses of neem oil and leaf powder are infallible.

#### 2.5.4.15 LUBRICANTS

The non-drying nature and prolonged shelf life of neem oil influenced its uses as greasy agent. To grease cart wheels, the use of neem oil in rural India is most abundant.

#### 2.5.4.16 FERTILIZERS

The potency of neem fertilizers for growth enhancement of sugarcane (cash crop) and various vegetables and rice (food crops) has been well proven. The most common form of using neem fertilizer is neem cake, prepared using the neem seed residues after oil extraction. Neem cake, also synonymously known as eco-friendly 'Soil Conditioner,' 'Nutrient Supplement,' and 'GrwothPromoter.' In neem cake manure maximum 10% of moisture, 2.5% of water-soluble organic nitrogen, 13% of total ash and 5% of acid insoluble ash contents are found. The limonoid content of neem helps to check root nematodes and other pest (white ants) populations in diversified agronomical and horticultural crops. The broadcasting of raw neem leaves or its powdered form (as bio-fertilizer) in flooded rice field of Karnataka helps to improve the qualitative and quantitative parameters. It basically meets the demand of macro nutrients.

#### 2.5.4.17 NEEM USED AS MANURE

The necessity of using manures in soil is for the necessary improvement of soil structure, that's why the use of animal feces are very common. The soil structure is improved by the uptick of  $N_2$ , Ph, S, K, and Ca content. The use of neem-based manure could effectively contribute for these. The use of this environmentally benign and bio-degradable manure not only boost plants' growth by providing almost all macro and essential micro-nutrients but also totally harmless for soil microflora and plants. The integrated use of neem-based extracts (like blending with urea, FYM or sea weed) could fetch best outcomes. To finetune its efficacy, cold pressing or solvent extraction methods could be adopted. Its cost-effective nature, capacity to eliminate denitrifying soil bacteria and antifeedant traits also equally contribute towards its worldwide acceptance as manure (Lokanadhan et al., 2012).

## 2.5.4.18 NEEM AS UREA COATING AGENT

To enhance the efficacy of urea, neem products are used as coating agents (either in liquid or powdered form), especially it makes the denitrifying bacteria non-functional (growth and activities are seized) and uplifts the soil NPK content. In one hand, it minimizes the degradation of urea in the soil and control range of pests (caterpillars, beetles, leaf hoppers, borer, mites, etc.), on other hand. Antifeedant, antifertility, and anti-growth – these 3 are chief properties of neem urea coating barring its other multifaceted qualities (Lokanadhan et al., 2012).

## 2.5.4.19 NEEM AS SOIL CONDITIONER

Neem seeds are used as raw materials for this purpose. The powdered neem seeds are sprinkled during plantation followed by immediate irrigation, vital for its easy penetration into the soil and absorption by plant roots. As a safe organic soil conditioner, it has gained fame internationally (like USA, UK, and Australia). It gives wholesome protection to the plants. The multifunctional approaches of this product immensely help in soil fertility enhancement (Lokanadhan et al., 2012).

#### 2.5.4.20 NEEM AS FUMIGANT

To eradicate the household, storage, and crop pests, the usefulness of neem products are indescribable. In pan world, its usages have impressively increased for its non-toxic nature (100% natural) which can effectively control human deaths led by consumption of synthetic pest fumigants. Neem based fumigant can deter oviposition, mating, and growth of insects while its antifeedant properties influence the death of pests and also disallowed the resistance development among pests. Azadirachtin – the active ingredient of neem formulations is liable to disrupt the growth cycle of insects, pests, fungi, etc. Its full proof usefulness is acknowledged throughout the world (Lokanadhan et al., 2012).

#### 2.5.4.21 NEEM TREATED UREA AND COAL-TAR TREATED UREA

These formulations could be made by blending urea with grounded neem seed or neem cake (size 2 mm). Urea could be mixed with gypsum and neem cake@ 5:4:1 to enhance  $N_2$  efficiency. Coal tarred urea (100 kg of urea, 1 kg

of coal, 1.5 liters of kerosene and a handful of powdered neem cake) could be used as soil basal dose (Lokanadhan et al., 2012).

# 2.5.4.22 OTHER PRODUCTS

# 2.5.4.22.1 Resin

'Neem glue' has recently fame as a food additive and is in use in South Asia. Exudate released by the wounds made in bark is used as glue, generally not used as substitute of polysaccharide gum.

# 2.5.4.22.2 Bark

The coarse fiber of neem bark used to make ropes in rural India. Not only that, neem barks contain 14% of tannins which is equal to the amount obtained from conventional tannin yielding tree (e.g., *Acacia decurrens*)

# 2.5.4.22.3 Honey

The high qualitative values and rich flavors of neem nectars contribute to the apiary industry.

# 2.5.4.22.4 Neem Fruits

The dry neem fruits after smashing into water are useful to cure piles, urinary diseases, leprosy, intestinal worms and other cutaneous diseases.

# 2.5.4.22.5 Soap

Neem crude oil is an essential ingredient for soap manufacture industries due to its cost-effective nature. Basically, it is used prolifically in coarse laundry soap manufacturing industries.

# 2.5.4.22.6 Neem Leaf Tea

It is another value-added product of neem prepared by using processed neem leaves. The health benefits of 'Neem Leaf Tea' have surpassed its bitter taste and consequently, have been accepted by health-conscious people (Source; http://www.keralaagriculture.gov.in/htmle/bankableagriprojects/fw%5Cneem.htm).

## 2.5.5 IMPACT OF NEEM PRODUCTS ON INTEGRATED CROP MANAGEMENT (ICM) AND PEST MANAGEMENT (IPM)

Its eco-friendly, non-toxic, and pesticidal properties have been already discussed earlier. Several value-added products of neem could play impactful roles for ICM and IPM. Its uses in IPM helps to check the oviposition of pests and insects, affects their life cycle while the antifeedant properties of neem-based products hinder the resistance building capacity. The environmentally benign nature of these products has replaced many synthetic pestiand -insecti-cides. Along with its non-harmful nature towards the target pests (chiefly sucking and chewing insects) and also the other biological predators of target pests, its water-soluble nature is highly beneficial for plants (nourishment) and soil (conditioning). Thus, it is widely used in ICM system. In several cash (e.g., rice, pulses, cotton, oil seeds, etc.) and horticultural crops (e.g., broad ranges of fruits, vegetables, flowers, etc.) production system, it is used widely. Its popularity as 'bio-pesti'-and-'insecti-cides' and 'organic growth component' in current, commercial agriculture systems is noteworthy. 'Azadirachtin' (belongs to the organic molecule class namely 'Tetranortriterpenoids') - the active ingredient of neem extracts, is the key ingredient of insect-pest repellent because of the structural similarity of it with 'Ecdysones' (a type of insect hormone aids in metamorphosis of insects from larva to pupa stage). This active ingredient inhibits the growth of young insects and pests (Lokanadhan et al., 2012). Different plant parts and seed extracts which are used for the formulation of neem-based insecticides have been tabulated below.

#### 2.5.5.1 MODE OF ACTION

The neem-based products not directly bring the cidal effects on insects or pests but they act as fecundity suppressor, oviposition deterrent, antifeedant, and growth inhibitors (Schmutterer, 1988, 1990). The mode of action as antifeedant is like that the presence of bio-constituents (e.g., salaninandmelandriol and azadirachtin) choked the peristaltic movement of insects' esophagus and alimentary canal causes reverse peristalsis which reduce the desire for food (leaves which are treated with neem products). Moreover, anyhow after ingestion of neem treated plant parts, they lose their mating wishes as side effects and as a consequence, their life cycle gets hampered. As an oviposition deterrent, it blocks the egg laying potential of females. To protect seeds, they're usually treated with neem kernel powder or neem oil. The unique property of affecting on juvenile hormones of insects or pests of neem extracts makes them suitable as insect/pest growth retardant (Lokanadhan et al., 2012).

#### 2.5.5.2 ANTIFEEDANCY

The chemical activity as antifeedant of neem products had been studied in several phytophagous like Isoptera, Hemiptera, Orthoptera, Lepidoptera, Coleoptera, and Diptera (Mordue & Blackwell, 1993). According to several authors (Chapman, 1974; Schoonhoven, 1982), the feeding inhibition in insects is due to the chemical signaling. Either the increased amount of phagostimulants or the stimulation of few specific deterrent cells or both of these mechanisms causes antifeedancy. They also stated that chemoreception act as 'Primary Antifeedancy' while loss of appetite due to toxic effects of AZ-containing extracts act as 'Secondary Antifeedancy.' But the degree of effects depends on the quantity and concentration of active ingredient (AZ) consumption.

#### 2.5.5.3 GROWTH REGULATION

The role of AZ and several other neem-related products as Insect Growth Regulators (IGRs) are known. The application for larval growth inhibition could be by topical application or oral ingestion or through injection. The impact of treatments depends on type of insects/pests genus. As for example, the oral ingestion treatment found impactful in Orthoptera, Hemiptera, Lepidoptera, and Diptera (Ascher, 1993; Mordue & Blackwell, 1993; Schmutterer, 1988, 1990). IGR efficacies also depend on plant parts. For example, to inhibit the growth of diamond-black-moth (*Plutella xylostella* L.), neem seed extract is highly effective as compared to the extracts of leaves, bark, twigs, and wood as suggested by Tan & Sudderuddin (1978). Ascher (1993) reported that the secretion of PTTH (Prothoracicotropic Hormone), morphogenetic peptide, and allatotropins from brain-corpus cardiacum complex are stopped which results in reduction of juvenile hormoretiters and modify hemolymph ecdysteroid.

#### 2.5.5.4 FECUNDITY SUPPRESSION AND STERILIZATION

Several authors (Ascher, 1993; Mordue & Blackwell, 1993; Schmutterer, 1988, 1990) had studied the fecundity issues of Orthoptera, Hemiptera, Heteroptera, Coleoptera, Lepidoptera, Diptera, and Hymenoptera. AZ-induced inhibition in the release of PTTH and allatotropins not only decreased the growth rate of insects but also negatively effect on their fertility, fecundity, and ovary development since AZ modifies ecdysteroid hormone (it regulates vitellogenesis). The sperm production (Shimizu, 1988), sexual behavior, hormonal disbalance, and release of sexual pheromones (Dorn et al., 1987) also get affected by AZ treatment.

# 2.5.5.5 OVIPOSITION REPELLENCY

As suggested by Schmutterer (1988, 1990); and Dhar et al. (1996), the neem treated oviposition sites effectively cause oviposition repellency especially in Coleoptera, Lepidoptera, and Diptera.

# 2.5.5.6 BLOCKING THE DEVELOPMENT OF VECTOR-BORNE PATHOGENS

To block the development of triatomine vectors, single treatment using AZ was very useful as reported by Mulla & Su (1999). This treatment caused the complete blockage of *Trypanosoma cruzi*.

# 2.5.5.7 CHANGES IN BIOLOGICAL FITNESS

The biological fitness in the sense of reduced lifespan (Wilps, 1989), high mortality rate (Dorn et al., 1987), flying inability (Wilps, 1989), immunodepression (Azambuja & Garcia, 1992), enzyme inhibition, interrupted biological rhythms (Mulla & Su, 1999), and reduced nutrient absorption rate (Wilps, 1989) had been also evident to be lowered by AZ treatment. The toxic effect of AZ treatments, affect the mitosis cell division of midgut epithelial cells, ovarian, and testisidal cells and epidermal cells.

#### 2.6 BOTANY AND TAXONOMY

#### 2.6.1 MACROSCOPIC DESCRIPTION

#### 2.6.1.1 TREE

It is a tropical, evergreen tree attains the height of 12–18 meter and width of 1.8–2.4 meter, possesses clear, erect trunk with a spreading crown of 20 meter in diameter. Under the congenial condition, it can attain the height of 20 feet within three years of time. The wide adaptability of growing make them suitable to grow almost in all places with tropical climatic condition but arid to semi-arid conditions especially the places which experience little downpour (18 inches) and high heat (120°F), are also suitable for its growing. It is well known for its long life (Maitgani et al., 2011).

#### 2.6.1.2 LEAVES

Leaves are compound, range from pinnate to imparipinnate (8–9 leaflets generally found), texture glabrous, alternate phyllotaxy clustering near the distal end of branches, rachis (15–25 cm long and 0.1 cm thick) and petiole (2–7 cm long; very short lengthwise) are subglabrous in texture, leaf shape ovate to lanceolate (size range  $3.5-10 \times 1.2-4$  cm), glossy in texture, margin serrated, exstipulate, leaf base unequal, apex acuminate, yellowish-green in color with bitter taste and indistinct odor.

#### 2.6.1.3 STEM BARK

Its bark is rusty gray in color although the hue and thickness depend on the age of tree and *in vivo* collection site. Its rough textured and fissured (longitudinal in nature) bark has its characteristic odor and bitter taste. The inner surface of its bark is laminated with yellowish foliaceous, fractured structure. The gum (commonly known as 'East Indian Gum') secretory tubercles (color ranges from gray to dark reddish brown) usually scatter throughout the trunk's bark surface. The specific gravity of timber ranges from 0.56–0.85 proves its heavy nature (Balaji, 2017).

#### 2.6.1.4 FLOWER, FRUITS, AND SEEDS

The color of delicate, minute, axillary neem tree flowers (blooming season early summer, i.e., March-April) ranges from white to pale yellow. It

possesses honey like fragrance to attract pollinators (honey bees). Inflorescence is drooping panicle type (length up to 25 cm). Botanically, neem fruits are drupe type while anatomical studies revealed the existence of thin epicarp, pulpy mesocarp and cartilaginous endocarp. Morphologically, fruits are ovoid to ellipsoidal in shape with blunt end. This olive sized (1–2 cm long) fruits (green colored during immature stage and yellow at full ripe stage) possess some garlic like odor along with oil. Fruits are basically 1 seeded (shape ovoid/spherical). Histologically, it has found that the Testa is thin and consists of a shell and a kernel (number ranges from 2–3). Seed kernels are the key source of oil. Fruiting (season April to August) starts usually after 3–5 years and could yield 110 lbs of medicinally and botanically significant oil (Parotta, 2001; Ross, 2001).

## 2.6.2 MICROSCOPIC DESCRIPTION

## 2.6.2.1 LEAF MIDRIB

Anatomically, it has found that the midrib of neem leaf has thick cuticle on any one side followed by 4–5 layered collenchyma cells and stele (consists of 1 crescent shaped vascular bundle at lower position and 2/3 small sized bundles at upper position). Leaflets are outlined along with the midrib in convex manner. The other tissues are parenchymatous, thin walled with secretary cells and calcium oxalate crystals in rosette form are also found to be evident. These crystals are also present in phloem parts which are surrounded by non-lignified fiber strand (Maithani et al., 2011).

#### 2.6.2.2 LAMINA

It is dorsiventral in nature. Anatomically, lamina is composed of thin walled, tangentially elongated, single layered palisade cells (cell ration 3–4.5) and multilayered (5–6) spongy parenchyma cells. Leaf surface is covered by thick cuticle. Especially, at ventral surface of leaf anomocyticstomatas (stomatal index on ventral and dorsal surface 13–14.5 and 8–11.5 respectively) are found to be present. The internal ground tissue is traversed by veins and also calcium oxalate crystals (in rosette form) (Maithani et al., 2011).

# 2.6.2.3 STEM BARK

This part is very thick in nature. It's composed of multilayered, regularly spaced stone cells which are in sandwiched condition with phloem elements

contain brown contents. The older barks are made up of dead elements of secondary phloem (cork cambium). The stone cells alternately present in between the irregular, tangential compressed band of cork tissues. In between the gaps of cork tissues, multilayered (3–5 layered) fiber groups are often found to be present. These intervened with thin-walled phloem elements and also frequently compressed with thick-walled cells (Maithani et al., 2011).

# 2.6.2.4 INFLORESCENCE

Neem possesses indeterminate, thyrsus type inflorescence (30 cm long (approx.). The bisexual, actinomorphic, minute, pentamerous, sweet scented, white to pale yellow-colored flowers are axillary in position. Bracts are minute and caducous (drop off early and easily) in nature, calyx lobes are ovate shaped and thin textured, puberulous internally, aestivation imbricate. Corolla aestivation is imbricate, spathulate, polypetalous in nature and ciliolate internally. Petals are oblanceolate in shape (Parotta, 2001; Ross, 2001).

#### 2.6.3 TAXONOMICAL CLASSIFICATION

- Kingdom: Plantae
- Order: Rutales
- Suborder: Rutinae
- Family: Meliaceae
- Subfamily: Melioideae
- Tribe: Melieae
- Genus: Azadurachta
- Species: indica

# 2.7 CULTIVATION

Generally, 400–1,200 mm of annual rainfall is needed for its successful thrive but <400 mm rainfall is also moderately sufficient for neem growing although ground water level play some role in this case. Tropical to subtropical climatic condition is conducive for its growing. Temperature range between 21 and 32°C is optimum although it can tolerate more high temperature but not too low temperature like <4°C as its detrimental for plant health (cause untimely leaf shedding and death).

# 2.7.1 SOIL

Wide ranges of soils (clayey, saline, and alkaline) except black cotton soil are suitable for neem trees. Hard calcareous and stony saline soils are also suitable for its growth to some extent. Low ground water level as well as inundated land is almost suitable for neem trees. But for its luxurious growth well drained, sandy soil with 6.2–7 pH is optimum. To change soil pH (from acidic to neutral) neem tree plays some vital role due to its calcium (Ca) mining properties while alkaline nature of shredded neem leaves also found useful for soil acidity neutralization.

# 2.7.2 PRICKING

Seedlings of 7–10 cm tall with 15 cm long tap root system are appropriate for transplantation while 45 cm seedling height is found best for transplantation in dry areas to tide over the adverse meteorological conditions. Good soil health and weed free land is suitable during this operation.

#### 2.7.3 PLANTING TECHNIQUES

Direct seed sowing, polypot growing and root-shoot cuttings – these are the most common planting techniques adopted for neem plants. The 1<sup>st</sup> method may be carried out by dibbling, broadcasting, line or ridge-furrow and aerial sowing methods. These methods are useful for commercial production of neem. The 2<sup>nd</sup> planting method is generally used in agro-forestry or Silvi pasture while the 3<sup>rd</sup> one is useful for road side avenue plantation. Moreover, the selection of planting methods also largely influenced by biotic, edaphic, and climatic factors and also by the purpose of growing neem populations. For root-shoot cutting method, pit planting using 20–45 cm tall seedlings are used. Sometimes, stump plantings also show good results.

#### 2.7.4 ENTIRE OR POLYPOT PLANTING

The required details for this method are as follows:

- Seedling height: Generally, 20–25 cm but in Tamil Nadu condition 45 cm height is best to cope with drought stress condition.
- Planting time: Early of monsoon.

- Pit size: 30 cubic cm.
- Optimum spacing: 3 x 3 mtrs.
- Leaf and root tips pruning found beneficial under Nagpur (Maharashtra district) condition.

# 2.7.5 PLANTING ROOT-SHOOT CUTTINGS

The required details for this method are as follows:

- Cuttings Specification: 1–1.1-year-old seedlings with 2.5 cm shoot and 2.3 cm roots are used while in Tamil Nadu, 2-year-old stumps with 12 months old root are used for getting better growth.
- Planting Time: Off time of rainy seasoning crow bar holes.

# 2.7.6 FARM FORESTRY PLANTATIONS

The required details for this method are as follows:

- For block plantation, spacing of  $5 \times 5$  m to accommodate 400 trees  $ha^{-1}$  are usually followed.
- Wider spacing of 7 × 7 m to accommodate 200 trees ha<sup>-1</sup> also practiced but depends on availability of land and target of plantation.

# 2.7.7 CARE OF YOUNG PLANTATION

During the establishment stage (1<sup>st</sup> year), 2 times weeding year<sup>-1</sup> is usually effective but from 2<sup>nd</sup> year onwards, one-time weeding year<sup>-1</sup> is sufficient. Strip weeding is generally followed for young plantation while mechanical weeding could be continued up to five years of plant age.

# 2.7.8 PLANTATION GROWTH

Fast growth is being noticed up to 5<sup>th</sup> year of plant age while from the successive years it gets slow although the growth rate significantly depends on quality of soil and climatic factors. For example, in Karnataka 6.58 m height and 68.1 cm girth size are attained within 10 years of growth while in UP, the neem trees grown under alkaline soil could attain the height of 1.7 m and 2.64 m after the 1<sup>st</sup> and 2<sup>nd</sup> seasons of plantation, respectively. Within

five years, neem trees could attain 4 m of height while only 10 m of height it could attain after 25 years of growth. The range of girth size increment is 2.3–3 cm. Provenance trial at AFRI (Arid Forest Research Institute), Jodhpur during 1992 and in Bangkok during 1993 had been conducted. The outcomes epitomize that genetic factors play vital role for neem trees growth, hence, investigations on genetic improvement of neem are vital. Furthermore, root suckers could also affect the plant growth (for example, 210 days old sucker plantation could help neem tree to attain 65.7 cm of height).

# 2.7.9 PROPAGATION

Neem tree could be propagated both by sexual (by seeds) and asexual (cuttings) means. Rainfall, wind, and also birds/bats could be the agents of seed dispersal. Generally, asexual mode of propagation is encouraged due to recalcitrancy of neem seeds.

# 2.7.9.1 SEXUAL PROPAGATION

# 2.7.9.1.1 Seed Harvesting

According to Nagaveni et al. (1987), neem fruits immediately after shedding on ground should be summoned for necessary processing in order to avoid the loss of seed viability. Other authors (Chaturvedi, 1993; Gunasena & Marambe, 1998) had stated that before the dropping of mature fruit, it could be collected at immature stage (yellowish-green color) also followed by depulping and drying under shade for consecutive 2 days. This can lower down the chance of losing see viability as well as risk of fermentation of closed cotyledons and high germination percentage. Moreover, the time of harvesting and seed maturity affect the AZ and oil content. In green fruits collected after 20, 30, and 40 days after anthesis (DAA) found devoid of any AZ content while in yellow fruits which were collected after 60 DAA found to contain 0.112% of AZ. 0.051% of AZ had been also evident in green fruits collected after 50DAA (Rengasamy & Parmar, 1994). According to Johnson et al. (1996), except AZ content, limonoid content also vary depending on seed maturity. They reconfirmed the relation between seed maturity and AZ content (10 mg of AZ per gm of seed kernels of green newly ripened fruits could be obtained).

#### 2.7.9.1.2 Seed Storage

Neem seeds are intermediate type (Berjak et al., 1995; Bharadwaj & Chand, 1995, Mohan et al., 1995). Ambient to low temperature storage conditions could enhance the seed viability up to 6 months without affecting the germination rates (Singh et al., 1997). According to these authors, drying under shaded condition for about a week could increase its viability by reducing the moisture content up to 11%. Gamene et al. (1996) studied the storage behavior of neem seeds and found that at 55 and 75% level of RH, 70% of germination capacity could be obtained after 2.1 months of storage period while at lower (32–25%) and higher (95%) level of RH, the germination percentages were deteriorated noticeably. They also found that 20–30°C temperature is optimum for neem seed storage while Sacande et al. (1998) recommended 10–15°C temperature and 10% moisture content but low (<3°C) and high (>50°C) storage temperature could affect the viability seriously. Sacande et al. (1998) mentioned several reasons for complex storage behavior of neem seeds like:

- Low moisture content (10%) and low temperature (10°C) enhance the sensitivity of neem seeds.
- Imbibitional stress during storage at 8% moisture content also enhances its sensitivity.
- Higher level of temperature range and moisture content could induce metabolic stress condition.

Generally, the range of neem seed moisture content is between 10 and 24%. The types of neem seeds, i.e., orthodox, intermediate, and recalcitrant had been documented by several authors endorse the obvious variations of neem seed moisture content during the storage. According to Chamberlain et al. (2000), the sources of seeds also affect the seed moisture content. For example, the seeds namely *A. indica* var. 'Siamensis' summoned from Kenya, the optimum seed moisture content was 10% while for the seeds of the same variety from Myanmar, 20% moisture content found optimum. 'Siamensis' seed variety collected from Thailand and Malaysia showed best viability performance at 24% moisture content during storage. Thus, it corroborates the earlier statement. It had found that the storage of shade dried seeds with 30% moisture content and without pulp in earthen pots could retain 62% of viability for 90 days (Surendran et al., 1992). In fact, storing of seeds in airtight containers reduce the seed respiration rate and aid to maintain the amount of storage resources which influence the viability

status of seeds (Jindal et al., 1994). Dod et al. (1997) found that neem seeds (9% moisture content) could be preserved successfully for 2 months in cloth, paper, polyethylene, and polylined jute bags under ambient condition but best outcome had been obtained in cloth bag storage. During storage, AZ content may also vary as reported by Yakkundi et al. (1995). They found the variations in AZ content of seeds which were preserved at room temperature and under ambient RH for 1 month. The AZ content varied 68% in dark and 55% in light as compare to the original content as it is proved by HPLC analysis. Johnson et al. (1996) also found the variation in AZ and salanin content during storage period of 180 days.

## 2.7.9.1.3 Seed Germination

Maturity status of seeds affects the germination rate as reported by Bharati et al. (1996). They found the higher germination percentage in semi-mature (greenish yellow) seeds as compared to the young (green) and over mature (yellow) ones. Singh et al. (1995) found that the germination rates of freshly harvested seeds are more as compared to the stored ones. Pre-soaking of seeds may also have some effect on germination rate. Kumaran et al. (1996) found that imbibition of seeds in 2% KH<sub>2</sub>PO<sub>4</sub> solution could enhance shoot length, leaf number and germination percentage while 1% KH<sub>2</sub>PO<sub>4</sub> solution could give maximum root length. Several other authors (Hegde, 1993; Fagoonee, 1984) found improved germination rate due to soaking of de-pulped seeds in normal water from 30 mins to 3 days. Deshelling of seed coat may also enhance the germination rate as suggested by Radwanski & Wickens (1981).

#### 2.7.9.2 VEGETATIVE PROPAGATION

Asexual or vegetative propagation using 'Grafting,' 'Root Cuttings,' 'Stem Cuttings' and 'Stump Cuttings' is useful for getting genetically identical offspring although now-a-days tissue culture technique had got huge admiration for mass multiplication.

#### 2.7.9.2.1 Macropropagation

Neem propagation using 'Stump Cuttings' is popular in Ghana, and Winneba. Generally, healthy, mature twigs or stem cuttings (length: 2.5 cm) with root mass (length  $\sim$ 23 cm) are the best propagation materials (Childs, 1999) for this method usually collected from two-year-old plants. To boost the root

emergence from cuttings use of PGRs like IAA and IBA also played some significant role. Sivagnanam et al. (1989) stated that treatments using afore mentioned PGRs are effective for mist propagation and can cause easy rooting within 135 days of planting. Copppice shoots can more efficiently response in IBA treatment than stem cuttings prepared from woody trunk (Tomar, 1998). According to Palanisamy et al. (1998), IBA@1000 ppm is most effective for increasing number of roots and root biomass while a positive correlation between rhizogenesis and emergence of shoots using stem cuttings had also been noted. Kamaluddin & Ali (1996) found some positive impact of auxin and IBA (0.2% and 0.4% solution) on root emergence from cuttings and increased leaf area of leaves which were developed from emerged shoots. Endogenous auxin level may also influence the rooting rate from stem cuttings (Palanisamy & Kumar, 1997). Gupta et al. (1998) found that 800 ppm of IBA was optimum for air layered cuttings.

#### 2.7.9.2.2 Micropropagation

For neem micropropagation, young leaf segments are most commonly used explants (Puri, 1999; Narayan & Jasiwal, 1985; Rao et al., 1988). Tissue culture technique is in vogue since 1980s or 90s for producing healthy, disease-free seedlings. Joshi & Thangane (1996) used woody explants of neem for in vitro propagation while Drew (1993) used stem nodal sections as explants component. In both cases, successful development of roots and shoots had been obtained. The survival rates of plantlets under open field condition were high when axillary buds and embryos were used as explants as reported by Thiagarajan & Murali (1993); and Joarder et al. (1993). Venkateswarlu (1999) revealed that biochemical content viz AZ and other seed attributes could be obtained as identical as vegetatively propagated plantlets in case in vitro generated plantlets. But further investigations are needed to get better performance of micropropagated plantlets under open field condition as recommended by Bahuguna (1997). Several authors (Sarkar & Datta, 1986; Ramesh & Padhya, 1988; Stephen et al., 1998; Allan et al., 1994; van der Esch, 1999) had also targeted tissue culture methods for easy isolation of limonoids and AZ.

#### 2.7.10 HARVESTING AND YIELD

Ovoid shaped neem fruits (size 1–2 cm, contain resinous pericarp and 1 kernel [weight 0.2 g approx., fat content ranges from 30–50%]) are used for

multifaceted purposes which determine its importance. According to Shinde & Biswas (2011), maximum 30 kgs of neem fruits per tree could be obtained after 10 years of growth of neem tree. For the harvesting quantity of neem fruits, UP (55.7%), TN (17.8%), and Karnataka (5.5%) ranked first. Healthy trees could also yield 10–15 kgs of fruits on 5<sup>th</sup> year onwards. According to Kumar & Gupta (2002), in a fully grown neem tree up to 50 kgs of fruits could be also harvested annually. The price of neem fruits are usually Rs. 5 kg<sup>-1</sup>. Good management practices could significantly enhance the yield of fruits (Balaji, 2017). The value-added products of neem fruits are seed coat (4.5% of total weight of fruits), husk (25% of total weight of fruits), and kernel (23% of total weight of fruits). Kernels also yield 2.5 kgs of neem oil and 3 kgs of neem cake (Subbulakshmi et al., 2012).

# 2.7.11 ECONOMICS OF CULTIVATION

Spacing 5 m  $\times$  5 m could accommodate 400 plants ha<sup>-1</sup> and the tentative cost for the plantation is Rs. 2070.00 ha<sup>-1</sup> although the cost depends on area of plantation.

# 2.7.11.1 FINANCIAL ANALYSIS

The cost:benefit ratio of neem-based products are very economical as evident by financial analysis (http://agritech.tnau.ac.in/banking/PDF/Forestry%20 Wasteland\_Neem.pdf)

# 2.7.12 POLICY SUGGESTIONS

- Scientific cultivation.
- Train the farmers pertain to package practices of neem.
- Research has to be strengthening in the area of new variety suitable to all factors.
- Pest and disease control measures to increase the yield.
- Formation of Farmers Producers Company/Farmers Group to increase the price of the product.
- Government sponsored loan facilities are needed to develop, establish, and support the neem farmers for getting good price.

• Farmers having organized plantation of tree crops may be given with carbon credit by the State/Central government. It provides some encouragement to the farmers for establishing neem populations.

#### 2.8 MICROFLORA AND DISEASES OF NEEM

The insect-pest repelling potential of neem makes them eligible to use for insect-pest management practices. Range of bioconstituents available from fruits, seeds, and leaves are proved to be effective to inhibit some kind of fungal growth, to restrict viral infection, etc. Despite these, few threats have been identified in neem which is as follows:

# 2.8.1 INSECTS

Broad array of insects have been found to attack all neem plant parts (Schmutterer, 1990; Tewari, 1992; Ciesla, 1993; Boa, 1995) but among them few serious threats have been summarized below:

# 2.8.1.1 LEAF DEFOLIATORS (SCIENTIFIC NAME: SOLENOPSIS SPP.; COMMON NAME: FIRE ANTS)

It causes severe damage to the juvenile leaves of neem. Its prevalence is found in Andhra Pradesh (India), and also in Central and South America. Except *Soleonopsis* spp., few other species like *Ascotisselenaria*, *Eurema* spp. are also cause damage to the immature leaves (Chamberlain et al., 2000).

#### 2.8.1.2 STEM AND WOOD BORERS (COMMONLY KNOWN AS BEETLE)

Different species of beetles like *Apatemonachus, A. terebrans, Cydia* spp., *C. aurantiana, Hypsipyla* sp., and termites are liable to wood, stem, and shoot bore problems. Most vulnerable stage is the juvenile phase of plant growth. They easily attack the young shoots and in severe cases plants die also (Ciesla, 1993). In India, 55% death of neem plants caused for this reason (Madhavan & Gopi, 1990). In Haryana, shoot, and leaf borers cause 97.75% of young plant death (Chaudhary, 1997).

90

## 2.8.1.3 SUCKING INSECTS

According to Ciesla (1993), these insects belong to the Hemiptera and Homoptera order and parasitically dwell on neem plant (host) to take nutrition from leaves, branches, and stems. The common symptoms are desiccation injury of leaves and young shoots, defoliation, dieback of stem, etc. Not only it affects the flowering and fruiting of neem plants but may also lead to death due to severity of infestation. In India, Helopteris antonii (Common name: Tea mosquito) is a serious threat for neem trees and cause dried foliage and shoots. During sucking, this insect secrets a phytotoxic saliva which causes drying of plant parts (Madhavan & Gopi, 1990). Aonidiella orientalis (Oriental yellow scale) causes serious threat for young neem trees. Heavy infestation gives a burnt appearance to the plants. This species is found mostly in Africa and India (Schmutterer, 1998). Pulvinaria maxima (known as Neem Scale) mostly spotted in India causes drying of young shoots due to sucking sap by nymphs and adults and results in dieback of young shoots as reported by Tewari (1992). In case of heavy infestation, development of white patches in whole plant also occurs.

# 2.8.1.4 MITES (SCIENTIFIC NAME: PHYLLOCOPTES FRUCTIPHILUS KEIFER)

This eriophyid mite also attacks tender shoots and foliage and results in chlorotic, puckered, and desiccated leaves (Madhavan & Gopi, 1990; Tewari, 1992).

#### 2.8.1.5 MOLLUSKS

Several authors (Tewari, 1992; Ahmed, 1998; Kumar et al., 1998) found 2 species of mollusks viz. *Laevicaulis alte* and *Macrochlamys indica* cause serious damages to the tender stem and shoots. Lack of sap results in desiccated and burnt appearance of plants. Heavy feeding of young leaves consequently make bald tree. Sometimes, they can also cause girdling at the root collar region. The prevalence of mollusks is abundant during monsoon season.

#### 2.8.1.6 MAMMALS

Mammals like domestic goat, cows, red flanked duiker (*Cephalophus rufil-atus*), Nigerian hare (*Lepus crawshayi*) pose notable problems especially for young neem trees (Browne, 1968).

#### 2.8.2 DISEASES

They key sites of infections are leaves, stems, and roots. 'Damping off' (causal organism: Rhyzoctonia solani), a serious disease for neem tree causes threatful damage to the seedlings. Around 20% mortality rate of seedlings had been reported in Dehra Dun (Ciesla, 1993). Maximum infection takes place during the transplantation and leads to heavy loss. 'Leaf web blight' (causal organism: Rhyzoctonia solani) developed gray-brown spots on foliage while old and severe infestation allow the development of fungal hyphae. 'Leaf spot' and 'Leaf blight' (causal organism: Colleototrichum gloeosporide) also cause complete damage to the plants while 'Powdery mildew' (causal organism: Odium azadirachtae) affects the mature and immature leaves threatfully. Several other bacterial strains like Xanthomonas azadirachtii, Pseudomonas viticola, P. Azadirachte, etc., also cause leaf spot disease (Chakravarti & Gupta, 1975; Moniz & Raj, 1967; Mehrotra, 1990, 1989; Sankaran et al., 1986; Tewari, 1992; Uniyal & Uniyal, 1996). Brown (1968); and Tewari (1992) reported another disease namely 'Pink disease' of neem caused by Corticiumsalmonicolor. This disease is characterized by the formation and spreading of pink mycelia throughout the neem bark and wood. Severe infestation may also affect the heartwood. Furthermore, wilting, and blackening of shoots and foliage also happen as side effects. Ganoderma lucidum, the causal organism of 'Root rot' disease of neem, characterized by the formation of white, spongy root rot. It affects the health (defoliation, dieback of branches, chlorotic leaves, etc.) of whole neem tree (Ciesla, 1993). Some serious fungal diseases like 'Shoot shot hole,' 'Twig canker' (caused by Phomajolyana), leaf spot (caused by Pseudocercosporasubsessilis), 'Blight and stem rot' (caused by Sclerotium rolfsii), 'Leaf spot and blight' (caused by Alternaria alternata) are often found in neem tree (Singh & Raheja, 1996; Castellani & Mohamed, 1984; Sankaran et al., 1986; Mehrotra & Pandey, 1991).

Aspergillus ochraceus, A. niger, A. flavus, Penicillium sp., Xylariaaz adirachtae, Phomopsis azadirachtae, Fusarium oxysporum, Mycelia sterilia, F. avenaceum, etc., are few neem seed mycoflora found (Verma & Srivastava, 1993; Tewari, 1992). According to Shenoi et al. (1996), total 77 endophytic fungal strains had been reported in the inner bark of neem.

#### 2.8.2.1 PARASITIC PLANTS

Neem tree has been found to be a host plant for many other parasitic floras. As for example, *Tapinanthus* sp. found to dwell on neem tree in Nigeria

and sometimes cause its complete death while *Cassytha filiformis* (a semiparasitic plant) found to be enough potential to kill a mature neem tree in Kenya as reported by Schmutterer (1998). In Indian continent and Solomon Islands, *Dendropthoe falcata* was often found to choose neem tree as its host plant (Ciesla, 1993).

## 2.8.2.2 NEEM DECLINE AND GENETIC IMPROVEMENT

This disorder is characterized by defoliation, development of chlorotic leaves, and emergence of leaves in clustered form at the branch tips. Due to this symptomical appearance, this physiological disorder is often known as 'Giraffe Necked Neem.' It is mostly reported in some countries of West Africa (Ciesla, 1993). The reason of this physiological disorder is unknown but several authors (Ciesla, 1993; Thomsen & Souvannavong, 1994) had suspected that abiotic stresses (like insufficient soil moisture level, low genetic diversity and high nutritional competition) and cultural management practices (like soil sanitation, biotic stress management practices, etc.) may be few of the reasons. *Hitherto*, any bio-engineered neem varieties which are resistant to this physiological disorder or range of fungal or bacterial diseases have not been developed (Chamberlain, 1999) but growing of them at exotic places beyond their native places by provenance trials may aid in the development of resistance.

## 2.8.2.3 DIE-BACK DISEASE OF NEEM

Major sites of attack are leaves, twigs, inflorescence of neem. The occurrence of symptoms of die back (caused by *Phomopsis azadirachtae*) may appear on shoots and leaves of neem tree of any age, size, and height. This disease may also cause severe fruit losses which consequently lead to economic loss (Anon, 1997). Neem trees from Mysore region severely affected by this disease. Some effective control measures need to be adopted.

## 2.9 PROCESSING AND EXTRACTION

'Decortication' is the actual method used for the processing of neem fruits. Khadi and Village Industries Commission (KVIC) used decorticators and found it very useful. Decorticators (may be manual or power or mobile operated) provide 70% shell, and 25% kernel for seeds with pulp while 45%

Endophytic Fungi		
Ascomycetes	Hyphomycetes	
Chaetomium crispatum	Cochlonema verrucosum	
C. globosum	Curvularia lunata	
	Fusarium clamydosporum	
Coelomycetes		
Pestalotiopsis spp.	Fusarium moniliformae var. subglutinans	
Phomaeupyrena	Fusarium oxysporum	
Phyllosticta spp.	Fusarium solani	
Hyphomycetes		
Acremonium acremonium	Gliomastix spp.	
Aspergillus flavus	Nigrospora oryzae	
Aspergillus niger	Penicillium spp.	
Aspergillus oryzae	Trichoderma spp.	
Cladosporium acaciicola	Verticillium albo-atrum	
Cladosporium cladosporioides	Sterile mycelia	

**TABLE 2.1** Endophytic Fungi Isolated from Inner Bark of Neem (Azadirachta indica)

shell and 50% kernel for depulped seeds. Highest quantum of oil could be obtained after 2–5 months of collection (Anon, 2012c).

## 2.10 BIO-PROSPECTATION OF AZADIRACHTA INDICA

The potential of neem-based products prepared using its barks, foliage, fruits, and blooms as bio-control agents are acclaimed throughout the world. Its broad array of usages like as antiallergic, antifeedant, antifungal, antiinflammatory, immune-modulatory, antiulcer, antimalarial, and anti-dermic made it as 'Green Treasure.' The antioxidant properties and free radical scavenging potential are the major causes of its capacity to control wide varieties of agricultural pests. Besides all these merits, the simple, cost-friendly, and non-technical ways of its processing also responsible for its wide popularity at rural societies. Raissa et al. (2019) studied the bio-constituents of Indonesian and Philippines varieties of neem using TLC, LC-MS, and other chemical tests. For this study, they used the ethanolic extracts of neem leaves. By TLC and LC-MS, the presence of diversified bio-compounds (like flavonoid, tannin, saponin, terpenoid, etc.) had been proved by the appearance of bands in long UV 366 and m/z value, respectively. Researchers found the Indonesian

varieties as superior ones than the Philippines varieties. Sonale et al. (2019) also investigated on the availability of bio-compounds from neem seed kernels using SCF (Supercritical fluid carbon dioxide) process (experiment specification[s]: 300 bar pressure, 50°C temp., mixture of 30% methanol and acidified methanol). Their initiative was due to the antiproliferative potential of chemical compounds present in kernel extracts against *Candida albicans*, C. tropicalis, C. parapsilosis, Trichophyton rubrum, T. mentagrophytes (group of dermatophytes). SCF-Me showed the inhibition against T. rubrum (21.53±0.23), T. mentagrophytes (18.1±0.1), C. parapsilosis (18.46±0.25), C. albicans (16±0.26). The effect of SCF-MeA extracts on growth inhibition of any dermatophytes was not noticeable. Researchers also applied SCF-Me extract on foodborne bacteria, fungi, and yeast to study its effect on them. Consequently, they found the inhibition zone of  $27.26\pm0.25$ ,  $24.36\pm0.35$ , 16.7±0.60, 15.2±0.43, and 15.93±0.20 against Pseudomonas aeroginosa, Bacillus subtilis, Aspergillus ochraceus, A. niger, and yeast, respectively. The optimum concentration of SCF-Me was 80 mg for yeast while for others 70 mg. Researchers found that the presence of AZ (50.7%), nimbin (0.08%), salannin (1.83%), triterpenoids (50.7%), epoxy azadiradione (1.58%), and 6-deacetyl nimbin (0.14%) [confirmed by LC and LC-MS methods]are the key reasons of the inhibition.

## 2.11 CONTEMPORARY MEDICINAL USES OF NEEM IN MANKIND

According to Venugopalan & Navaratnam (2013), the uses of neem plant parts as traditional medicine are in age old practices. Initially, these were used as household remedies later by the intervention of science and technologies these are in use of modern medical system also. Its multifaceted usages have already elucidated earlier of this chapter. About a decade earlier, King Institute of Preventive Medicine, Chennai had first implemented the decoction of neem leaves to treat dengue patients and the success story endorsed the synonym of neem tree as 'Wonder Tree.'

## 2.11.1 NEEM IN COMBATING DISEASES

*A. indica* is indigenous to Southern Asia used to combat broad array of human and plant diseases. To evaluate phytochemical screening of *A. indica* the methods given by Trease & Evans (1989) are followed generally. The chemicals in neem were analyzed and found as alkaloids, tannins, phenolics,

glycosides, saponins, flavonoids, steroids, phlobatanins, and triterpenes. Hugo & Russell (1983) performed studies related to minimum inhibitory concentration using bacteria strains like Pseudomonas aeruginosa, Klebsiella eozane, Staphylococcus aureus and Escherichia coli. Silver et al. (1997) undertook antimicrobial susceptibility testing and found in their studies that P. aeruginosa showed the highest zone of inhibition followed by S. aureus and then by E. coli. Neem extract contains the chemical Azadirachtin which is effective against pests besides a deformental effect on viruses, mites, fungal pathogens, plant parasite nematodes, bacteria, mollusks, and protozoan parasites such as coccidian species. Azadirachtin, which is one active ingredient of neem, is extracted from neem seed kernels. The activity of azadirachtin is affected by a number of factors viz., humidity, sunlight, storage, pH, and method of extraction. Khan et al. (1974) reported the beneficial role of neem cake when applied to soil. It releases beneficial chemicals upon decomposition and inhibits many diseases of fruit, vegetable, ornamental, and spice crops. Dohroo et al., 2016 reported that the application of neem oil has been beneficial in combating fungal pathogens like Fusarium oxysporum, Rhizoctonia solani and Macrophomina phaseolina causing various diseases in plants as well as the tungro virus of rice seedlings.

## 2.11.2 NEEM USE IN BIOCONTROL CONSORTIUM

To have better control over plant viral diseases, the bioproducts obtained from *Trichoderma* sp., *Gliocladium* sp., and *Bacillus thuringiensis* could be mixed with neem extracts as reported by Tripathi & Tripathi (1982). Ethanolic and methanolic leaf extracts of neem (used concentrations were 25, 50, 75, and 100%) were proved to be useful to control *Aspergillus flavus, Alternaria solani* and *Cladosporium* species of fungus [disc diffusion method had been adopted] (Shrivastava & Swarnkar, 2014). The researchers also used Ketoconazole to evaluate its antifungal activity and toxicity.

## 2.11.3 NEEM AGAINST BACTERIAL PATHOGENS

El Mahmood et al. (2010) studied the antibacterial activity of seed extracts of neem (*A. indica*) against ear and eye infection causing bacterial pathogens. They reported that various neem plant parts could be used as herbal medicine due to having therapeutic values. They tested the crude extract of *A. indica* against various bacteria like *S. aureus, E. coli* and *S. pyogens*. These clinical

microbes were obtained from patients suffering from eye and ear infections. The agar well diffusion method was adopted and the growth of these bacteria was restricted to various degrees than the control strain which was more sensitive to the toxic effect of the corresponding bacteria. Jahan et al. (2007) studied the effect of neem oil on some pathogenic bacteria like S. aureus. E. coli, S. tvphi, P. aeruginosa by the agar disc diffusion method and found that S. aureus has a minimum zone of inhibition. Thakurta et al. (2007) reported in their study on the antisecretory, antihemorrhagic, and antibacterial activity of A. indica to heal diarrhea and cholera in India. To have antibacterial effect against E. coli and B. subtilis, methanolic, and acetonic extracts of neem could be used as reported by Winee et al. (2013). Other researchers (Irshad et al., 2011) had also corroborated the same. Ethanolic extracts of neem leaves were screened for antibacterial properties. Ranganathans et al. (1996) found the antidermatophytic activities of neem leaves through their in vitro investigation while Rao & Rao (1999) revealed the antifungal properties of 'Gedunin' biochemical isolated from neem leaf extract Plant et al. (1986) reported on a sulfurous compound from Azadirachta leaves that showed antifungal activity against Trichophyton metagrophytes. Dua et al. (1995) reported its use as mosquito repellent while Pillai & Santhakumari (1984) evaluated the potency of neem extracts on acute and chronic gastro duodenal ulcer in animal models.

# 2.11.4 ANTI-CARCINOGENIC AND ANTI-MUTAGENIC EFFECT OF NEEM

The efficacy of neem extracts obtained using several of its plant parts to treat cancerous cells have been explored long back in Asian countries, especially in India and recently in abroad. Chaimuangraj et al. (2006) used dietary doses of aqueous neem leaf extract to treat 3H-B- $\alpha$ -P (benz- $\alpha$ -pyrene) induced cancer. They studied on *in vivo* murine system and measured the level of cancer induction in terms of 3H-B- $\alpha$ -P-DNA adduct formation. They found significant chemopreventive outcomes which were proved by the reduced level of metabolic activation of 3H-B- $\alpha$ -P-DNA and also its production. The same authors also investigated the impact of neem leaf extract (rate of dietary doses were 20, 100, and 250 mg kg<sup>-1</sup> of body weight) on azoxymethane-induced aberrant crypt foci (ACF) and proliferating cell nuclear antigen (PCNA) inhibition. They conducted the experiment on animal (rat) model. They found noticeable inhibition in colon epithelium cells of rats by low

ACF and PCNA labeling indices (p<0.0006). The extracts made from neem blooms also possess preventive potential against DMBA and B-α-P induced neoplastic cell development. Further discovery of novel drug molecules and unraveling of mechanistic principles through cutting edge ethnomedicinal studies using several neem plant parts may significantly contribute for healing several fatal diseases. Scientific interpretations of anticancerous impact of neem extracts have already been elucidated above. Further, Niture et al. (2006) unveiled the anti-mutagenic- and -carcinogenic potential of ethanolic and aqueous extracts of neem. From physiological point of view, MGMT (O-6-methylguanine-DNA-methyltransferase), an enzyme which detoxify O-6-alkylguanines. Neem extracts increase the activity of MGMT enzyme through time dependent demethylation of O-6-alkylguanines and consequently enhance the cell integrity. The elimination of cytotoxic lesions is one of the useful chemoprevention strategies. The positive activities of procarcinogen, oxidative DNA damage and upregulation of antioxidant led to DMBA-induced Hamster Buccal Pouch (HBP) carcinoma disease which could be inhibited by dose-dependent usages of 'Nimbolide' and 'AZ' biomolecules (Priyadarshini et al., 2009).

#### 2.11.5 EFFECT OF NEEM ON DRUG METABOLIZING ENZYMES

The antitumor characteristics of neem extracts made it usable to shield from the scary side effects (especially programmed cell death of blood cells) of chemotherapeutic agents (viz. Cisplatin [cis] and 5-fluorouracil [5-FU]). Granulocyte colony stimulating factor (GCSF) are often used to modulate the cis and 5-FU led side effects. Manikandan et al. (2008) studied the antitumor potential of neem leaf extract on Swiss mice model. They found that pre-treatment with this significantly have suppressed leucopenia and neutropenia while also fastened the antitumor activities of cyclophosphamide concomitantly. Authors reported that neem leaf fractions could also modulate the phase I and II xenobiotic metabolizing and antioxidant defense enzymes, and lipid and protein oxidation mechanisms which resulted in attenuation of DMBA-induced HBP carcinoma as evident in Swiss mice model. Hence, researchers concluded that neem leaf extract could be an alternative of GCSF not only for its organic nature but also regarding the cost effectiveness. Other researchers (Paul et al., 2011) had also mentioned that repeated use of GCSF could also led to angiogenesis and development of tumor as evident in animal models. Several enzymes like

alkaline phosphatase, alanine aminotransferase, aspartate aminotransferase, etc., found liable to skin tumor induction which made them considered as 'marker' enzymes. Koul et al. (2006) reported that Aqueous *A. indica* Leaf Extract (AAILE) could attenuate the activity of aforementioned marker enzymes by decreasing lipid peroxidation level, enhancing glutathione and other allied antioxidant enzymes' level. Diethyl nitrosamine and acetylaminofluorene led pre-neoplastic nodules in male Sprague Dawley Rat model could be inhibited by oral administration of neem leaf extract@ 5% (w/v) (Hanachi et al., 2004). Researchers mentioned that the reasons may be due to the enhanced distribution of antioxidant elements and GST activity while protection against hepatocarcinoma could be also obtained to some extent. Phase II hepatic enzymes (like glutathione S-transferase, DT-diaphorase, etc.) detoxify certain chemical carcinogens (like BaP, and DMBA) cause the carcinogenesis. Interestingly, Sithisam et al. (2005) found that 80% ethanolic extract of neem leaf not only could increase phase II hepatic enzymes level but also increase the level of hepatic antioxidant enzymes (glutathione reductase, glutathione peroxidase, and superoxide dismutase) level. Aqueous and ethanolic foliar, flower, and stem bark extracts of Siamese neem could

Phase II hepatic enzymes (like glutathione S-transferase, DT-diaphorase, etc.) detoxify certain chemical carcinogens (like  $B\alpha P$ , and DMBA) cause the carcinogenesis. Interestingly, Sithisam et al. (2005) found that 80% ethanolic extract of neem leaf not only could increase phase II hepatic enzymes level but also increase the level of hepatic antioxidant enzymes (glutathione reductase, glutathione peroxidase, and superoxide dismutase) level. Aqueous and ethanolic foliar, flower, and stem bark extracts of Siamese neem could also result in identical ways as reported by Dasgupta et al. (2004). The active ingredients of Neem are liable to all of its functional mechanism. 'Nimbidin,' 'Azadirachtin A' (Az-A), and 'Nimbolide' - these 3 are active ingredients (ai) while the 'Leaf glycoprotein' and 'Ethanolic leaf extracts' also play carry out some vital mechanisms. The chief function of neem 'Nimbidin' is its inhibition capacity against phagocytosis while its inflammatory stimulatory potential prevents the migration of peritoneal macrophages (a type of white blood cell component provide shield against microorganisms) which results in quick healing. It causes the induction of inducible NO (Nitrous Oxide) synthase (iNO) and also inhibits the prostaglandin E2 (PGE2) and NO production in LPS (lipopolysaccharide) induced macrophages. Furthermore, it also lowers down the release of myeloperoxidase, isozyme, and β-glucuronidase enzymes and causes the attenuation of neutrophil degranulation. Az-A causes the over-expression of Cyclin E and rearrange the actin filaments. 'Nimbolide,' another vital active ingredient (ai) of neem not only enhances the ROS (reactive oxygen species) production but also causes a decrease in Bax ratio with over-expression of Apaf-1, Caspase-3 and ADPribose polymerase. It also reduces and enhances the rate of proliferation of cell nuclear antigen and antioxidant and carcinogen detoxification enzyme levels, respectively. Collaterally, it also induces apoptosis by fragmentation and condensation process. The upregulation of antioxidant level prevent the

procarcinogen activation and oxidative DNA damage which in turn inhibit the tumor invasion and angiogenesis. Barring these 3 'ai,' ethanolic leaf extract is another significant active component of neem. It has multifaceted functions like it helps to increase the number of erythrocytes in bone marrow, causes enhancement of lipid peroxidation in stomach and liver and stimulates human peripheral blood mononuclear cells (PBMC) activity. The later activity causes the upregulation of Caspase-3 and downregulation of BCl2 (Bax ratio) and Cyclin D1. The production of cytotoxic cytokines, IFN- $\gamma$ and TNF- $\alpha$  restrict the tumor cell proliferation and apoptosis. Lastly, leaf glycoprotein, another ai of neem maintains the balance between CXCR3 and CXCL10 during proliferation, angiogenesis, and apoptosis. This balancing helps to restore the impaired chemotactic activity of head and neck squamous cell carcinoma of PBM cells. This wholesome mechanism (Paul et al., 2011) proves its functionality and impact on the health system.

## 2.11.6 NEEM ARRESTS TUMOR CELL GROWTH AND PROLIFERATION

Neem extracts could be also used as tumor suppressor. The chemical constituents like polysaccharides and limonoids are found in neem bark, seed oil and leaves as reported by Indian, European, and Japanese researchers. The extract of these plant parts is effective enough to act against lymphocytic leukemia. Neem extracts can perform better than any anticancer agents. For example, hot water extract of neem bark is effective enough against solid tumors as proved in an investigation carried out in Japan, 80% ethanolic extract at the dose rate of 250–500 mg kg<sup>-1</sup> body weight could suppress BaP and DMBA induced tumor formation as evident in Swiss albino mice model (Dasgupta et al., 2004), the triterpenoid bio-constituent, i.e., nimbolide available in edible parts of neem could restrict the HT-29 (human colon carcinoma cells) cell growth at G2/M and G0/G1 stages of cell growth by upregulation of p21 gene (it is a down-stream effector of p53 gene, an anticancer protein) (Paul et al., 2011). Paul et al. (2011) also stated that 'nimbolide' impede the expression of cyclin A, and E, Cdk2 and Rad17 by upregulating cyclin D2 and Chk2. Many other researchers also reported the antiproliferative and apoptotic effect of 'nimbolide' being studied its effect on U937, HL-60, THP1 and B16 cell lines. These 2 effects are caused due to the mediation of enhanced expression of Apaf-1 and Caspase-3 and inhibition of bcl-2/ bax ratio which prove the molecular mode of mechanism of 'nimbolide.'

The upregulation of pro-cancer agents like p53 gene, bcl2 gene, and PCNA (proliferating cell nuclear antigen) could effectively control (by restricting cell proliferation and differentiation) the DMBA-induced carcinogenesis which can again prove the anticancerous impact of ethanolic neem leaf extract as reported by Subapriya et al. (2006). The *ex vivo* application of neem seed oil@ 50  $\mu$ l on female mice model could restrict the growth of breast cancer cell line (MCF-7) and consequently suppress the tumor development up to 50% (Bieberich et al., 2006). All these examples endorse the antitumor and anticancerous efficacy of neem extracts.

## 2.11.7 NEEM INDUCES APOPTOSIS IN CANCER CELLS

Genetically driven 'programmed cell death' is known as 'Apoptosis.' It is natural way to discard redundant, irreparable, wayward, unwanted body cells. These natural biochemical blades carry out this kind of biological process with aid of caspase enzyme without hampering the equilibrity of essential body materials. These traits of apoptosis have made it appropriate to use in cancer treatment. Recent research reports have revealed the utility of neem extract to treat cancer through apoptosis method. Ethanolic extract of neem (dose dependent manner) is potential enough to treat prostate cancer by inducing apoptosis of the target cells (PC-3). Ganguli (2002) explored the mechanism of neem extract induced apoptosis where he found that the neem extract enhances the expression of pro-apoptotic Bax protein and reduce bcl-2 protein expression (a pro-survival factor of cancer cells). Hence, researcher also had endorsed the efficacy of neem extract against prostate cancer. Kumar et al. (2009) affirmed the potential of 'nimbolide' (an active bio-ingredient of neem extract prepared using its leaf and flowers) as an anticancer agent. They revealed that by modulation of mitochondrial pathway being upregulating the Apaf1 and caspase3 enzymes and decreasing bcl2/bax ratio, 'nimbolide' induces apoptosis. Nimbolide induced disruption of cell cycle at G2/M, G0/G1 and G/S check points cause the induction of apoptosis, which is very useful for treatment of U937, HL-60, THP1, and B16 cells. Kumar et al. (2009) stated that sometimes, disrupted cell cycle also results in immunity against apoptosis, that's why the exact molecular interactions between cell cycle disruption and apoptosis have not been fully unraveled. Sah et al. (2006), in their report, mentioned in the same tune with additional information that p53 and p21 genes also play some role in this regard. Ethanolic neem leaf extract suppresses bcl2, PCNA, p53 genes of DMBA induced cancer cells while boost the expression of Bim, caspase-8, and 3 genes (pro-apoptotic genes) which yield convincing results to heal the target issues and also deletion of neoplasm (Subapriya et al., 2005, 2006). Conclusively, neem extracts of various plant parts could be a viable option of chemical agents for treating some fatal diseases.

#### 2.11.8 THE ROLE OF NEEM IN BIOIMMUNOTHERAPY OF CANCER

To get protection from several fatal diseases, strong immunity system, may be innate or acquired, is the only out since not only it vigils the overall body defense mechanism but also reduce health risks by gearing up the immunity. 'Bio-immunotherapy' now-a-days has received attention of medical practitioners due to its dependable activity especially against cancer and other fatal diseases. Interferons (IFN), a therapeutic cytokine are used in vitro or in vivo to treat cancer cells but its efficacy could increase manifold through blending with some natural anticancer agents. Lowered immune and genetic systems could results in onset of cancer but earlier by several authors the anticancerous potential of neem extracts has been proved already. Gearing up of immunity, orchestration of TAA (tumor associated antigen), B and T cells and APC (Antigen Presenting Cells) cells are three of the most vital mechanisms. For generation of anti-serum C57BL/6 against B16MelSAg (vaccine) in mice, neem leaf extract (NLE) as an adjuvant function fantastically (Baral et al., 2004) by inhibiting the growth of b16 melanoma tumor cells. The synergistic impact of B16MelSAg + NLE lowered 50% of the tumor burden as reported by Baral et al. (2004). NLE may also react with CEA (carcinoembryonic antigen) and peptide protein which can influence to consider it not only as 'immune adjuvant' but also as 'immune booster antigen' (Sarkar et al., 2007). These reports broaden the application of neem extracts for many tumor treatments. Perforin protein induced tumor generation could be handled effectively by modulating the p38 MAPK pathway. In this case, NLE by enhancing human cancer cells (K562) cytotoxicity through CD40-CD40L dependent endogenous production of IL-12 could control perforin-induced the tumor growth (Baral & Chattopadhya, 2004). Simply, the attenuated concentration of perforin eliminates tumor cells. Neem leaf glycoprotein (NLGP), an active ingredient has some immunomodulatory role to restore the impaired chemotactic movement of peripheral blood mononuclear cells (PBMC) (Chakroborty et al., 2008). NLGP also

showed some antitumor potential and it restricts tumor cell growth through immunomodulation. Bose et al. (2009) to explain the mode of action of NLGP stated that the downregulation of CXCR3B (liable to lymphocyte apoptosis) and enhanced expression of IFNy results in restoration of chemotaxis of PBMCs and decrease the tumors growth. According to the same researchers, upregulation (CD<sub>69</sub> and CD<sub>45</sub>RO) and down regulation (CD<sub>45</sub>RA and CD<sub>62</sub>L) of marker genes of lymphocyte, monocyte, and dendritic cells cause the activation of immune system. NLGP also facilitates the activation of T cells and secretion of T-helper 1 cytokines (e.g., IFNy). NLGP also expedites the response of type II cells than type I which are proved through IL4 secretion. The NLGP led activation of NK-cells and cytotoxic T-cells (isolated from head and neck squamous cell carcinoma) are enough potent to kill K562 cells (erythroleukemia) and KB cells (oral cancer cells). According to Bose et al. (2009) the cytotoxic T lymphocyte (CTL) response (get induced by NLGP action) is dependent on perforin enzyme while independent of NK cells. Ghosh et al. (2006) mentioned about the capacity of NLP (neem leaf preparation) to aid in the production of WBC. The same researchers also found that pre-treatment with NLP of CYP-induced tumor cells could also yield some positive results as proved in mice model. Paul et al. (2011) demonstrated that NLP induced activation of NK and NK-T cells and secretion of TNFa and IFNy could suppress murine B16 melanoma cells as found in Swiss albino mice model. According to Prasad et al. (2010), the mechanism of TNF $\alpha$  (a controversial cytokine) depends on several factors viz. type of cell line, external, and internal stimuli while it is responsible for activation of NFkB (a strong transcription factor) which in turn stimulates many genes ultimately favor the cancer cell growth. The activation of different markers like CD44, CD4, CD8, and CD25 using subcutaneous aqueous neem extract led to the reduced activation of peritoneal macrophage activity while attenuated metastatic activity of sarcoma L-1 and lymphosarcoma RAW cells could be also achieved using the same extract (Beuth et al., 2006). IFNy, and TNF $\alpha$  are the potent inducer of nitric oxide (NO) while NO could regulate T-cell proliferation, cell signaling, apoptosis, and cytokine production as it is a major tumoricidal agent. NO production could be also enhanced by NLGP treatment may be alone or with tumor antigen vaccination. Moreover, NLGP treatment enhances the antitumor immunity by induction of T and B cells, causes maturation of myeloid based DCs and also optimizes T cells functions (Paul et al., 2011). Hence, conclusively, neem extracts may be considered as 'Candidate Vaccine Tool' for immunotherapeutic treatment of cancer.

# 2.11.9 TOXICOLOGICAL RISK FACTORS INVOLVED IN THE USE OF NEEM EXTRACTS

Along with all the therapeutic benefits of neem extracts, its toxicological effects should be also taken into consideration. Its luxuriant use may cause some allergic symptoms like swelling of throat and mouth, itching, breathing problems, etc. Sometimes, prolonged use of neem products may also cause some damage to the liver cells and kidney and results in jaundice and urinary trouble. Collaterally, damage of RBCs, generation of neurotoxicity, permanent damage to the reproductive systems (as proved in rat model by decreasing the methylated deoxycytidine level) (Tsarev, 2010; Khillare & Shrivastav, 2009), etc., are few cons of using neem-based products miserliness. Albeit any major harmful events have not been encountered by the users yet but still use of these products judiciously may help to eliminate some untoward issues.

# 2.11.10 VITILIGO

It is an autoimmune disorder. Patchy skin with faded color is its key symptoms. Intaking of 4 g of raw neem leaves before meal is effective enough to geal this skin disease. Collaterally, application of neem oil on patchy, discolored skin may restore the normal skin color (Kumar et al., 2019).

# 2.11.11 ANTIDIABETIC EVALUATION

It is proved in rat model that the use of neem-based products at the single dose rate of 250 mg kg<sup>-1</sup> could effectively decrease blood glucose (18%), triglycerides (32%), urea (13%), cholesterol (15%), lipids (15%) and creatinine (23%) (Shravan et al., 2011; Hashmat et al., 2012) after 15 days of neem products administration. Multiple doses will be indeed more effective.

# 2.11.12 LARVICIDAL ACTIVITY

Aditi et al. (2011) at Zoology laboratory of DAV college, Kanpur has conducted an experiment to evaluate the larvicidal properties of neem extracts. They used 1, 2, 3, 4, and 5 ppm aqueous extract solutions of neem (Ne), *Gymnema sylvestre* (*Gs*), *Nerium indicum* (*Ni*) mill and *Datura metel* (*Dm*) L. They found that the mortality percentages of culex larvae were

70–99%, 44–89%, 41–74%, and 19–54% in Ne, *Gs, Ni, and Dm,* respectively. These outcomes prove the efficacy of neem aqueous extract to check larvae populations.

# 2.11.13 TO CURE PEDICULOSIS

The findings of the study indicate that the pediculosis is a major health problem faced by the school children which need a non-pharmacological healing approach. Application of neem oil is simple and easy to implement, easily available, no notable side effects and most acceptable to reduce the degree of pediculosis among school children. The excavated results supported that the incorporation of neem oil application among school children is the best intervention to treat pediculosis (Isac, 2011).

# 2.11.14 LIVER PROTECTANT

The usefulness of neem extracts to heal biliary diseases and for blood purification purpose are well known. It enhances the level of antioxidants, ascorbic acid (Vit C), Vit E and carotenoids which gear up the immunity by neutralizing free radicals and also stabilize the serum marker enzymes to check liver damage (Dubey & Kashyap, 2014; Biswas & Chattopadhyay, 2002):

- 1. Azadirachta indica Use in Biliousness: Biliousness is a medical condition which cause a disorder of gall bladder or liver. Excessive secretion of bile causes this gastric distress. Biliousness is characterized by headache. Neem has been found to be very useful in curing this condition (Biswas & Chattopadhyay, 2002).
- 2. Azadirachta indica in Jaundice: *Azadirachta indica* plant is very useful in liver problem, particularly it is very helpful in curing jaundice disease. To cure jaundice, intake of neem leaf extracts + ½ teaspoon of honey for 7 days are very effective (Dubey & Kashyap, 2014).

# 2.11.15 ANTI-ALLERGIC

1. Azadirachta indica in Urticaria: 'Urticaria' commonly known as 'Hives,' a type of dermatological ailment causes red, bumpy, and itchy patches on the skin. The allergic and non-allergic reasons may lie behind for this disease but healing of this is a complex due to non-availability and side effects of chemical agents. Hence, intake of decoction prepared using neem bark, sandal paste and guduchi could be an easy and safe remedy (Katsayal & Nadabo, 2008).

2. Cures Asthma: According to Katsayal & Nadabo (2008) the use of neem oil (dose rate ranges from 1 drop to 1 tea spoon) on thong or swallowing of neem oil may improve asthma problem.

## 2.11.16 PESTICIDE

Neem oil extracted from neem seeds (color: yellow to brown; taste: bitter; odor: garlic/sulfur smell) are known for its utility as pesticide due to having AZ bio-constituent. It is potential to control broad range of agricultural pests and insects (like bed bugs, cockroaches, mites, ants, Cherry and Pear slugs, etc.) which ascertained its utility as organic pest-and-insect-icide in India (Tiwary & Pandey, 2010). For example, Agroneem is one of the popular organic pesticides for agricultural pest management program since it contains 0.15% AZ and 15% of neem biomass (neem lipids) while its non-toxic and bio-degradable nature and compatibility with wide range of chemical fertilizers, insecticides, and fungicides also contribute as add on merits. The antifeedant properties of AZ affect the hormonal system and reproductive system of insects. The neem extracts could be also used as a major component in insect repellent, shampoo, soap, toothpaste, food supplement, face wash, body lotion, etc. (Dubey & Kashyap, 2014).

## 2.12 PHYTOCHEMICALS AND PHARMACOLOGICAL ACTIVITY INVOLVE

The age-old practice (>2000 years) of using different neem plant parts for medicinal purposes are known in pan world. In our traditional health system, the position of neem tree is at the pinnacle (Chatterjee & Pakrashi, 1994). Different plant parts of neem contain varied percentages of phytoconstituents (Kaushik & Singh, 2001). >135 chemical compounds have been found from neem extracts. They are classified into 2 major classes like 'Isoterpenoids' (diterpenoids, triterpenoids, limonoids, azadirone, gedunin, vilasinin) and non-isoterpenoids (Octadecanoic acid [9–24%] alpha linoleic and 9-hexadecenoic acids, proteins [amino acids], carbohydrates [polysaccharides], flavonoids, glycosides, tannins, dihydrochalcone). The multifaceted uses of different neem plant parts have been enumerated below:

## 2.12.1 BARK

Tannins are the chief chemical content of bark. Gallic acid, catechin, epicatechin, galocatechin, etc., are different forms of tannins. Tanin is responsible for the prevention of oxidative burst of PNN (Polymorpho Nuclear Neutrophil) cells during carrageenin-induced inflammation as reported by Maithani et al. (2011); and Chopra & Nayer (1959). Furthermore, margolone, margolonone, diterpenoids, and isomargolonone are competent enough to control *Klesiella, Staphylococcus*, and *Serratia* species (Maithani et al., 2011). Bark extract of neem (dose rate: 50 mg kg<sup>-1</sup> for continuous 4 days at subcutaneous position) also contains GIa and b (2 water soluble polysaccharides) which possess antitumor effect (Chopra & Nayer, 1959) while GIIaa and GIIIa are another 2 polysaccharides possess anti-inflammatory effect (Fujiwara et al., 1982). The aqueous extract of neem bark could also cause the complement activation in human serum. To gear up the immune system, as antiacid, antiulcer, spasmolytic, hypotensive, diuretic, analgesic, and curative agents its contribution is undeniable (Maithani et al., 2011).

## 2.12.2 LEAF

Sulfur containing compounds (like cyclic trisulfide, and tetrasulfide) are the key constituents found from neem leaf. Pant et al. (1986) revealed that the extract prepared from mature, fresh neem leaves by steam distillation method, possess antifungal activity against Trichophyton mentagrophytes. Several researchers have reported the analgesic, immunity stimulant (proved by humoral and cell-mediated responses both) and antipyretic properties of neem leaf extracts. Murty et al. (1978) exhibited that neem leaf administration @100 mg kg<sup>-1</sup> for 21 days could increase IgM and IgG (antibodies) levels. The oil obtained from neem leaf activates cell-mediated immune mechanisms to elicit mitogenic/antigenic challenge. According to Murty et al. (1978) neem leaf aqueous extract lowers down blood glucose and control adrenaline secretion as evident in induced diabetic rat model. Flavonoids and quercetin – these 2 compounds are responsible for the antidiabetic (hypo and hyperglycemia) effect (Maithani et al., 2011). Oral administration of neem leaf extract (NLE) could also prevent mucus depletion and degranulation of mast cell which result in restriction of ethanol-induced ulcer problem while antifertility and abrogate effect of NLE are also evident in animal models. Maithani et al. (2011) stated about the antiviral activity of NLEs

against Vaccinia, Chikungemya, and Measlesvirus in vitro. Badam et al. (1999) reported about the efficacy of methanolic NLEs@ 1 mg ml<sup>-1</sup> for 96 hours against Coxsackie virus (Group B) by inhibiting plaque formation. Methanolic NLEs could also interfere in the replication cycle of Coxsackie virus (Group B). Balasenthil et al. (1999) reported about the potential of NLEs (aqueous) against DMBA induced squamous cell carcinoma. They also mentioned that these significantly reduce the neoplastic incidence. The chemopreventive mechanism of NLEs against MNNG (N-methyl-N-nitro-Nnitroso-guanidine) by reducing and increasing the level of lipid peroxide and antioxidant respectively and also through the modulation and metabolization of glutathione and enzymes respectively had proved in the experiments carried out by Arivazhagan et al. (2000). This result could confirm the use of NLEs for enzyme detoxification purpose of human stomach. Furthermore, paracetamol induced liver necrosis, chronic liver damage issues (i.e., increased level of AST [Aspartate aminotransferase], ALT [Alanine aminotransferase], and GGT [Gamma glutamyl transpeptidase]), and depressant activity of different complex neural disorders, acetonic NLEs could be utilized efficiently (Arivazhagan et al., 2000). To get anxiolytic activity NLE administration  $@200 \text{ mg kg}^{-1}$  of body weight could be effective as proved in rat model. Barring these, to heal leprosy, epistaxis, anorexia, biliousness, and skin ulcers potential of NLEs are unparallel.

#### 2.12.3 SEED

Basically, the hexane-based extract of neem seeds is used to interfere in the reproductive cycle of rodents. Different bio-constituents of it cause the pregnancy aggregatory effect in rodents due to oral administration of neem seed extracts (NSE)@10% concentration without posing any side effects. It is for cell-mediated immune reaction. Hence, it can be a viable option as an organic contraceptive since it doesn't pose any side effects like other steroidal contraceptives and also it causes non-hormonal, spermicidal effects (Khalid et al., 1989). Alcoholic NSEs could also act against the chloroquine-resistant malarial parasites as reported by the earlier researchers. Furthermore, purified forms of alcoholic NSEs could also interfere in the sexual and asexual reproductive cycles of human malarial parasite of *P. falciparum*. *Trichophyton, Epidermophyton, Microsporum, Trichosporon, Geotricum,* and *Candida*— the growth inhibition of these could be effectively made using extracts prepared from neem seeds (Table 1) (Maithani et al., 2011).

Oil extracted from neem seeds also impactful against gram (+) and gram (-) microorganisms (like *Mycobacterium tuberculosis, Vibrio cholerae, Klebsiella pneumoniae, M. pyogenes, Streptococcus mutans, S. faecalis,* etc.) (Maithani et al., 2011). The horse grain germination *in vivo* could be improved by NSE pre-treatment by enhancing the antioxidant activity and lowering the lipoxygenase and lipid peroxidation activities. For healing of leprosy, intestinal worms and vaginal infections, NSEs will be an indispensable option. NIM-76 is a novel vaginal contraceptive which prevents broad array of vaginal issues (Maithani et al., 2011).

## 2.12.4 FRUIT

Neem fruit extracts (NFEs) are the repository of phytosterols which exhibit antiulcer activity. Stress induced gastric lesion could be healed by using NFEs as reported by Maithani et al. (2011). Moreover, NFE could be useful to treat piles, urinary disorder, diabetes, wound, leprosy, and intestinal worms also.

## 2.12.5 OIL

The biological efficacies of neem oil have already been demonstrated by several researchers. 'Nimbidin,' is a principal component found to be present in neem seed kernel-based oil. It is mostly used as anti-inflammatory and antipyretic agent. Nimbin, nimbidinin, nimbolide, and nimbidic acid - these are chemically grouped into tetranotriterpene and have isolated from neem seed crude extract (Mathani et al., 2011). In general, these biochemical compounds possess antimalarial features while antibacterial potential against S. aureus and S. coagulase of nimbolide had been proved by Khalid et al. (1989). Other biochemical compounds like campesterol, β-sitosterol, stigmasterol, and plethora of triterpenoids especially AZ also function cancer and tumor related issues. Application of AZ@300-2,000 ppm could also act as antifeedant as evident in several animal models (Khalid et al., 1989). Khosla et al. (2000) reported that for alloxan-induced diabetic rabbits neem oil is very effective and could also bring hypoglycemic effect. As natural contraceptive (prior application of neem oil at intravaginal position before coitus), spermicidal (in case of rhesus monkey and human spermatozoa), antifertility and antipyretic, the potential of neem seed oil is noteworthy (Mathani et al., 2011).

#### 2.13 SEXUAL AND BREEDING SYSTEMS

Neem is cross-pollinated tree. Bisexual (male and female both) and staminate (functionally male) flowers have found to be present in the same flower in case of neem (Singh et al., 1996). Botanically, it is known as 'Andromonoecious.' Gupta et al. (1996) in their literature mentioned that 'Protandry,' a form of sexual hermaphroditism has been found in neem flowers. Anthers mature first and then stigma starts to be receptive. The mature anthers dehisce around 8 hrs. in the morning within the nyctinasty flowers. Neem flowers are entomophilous and seeds dispersal taken place by birds and mammals. Anatomically, its ovary is trilocular, polycarpic in nature (Singh et al., 1995). Selfing operation could successfully produce viable seeds as reported by Gupta et al. (1996); and Solanki (1998). The rate of outcrossing was estimated through isozyme analysis (Solanki, 1998). Chamberlain et al. (2000) at Arid Forest Research Institute, Jodhpur carried out an investigation on the hybridization of *A. indica* and *A. indica* var. 'Siamensis' but the crossing was not fruitful in terms of formation of any viable seeds.

## 2.13.1 MOLECULAR VARIATION

A large number of methodologies exist for assessment of genetic diversity in the plant species. A combination of morphological traits and protein profiling methods, such as isozyme has been carried out in neem (Kundu, 1999). However, such traits are influenced by environmental factors as well as development stage of the plants. Hence, the studies don't provide accurate measure of the existing genetic diversity. Molecular markers are useful to study genetic diversity between diversified accessions.

Farooqui et al. (1998) studied the diversity analysis of 17 neem accessions using RAPD marker. Around 49 random DNA primers they had used. The high similarity index among different Indian accessions of neem had proved very narrow diversity while the clustering pattern, the researchers found by dendrogram analysis, also didn't manifest the geographical variation. They found that the accessions from different geographical region had not always clustered together. The clustering pattern was ambiguous. Hence, they suggested to take assistance of other genetic analysis to get clear interpretation. Kundu (1999) carried out the isozyme analysis of banana cultivars of 3 different provenances, i.e., Bangladesh, Thailand, and Kenya. The researcher found difference at inter and intra provenance level. This

result corroborated the efficacy of isozyme analysis for ascertaining different origin of neem cultivars. Wickramasinghe & Simons (1994) also affirmed the efficacy of isozyme analysis for understanding the genetic diversity since they found the differences between neem varieties from India, Sri Lanka, Nepal, and A. indica var. 'Siamensis' from Thailand. Dhillon et al. (2007) also carried out the genetic diversity analysis of 40 neem varieties summoned from different eco-geographical regions using RAPD molecular marker. Out of 152 total reproducible bands, 104 (68.4%) bands were found polymorphic. Cluster analysis using dendrogram formed by Jacard's similarity coefficient (UPGMA) proved the variations among different neem varieties. The range similarity indices were 0.60 to 0.94 which proved the broad genetic base among the Indian neem varieties. In Principal Component Analysis (PCA) the scattered plotting proved the wide genetic base. Deshwal et al. (2005) used RAPD to study the genetic diversity in the Indian neem. But the level of variation and reproducibility detected by the RAPD marker is comparatively lower than the other markers. Amplified fragment length polymorphism (AFLP) technique permits to analyze large number of loci in a single experiment and detects more polymorphism (Powell et al., 1996). Singh & Randhawa (2008) assessed the genetic divergences exist among 14 indigenous neem accessions and 8 exotic neem clones collected from Haryana, Punjab, and Rajasthan using AFLP (Amplified Fragment Length Polymorphism) marker. A total of 758 amplicons were produced after the PCR analysis using 14 selective AFLP primers. The similarity indices value (range 0.21 to 0.89) proved the wide genetic base obtained by Jaccard's Similarity Coefficient Analysis. The phylogenetic diagram clearly showed the separate clusters of indigenous and exotic gene pools. PCA proved 74% variation among indigenous and exotic neem varieties.

#### 2.13.2 THE INTERNATIONAL NEEM NETWORK

International Neem Network (INN) was established in 1994 for venturing wide array of approaches needed for further genetic improvement and to obtain wide adaptability for its growing (Thomsen & Souvabbavong, 1994). Another purpose of this organization is to conduct some outreach programs for further facilitation of neem cultivation and for publicizing its multifaceted usages, so that its benefit could be utilized by most of rural people. Furthermore, seed collection, provenance exploration, knowledge exchange at international level, research on seed physiology and technology, diversity

analysis, biochemical markers, and reproductive biology were also other target of INN. Around 21 countries of Asia, Latin America, Europe, and Africa were collaborated with INN while FAO and IPGRI also participated in various international and national activities. Moreover, few significant projects like 'Improved productivity of man-made forests through tree breeding' (Philippines based project), 'Afforestation and reforestation – formulation of national policies' (Vietnam based project), and 'Forestry research support program for Asia-Pacific region' (Thailand based project) were proposed by FAO/UNDP under the INN mission. In India, a training workshop had been conducted to familiarize with different norms and benefits of INN during July 1994 at Coimbatore, Tamil Nadu, India. Conclusively, the global collaboration with major neem growing countries for further facilitation and exchange of neem allied research are the chief mission of INN.

#### 2.13.3 PROVENANCE EXPLORATION, COLLECTION, AND TESTING

According to Hansen et al. (1996); and FAO (1998), the first approach made by INN in 1995 was the collection of seeds of 25 different neem accessions from the collaborators distributed throughout the world. The purpose of this initiative was to conduct a trial to ascertain the appropriacy of certain accessions under different eco-geographical regions. Based on the report found in the document of FAO (1995a), seed collection initiative was started between March and August. During seed plantation programs, 100 m distances between seeds had been provided for successful establishment of neem trees. Development of neem nursey was another motto of INN (FAO, 1995b). This mission also helped to supply quality planting materials and *ex situ* conservation of neem accessions.

# 2.13.4 THE DESIGN AND ESTABLISHMENT OF INTERNATIONAL PROVENANCE TRIALS

Trial design for provenance trials and establishment had been formulated by FAO (1996). As mentioned in the preceding paragraphs that the key objectives of international provenance trials are to evaluate the adaptability of neem accessions under different eco-geographical regions and to study the genetic variation. Considering the local meteorological conditions and availability of resources, the numbers of seedlings are usually decided but definitely the trial designs, and establishments should be statistically sound and robust. The international trials were initiated during 1995–1997 and out of 36 international provenance trials, 25 trials were successful. Owing to low survival rates, trial in Nicaragua, Sudan, and Chad had been stopped. INN acts as a funding source required for the maintenance and establishment of international provenance trials.

# 2.13.5 SUPPORTIVE RESEARCH COMPONENTS

Seed physiology and technology, genetic divergence study in terms of molecular characterization and biochemical compounds, and reproductive biology – these are considered as supportive research components of INN activities. These components except the fundamental objectives like seed exchange and establishment of neem populations at international provenance trials can extensively foster the INN missions and visions.

# 2.13.6 GENETIC VARIATION AND REPRODUCTIVE BIOLOGY

The research proposals under this component are decided by CIRAD-Forêt, France in collaboration with INN (Thomsen & Souvannavong, 1994).

# 2.13.7 SEED PHYSIOLOGY AND TECHNOLOGY

DANIDA Forest Seed Centre, Denmark is the major coordinator for this research component. This organization mainly concentrates on storage and handling practices of recalcitrant and intermediate tropical forest tree seeds. INN, IPGRI, and DANIDA itself are considered as funding agencies here. The seed storage and desiccation studies of 30 neem tree accessions are considered under this research component (Chamberlain et al., 2000).

# 2.13.8 COMPONENT ON GENETIC VARIATION IN CHEMICAL COMPOUNDS

ICFRE (Indian Council for Forest Research and Education) is the principal coordinator under this component. Research activities are fully concentrated under this component are basically AZ content estimation, determination of fatty acid content of neem seeds and characterization of active bio-chemical

ingredients (used as bio-chemical markers). Research experiments under this usually start only after the initiation of flowering and fruiting. Here, INN is the only funding source and key collaborator.

# 2.13.9 NETWORK PROGRAM AND FUTURE ACTIVITIES

Management and establishment of neem plantations by international provenance trials are the main activities of INN as mentioned earlier. According to Hansen et al. (1996), the assessment of tree characters and research methodologies had been started in 1997. AZ (key bio-constituent) content assessment and study of neem seeds morphology are usually carried out at an interval of four years (Chamberlain, 2000). Emmanuel (1998) reported that under INN provenance trials mission, AFRI had conducted trials (number of replications: 4; statistical design: RBD) at Jodhpur, Palampur, and Jaipur. Morphological data obtained under this program are tree height 0.57–2.05 m and stem girth 8.04–13.36 cm after one year of growth. Chamberlain (2000); and Sharma (1998) revealed that the tree height and stem girth varied from 1.63–5.49 m and 3.6–6.63 cm respectively under the provenance trial at Jabalpur. According to Wickremasinghe & Gunasena (1998), out of 11 provenance trials, the trials at Tanzania, Thailand, Sri Lanka, India, Pakistan, and Nepal were successful in terms of height, stem girth and branch numbers.

# 2.13.10 FUTURE ACTIVITIES OF THE INN

- Extensive study of genetic diversity of neem;
- Germplasm conservation of neem genetic resources;
- Breeding program for development of genetically improved neem varieties;
- Further investigations on bio-chemical constituents of neem in search of some novel bio-compounds.

# 2.13.11 OTHER IMPROVEMENT ACTIVITIES

• Establishment of neem clonal gene bank using 32 exotic neem accessions by research section of Royal Forest Department, Thailand (Boontawee et al., 1993).

Neem-Drug Cabinet of Mother Nature

- Indigenous neem tree accessions (28 nos) collection by the Forest College and Research Institute of TNAU, Tamil Nadu, India (TNAU, 1999; Mishra, 1995).
  - High rate of seed harvest and extraction of oil and AZ the major objectives of this improvement activity.
  - Evaluation of adaptability of indigenous neem accessions under varied agro-climatic conditions was another objective.
- Collaborative project by CAZRI and AFRI (funding agency: NOVOD Board) for provenance trials at Rajkot (Hijarat), Gandhi Nagar (Gujarat), and Ameawati (Maharashtra) (). The objectives are as follows:
  - Evaluation of neem seed resources;
  - Evaluation of tree morphologies for consideration as breeding selection traits;
  - Estimation of AZ and oil contents from seeds.
- Provenance trial by NRCAF in 1994 (Solanki, 1998). The objective(s) are as follows:
  - Selection of trees based on bole straightness, high fruit yield and canopy arrangement.

# 2.14 PATENTS ON NEEM

Japan (35 nos), USA (54 nos), Australia (23 nos), India (14) and few European countries had started to make patents since 1980 on neem-based products and it's processing. The areas of patents are health care (13%), crop protection application (63%), veterinary care (5%), cosmetics (6%), industrial (5%) and others (8%) while majority of patents had been owned by CSIR-India (14), Bayer (8) and Certis W.R. Grace (49) (Anonymous, 1993, 2006). The most vital and 1<sup>st</sup> patent is US patent on 'Therapeutic formulation using Neem Stem Bark'; Year 1983; Organization Temuro Corporation (Anon, 1985).

# 2.15 FUTURE PERSPECTIVES

• The demand of alternative medicine in current era is about ~10.895 billion USD (Source: WHO) while herbal medicine is 5 trillion USD (Retrieved from: www.timesjobs.com/candidate/careerresources/ medicines.jsp). • Great potential for increased sustainable use of household neem pesticides (Paul et al., 2011; Hellpap & Leupolz, 1999).

## 2.16 NEEM CONFERENCES IN INDIA (SOURCE: ANON, 1993)

- 1. 2<sup>nd</sup> World Neem Conference, Queensland, Australia, 1996.
- 2. 1<sup>st</sup> World Neem Conference, Bangalore, India, 1993.
- 3. 4<sup>th</sup> World Neem Conference, Mumbai, India, 2002.
- 4. 3<sup>rd</sup> International Neem Conference, Nairobi, Kenya, 1986.
- 5. 3<sup>rd</sup> World Neem Conference, Vancouver, Canada, 1999.
- 2<sup>nd</sup> International Neem Conference, Rauischholzhausen, Germany, 1983.
- 7. 1<sup>st</sup> International Neem Conference, Rottach-Egern, Germany, 1980.
- 6. 5<sup>th</sup> World Neem Conference, Coimbatore, India.

# 2.17 CONCLUSION

'Herbal Panacea,' another popular name neem. This name is because of its ability to trat wide range of diseases. AZ, Nimbidin, Nimbolide, etc., are its major active bio-constituents possess different kind of biological properties. But still, exploration of all of its therapeutic abilities have yet to be made. Hence, to get its utmost effect in-depth molecular investigations are highly needed. Collaterally, overdose or luxuriant uses of neem products may be detrimental for health. Thus, it is advisable to use at prescribed dose rates.

Characteristic	Requirement
Maximum moisture and insoluble impurities	0.3% by weight
Lovibond color (1/4 in cell), expressed as Y +	-
5R, not deeper than	45.0
Refractive index at 40°C	1.4615-1.4705
Specific gravity,	0.908-0.934
Saponification value	180–205
Iodine value (Wij's method)	65-80
Maximum acid value	15
Maximum unsaponifiable matter	2% by weight
Minimum titer	36°C

**TABLE 2.2** Specifications for Neem Kernel Oil

Common Name	Zoological Name	Effective Measures to Control
Brown plant hopper	<i>Nilaparvata lugen</i> sp.	Neem oil $@3\%$ and neem seed kernel extract $@5\%$ could be used; soil application using neem seed residue $@25$ kg ha <sup>-1</sup> could be also useful.
Black bug	Scotinophoralurida sp.	Spraying of neem seed kernel extract $@5\%$ or soil application using neem seed residue $@25$ kg ha <sup>-1</sup> could be also useful.
Ear head bug	Leptocorisa acuta	Neem seed kernel extract @5% or soil application using neem seed residue @25 kg ha <sup>-1</sup> could be also useful.
Sheath rot	Saroclodiumoryzae sp.	Neem seed kernel extract @5% or neem oil @3% could be useful.
Sheath blight	Rhizoctonia solani	Foliar spray using neem oil @3% is beneficial.
Bacterial leaf blight	Xanthomonas oryzae var. Oryzae	Neem seed kernel extract @5% or neem oil @3% could be useful.

**TABLE 2.3** Recommendations for Use of Neem Oil and NSKE for Different PestManagement

## **KEYWORDS**

- azadirachtin
- breeding
- DNA-based marker
- international neem network
- neem
- phytochemicals
- therapeutics
- tropical forest

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# Patchouli (*Pogostemon cablin* Benth.): A Comprehensive Review on Medicinal Aromatic Plant with Industrial Utilization

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# ABSTRACT

Patchouli, named as *Pogostemon cablin* Benth, which is an aromatic herb with bushy appearance belongs to the mint family. The herb is generally propagated for its oil purpose, mainly used in the manufacturing of cosmetics, perfumes, beverages, foodstuffs, and in medicines. This leafy plant is known for the presence of essential oil. The oil contains patchouli alcohol, considered as major components. The Patchouli oil quality is evaluated by determining the physical characteristics and quantitative analysis of oil. The quality is measured by patchouli alcohol (PA), as found as higher content component in the patchouli plant. Another chemical component, PA-containing norpatchoulene, gives aroma which is a typical resemblance of patchouli oil.

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Oil is mainly known for spicy, warm, and sensuous smell/aroma. Around 2/3 of the oil quantity is produced through Java, followed by China and Malaysia. And the total world production of patchouli oil is estimated to be 800 tons per annum. In India, the production rate has been found uplifted in the last five years, it means it has 20 tons/annum of oil in 600 hectares. The cultivation of the herb is mainly done in Tamil Nadu, Assam, Karnataka, and West Bengal. Estimated around 92% of the oil is of non-odoriferous nature and the remaining portion consists of number of sesquiterpenes, including norpatchoulenol,  $\beta$ -patchoulene and  $\gamma$ -guaislene are major aroma components. The two essential oil components, namely patchoulol and norpatchoulenol are present as major constituents.

The demand of Patchouli oil has been raised tremendously in perfumery industry. However, the oil has no substituents which could increase its value and even the demand in perfumery industries. It is found from the survey that the utility of Patchouli oil is estimated around 2,000 tons per annum throughout the worldwide. Whereas in India, this consumption has increase up to 300 tons per annum due to heavy demand in the manufacturing of chewing tobacco and pan masala. But the production is found below 50 tons per annum. Therefore, the country mainly dependable on imports of such articles from Indonesia.

The plant oil has used in inducing relaxation. In the Chinese system of medicine, the herb is used to treat headaches, nausea, cold, and diarrhea. Even the oil has been used in aroma therapy and also as hair conditioner. The essential oil is the best fixatives for heavy perfume that impart strength, lasting, and alluring notes properties.

The main objective of writing this manuscript is to share comprehensive literature on Pharmacognosy and Pharmacology of herb based on the literature available. The collective information, shared in this review will provide an exhaustive knowledge in exploring the therapeutic utilization of secondary metabolites of plant patchouli in several different areas such as medical use.

#### 3.1 INTRODUCTION

Natural or herbal product(s) manufactured from plants are widely utilized in various herbal medicines to cure and prevent several health issues. Day-byday, the demand of consuming herbal medicines is mostly increasing through the worldwide. Many of the natural-based products formulated out from the herbs include volatile oils, colorants, dyes, cosmetics, and pharmaceutical products (Lubbe & Verpoorte, 2011). Medicinally aromatic herbs extracting essential oils that may exhibit various therapeutic applications and therefore, utilized in food, beverages, fragrance, flavoring agent and many more pharmaceutical based industries. About 90% of essential oils get consumed by the flavor and fragrance-based industries to manufacture several brands of perfumes, cosmetics, health care products, and other medicinal products (Maheshari, Vasantha, Sharma, & Chandel, 1993).

The plant Pogostemon cablin Benth., is the annual herb indigenous to south part of Asia belongs to family Lamiaceae (Maheshari, Vasantha, Sharma, & Chandel, 1993). The plant leaves contain aromatic volatile oil, of high-priced value in cosmetic, perfumery-based industries and also in aromatherapy (Hasegawa, Tajima, Toi, & Sugimura, 1992). Thus, it is widely employed in various cosmetic-based industries to produce healthcare products including body lotions, creams, soaps, and perfumes (Swamy, Mohanty, & Anuradha, 2014). The oil has been broadly used in depression, and anxiety. Various bioactive compounds possess potential therapeutic activities such as antioxidant, antibacterial, insect repellent, antifungal, analgesic, antiplatelet, fibrinolytic, antiemetic, antithrombotic, and antidepressant properties (Swamy, Mohanty, & Anuradha, 2014; Chakrapani et al., 2013; Priya, Swati, & Vilasrao, 2014; Swamy, Mohanty, Sinniah, & Maniyam, 2015). Therefore, all these characteristic features would make a P. cablin, considered as a commercial and pharmaceutical based crop with great significance in their applications around worldwide (Vijaya, 2004; Xian et al., 2007). The plant is ranked on top 20 volatile oil yielding herbal plants that has been traded continuously in the world market (Lubbe & Verpoorte, 2011; CBI, 2009; Singh, Singh, Srinivas, Rao, & Puttanna, 2015). The largest cultivator of the plant oil is Indonesia; moreover, it also produced on large scale from several number of countries such as Malaysia, India, China, Vietnam, Africa, and Singapore (Maheshari, Vasantha, Sharma, & Chandel, 1993; Swamy, Mohanty, & Anuradha, 2014; Swamy, Balasubramanya, & Anuradha, 2010; Swamy, Sudipta, Balasubramanya, & Anuradha, 2010).

#### 3.2 BOTANICAL TAXONOMICAL CLASSIFICATION

The herb, Patchouli, is a member of Lamiaceae family. The botanical nomenclature of the plant is as described as below:

Kingdom: Planta.

- Division: Magnoliophyta.
- Class: Magnoliopsida.
- Order: Lamiales.
- Family: Lamiaceae/Labiatae.
- Genus: Pogostemon.
- Species: Cablin.

# 3.3 SYNONYMS AND VERNACULAR NAMES

In 1845, Patchouli was first identified by Pelletier-Sautelet. Later on, in Philippines it is commonly known as 'cabalam.' In India, almost twenty-five species are found present. The plant, Patchouli is to be called by vernacular names like Tamala patra, Patcholi, and so on detailed in Table 3.1. The plant is also called Patchouli, Patchouly, or Pachouli (Synonym).

Region	Vernacular Names
India	Tamala patra (Sans); Patcholi (Hin); Marathi: Patchapan (Mar); Patchapat (Beng)
Philippines	Kabling
Malaysia	Nilam
China	Guang Hou Xiang
Indonesia	Nilam
Thailand	Phimsen
Korea	Pachuri
Vietnam	Hoac Huong

**TABLE 3.1** Vernacular Names of Pogostemon cablin Benth

# 3.4 GEOGRAPHICAL SOURCE

Herb is indigenous to many tropical areas of Asia and also grown in India, Thailand, Malaysia, West Africa, Mauritius, Vietnam, China, Philippines, and Indonesia. The plant is cultivated for its commercial utility in many states of India, namely Karnataka, Maharashtra, Kerala, Goa, Gujarat, and Assam (Harvey, 2008). The occurrence and distribution of *Pogostemon* with its species is summarized in Table 3.2 (Bhaskar & Vasantha, 2004; Thapa, Vashist, Atal, & Gupta, 1971; Hussaini, Agarwal, Roy, Prakash, & Shoeb, 1988; Khare, 2007; Murugan, Ravi, & Livingstone, 2008; Murugan, Ravikumar, & Livingstone, 2010; Bhuiyan, Varshney, Shiam, Varshney, & Akter, 2011; Suthar & Patel, 2014). In other words, it is concluded that the Patchouli plant is a tropical annual herb that gets well adapted in warm and humid environment (Hasegawa, Tajima, Toi, & Sugimura, 1992).

Plants with Species/Varieties	Synonyms	Distribution and Occurrence
Pogostemon heyneaus	Pogostemon fleurissant Benth	South India, Malaysia, and Indonesia
Pogostemon paniculatus	_	India
Pogostemon plentranthoides Desf	Pogostemon benghalensis	Uttar Pradesh, India
Pogostemon auricularis	-	Thalacauvery, Karnataka, India
Pogostemon comosum Miq. Var. Pogostemon tomentosus Hassk	_	Indonesia
Pogostemon pubescens Benth	Pogostemon parviflorus Benth	India
Pogostemon hirsutus Benth.	_	Anamalai hills (Tamil Nadu) in India
Pogostemon nilagiricus Gamble.	_	Nilgiri hills (Tamil Nadu) in India
Pogostemon benghalensis	_	Bangladesh, India, Nepal, Myanmar, Sri Lanka, China and Thailand
Pogostemon parviflorus	_	Gujarat, India
Pogostemon purpurascens		Gujarat, India

**TABLE 3.2** Occurrence and Distribution of Pogostemon with its Species

Note: -: Not known.

*Source:* Bhaskar & Vasantha (2004); Thapa, Vashist, Atal, & Gupta (1971); Hussaini, Agarwal, Roy, Prakash, & Shoeb (1988); Khare (2007); Murugan, Ravi, & Livingstone (2008); Murugan, Ravikumar, & Livingstone (2010); Bhuiyan, Varshney, Shiam, Varshney, & Akter (2011); Suthar & Patel, 2014.

## 3.5 MORPHOLOGY

The herb patchouli (Lamiaceae) is a mint family. Traditionally, it is closely resembled to the family of Verbenaceae. It is an aromatic plant, abundantly employed as culinary herbs as shown in Figure 3.1. The herb is bushy in nature with erect, straight stems of two or three feet in length containing pink-white flowers. The flowers are small in size. The plant is much branched and pubescent with minute hairy appearance on the surface. The leaves of the plant contained cuneate base with long petiole. The floral whorls are many with sub-globose form with more density and are placed separately on the inflorescences (Harvey, 2008). The flowers are completely sessile, complete,

bisexual, zygomorphic, and hypogynous. The calyx with five sepals are of gamosepalous type. The corolla consists of four petals that is gamopetalous and light violet in color. The androecium is made up of four epipetalous stamens with basifixed anthers and the gynoecium is bicarpellary and of superior type (Swamy & Sinniah, 2016).



FIGURE 3.1 Morphological diagram of *Pogostemon cablin*.

#### 3.6 PHYTOCHEMISTRY

#### 3.6.1 VOLATILE CHEMICAL CONSTITUENTS

The volatile oil of *P. cablin* Benth. collected from various cultivation areas and harvesting period were generally different. However, differences in the secondary metabolites of volatile oil, the herb has been grouped into two different chemotypes i-e Pogostone and Patchouliol. The study revealed that the Pogostone-type contain pogostone (rich oxygenated components) and patchouliol (low composition of non-oxygenated components). Whereas the Patchouliol-type contained equal quantities of oxygenated and non-oxygenated compounds with higher percentage of patchouliol, delta-guaiene, and alpha-guaiene (Luo, Liu, Feng, Guo, & Cao, 2003).

The essential oil collected from the leaf of patchouli plants during daytime may produce some variations in their content percentage. Variations in the amount of phytoconstituents present with respect to different regions are summarized in Table 3.3. Using GC-MS techniques, some of chemical compounds have been isolated as essential oil, including β-patchoulene, 1-octen-3-ol,  $\beta$ -elemene,  $\alpha$ -guaiene, trans-carvophyllene,  $\gamma$ -patchoulene, αα-patchoulene, humulene, dihydroaromadendrane, α-bulnesene, trans-βguaiene, patchoulol, and  $\beta$ -copaen-4- $\alpha$ -ol (Silva, Ehlert, Ming, & Marques, 2004). Nine more components as  $\alpha$ -guaiene, caryophyllene,  $\beta$ -patchoulene,  $\beta$ -guaiene,  $\delta$ -guaiene, seychellene, pogostone, spathulenol, and patchoulol identified using GC-MS. According to Hierarchical clustering analysis, it is observed that around 18 components have been grouped into three clusters such as pogostone, an interim and patchouliol (Hu et al., 2006). Around twenty-two compounds have been identified using GC-MS analysis, oxygenated sesquiterpenes and sesquiterpenes including β-patchoulene, trans-caryophyllene,  $\delta$ -elemene,  $\beta$ -elemene, cis-thujopsene,  $\alpha$ -guaiene,  $\alpha$ -humulene,  $\gamma$ -patchoulene, valencene, seychellene,  $\beta$ -selinene, germacrene D,  $\alpha$ -selinene, germacrene A, 7-epi- $\alpha$ -selinene, viridiflorene,  $\alpha$ -patchoulene, α-bulnesene; longipinanol, patchoulol, globulol, 1-octen-3-ol. Out of all these, patchoulol considered as a chief constituent. Even some minor chemical constituents were seychellene, α-patchoulene, βpatchoulene,  $\gamma$ -patchoulene, and  $\delta$ -patchoulene (Tsai et al., 2007). Another study done on the essential oils obtained using steam distillation from the plants was determined using GC-MS. Approximately the technique has identified 41 components including two major biomolecules, a-guaiene of 20.62% and α-bulnesene of 16.18% (Bunrathep, Lockwood, Songsak, & Ruangrungsi, 2006).

From P. cablin plant, 1 w components from essential oil were isolated and investigated by using GC and GC/MS analysis such as β-patchoulene, patchoulol,  $\alpha$ -guaiene, selinene, seychellene, α-patchoulene, and β-caryophyllene (Sundaresan, Singh, & Mishra, 2009). Patchouli volatile oils obtained from different source treatments of plant material were subjected to quantitative estimation using GC-FID technique, revealed the detection of around 36 components of which  $\alpha$ -guaiene,  $\delta$ -guaiene, pogostol,  $\alpha$ -patchoulene and sevchellene considered the main ones. It is observed that patchouli alcohol as a major essential oil component was present in higher percentage in laboratory scale produced volatile oils when compared with all other commercial samples (Hussin et al., 2012). HPLC-DAD quantitative analysis was used to detect and identify the essential oil component, pogostone with flavonoids including rhamnetin, apigenin, ombuine, methoxyflavone derivatives, as tabulated in Table 3.4 and in Figure 3.2 (Li, Yin, Li, Huang, Ye, & Zhang, 2014).

#### 3.6.2 NON-VOLATILE CHEMICAL CONSTITUENTS

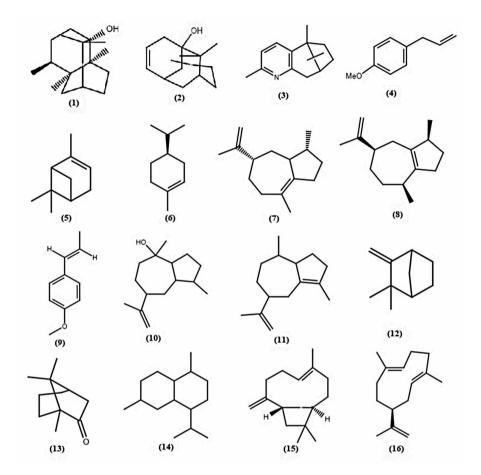
Investigators isolated three novel flavonoids, 5,7-dihydroxy-3',4'dimethoxyflavanone, ombuin, and licochalcone A from *Pogostemon cablin* Benth. aerial parts using fractionation technique. The isolated Licochalcone A compound possessed *in-vitro* cytotoxicity as well as PI-PLC $\gamma$ 1 inhibition property (Park, Park, Lee, & Kim, 1998). The standardization of crude drug i-e "*Pogostemoni herba*" as *Pogostemon cablin* Benth., is used as an ingredient in Kampo medicines (Chinese medicines) was done by using thin layer chromatography (TLC) and high-performance liquid chromatography (HPLC) along with suitable chemical markers. Three new components belonging to phenylethanoid class named as crenatoside, acteoside, and isoacteoside extracted from the methanolic herbal extract (Amakura et al., 2008).

With the application of column chromatography and spectra data analysis, nine new compounds were identified and characterized as 5-hydroxymethol-2-furfural, epifriedelinol, beta-sitosterol, succinic acid, daucosterol, 3"'-O-methylcrenatoside, crenatoside, apigenin-7-O-beta-D-(6"-p-coumaryl)-glucoside and isocrenatoside (Huang, Mu, Zhang, Deng, Song, & Hao, 2009). Four flavonoids named as 4',5-Dihydroxy-3',7-dimethoxyflavanone, 5-Hydroxy-7,3',4'-trimethoxyflavanone, 5,4'-Dihydroxy-3,7,3'-trimethoxyflavone from *P*.

cablin Benth. along with pogostone were successively separated and identified by using HSCCC, prep-HPLC, ESI-MS<sup>n</sup>, <sup>1</sup>H-NMR, and <sup>13</sup>C-NMR (Li, Zhang, Xie, Liang, Wang, & Ito, 2011). Additionally, new sesquiterpene hydroperoxide components like 10a-hydroperoxyguaia-1,11-diene, 1a-hydroperoxyguaia-10(15),11-diene and 15a-hydroperoxyguaia-1(10),11-diene were fractioned out using chromatographic techniques from acetone extract of dried patchouli (Kongkathip et al., 2009). Furthermore, the hexane fraction was separated and then it has been purified to result in obtaining patchouli alcohol, a mixture of β-sitosterol and stigmasterol, and 7.3',4-tri-O-methyleriodictyol (Wang, Yin, Zhang, Ye, Zhang, & Zhang, 2010). Around 12 non-volatile compounds were isolated and identified from the ethanolic stem extract of the plant as tilianin; diosmetin-7-O-beta-D-glucopyranoside: uracil: 3"-O-methylcrenatoside: apigenin-7-O-(3".6"-di-(E)-p-coumaroyl)-beta-D-galactopyranoside; agastachoside; 4,'5-dihydroxy-3, 3,'7-trimethoxyflavone; soya-cerebroside I and II; 5-hydroxy-3, 3,'4,'7-tetramethoxy flavone; crenatoside; isocrenatoside and acacetin. The isolation of these components was done by using silica gel and Sephadex LH-20 CC (Column chromatography) and with the application of spectroscopic analysis, the structural elucidations of such compounds were obtained (Ding, Lin, Liu, & Wei, 2011).

Using spectroscopic analysis of 15-hydroxypatchoulol 15-O- $\beta$ -D-glucopyranoside and 3 $\alpha$ -hydroxypatchoulol 3-O- $\beta$ -D-glucopyranoside with rubusoside, as diterpene glycoside, identified from the *Pogostemon cablin* Benth. ethanolic extract (Zhou et al., 2011).

Four novel patchoulol derivatives i-e  $6\alpha$ -hydroxypatchoulol,  $2\beta$ , 12-dihydroxypatchoulol,  $8\alpha$ ,  $9\alpha$ -dihydroxypatchoulol and  $3\alpha.8\alpha$ dihydroxypatchoulol, and together with sesquiterpenoids and flavonoids were obtained from Pogostemon cablin Benth. aerial parts and even their structure elucidation were done with the help of 1D- and 2D-NMR (Kiuchi, Matsuo, Ito, Qui, & Honda, 2004). Sesquiterpenes such as 2-keto-1(5)- $\beta$ -patchoulene-4 $\beta$ -ol; 8-keto-9(10)- $\alpha$ -patchoulene-4 $\alpha$ -ol; 2-keto-4 $\beta$ hydroxyguai-1,11-diene and 2-keto-1(5)- $\beta$ -patchoulene-4 $\alpha$ -ol obtained from P. cablin Benth. stems (Zhou, Peng, Li, Guo, Xiong, & Lin, 2013). Around 13 target compounds were isolated and elucidated as patchoulol; friedelin; pogostone; epifriedelinol; methyl oleanolate; oleanolic acid; 5-alpha-stigmast-3,6-dione; beta-sitosterol; stigmast-4-ene-3-one; retusin; pachypodol; dibutyl phthalate; (-)-guaiacylglycerol (Swamy & Sinniah, 2015). Using HPLC-DAD method, both volatile and non-volatile compounds quantified and identified as pogostone and flavonoids including rhamnetin; apigenin; ombuine from patchouli (Kocevski et al., 2013).



**FIGURE 3.2** Chemical structure of volatile and non-volatile constituents of *Pogostemon cablin*. Structure: (1) patchouli alcohol; (2) nor-patchoulinol; (3) Patchouli pyridine; (4) methyl chavicol; (5) pinene; (6) limonene; (7) bulnescene; (8) guaiene; (9) anethole; (10) pogostol; (11) aciphyllene; (12) camphene; (13) camphor; (14) cardinine; (15) caryophyllene; and (16) germacrene.

#### 3.7 PHARMACOLOGICAL ACTIVITIES

#### 3.7.1 ANTIFUNGAL ACTIVITY

The bioactive compound, Patchoulol present in the essential oil exhibited suppression action on the growth of *Aspergillus flavus* and *A. oryzae* observed in the colony form (Li et al., 2012). Li *et al.* demonstrated both *in vitro* as

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Geographical Source	Active Constituents	Part of Plant with Percentage	Analytical Methods	References
Wanning City, Hainan	Patchouli alcohol	Stem (36.06%); leaf (37.74%)	GC/MS	Pullagummi et al. (2014)
	Pogostone	Stem (17.08%); leaf (0.85%)		
	β-patchoulene, $β$ -elemene, transcaryophyllene, δ-guaiene, seychellene, $α$ -patchoulene, aciphyllene, α-guaiene, patchouli alcohol and pogostone.	1%		
Vietnam	$\beta$ -patchoulene, $\alpha$ -patchoulene, $\delta$ -patchoulene, $\beta$ -elemene, $\alpha$ -guaiene, seychellene, $\delta$ -guaiene, pogostol, $\delta$ -cardinene, $\alpha$ -bulnesene, $\beta$ -caryophyllene.	-	GC, GC/MS, NMR	Dung, Leclercq, Thai, & Moi (1989)
	Patchoulol	32%-37%		
Philippines	Germacrene-B	-	-	Hasegawa, Tajima, Toi, & Sugimura (1992); Rakotonirainy, Gaydou, Faure, & Bombarda (1997)
Gaoyao County,	Pogostone	Stems (30.99%); leaf (21.31%).	GC/MS	Luo, Feng, Guo, & Li (1999)
Guangdong Province	Patchoulol	Stems (10.26%); leaf (37.53%)		
	Trans-caryophyllene	Stems (4.92%); leaf (6.75%)		
	α-guaiene	Stems (2.27%); leaf (6.18%)		
	Seychellene	Stems (1.56%); leaf (1.99%)		
Leizhou county	Alpha-guaiene, delta-guaiene, alpha-patchoulene, trans-caryophyllene, seychellene, patchouli alcohol, aciphyllene	Stem leaves	GC-MS	Feng, Guo, & Luo (1999)

**TABLE 3.3** Distribution of Chemical Constituents of Pogostemon cablin Along with Their Percentage in Different Regions of Countries

**TABLE 3.3** (Continued)

Geographical Source	Active Constituents	Part of Plant with Percentage	Analytical Methods	References
Indonesia	Aciphyllene, $\gamma$ -gurjunene, 7-epi- $\alpha$ selinene, germacrene D $\alpha$ -guaiene, $\delta$ -patchoulene, $\alpha$ -pinene, $\beta$ -pinene, limonene, $\alpha$ -copaene, aciphyllene, $\delta$ -guaiene, 7- epi- $\alpha$ -selinene, caryophyllene oxide, $\delta$ -elemene, $\alpha$ -patchoulene, norpatchoulenol, 1,10-epoxi- 11-bulnesene, $\beta$ -elemene, nortetrapatchoulol, patchoulol, $\beta$ -caryophyllene, seychellene, patchoulenone, oxopatchoulol, pogostol, cyclosey- chellene, $\alpha$ -humulene, germacrene-D, $\gamma$ -gurjunene, isopatchoulenone, $\alpha$ -patchoulene.	_	GC (FID) and GC/MS	Guan, Quan, Xu, & Cong (1998); Kang, Kim, Chi, & Won (1998)

Chemical Class	Part Used	Chemical Constituents	References
Volatile oil	Root	Pogostone (71%); d nerolidol (88%); hexadecanoic acid (21%); patchouli alcohol (98%); trimethyl dodecatrienol acetate in the root oil; pogostone (45%); d nerolidol (17%); hexadecanoic acid (15%); patchouli alcohol (15%); geranyl acetate (93%); trans fanesol (63%); trans-farnesal (40%).	Luo, Feng, & Guo (2000)
	_	Patchouli oil; patchouli alcohol	Su et al. (2014)
	Whole herb	Patchoulol; friedelin; pogostone; epifriedelinol; retusine; pachypodol; oleanolic acid; daucosterol; β-sitosterol.	Guan, Quan, Xu, & Cong (1994)
	Leaves, aerial-parts	Patchoulol (42.2–57.7%); $\alpha$ -guaiene (6.4–17.9%); pogostol (0.3–5.0%); ( <i>E</i> )-caryophyllene (2.1–3.6%); $\alpha$ -bulnesene (9.0–15.2%); seychellene (3.4–6.9%)	Ram, Rajendra, Amit, & Ved (2019)
	Root	Norpatchoulenol (5.3%); β-pinene (4.5%); pogostone (70.2%)	Ram, Rajendra, Amit, & Ved (2019)
	Leaf; cell cultures.	Patchouli alcohol (60.30%)	Bunrathep, Lockwood, Songsak, & Ruangrungsi (2006)
	Leaf	$\alpha$ -Pinene; $\beta$ -pinene; sabinene; isoledene; $\beta$ -patchoulene; $\alpha$ -copaene; $\beta$ -caryophyllene; $\alpha$ -guaiene; seychellene; $\alpha$ -humulene; $\alpha$ -patchoulene; Dselinene; germacrene; calamenene; cadina-1,4-diene; ledene; patchoulol.	Sundaresan, Singh, & Mishra (2009
	_	α-Pinene; β-pinene; limonene; pentadecane; α-humulene; germacrene D; β-elemene; δ-elemene; α-selinene; selina-4,11-diene; selina-4,6-diene; 7-epi-α-selinene; β-selinene; selina-4(15),7(11)-diene; α-bulnesene; α-guaiene; aciphyllene; (E)-β-caryophyllene; (E)-7-epi-β-caryophyllene; (Z)-β-caryophyllene; β- patchoulene; δ-patchoulene; γ-patchoulene; α-patchoulene; α-copaene; seychellene; cycloseychellene; $ \alpha,5\alpha$ -epoxyguai-11-ene; caryophyllene oxide; (Z)-nerolidol; patchouleno; rotundone; 2β-methoxy-14- nor-β-patchoul-1(5)-ene-4-one; 14-nor-β-patchoul-1(5),2-diene-4-one; pocahemiketal A; pocahemiketal B; 4-hydroxy-6-methyl-3-(1-oxobutyl)- 2H-pyran-2-one; pocahemiketal B; dhelwangin; pogostone; nonyl acetate; 2-methylbutyric acid; pentanoic 4-methylpentanoic acid; 2-methylpentanoic acid; heptanoic acid; nonanoic acid; cis-2-pentylcyclopropanecarboxylic acid; trans-2-pentylcyclopropanecarboxylic acid; pheno, o-cresol; dimethylphenol; 4-vinylphenol; 14-nor-β-patchoul-1(5)-ene-4-one; guaiacol; eugenol; patchoulipyridine; guaipyridine; (Z)-3-(but-1-enyl)-4-propylpyridine; (E)-3-(but-1-enyl)pyridine; 2-ethylfuran; η-selinene; hotrienol; geosmin; 2-methoxy-3-isopropylpyrazine; quinoxaline; methyl N-methylanthranilate; 14-nor-β-patchoul-1(5)-ene-2,4-dione; pentanoic acid.	Swamy & Sinniah (2015)

TABLE 3.4	Distribution of Chemica	I Constituents in Different	Parts of the Plant, Pogostemo	n cablin Benth

TABLE	3.4	(Continued)

Chemical Class	Part Used	Chemical Constituents	References
Sesquiterpenes	Leaves, stems, and roots.	Isocaryophillene; caryophyllene; $\alpha$ -guaiene; seychellene; patchoulene; $\alpha$ -bulnesene; caryophyllene oxide; $\beta$ -guaiene; (–)-globulol; $\beta$ -humulene; patchouli alcohol; trans-farnesol.	Teris & Daniel (2017)
	-	Patchoulol; $\alpha$ -guaiene; $\alpha$ -patchoulene; $\delta$ -guaiene; seychellene.	Ying et al. (2014)
	-	$\alpha$ -guaiene; $\alpha$ -patchoulene; $\alpha$ -patchoulene; patchoulol; $\alpha$ -bulnesene.	Yuliani & Sri Haryani (2012); Gokulakrishnan et al. (2013)
	-	$2\beta, 12 \text{-} Dihydroxy pathoulol; \ 3\alpha, 8\alpha \text{-} Dihydroxy patchoulol; \ 8\alpha, 9\alpha \text{-} Dihydroxy patchoulol; \ 6 \text{-} Hydroxy patchoulol.$	Zhou et al. (2011)
	-	1α-Hydroperoxyguaia-10(15),11-diene; 10 α-Hydroperoxyguaia-1,11-diene; 15 α-Hydroperoxyguaia- 1(10),11-diene; 1 α-Hydroperoxyguaia-10(15),11-diene; 15 α-Hydroperoxyguaia-1(10),11-diene.	Kiuchi, Matsuo, Ito, Qui, & Honda (2004)
	-	4-Hydroxy-10-epi-rotundone; 2-Keto-4β-hydroxyguai-1,11-diene.	Li et al. (2013)
Phytosterols	-	Daucosterol; $\beta$ -sitosterol; stigmasterol; stigmast-4-ene-3-one; $5\alpha$ -Stigmast-3,6-dione.	Swamy & Sinniah (2015)
Triterpenoids	-	Methyl oleonalate; friedelin; epifriedelinol; oleanolic acid.	Swamy & Sinniah (2015)
Phenyl propene	-	Methyl chavicol; anethole.	Swamy & Sinniah (2015)
Fatty acids	-	2-methyl-haxanoic acid; 2-methyl-butyric acid; nonanoic-acid; 4-methyl-pentanoic acid; octanoic acid; heptanoic acid; pentanoic acid.	Swamy & Sinniah (2015)
Glycosides	-	Acteoside; agastachoside; 3α-hydroxypatchoulol 3-O-β-D-glucopyranoside; apigenin-7-O-(3",6"- di-(E)-p-coumaroyl)-β-D-galacto-pyranoside; 15-Hydroxypatchoulol 15-O-β-D-glucopyranoside; 3"-O-methylcrenatoside; soya-cerebroside I and II; isocrenatoside; tilianin; rubusoside.	Li, Zhang, Xie, Liang, Wang, & Ito (2011); Wang, Yin, Zhang, Ye, Zhang, & Zhang (2010); Ding, Lin, Liu, & Wei (2011)
	-	Acacetin; diosmetin-7- <i>O</i> -β-D-gluco-pyranoside; 4',5-dihydroxy-3,3',7-trimethoxyflavone; 5-hydroxy-3,3',4',7-tetramethoxyflavone.	Swamy & Sinniah (2015)
	-	Apigenin; 5,4'-dihydroxy-3,3',7-trimethoxyflavanone; rhamnetin; 4',5-dihydroxy-3,3',7-trimethoxyflavone; ombuin; 3,5-dihydroxy-7,4'-dimethoxyflavanone; 5-hydroxy-3,7,3',4'-tetrmethoxyflavanone; 5-Hydroxy-7,3',4'-trimethoxyflavanone.	Swamy & Sinniah (2015)
	-	Licochalcone A; 4',5-Dihydroxy-3',7-dimethoxyflavanone; 5,7-Dihydroxy-3',4'-dimethoxyflavanone.	Swamy & Sinniah (2015)
	_	Retusine	Swamy & Sinniah (2015)

Chemical Class Part Used	Chemical Constituents	References
Miscellaneous –	p-Vinyl-phenol; phenol; trans-2-pentylcyclopropyl carboxylic-acid; dibutyl phthalate; succinic acid; tschimganical A; uracil; p-methoxycinnamaldehyde; anisaldehyde; benzaldehyde; dehydracetic-acid; dimethylphenol; eugenol; eugenol cinnamic aldehyde; o-cresol.	Li, Zhang, Xie, Liang, Wang, & Ito (2011); Kongkathip et al. (2009); Wang et al. (2010); Ding, Lin, Liu, & Wei (2011)

well asin vivo antifungal properties of pogostone, considered as the most promising component extracted from *P. cablin* Benth and found efficacious in Candida infections, especially against vulvovaginal candidiasis (Yi et al., 2013). Another study demonstrated the effective action of pogostone, along with its semi-synthesized analogs against *Candida albican* infections. From this experimental work it is suggested that with some structure alteration in pogostone analogs would result in representing them as the most promising antifungal compounds (Liu et al., 2012). Hexane, acetone, and even ethanol extracts of plant showed *in-vitro* anti-candida activity, by inhibited the fungal growth up to 50% (Xie & Tang, 2009).

# 3.7.2 GASTRO-PROTECTIVE ACTIVITY

*P. cablin* Benth. aqueous extract found effective in protecting the intestinal barrier by regulating the serum nitric oxide (NO) levels, TNF-aplha, and maintaining the membrane fluidity of IMC in Wistar rats. This research investigation would give the evidence bases of gastroprotective action of the plant extract against surgical or trauma operation (Yang et al., 1999).

# 3.7.3 ANTIEMETIC ACTIVITY

Five chemical compounds namely, stigmast-4-en-3-one, pogostol, patchouli alcohol, pachypodol, retusin, and extracted from n-hexane plant extract demonstrated antiemetic property against copper sulfate induced-emesis in young chick model (Miyazawa, Okuno, Nakamura, & Kosaka, 2000).

# 3.7.4 ANTI-MUTAGENIC ACTIVITY

Miyazawa *et al.* explained the suppressive action of plant methanolic extract on UMU gene expression by producing SOS response in bacterial strain, *Salmonella typhimuium* TA1535/pSK1002 against furylfuramide as mutagen. Furthermore, the different fractions such as hexane, butanol, dichloromethane, and water were obtained from the methanol extract, where it has been concluded that some effective compounds of flavonoid group, kumatakenin, pachypodol, ombuine were extracted and characterized from dichloromethane fraction with the aid of silicon-di-oxide column chromatography (Jing et al., 2013).

#### 3.7.5 ANTI-NOCICEPTIVE ACTIVITY

Patchouli oil at 20 mg/kg, 40 mg/kg, and 80 mg/kg possessed a significant anti-nociceptive action; this activity was proved against the writhing test and the hot-plate test. It is observed that all respective doses of patchouli oil significantly extended the writhing latent period and even potentially reduced the writhing frequency. Additionally, all different doses of oil significantly attenuated the latency duration at a time interval of 30, 60, and 90 minutes in hot plate test (Xuan et al., 2019).

#### 3.7.6 ANTI-ALLERGIC ACTIVITY

Anti-allergic property of patchouli oil was evaluated by using delayed-type hypersensitivity, passive cutaneous anaphylaxis test and Schultz–Dale reaction induced in the serum anti-ovalbumin and 2,4-dinitrochlorobenzene model in Sprague Dawley rat. However, it is resulted that all doses (20, 40, and 80 mg/kg) of oil considerably suppressed the delayed-type hypersensitivity and the passive cutaneous anaphylaxis test (Manglani, Deshmukh, & Kashyap, 2011).

The patchouli oil significantly decreased the intracellular calcium ion level and up-regulation of cyclooxygenase 2 along with reduction in the mu-opioid receptor expression; this would play a crucial role as an antinociceptive effect of oil. Furthermore, the component markedly reduced the latency duration of writhing and visceral pain after the induction of intraplantar administration of formalin in mice model (Cahyono, Rimawati, & Kusuma, 2019).

### 3.7.7 ANTI-DEPRESSANT

Alcoholic extract markedly decreased the immobility duration in tail suspension and forced swimming test which direct towards its potential antidepressant activity (Kiyohara et al., 2012). Encapsulation of patchouli alcohol was done by freeze drying technique using maltodextrin, and gum Arabic along with tween 80 catalysts as an emulsifier. Such essential oil encapsulation was then tested for antidepressant activity in mice. The method of encapsulation would help in resolving the oxidative damage of essential oil as these oils are very much sensitive and even susceptible towards the increased temperature, oxidation, humidity which ultimately causes degradation of the components (Wu, Li, Wang, Jin, & Wang, 2011).

#### 3.7.8 ANTI-VIRAL ACTIVITY

The essential oil component, patchouli alcohol possessed anti-viral activity against influenza virus H1N1 having IC<sub>50</sub> of 2.635  $\mu$ M in a dose dependent fashion, collectively obtained from methanolic leaf extract of Pogostemon cablin Benth. The activity was investigated using the plaque forming assay, in which active ingredients declined the increased plaque numbers via showing 75% and 89% at concentration of 2  $\mu$ g/mL and 10  $\mu$ g/mL, respectively (Lu, Liao, Huang, Lin, Liu, Chiu, & Peng, 2011). Similarly, the patchouli alcohol also tested against H<sub>2</sub>N<sub>2</sub> influenza A virus (Kim, Cho, Kim, Cho, & Kim, 2010).

### 3.7.9 ANTIOXIDANT ACTIVITY

Patchouli alcohol (28.8%) showed efficient free radical scavenging activity in patchouli (Feng et al., 2014). In Korea, the herb is effectively possessed antioxidant activity against free radical induced human brain cell injury. It potentially protected necrotic as well as apoptotic cell death produced via H<sub>2</sub>O<sub>2</sub> radical in human neuroglioma cell line A172. It mainly acted as a dose dependent manner at 0.2 to 5 mg/ml of concentrations. It markedly inhibited the cellular ATP depletion and PARPs activation induced by Hydrogen peroxide radical (Li et al., 2014). Ultraviolet irradiation produces ROS in excess amount, followed by generating inflammatory response, by which it is known as an efficient skin photoaging inducer. Excess production of ROS along with inflammation causes over stimulation of matrix metalloproteinases, which ultimately produces extracellular matrix degradation. This may lead to cause dryness, wrinkling effect, and skin laxity. Patchouli alcohol, an active ingredient considerably urged the speedy retrieval in UV-induced skin lesions, either via anti-inflammatory mechanism or through free radical scavenger properties which may cause down-regulation in MMP-1 and MMP-3 expression (Lin et al., 2014). Patchouli alcohol inhibited the phosphorylation of NF-kB and IkB which attenuate inflammation caused by lipopolysaccharide in mice (Ichikawa, Kinoshita, & Sankawa, 1989).

### 3.7.10 SKIN DISEASES

The herb is well known in Chinese traditional medicine for curing various skin disorders. Protective effect of patchouli oil against UV induced skin photoaging effect in mice through antioxidant property, followed by suppression in the MDA content and effectively up regulation mechanism in SOD, CAT, and GSH-Px (Pattnaik, Subramanyam, & Kole, 1996).

## 3.7.11 ANTIBACTERIAL ACTIVITY

Hot aqueous extract of P cablin Benth. were screened to possess inhibitory action against K<sup>+</sup> contracture of guinea pig taenia coli (Edwards, Buck, Shawcross, Dawson, & Dunn, 2004). Additionally, it is found that the patchouli oil was satisfactorily efficacious in inhibiting bacterial strains (around 20) and fungi (12) (Yang, Zhang, Yang, & Liu, 2013). Apart from this, the plant contained antibacterial action against Staphylococcus aureus strains, namely, methicillin-resistant S. aureus, MRSA, and S. aureus NCTC 6571 (Pullagummi et al., 2014). Though, pogostone and patchouli alcohol proved to possess strong antimicrobial effects. Such activities were determined using antimicrobial in vitro assay and molecular docking technology (Vázquez-Sánchez, Cabo, & Rodríguez-Herrera, 2014). Hexane extract of the plant showed maximum zone of inhibition against S. aureus using disc diffusion assay (Singh, Singh, Ebibeni, & Singh, 2013). The volatile oils were found effective against foodborne pathogen, S. aureus (Yu et al., 2015). Even the ethanol extract of patchouli oil with 5.4% found effective against enteric micro-organisms from the fecal droppings of Gecko (Hemidactylus frenatus), a common house lizard (Karimi, 2014). The patchouli alcohol was employed in the prevention of *H. pylori* infection and contained urease inhibitory action potential (Park, Park, Lee, & Kim, 1998). With this, the bioactive component, patchouli alcohol was evaluated for antibacterial activity and urease inhibitory action, through it is proved to be used in the treatment of GIT disorders (Hsu, Yang, Tsai, Chen, Huang, & Tsai, 2006).

## 3.7.12 ANTI-CANCER ACTIVITY

Using cytotoxicity-guided fractionation, Licochalcone A isolated from *P. cablin* Benth. showed effective recovery in curing of promyelocytic leukemia cells (HL-60). The compound contained effective *in vitro* cytotoxicity and

PI-PLC $\gamma$ 1 inhibition property; and was capable of generating terminal differentiation along with monocyte by using non-specific acid esterase assay (Luo, Feng, & Guo, 2000). Patchouli alcohol contained anticancer property via declining the growth of cell and even enhances the apoptosis in case of human colorectal cancer cells. It exhibited HDAC enzyme inhibition activity and HDAC2 expression as described in Table 3.5 (Su et al., 2014).

## 3.8 MARKET TRADE AND ITS APPLICATION

Patchouli oil is well known for pleasant aroma and extensively used in beverage, soap, incense stick, perfume, and food product based industries that have increased its market demand (Vijaya, 2004). In recent years, India is nearby importing about 175–250 tons patchouli oil from various sources such as Malaysia, Singapore, and Indonesia. Though it is mixed with different levels of grades. A small volume of 10 tons is produced by the India. Though, the international rate of oil has fluctuating from US\$ 25 to 150/kg. But India has the potential to meet continuous supply of oil. From the survey; it is observed the worldwide demand of *P. cablin* Benth. is around 1,600 tons of oil per yearly, whereas the India has 10–20 tons/annum. Some of the patent formulations are tabulated in Table 3.6.

# 3.8.1 PERFUME INDUSTRY

Patchouli is mainly used in fragrances like particularly in camphor, cedarwood, vetiver, calamus, oakmoss, and orris. An old-fashioned form of patchouli perfume, popular in Europe many years ago. It remained however a common export item to South America for a long time. Before World War II Germans innovated alcohol-free perfumes. Dissolved the perfume oils in diethyl phthalate, castor oil, or other solvents.

# 3.8.2 BIOTECHNOLOGY ASPECTS

The herb has considered as industrially valued medicinal aromatic plant that currently gained its demand because of the presence of essential oils. It is mainly employed in flavoring, fragrance, and pharmaceutical based industries. Thus, clonal propagation technique is quite necessary to have homogeneous *P. cablin* Benth. to recover the increased worldwide demand. The

Part Used/Extract Used	Mode of Study	Active Constituents	Mechanism of Action	Pharmacological Action	References
Patchouli oil	-	Pogostone, patchouli alcohol	=	Antimicrobial	Ding, Lin, Liu, & Wei (2011)
-	Bacteria strains screened using <i>in-vitro</i> agar double dilution; <i>In vivo</i> study using white mice (Kunming strain).	Patchouli alcohol	_	Antibacterial	Xian, Xue, Shui-Ping, & Wei-Qi (2013)
-	Human bacteria (10) and fungi (06) using agar well diffusion as well as macro-broth dilution method.	Essential oil	_	Antibacterial, antifungal	Wan et al. (2016)
-	Strains of skin bacteria (16) <i>in vivo</i> .	Patchouli alcohol, alpha-bulnesene, patchoulene	_	Antibacterial	Puspa, Shoma, Jaripa, & Md Nural (2013)
Patchouli oil, obtained through the hydro- distillation of fresh leaves and young shoots of <i>P.</i> <i>cablin</i>	Gram-positive micro- organisms using disk diffusion method.	Patchouli oil	-	Antibacterial	Yang, Chaumont, & Millet (1998)
-	Aspergillus flavus and Aspergillus oryzae.	Patchouli alcohol	-	Antifungal	Karimi (2014)
Leaves	Dermatophytes and fungi	Patchouli alcohol, α-bulnesene, patchoulene	-	Antifungal	Kocevski et al. (2013); Depo, Jean-Pierre, & Joëue (2000)

## **TABLE 3.5** Phytochemical Constituents of Pogostemon cablin Benth. and Their Pharmacological actions

**TABLE 3.5** (Continued)

Part Used/Extract Used	Mode of Study	Active Constituents	Mechanism of Action	Pharmacological Action	References
_	Candida albican, Candida tropicalis, Candida krusei	Pogostemon oil	_	Antifungal	Gang-Sheng, Jie-Hua, Yao-Hui, Min, & Bo (2012)
<i>P. cablin</i> Benth. methanol extract	Paw licking, writhing response model (analgesic activity); paw edema (anti-inflammatory)	_	↓ed MDA (malondialdehyde) level, ↑sed antioxidant enzymes activities, ↓sed the tumor necrosis factor-α and cyclooxygenase-2 activities.	Analgesic, anti-inflammatory	Lu Tsung, Jung- Chun, Tai-Hung, & Ying-Chih (2011)
Patchouli oil	Xylene-induced ear edema, acetic acid-induced vascular permeability, carrageenan- induced paw edema.	β-patchoulene	↓sed the cellular infiltration in paw tissue, MDA level, MPO activity.	Anti- inflammatory	Zhang et al. (2016)

*Note:* -: not known;

*↓ed*: decreased;

↑sed: increased

SI. No.	Formulations	Models Used	Applications	References
1.	Transdermal patch of indomethacin using patchouli oil.	Modified Keshary-chein diffusion cells ( <i>in vitro</i> ).	Transdermal patch	Das & Ahmed (2017)
2.	Gel dosage form using patchouli oil.	Skin irritation tests on human volunteers.	Burn wound	Febriyenti, Rizki, & Netty (2019)
3.	Lotion formulation combination of Cananga and patchouli oil.	Against <i>Aedes aegypti</i> mosquitoes.	Natural repellent lotion.	Uswatun & Dian (2019)
4.	Pellet formation with patchouli alcohol and poloxamers.	In-vitro testing	Immediate release pellets formulation.	Jin-Bin et al. (2015)
5.	Novel herbal oil formulation using oils of patchouli, eucalyptus, rosemary, citronella, and neem leaves.	Against mosquito larvae.	Natural mosquito and house fly repellent oil.	Das, Vasudeva, & Dang (2016)
6.	Modified gel using Piper betel and patchouli oil.	Against nulliparous (3–5 days old) female <i>Aedes aegypti</i> .	Natural repellants.	Mutiara & Umar (2015)
7.	Anti-acne serum formulation (essential oil and patchouli oil).	Antibacterial property against <i>Propioni bacterium acnes</i> .	Antibacterial	Noor, Ifat, Lutfi, & Febi (2017)
8.	Anti-acne emulgel preparation using patchouli leaves aromatic oil.	Agar diffusion method against <i>Propioni bacterium acnes</i> .	Anti-acne	Dra Rr Sulistiyaningsih, & Dra Emma, & Puti (2015)
9.	Anti-adherence and anti-biofilm using volatile oils of patchouli, vanilla, ylang ylang stabilized with metal nanoparticles (iron oxide).	Against Klebsiella pneu- moniae and Staphylococcus aureus.	Anti-adherence, anti-biofilm.	Maxim et al. (2014)
10.	Montmorillonite (MMT) nanoparticles as patchouli oil bleaching.	_	Bleaching material to reduce colors in oil.	Tisna, Yulida, & Teuku (2019)
11.	Biocompatible coating containing iron oxide nanoparticles incorporated with patchouli essential oil.	Biocompatibility and bio- distribution assays ( <i>in vitro</i> and <i>in vivo</i> ).	Antimicrobial, as wound dressing.	Marius et al. (2016)

## **TABLE 3.6** Patent Formulations of Pogostemon cablin Benth

**TABLE 3.6** (Continued)

Sl. No.	Formulations	Models Used	Applications	References
12.	Silver nanoparticles using methanol leaf extract of Patchouli.	Staphylococcus aureus, Bacillus subtilis, Pseudomonas aeruginosa, Escherichia coli.	Antibacterial, antioxi- dant, anticancer.	Qing et al. (2019)
13.	Microcapsules containing patchouli oil with chitosan and Arabic gum.	-	-	Guang et al. (2013)
14.	Silver nanoparticles bio-fabricated using an essential oil of <i>Aquilaria sinensis</i> and <i>Pogostemon cablin</i> .	Larvicidal and pupicidal toxicity tested against larvae and pupae of the dengue and Zika virus vector, <i>Aedes</i> <i>albopictus</i> .	Natural biopesticide for mosquito control.	Hassan, Hatem, Guofeng Jiaxin, & Mo (2018)

*Note:* -: not known.

information regarding plant genetics, their chemistry, and other applicable technologies provide more resources to produce commercial crop.

# 3.8.3 INSECTS REPELLENT

Its oil is also used in all-purpose insect repellent and mainly against the Formosan subterranean termite.

# 3.8.4 NUTRITIVE

Herbal tea is composed of Patchouli leaves. In most of the places of India, it is used as a vegetable (Swamy & Sinniah, 2016).

# 3.9 CONCLUSION

The herb, *P. cablin* Benth. is explored worldwide as a key bioactive component for the discovery of new entities with advanced therapeutic potential. This chapter summarizes all the latest updated experimental and research work with respect to the chemistry and pharmacological effectiveness of the plant.

# **KEYWORDS**

- antifungal activity
- anti-mutagenic activity
- antioxidant activity
- biotechnology
- essential oils
- non-volatile chemical constituents
- patchouli oil
- phytochemistry
- Pogostemon cablin Benth

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# Pippali (*Piper longum* L.): A Plant with Versatile Pharmaceutical Uses

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## ABSTRACT

Traditionally several ethno-medicinal plants are using to treatment of so many diseases. Along with them, several species of Piper genus are significantly ethno-medicinal plants used in different organizations of medicine. Piper longum Linn. is a originally from Indo-Malaya areas, and that is belongs to the family Piperaceae as well as commonly well-known as 'Pippali.' The tribal people traditionally use the different plant parts for painkiller, various heart problems, cough, fever, anti-inflammatory activity, antivenin, and also like a spice. Essential oil, lignans alkaloids and flavonoids are identifieds in this plant such as piperlongumine, piperine, sesamin, pipermonaline, piperundecalidine, and sylvatin. Fruits extract of P. longum showing to various actions like carminative, anti-diarrhoeic, Anti-ulcer, Bioavailability enhancers, Cardioprotective activity, Coronary vasodilation, Hepatoprotective activity, Immunomodulatory activity, treatment of asthma, Larvicidal activity, dementia, sleeplessness, fever, both diabetic types, hansen's disease, rheumatic diseases and spleen chaos, etc. All ethno-medicinal plants have revealed marvelous probability for the development of the several novel drug molecules for diverse life threatening diseases. A lots of plant imitative

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products have reported to play an significant role in different disease and other health circumstances.

In the present chapter we are focusing on the different aspects of *P. longum* traditionally use for pharmacological as well as hytochemistry profile, safety profile and it have expects for exploration on this plant.

#### 4.1 INTRODUCTION

Approximately 20,000 different plant species are exploited in diverse traditional medicine organizations around the world, furthermore are measured as possible agents for the exposure of novel medications. Ethno-medicinal plants have revealed marvelous probability for the development of the novel drug/fresh compounds for various life threatening diseases and to cure and prevent various health issues. Numerous ethno-medicinal plants derived novel products have reported to play a significant role in different disease circumstances. While, present time medicines are accessible and herbal medicines contain frequently reserved fame for historical, traditional, and civilizing reasons. Practice of these herbal medicines has augmented throughout the worldwide; the issues concerning their protection, usefulness, and quality in mechanized and growing countries are occurred up (Anonymous, 1999). Medicinally aromatic shrubs extracting essential oil that, may exhibit several therapeutic applications and therefore, utilized in beverages, food, flavoring agent, fragrance, and various pharmaceutical based industries. About 90% of essential oils get consumed by the fragrance and flavor based industries to manufacture numerous brands of cosmetics. perfumes, health care products, and several medicinal products (Maheshari et al., 1993).

*P. longum* Linn. belongs to the genus Piper in the family Piperaceae, is one of the oldest spices known to the worldwide. *P. longum* is commonly known as "long pepper," is native to India. Piperine is generally famous for important alkaloid present in the fruit of *P. longum* and numerous other species member of the Piperaceae family.

The uses of *piper* are recommended in the Ayurvedic medicinal organization as well as accessible literature has suggested that, immature fruit of *P. longum* used for stomach disordesr, analgesic, respiratory problems, inequity of metabolic disorders, emmenagogue, and circulatory manure (Evans, 2009). *P. longum* and *P. nigrum* are recognized to have an alkaloid mentioned as piperine, which provides spiciness to this aromatic plant. *P.* 

longum species are well-known component in the formulation of 'Trikatu' (meaning three components) and it is also famous to be an important bioenhancer (Johri & Zutshi, 1992). Several biological activities of piperine have been recognized (Kanaki, Dave, Padh, & Rajani, 2008; Rahman & Rahmatullah, 2010) and fruit of P. longum was reported numerous piperic amides such as piperine, piperlonguminine, and pipernonaline (Jin et al., 2009). The nutritional piperine is recognized for its bioavailability and digestion improving qualities. Moreover, it is identified to use as an antitumor agent, antidiarrheic, anti-mutagenic, and antidysenteric qualities of this spice improve its medicinal significance (Srinivasan et al., 2001). Therapeutic qualities of this plant also comprise radioprotective, antifungal, antioxidant, hepatoprotective, cardioprotective, antimicrobial, immunomodulatory, antidepressant, antiinflammatory, antihyperlipidemic, antiplatelet, antiamoebic, antiobesity analgesic and also antidiabetic (Kumar, Kamboj, & Sharma, 2011; Sunila & Kuttan, 2004; Sharma, Kumar, Chashoo, Saxena, & Pandey, 2014; Jalalpure, Patil, Prakash, Hemalata, & Manvi, 2003; Clark, Arnold, Lasserson, & Wu, 2010; Nabi et al., 2013); which is commonly used as an significant ingredient in different Ayurvedic medicines to treatment of various diseases, including cure of dyspnea, cough-cold, spleen disorders, chronic fever, gout leprosy and tuberculosis, etc. (Khushbu, Roshni, Anar, Carol, & Mayuree, 2011).

We believed that, the present chapter resolving better and appreciative of *P. longum and* its properties. This information may be precious in preparation potential inspections particularly in growing new pharmaceuticals industries and clinical preliminaries, or active constituent. *P. longum*.

#### 4.2 OCCURRENCE AND GEOGRAPHICAL DISTRIBUTION

Long pepper is a inhabitant of the India and Malaya area. This is growing very wildly in the tropical rain-forests of India, Generally, Indian Long pepper is mainly occurred from the wild plants. Besides, plant also found in tropical areas of India, Khasi, Mikir hills, Himalayas to Assam, Konkan to Kerala part from Western Ghats, lower hills of West Bengal as well as occurred from Andaman Nicobar Islands (Satyavati, Gupta, Ashok, & Tandon, 1987). While various part of world such as Bangladesh, China Southeast, Hainan, Malaya, Nepal, Sri Lanka, Vietnam Figure 4.1 (http://www.plantsoftheworldonline.org/taxon/urn:lsid:ipni.org:names:682031-1).

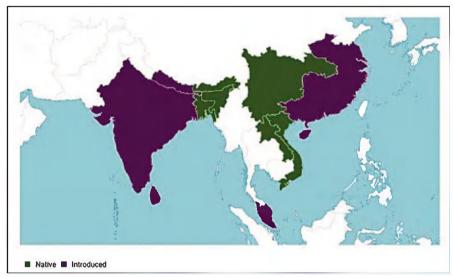


FIGURE 4.1 Geographical locations of *P. longum* Linn. and its occurrence.

# 4.3 BOTANICAL TAXONOMICAL CLASSIFICATION

The shrub, *P. longum* Linn. is belongs to the Piperaceae family. The taxonomic classification of the plant is as described as below (Kirtikar & Basu, 1980):

- Kingdom: Plantae.
- Division: Magnoliophyta.
- Class: Magnoliopsida.
- Order: Piperales.
- Family: Piperaceae.
- Genus: Piper.
- Species: Longum.
- Botanical Name: Piper longum.

## 4.4 ANTONYMS AND VERNACULAR NAMES

In India, the plant, *P. longum* is to be called by vernacular names like Krishna pippali, Magadhi, Pippali, Tikshnatanduli (Sanskrit), Piplamul (Hindi), Hippali, Lippali, Thippili (Kannada), and the plant is also called Pippal, pippar (http://www.plantnames.unimelb.edu.au/Sorting/Piper.html). Synonyms and vernacular names are showing in Table 4.1.

Language	Vernacular Names
Indian	Arabic: Dâr fulful
	Bengali: Piplamor and Pipul,
	Gujuarati: Pipli and Lindi
	Hindi: Piplamul, Pippal, and pippar
	Kannada: Thippili Hippali and Lippali,
	Malaya: Thippili, Pippali, Magadhi, and Tippili
	Marathi: Pippal and Pimpli
	Sanskrit: Krishnapippali, Magadhi, Pippali, Tikshnatanduli
	Tamil: Tippili, thippili, and sirumulam
	Urd.: Filfil daraz,
Chinese	Bi ba gen and Bi bo
Dutch	Langwerpige peper
Nepalese	Saano pipla and Gaj pipla
German	Langer Pfeffer and Jaborandi-Pfeffer
Hungarian	Bengáli bors
Swedish	Långpeppar
Turkish	Dar biberi
Portuguese	Pimenta-longa
English	long pepper, Indian long pepper and jaborandi pepper

TABLE 4.1 Synonyms and Vernacular Names of *Piper longum* in Different Languages

#### 4.5 DESCRIPTION OF THE PLANT

*P. longum* Linn is a tiny shrub along with bulky woody, stems are thickened and jointed at the nodes climbing, creeping rooting below and several creeping. Morphological explanation of ethno-medicinal plant of *P. longum* L. showing in Figure 4.2 and Table 4.2.

#### 4.6 CULTIVATION

*Piper longum* is mostly originated from the uncultivated plants, the mainly reported from Uttar Pradesh and North East part of Assam and West Bengal Few quantities of long pepper are also collected from Kerala, some parts of Andhra Pradesh, hills of Annamalai and generally in the Cherrapunji of Assam (The Wealth of India: A Dictionary of Indian Raw Materials and

SI. No.	Plant Part	Description	References
1.	Leaves	Branches are epiphytic have oval or terse blade.	Chaveerach,
		Leaves are present on creeping branch.	Mokkamul,
		Leaf blades are dark green and membranous.	Sudmoon, & Tanee (2006);
		Leaf apex is fine; base is oblique and sometimes cordate.	Wiart (2013)
2.	Stem	Stems having aromatic aura, slim, and slender in shape.	Chaveerach, Mokkamul,
		The color is reddish brown to gray and aromatic aroma with strong taste.	Sudmoon, & Tanee (2006);
		These are accessible in cut pieces, having separate internodes and with bump nodes, small root scare, rootlets, and solid and cylindrical.	Wiart (2013)
3.	Root	Creeping rooting below and several creeping.	Kapoor (2000)
4.	Fruit	Dark greenish-black to black in color, round in shape.	Chaveerach, Mokkamul,
		Fruit surface is rough and combined.	Sudmoon, &
		When broken the fruit, its outside showed a middle axis with 5–13 fruit lets roughly organized an axis.	Tanee (2006); Wiart (2013)
		Tastes fruit is strong producing deadness on the tongue and aromatic aroma.	
5.	Male part of spikes.	Stalked with 2 stamens and orbicular bract.	Evans (2009); Wiart (2013)
6.	Female part of spikes.	Female spike part is vertical, circular bract and peltates are along with three stigmas.	

**TABLE 4.2** Description of *Piper longum* Plant

Industrial Products Raw Materials Series, 1969). These plants are also extremely obtained from its natural source and the species of this plant has now become extremely unusual in the Kerala's forests (Nair, 2000).

*P. longum* is naturally found in tropical moist type of environment and prefers dappled humid areas, sandy soil of pH range between (5.6 to 8.4) with well-off humus. Lateritic soils along with organic matter substances and excellent water holding ability are suitable. Rainy areas and prominent humidity are excellent for its good quality growth. It is a darkness loving plants however, for improved fruiting quality reported from 50% shaded areas (Sumy, Ved, & Krishnan, 2000).



FIGURE 4.2 Morphological diagram of *Piper longum* Linn.

## 4.6.1 VEGETATIVE PROPAGATION

*P. longum* grown as a bushy runner and spread by vegetatively, similar to fully grown branches or by suckers of plant at the get going of the rainy season:

- 1. Through Cuttings: Semi-hard stem cuttings, 12 to 14 cm long with at least 2–4 nodes are reported in the shaded nursery and mainly upper bud uncovered. A spacing of 10 to 15 cm should be provided between each pair of cuttings. The cuttings root in 12 to 15 days and success is 60 to 70%.
- 2. Through Tillers: The tillers growing from the lower part of fully grown plant could be split and planted individually. The sprouted trimmings can be grown in the ground at a distancing of two-by-two meter. These floras are prone to numerous plant diseases (Sumy, Ved, & Krishnan, 2000).

#### 4.7 IDENTIFICATION OF PHYTOCHEMICAL COMPOUNDS

Plants generate a various type of metabolites and new products, the main primary metabolic products are used as the resource of energy and the subordinate (secondary) metabolites are stowed and working for exacting functions like plant defense. Those secondary metabolites occupy broad collections of chemical components grouping from glycoside, alkaloids, flavonoids, fatty acid, terpenoids, and steroids. Piperine alkaloids are standard constituent in *P. longum* L. which are present in the plant 3–5%. Content of piperine is accountable for strong and burning flavor of the plant.

*P. longum* also have spectacles the amount of alkaloids, arbutin, sour principle, coumarins, essential oil, various steroids and sterols from the extract of *P. longum* (Saraf & Saraf, 2014). Medicinal performances of *P. longum* L. are contemplated to be recognized mainly to its alkaloid substance.

The major phytochemicals were summarizes in Table 4.3, which were isolated and categorized from *P. longum*. Moreover, the medicinal activities of *P. longum* are accepted to be recognized mostly to its alkaloid components. Overall 159 phytochemicals were secluded and identified from *P. longum* and all the phytochemicals were allotted a individual ID, name, and their reference in Table 4.4. A range of chemical structures of major secondary metabolites were secluded and identified from *P. longum* are shown in Table 4.5.

#### 4.8 PHARMACOLOGICAL PROFILE

*P. longum* L. have a great amount of biological activities, which has been assessed throughout various *in vivo* and *in vitro* investigations. It have been reported that amides, the popular researcher reports the different activity of *P. longum* L are associated to its activity on neurological problems. Along with, mainly of the researches connecting to *P. longum* were managed by scientists sited in China and India. Moreover, it is may be connected to the geographical allocation of *P. longum*, while a huge amount of species have been reported in China and India. The fruit of *P. longum* have alkaloids, 1% resin, waxy alkaloid N-isobutyl deca-trans-2-trans-4-dienamide, volatile oil, piperlonguminine, terpenoid, and Piperin substances. The roots have piplartin, dihydro-stigmasterol, piperine, and periongumine, which have been the cause that it is widely used in practice to encourage breathing health. Moreover, *P. longum* carry the approximately 6% alkaloid piperine, which is faintly advanced than in black pepper. Piperines have various

TABLE 4.3	Phytoconstituents of Piper longum Linn.
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Sl. No.	Active Components	Plant Part	References
Alkaloids	Piperlongumine, Piperlonguminine, and Piperine	Root	Bao, Bai, & Borijihan (2012)
	Dehydropipernonaline	Fruit	Shoji et al. (1986)
	2-hydroxy-1-methoxy-4H-dibenzode, Cepharadione A, Aristolactam AII, pipero- lactam B 2-hydroxy-1-methoxy-6-methyl-4Hdibenzode and glqumoline-4,5(6H)- dione, glqumoltne-4,5(6H)-dlone Cepharanone B, norcepharadione A, piperolactam A	Root	Desai, Prabhu, & Mulchandan (1988)
	Betasitosterol, Desmethoxypiplartine, Piperine, Z-12-octadecenicalpha-glycerol monoester and Daucosterol	Fruit	Zhang, Chen, Wang, & Wu (1996)
	Tetrahydropiperine	Fruit	Madhusudhan & Vandana (2001)
	1-[1-oxo-5 (3,4 methylenedioxyphenyl)-2E, Coumaperine, Piperolactam A, Bisdemethoxycurcumin, methylenealpha-(1-methylethyl)-1H-indene- 1-methanol, Aphanamol, Demethoxycurcumin, 4E-pentadienyl]-pirrolidine, N-5-(4-hydroxy-3-methoxyphenyl)-2E-pentenoyl piperidine, 8Enonadienyl]- pyrrolidine, octahydro-4-hydroy-3alpha-methyl-7, Turmerone, and 1-[1-oxo-5 (3,4-methylenedioxyphenyl)-2E-pentenyl]-pyrrolidine	Entire plant	Liu et al. (2009)
	Piperloein B, Pellitorin, Guineesine, Pipercide, Dehydropipernonaline, P(2E,4Z,8E)-pipernonaline, Retrofractamide C and Dehydroretrofractamide C.	Fruits	Lee et al. (2010)
	GB-N	Fruits	Bao, Bai, & Borijihan (2012)
	Pipyahyine	Fruits	Madhu, Vijayan, & Shaukath (2011)
	Piperchabamide B and Piperlongumamides A-C	Fruits	Yang et al. (2013)
	Ethyl 3',4',5'-trimethoxycinnamate	Fruits	Kumar et al. (2005)
	4α-dihydroxy-1-(3-phenylpropanoyl) piperidine-2-one and (2E, 4E,14Z)-6- hydroxyl-N-isobutyleicosa-2,4,14-trienamide and 3β	Fruits	Jiang et al. (2013a, b)

**TABLE 4.3** (Continued)

Sl. No.	Active Components	Plant Part	References
Lignans	Diaeudesmin, Sesamin, and Sylvatin	Seed	Dutta, Banerjee, & Roy (1975)
Essential oils	Caryophyllene oxide, p Selinene, a-Copaene, α-Pinene, Sabinene, δ-3-Carene, 0-Elemene, 6-Elemene, Myrcene, p-Caryophyllene, 9-Octadecene, a-Selinene, 6-Cadinene and Limonene.	Fruits	Tewtrakul et al. (2000)
_	$\alpha$ -Pinene, Dodecanal, β-Elemene, β-Pinene, Linalool, Limonene, Bornyl acetate, Elemol, β-Cubebene, γ-Elemene, 9-Eicosyne, Caryophyllene, Humulene (Z)-â- Farnesene, 2-Undecanone, Copaene, Gurjunene, Cadinene, Guaiene, α-Muurolene, β-Patchoulene, Nerolidol, Caryophyllene oxide, α-Cadinol,δ-Cadinol, β-Eudesmol, α-Eudesmol, 1-Methylhexyl acetate Decanal, and γ Muurolene.	Leaves	Varughese et al. (2016)
_	Camphene, $\alpha$ -Pinene, $\beta$ -Pinene, $\beta$ -Phellandrene, Bisabolene, Caryophyllene, $\beta$ -Myrcene, cis-Ocimene, Limonene, Terpinolene, Linalool, 2-Nonanone, Terpineol, 2-Undecanone, 2-Tridecanone, Nerolidol, and 1-Methylhexyl acetate.	Fruits	Varughese et al. (2016)
_	Camphene, $\beta$ -Pinene, $\beta$ -Pinene, $\alpha$ -Phellandrene, Limonene, $\beta$ -Phellandrene, $\beta$ -Myrcene, Eucalyptol, Bornyl acetate, trans-Ocimene, cis-Ocimene, Tridecane, $\delta$ -Cadinol, 2-Undecanone, Caryophyllene oxide, $\delta$ -Elemene, $\beta$ -Elemene, Nerolidol, Humulene, 1-Pentadecene, Caryophyllene, Terpinyl acetate, $\beta$ -Patchoulene, Pentadecane, and Heptadecane.	Roots	Varughese et al. (2016)
_	Terpinyl acetate, Bornyl acetate, Nerolidol, Tridecane, 2-Undecanone, Caryophyllene oxide, Pentadecane, δ-Elemene, Caryophyllene, Humulene, Gurjunene, and β-Patchoulene.	Stems	Varughese et al. (2016)
Flavonoids	Apigenin Epicatechin, Kaempferol, Myricetin, Naringenin Catechin, Quercetin, and Luteolin	Fruits	Mustafa, Hamid, Mohamed, & Bakar (2010)
Amide	Sarmentine	Fruits	Huang, Morgan, Asolkar, Koivunen, & Marrone (2010)

SI. No.	Phytochemical ID	Names of Phytochemical	ChEMBL and PubChem ID	References
	PL1	3β,4α-dihydroxy-1-(3-phenylpropanoyl)-piperidine-2-one	NA	Jiang et al. (2013b)
2.	PL2	(2E, 4E, 14Z)-6-hydroxyl-N-isobutyleicosa-2,4,14-trienamide	NA	
3.	PL3	Coumaperine	10131321	Liu et al. (2009)
4.	PL4	N-5-(4-hydroxy-3-methoxyphenyl)-2E-pentenoyl piperidine	NA	
5.	PL5	Piperolactam A	3081016	
5.	PL6	1-[1-oxo-5 (3,4-methylenedioxyphenyl)-2E,4E-pentadienyl]-pirrolidine	NA	
7.	PL7	(R)-(-)-turmerone	558221	
3.	PL8	Octahydro-4-hydroy-3alpha-methyl-7-methylene-alpha-(1-methylethyl)-1H-indene-1-methanol	NA	
).	PL9	(+)-aphanamol I	11031884	
10.	PL10	Bisdemethoxycurcumin	5315472	
11.	PL11	Demethoxycurcumin	5469424	
12.	PL12	Longumosides A	NA	Jiang et al. (2013a
13.	PL13	Longumosides B	71579641	
4.	PL14	Erythro-1-[1-oxo-9(3,4-methylenedioxyphenyl)-8,9-dihydroxy-2E-nonenyl]- piperidine	NA	
15.	PL15	9-dihydroxy-2E-nonenyl]-piperidine, Threo-1-[1-oxo-9(3,4-Methylen edioxyphenyl)-8	NA	
16.	PL16	3β,4α-dihydroxy-2-piperidinone	NA	
17.	PL17	5,6-dihydro-2(1H)-pyridinone	NA	
18.	PL18	Piperlongumide (1) [N-isobutyl-19-(3',4'-methylenedioxyphenyl)-2E,4E nonadecadienamide]	NA	Sahi, Tewatia, & Ghosal (2012)
19.	PL19	1-(3,4-methylenedioxyphenyl)-1E tetradecene	NA	

SI. No.	Phytochemical ID	Names of Phytochemical	ChEMBL and PubChem ID	References
20.	PL20	Piperlongimin A [2E-N-isobutyl-hexadecenamide]	NA	
21.	PL21	2E,4E-N-isobutyl-octadecenamide	NA	
2.	PL22	Piperlongimin B [2E-octadecenoylpiperidine]	NA	
3.	PL23	2E,4E-N-isobutyl-dodecenamide	NA	
24.	PL24	2E,4E,12E,13-(3,4-methylenedioxyphenyl)-trideca-trienoic acid isobutyl amide	NA	
25.	PL25	Piperine	638024	
.6.	PL26	Pellitorine	5318516	Li et al. (2013)
7.	PL27	N-[(2E,4E)-Decadienoyl]-piperidine	11118018	
28.	PL28	N-Isobutyl-2E,4E-undecadienamide	20157325	
29.	PL29	Piperlonguminine	5320621	
30.	PL30	Piperanine	5320618	
1.	PL31	N-[(2E,4E)-Tetradecadienoyl]piperidine	11130083	
2.	PL32	N-Isobutyl-2E,4E-hexadecadienamide	6442402	
33.	PL33	Pipercallosine	5372201	
34.	PL34	(2E,4E,12Z)-N-Isobutyl-octadeca-2,4,12-trienamide	NA	
35.	PL35	N-Isobutyl-2E,E-octadecadienamide	9974234	
86.	PL36	Dehydropipernonaline	6439947	
37.	PL37	Pipernonatine	9974595	
8.	PL38	(E)-9-(Benzo[d][1,3]dioxol-5-yl)-1-(piperidin-1-yl)non-2-en-1-one	NA	
39.	PL39	1-(2E,4E,12E)-Octadecatrinoylpiperidine	NA	
40.	PL40	Retrofractamide B	5372162	
41.	PL41	(2E,4E,14Z)-N-Isobutyleicosa-2,4,14-trienamide	NA	

l. Phytochemical o. ID	Names of Phytochemical	ChEMBL and PubChem ID	References
2. PL42	N-isobutyl-2E,4E-decyldecadienamide	NA	
3. PL43	(2E,4E,10E)-N-11-(3,4-Methylenedioxyphenylhmdecatrienoylpiperidine	NA	
4. PL44	1-[(2E,4E,14Z)-1-Oxo-2,4,14-eicosatrienyl]-piperidine	NA	
5. PL45	Guineensine	6442405	
6. PL46	(2E,4E,14Z)-N-Isobutyldocosa-2,4,14-trienamide	NA	
7. PL47	(2E,4E,12E)-13-(Benzo[d][1,3]dioxol-6-yl)-1-(piperidin-1-yl) trideca-2,4,12-trien-1-one	NA	
3. PL48	(2E,4E,13E)-14-(Benzo[d][1,3]dioxol-6-yl)-N-isobutyltetradeca-2,4,13-trienamide	NA	
9. PL49	Brachyamide B	14162526	
). PL50	Dihydropiperlonguminine	12682184	
I. PL51	Piperdardine	10086948	
2. PL52	Retrofractamide A	11012859	
3. PL53	Piperchabamide D	16041827	
4. PL54	N-isobutyl-2E,4E-dodecadienamide	6443006	
5. PL55	Piperchabamide B	44453655	
6. PL56	13-(1,3-Benzodioxol-5-yl)-N-(2-methylpropyl)-(2E,4E)-tridecadienamide	NA	
7. PL57	Piperchabamide C	44454018	
8. PL58	1-[(2E,4E)-1-oxo-2,4-hexadecadienyl]-piperidine	10980124	
9. PL59	2,2-Dimethoxybutane $(C_6H_{14}O_2)$	137941	Das et al. (2012)
). PL60	2-Hydroxy myristic acid $(C_{14}H_{28}O_3)$	1563	
l. PL61	β-Myrcene ( $C_{10}H_{16}$ )	31253	
2. PL62	N-methyl-1-octadecanamine $(C_{19}H_{41}N)$	75539	

SI. No.	Phytochemical ID	Names of Phytochemical	ChEMBL and PubChem ID	References
63.	PL63	Piperazine adipate $(C_{10}H_{20}N_2O_4)$	8905	
64.	PL64	2-Nonynoic acid $(C_9H_{14}O_2)$	61451	
65.	PL65	Dodecanal (CH <sub>3</sub> (CH <sub>2</sub> )10CHO)	8194	
66.	PL66	1,2-Benzenedicarboxylic acid, bis(2-ethylhexyl] ester $(C_{24}H_{38}O_4)$	8343	
67.	PL67	2-Amino-4-hydroxypteridine-6-carboxylic acid (C7H5N5O3)	70361	
68.	PL68	Piperlongumine	637858	Chatterjee & Dutta (1967)
69.	PL69	Hydrochloric acid (HCI)	107	Ru et al. (2014)
70.	PL70	Palmitic acid	985	
71.	PL71	1,8-cineole	2758	
72.	PL72	Lawsone	6755	
73.	PL73	Cis-Decahydronaphthalene	7044	
74.	PL74	Piperonylic acid	7196	
75.	PL75	Hypnon	7410	
76.	PL76	Moslene	7461	
77.	PL77	Cymol	7463	
78.	PL78	Methyl hydrocinnamate	7643	
79.	PL79	Hexahydropyridine (PIP)	8082	
80.	PL80	Pisol	8193	
81.	PL81	Piperonal	8438	
82.	PL82	Isobutylisovalerate	11514	
83.	PL83	Tridecane (TRD)	12388	

l. Phytochemical Io. ID	Names of Phytochemical	ChEMBL and References PubChem ID
4. PL84	Pentadecane (MYS)	12391
5. PL85	N-Heptadecane	12398
6. PL86	N-Nonadecane (UPL)	12401
7. PL87	Tridecylene	17095
8. PL88	Heptadecene	23217
9. PL89	Pentadecene	25913
0. PL90	Nonadecene	29075
1. PL91	Tetradecadiene-1,13	30875
2. PL92	Linalool (D)	67179
3. PL93	Cyclopentadecane	67525
4. PL94	Beta-Bisabolene	68128
5. PL95	Sesamol	68289
6. PL96	Sesamin	72307
7. PL97	p-Amino-o-cresol	76081
8. PL98	2,4-Dimethoxytoluene	96403
9. PL99	D-Camphor (CAM)	159055
00. PL100	Cis-2-Decalone	246289
01. PL101	Piperitenone	381152
02. PL102	(-)-Nopinene	440967
03. PL103	(-)-Alpha-Pinene	440968
04. PL104	Isodiprene (CHEBI:7)	443156
05. PL105	(R)-linalool	443158

	Phytochemical ID	Names of Phytochemical	ChEMBL and PubChem ID	References
06.	PL106	N-(2,5-dimethoxyphenyl)-4-methoxybenzamide	532276	
07.	PL107	Anethole	637563	
08.	PL108	Isoeugenol	853433	
09.	PL109	(3S)-3,7-dimethylocta-1,6-dien-3-yl] propanoate	1616358	
10.	PL110	Terpinen-4-ol	2724161	
11.	PL111	Alpha-Farnesene	5281516	
12.	PL112	Farnesene	5281517	
13.	PL113	alpha-humulene	5281520	
14.	PL114	Isocaryophyllene	5281522	
15.	PL115	p-Ocimene	5281553	
16.	PL116	8-Heptadecene	5364555	
17.	PL117	9,17-OCTADECADIENAL (Z)	5365667	
18.	PL118	Cyclodecene, 1-methyl-	5367581	
19.	PL119	1,4,7,-Cycloundecatriene, 1,5,9,9-tetramethyl-, Z,Z,Z-	5368784	
20.	PL120	(+/-)-Isoborneol	6321405	
21.	PL121	(Z)-caryophyllene	6429301	
22.	PL122	-cisbetaElemene diastereomer	6431152	
23.	PL123	N-Isobutyl-2,4-icosadienamide	6441067	
24.	PL124	(E,E,E)-11-(1,3-Benzodioxol-5-yl)-N-(2-methylpropyl)-2,4,10-undecatrienenamide	6453083	
25.	PL125	Epieudesmin (ZINC03996196)	7299790	
26.	PL126	Valencene	9855795	

SI. Ph No. ID	hytochemical )	Names of Phytochemical	ChEMBL and PubChem ID	References
127. PL	L127	(1S,5S)-1-isopropyl-4-methylenebicyclo[3.1.0]hexane	11051711	
28. PL	L128	(5S)-5-[(1R)-1,5-dimethylhex-4-enyl]-2-methylcyclohexa-1,3-diene	11127403	
129. PL	L129	(E)-5-(4-hydroxy-3-methoxy-phenyl)-1-piperidino-pent-2-en-1-one	11630663	
130. PL	L130	(3R,8S,9S,10R,13R,14R,17R)-17-[(2R,5S)-5-ethyl-6-methylheptan-2-yl]-10,13-dimethyl-2,3,4,7,8,9,11,12,14,15,16,17-dodecahydro-1H-cyclopenta[a]phenanthren-3-ol (ZINC03982454)	11870467	
131. PL	L131	Delta-elemene	12309449	
32. PL	L132	(2R,4aR,8aR)-2-methyldecalin	12816526	
33. PL	L133	(1R,5R,7S)-4,7-dimethyl-7-(4-methylpent-3-enyl)bicyclo[3.1.1]hept-3-ene	13889654	
134. PL	L134	Calarene	15560279	
135. PL	L135	Bisdemethoxycurcumin	45934475	
136. PL	L136	1,4-cadinadiene	50986185	
137. PL	L137	Tricyclene	55250308	
138. PL	L138	Alpha-Cubebene	42608159	
139. PL	L139	Piperundecalidine	44453654	
140. PL	L140	3-phenylundecane	20655	
141. PL	L141	4-[(1-Carboxy-2 methylbutyl)amino]-2(1H)-pyrimidinone	591989	
142. PL	L142	Bicyclo[3,2,2]non-6-en-3-one	NA	
143. PL	L143	Cedryl acetate	9838172	
144. PL	L144	Isolongifolene epoxide	107035	
145. PL	L145	N-isobutyleicosa-2(E),4(E),8(Z)-trienamide	NA	
146. PL	L146	Pisatin	101689	

Sl. Phytochemical No. ID	Names of Phytochemical	ChEMBL and PubChem ID	References
47. PL147	Tetradecahydro-1-methylphenanthrene	609802	
48. PL148	Undulatone	5281311	
49. PL149	Copaene	25245021	
50. PL150	Linalool	6549	
51. PL151	Sylvatine	NA	
52. PL152	beta-Cubebene	93081	
53. PL153	(-)-Caryophyllene oxide	1742210	
54. PL154	(-)-alpha-cedrene	6431015	
55. PL155	(+)-Fargesin	CHEMBL 462822	http://www.genome.jp
56. PL156	Piperolactam A	CHEMBL 387864	db/pcidb
57. PL157	(+)-Sesamin	CHEMBL 252915	
58. PL158	2-Phenylethanol	CHEMBL 448500	
59. PL159	1-Zingiberene	CHEMBL 479020	

SL. No.	Isolated Major Phytochemical	Chemical Formula	Chemical Structure	References
1.	Piperine	C <sub>17</sub> H <sub>19</sub> NO <sub>3</sub>		https://pubchem.ncbi.nlm.nih.gov/
2.	Piperlongumine	C <sub>17</sub> H <sub>19</sub> NO <sub>5</sub>		https://pubchem.ncbi.nlm.nih.gov/
3.	Piperanine	C <sub>17</sub> H <sub>21</sub> NO <sub>3</sub>	CN H CC	https://pubchem.ncbi.nlm.nih.gov/
4.	Pellitorine	C <sub>14</sub> H <sub>25</sub> NO		https://pubchem.ncbi.nlm.nih.gov/
5.	Pipernonaline	C <sub>21</sub> H <sub>27</sub> NO <sub>3</sub>		https://pubchem.ncbi.nlm.nih.gov/

**TABLE 4.5** Chemical Structures of the Main Phytochemicals Isolated from *P. longum* Linn

Pippali (Piper longum L.)

SL. No.	Isolated Major Phytochemical	Chemical Formula	Chemical Structure	References
6.	Guineensine	C <sub>24</sub> H <sub>33</sub> NO <sub>3</sub>		https://pubchem.ncbi.nlm.nih.gov/
7.	Piperundecalidine	C <sub>23</sub> H <sub>29</sub> NO <sub>3</sub>		https://pubchem.ncbi.nlm.nih.gov/
8.	Piperolactam A	C <sub>16</sub> H <sub>11</sub> NO <sub>3</sub>	н. от п. н.	https://pubchem.ncbi.nlm.nih.gov/
9.	Sesamin	$C_{20}H_{18}O_6$		https://pubchem.ncbi.nlm.nih.gov/
10.	Bisdemethoxycurcumin	C <sub>19</sub> H <sub>16</sub> O <sub>4</sub>		https://pubchem.ncbi.nlm.nih.gov/

SL. No.	Isolated Major Phytochemical	Chemical Formula	Chemical Structure	References	
11. Aphanamol I		C <sub>15</sub> H <sub>24</sub> O <sub>2</sub>	HOL	https://pubchem.ncbi.nlm.nih.gov/	
12.	Demethoxycurcumin	C <sub>20</sub> H <sub>18</sub> O <sub>5</sub>		https://pubchem.ncbi.nlm.nih.gov/	
13.	Methyl piperate	$C_{13}H_{12}O_4$		https://pubchem.ncbi.nlm.nih.gov/	
14.	Pipericide	C <sub>21</sub> H <sub>27</sub> NO <sub>4</sub>		https://pubchem.ncbi.nlm.nih.gov/	

SL. No.	Isolated Major Phytochemical	Chemical Formula	Chemical Structure	References
15.	Piperoic acid	C <sub>22</sub> H <sub>30</sub> NO <sub>4</sub>	H <sup>O</sup> H <sup>O</sup>	https://pubchem.ncbi.nlm.nih.gov/
16.	ß-Eudesm	C <sub>15</sub> H <sub>26</sub> NO	н 6,	https://pubchem.ncbi.nlm.nih.gov/
			H.º HILL	
17.	7-epi-eudesm-4	C <sub>15</sub> H <sub>26</sub> O <sub>2</sub>	H.P.	https://pubchem.ncbi.nlm.nih.gov/

SL. No.	Isolated Major Phytochemical	Chemical Formula	Chemical Structure	References
18.	Brachystamide B	C <sub>26</sub> H <sub>37</sub> NO <sub>3</sub>		https://pubchem.ncbi.nlm.nih.gov/
19.	Asarinine	$C_{20}H_{18}O_6$		https://pubchem.ncbi.nlm.nih.gov/
20.	Fargesin	$C_{21}H_{22}O_6$		https://pubchem.ncbi.nlm.nih.gov/
21.	Caryophyllene	C <sub>15</sub> H <sub>24</sub>	H	https://pubchem.ncbi.nlm.nih.gov/
22.	β-caryophyllene	C <sub>15</sub> H <sub>24</sub>	H	https://pubchem.ncbi.nlm.nih.gov/

SL.	Isolated Major	Chemical	Chemical Structure	References
No.	Phytochemical	Formula		
23.	6-Hydroxydopamine	C <sub>8</sub> H <sub>11</sub> NO <sub>3</sub>	H.O.H	https://pubchem.ncbi.nlm.nih.gov/

pharmacological performances, chemo-shock seizures. Furthermore, it contains 1% essential oils, which shows antibacterial activity. Commonly fruits of *P. longum* L. are in the avoidance of recurring attacks of bronchial asthma as well as in malaria.

The reported pharmacological activities of *P. longum* show a broad series of performance including following: Anti-inflammatory activity, Antifungal activity, Antidepressant activity, Adulticidal activity, Antiamoebic activity, Anticancer activity, Antihyperlipidemic activity, Antifertility activity, Analgesic activity, Antimicrobial activity, Antiobesity activity, Antioxidant activity, Antiplatelet activity, Anti-snake venom, Anti-ulcer, Bioavailability enhancers, Cardioprotective activity, Coronary vasodilation, Hepatoprotective activity, Immunomodulatory activity, Larvicidal activity, Melanininhibiting activity and Radioprotective activity

# 4.8.1 ADULTICIDAL ACTIVITY

The ethanol extract from *P. longum* was appeared the dose-dependent adulticidal consequence and observed aligned with Stegomyia aegypti, a major vector of dengue hemorrhagic and dengue fever. While, extracts of *P. longum* also established remarkable adulticidal action, while experienced on female mosquitoes by relevant reason (Choochote et al., 2006).

# 4.8.2 ANALGESIC ACTIVITY

Root of the *P. longum* for opioid type analgesia via NSAID type analgesia utilizing acetic-acid writhing technique and rat tail-flick method for by utilizing ibuprofen along with pentazocine as drug standard. The aqueous deferment of *P. longum* roots powder was taken orally to rat and mice to assess its analgesic effects. This desired that the plant root causes a weak opioid-type, other than powerful non-steroidal antiinflammatory drug-type of pain reliever (Vedhanayaki, Shastri, & Kuruvilla, 2003).

# 4.8.3 ANTIAMOEBIC ACTIVITY

Different part of *P. longum* such as fruit and root was tested for its efficiency alongside investigational amebiasis *in vivo* and aligned with *Entamoeba histolytica in vitro*. Isolated piperine and ethanol extract enhanced cecum amebiasis by 40%–90% in rats respectively (Ghoshal & Lakshmi, 2002). Moreover, *n*-butanol soluble fraction, ethanolic extract and hexane fraction

applied *in vitro* amoebicidal activity and the chloroform fraction showed the same action (Sawangjaroen, Sawangjaroen, & Poonpanang, 2004).

#### 4.8.4 ANTICANCER ACTIVITY

Being the presence of several phytochemical components in the plant, they supply defense in case of cancer throughout different method. P. longum was reported to reveal major anti-tubercular activity (Gupta, Nath, Gupta, & Shrivastava, 1980). Piperine, an alkaloid exhibited in P. longum revealed considerable antimetastasis activity (Pradee & Kuttan, 2002). Chemopreventive property showing by piperine, where treated vocally on lung cancer manner animals, beside this, Piperlonguminine revealed an avoidance result on α-MSH-induced tyrosinase synthesis (Selvendiran & Sakthisekaran, 2004; Min et al., 2004). The two active ideology piperine and ethyl 3', 4', 5'-trimethoxycinnamate were recognized and considered from the collective chloroform and hexane extracts of P. longum. The extracts extensively clogged-up the sticking of neutrophils to endothelium in a instance and concentration-dependent manner (Kumar et al., 2005). Piplartine and piperine alkaloidal along with were remote from P. longum and revealed the cytotoxic activity near numerous tumor cell lines (Bezerra et al., 2006). Benzene extract of P. longum was established 63% cell cycle inhibitory activity at subG1 phase and hexane extract of P. longum was generally effective alongside all cell line through 90% cytotoxicity (Sharma, Kumar, Chashoo, Saxena, & Pandey, 2014). The research evidently established that piperine have the antiapoptotic, antioxidative, and curative skill besides the cell blooming mutagenic reply and phenotypic modifications by piperine (Pathak & Khandelwal, 2006). Owning the ethno-pharmacological significance of *P. longum* like anticancer herb, the exceeding-reported studies have been capable to winning clarify the performance of P. longum extract beside diverse cancer cell lines and its act over normal cells.

#### 4.8.5 ANTI-DEPRESSANT ACTIVITY

The ethanolic fruit extraction of *P. longum* yields a renowned piperine and piperidine alkaloids having effective anti-depressant-like qualities, which are arbitrate in part throughout hang-up of monoamine oxidase. Consequently *P. longum* fruits were representing a hopeful pharmacotherapeutic applicant against melancholy (Lee et al., 2008).

# 4.8.6 ANT-FERTILITY ACTIVITY

Various fractions, basic extract and the purified compound remoted from the fruits powder of *P. longum* were studied used for anti-fertility belongings in female rats, while the simple extract of its hexane portion revealed the effectiveness. Beside this, 1-butanol insoluble, 1-butanol soluble and chloroform portions were shown inactiveness. The benzene extract of *P. longum* fruits and methanol extract of the *Embelia ribes* berries the repressed pregnancy in rats (Lakshmi, Kumar, Agarwal, & Dhar, 2006; Sarwar et al., 2014).

# 4.8.7 ANTIFUNGAL ACTIVITY

Fruit derived essential oil exhibited fungicidal action of *P. longum*. Fruitderived resources were tested to 6 phytopathogenic fungi such as *Botrytis cineria*, *Erysiphe graminis*, *Puccinia recondita*, *Rhizoctonia solani* and *Phytophthora infestans* utilizing a entire plant *in vivo* process (Kokate, D'cruz, & Nimbker, 1980). Pipernonaline and piperidine alkaloids were isolated from *P. longum* its hexane fraction were exhibited the fungicidal action along with *P. recondita* (Lee et al., 2001).

## 4.8.8 ANTI-HYPERLIPIDEMIC ACTIVITY

Ethanol extract of *P. longum* fruit yields pipernonaline, piperine, and piperlonguminine as the major anti-hyperlipidemic components. They showed significant anti-hyperlipidemic action *in vivo*, which were equivalent to with the purpose of the industrial anti-hyperlipidemic drug simvastatin (Jin et al., 2009). However, the experiments leaded to verify the activity of *P. longum* implys evaluation of lipid marker of blood signifying, it is a valuable result in case of hyperlipidemia (Kumar, Anilakumar, & Naveen, 2015; Kumar, Sharma, & Vasudeva, 2013).

## 4.8.9 ANTI-INFLAMMATORY ACTIVITY

Indian origin textbooks for the basic extract of herbs have described the use of *P. longum* fruits as painkiller as well as anti-inflammatory, exclusively worned locally for muscular pain (Khare, 2008) furthermore; another study reported that the *P. longum* extract provides relief in pain (Vedhanayaki, Shastri, & Kuruvilla, 2003). Anti-inflammatory actions of *P. longum* fruits decoction have been declared using carrageenaninduced rat edema (Choudhary, 2006). Moreover, eight species of *Piper*, together with *P. longum*  were presented for antiinflammatory activity throughout *in vivo* methods and the reaction was advanced due to cyclooxygenase-2 (COX) repression and anti-histaminic activity exclusive of substantiating the processor during experimental work (Vaghasiya, Nair, & Chanda, 2007).

# 4.8.10 ANTIMICROBIAL ACTIVITY

Petroleum ether and ethyl acetate extract isolated from *P. longum* were originated to wield antimicrobial effects next to diverse microorganisms (Ali et al., 2007). The extracts of P. longum were revealed effectual efficiency against Salmonella typhi, Staphylococcus albus, S. epidermidis, S. typhrium, S. aureus, Escherichia coli, Pseudomonas aeruginosa, Bacillus cerus, B. megaterium, B. subtilis, Micrococcus flavus, Klebsiella aerogenes, Pseudomonas aeruginosa, Citrobacter freundii, Aspergillus niger and two other species of Proteus such as Proteus mirabilis and P. vulgaris (Vaghasiya, Nair, & Chanda, 2007; Khan & Siddiqui, 2007). Again, extract of *P. longum* in specify opposing 13 pathogenic bacteria (five gram-positive and eight gram negative) and six fungi. It was also reported that, extracts of various solvents were susceptible towards particular bacterial strains and the activity were less significant towards fungal strains (Ali et al., 2007). Moreover, P. longum was additionally imperative to care for oral fungal infection particularly beside of C. albicans (Aneja, Joshi, Sharma, & Aneja, 2010).

# 4.8.11 ANTIOBESITY ACTIVITY

Pharmacological reticence of acyl CoA diacylglycerol acyltransferase have appeared as a possible treatment for the obesity. Piperidine substances are measured possible acyl CoA diacylglycerol acyltransferase interception (Lee et al., 2005).

# 4.8.12 ANTIOXIDANT ACTIVITY

The happening of terpenes, flavonoids, and phenols in the plants create them improved antioxidant negotiator that is not simply financial excluding varied and produces action activity exclusive of harm reaction (Barua, Singh, Sen, Barua, & Barua, 2014; Nile, Keum, Nile, Jalde, & Patel, 2018). *P. longum* 

shows potential antioxidant probability alongside of free radical induced oxidative harm. Furthermore, petroleum ether and piperine extract of the roots from *P. longum* reduce lipid peroxide quantity and uphold glutathione content, representative antioxidant action (Natarajan, Narasimhan, Shanmu-gasundaram, & Shanmugasundaram, 2006). However, extract of *P. longum* has been established for utilization isoproterenol enhanced oxidative stress, monosodium glutamate induced stress and adriamycin induced oxidative stress (Jagdale et al., 2009; Wakade, Shah, Kulkarni, & Juvekar, 2008; Thomas, Sujatha, & George, 2009).

## 4.8.13 ANTIPLATELET ACTIVITY

Inhibitory effects were showed by four acid amides such as piperoctadecalidine, piperlongumine piperine, and pipernonaline obtained from the fruits of *P. longum* was assessed on washed rabbit platelet aggregation. However, they were not that enhanced by thrombin (Iwashita, Saito, Yamaguchi, Takagaki, & Nakahata, 2007).

## 4.8.14 ANTI-SNAKE VENOM

Fruits extract of *P. longum* were examined in case of snake venom in embryonated fertile, rat, mice, and also chicken eggs. Inhibition of venom lethal activity, necrotizing activity, paw edema, hemorrhagic activity, catalase activity, creatine kinase assay mast cell degranulation and defibrinogenating action were examined This research gives the confirmation that, plant extract can be utilized to decrease mortality and can be accepted in rural regions where traditional medications are available (Shenoy et al., 2013).

## 4.8.15 ANTI-ULCER

The extract of *P. longum* was reported significantly fair the offensive activities of ulcer even though no important action were observed on mucin and gastric mucosal glycoproteins discharge (Agrawal, Rao, Sairam, Joshi, & Goel, 2000). Moreover, extract of *P. longum* to foot shock persuaded stress animals and given the defense in ulcer harshness as related with doxycycline (Yadav, Chatterjee, Majeed, & Kumar, 2015).

#### 4.8.16 BIOAVAILABILITY ENHANCERS

Piperine alkaloid isolated from *P. longum* were reported to improve the bioavailability of therapeutically as well as structurally diverse drugs, perhaps by modulating layer kinetics, considering its simple dividing along with increasing permeability Moreover, diverse procedure have as well place presumptuous to examine the bio-amplifier activity of piperine, which contains of DNA receptor compulsory, inhibiting enzymes engaging in biotransformation of drugs and inflection of cell signal transduction and obstruction of drug effluence pump, (Pattanaik, Hota, Prabhakar, Kharbanda, & Pandhi, 2006; Singh, Varshneya, Telang, & Srivastava, 2005). However, the most important mechanism exposed by *P. longum* demonstrate bio enhancer action is due to of inhibition of P-gp effluence carrier found in gastro-intestinal wall along with major metabolizing enzyme CYP3A4 (Harwansh et al., 2014).

#### 4.8.17 CARDIO PROTECTIVE ACTIVITY

Methanol extract of *P. longum* were examined on adriamycin-lured cardiotoxicity, in other words tissue peroxidation injure. Studies of the heart tissues, exposed degraded variations and cellular penetration in rats cured with adriamycin, on the other hand, pre-treatment with *P. longum* condensed the potency of certain lesions. The results identify that *P. longum* provides important safety aligned with adriamycin-lured oxidative tension and decreced cardiotoxicity by good quality of its antioxidant action (Wakade, Shah, Kulkarni, & Juvekar, 2008).

#### 4.8.18 CORONARY VASODILATION

Inaccessible amide dehydropipernonaline from the fruits of *P. longum* has established the capability to support coronary vasodilation (Shoji et al., 1986; http://www.ayushveda.com/herbs/piper-longum.htm).

#### 4.8.19 HEPATOPROTECTIVE ACTIVITY

Various flavonoids were reported from *P. longum*. It appears to be accountable in support of hepatoprotective activity. Fruit extract of *P. longum* 

were evaluated in rabbit intended for its hepatoprotective activity aligned with chronic reversible, tempting harm operata morphological, carbon tetrachloride-persuade acute, biochemical as well as histopathological limitations. While, the pull out induced renewal by limiting fibrosis, excluding provides no protection against acute/cirrhosis harm. Piperines were creating to defend aligned with carbon tetrachloride-persuade in addition tertiary butyl hydroperoxide-persuade hepatotoxicity via dropping lipid peroxidation *in vivo along* with *in vitro* (Christina et al., 2006). Thus the *in vivo* hepatoprotective activities of *P. longum* have also been confirmed by making AlCl<sub>3</sub> enhanced liver toxicity. Even, that metal enhanced toxicity was overturned by *P. longum* extract and the activity were having to be appropriate to antioxidant result (Sharma, Kumar, Chashoo, Saxena, & Pandey, 2014).

## 4.8.20 IMMUNOMODULATORY ACTIVITY

A famous Ayurvedic mixture having long pepper were analyzed in mice tainted with *Giardia lamblia* furthermore, reported to get going macrophages, as revealed by an improved macrophage phagocytic catalog in addition to immigration catalog and representing immunostimulatory action (Tripathi, Gupta, Lakshmi, Saxena, & Agrawal, 1999). Moreover, alcoholic extract of *P. longum* were observed the immuno-modulatory achievement of while assessed in mice, resulted in increase of bone marrow cellularity, leukocyte quantity, entire antibody making and  $\alpha$ -esterase positive cells. While, these results recommended that Immune achievement of *P. longum* (Sunila & Kuttan, 2004).

#### 4.8.21 LARVICIDAL ACTIVITY

Ethanol extracts *P. longum* was analyzed, 100% mortality coverage against *Aedes aegypti* mosquito's larva utilizing a larvicidal bio-assay (Chaithong et al., 2006). Moreover, isolated pipernonaline act as a bio-active factors from *P. longum*. Which were answerable in favor of larvicidal activity, whereas piperlongumine, piperettine, and also piperine did not demonstrate any activity alongside the larva (Yang, Lee, Lee, Kim, Lee, & Lee, 2002). However, confirmation can be begin by means of the oil portion of *P. longum* confirmed that, erstwhile larvae similar to *Culex quinquefasciatus*, as well requirements to be assessed.

#### 4.8.22 MELANIN-INHIBITING ACTIVITY

Isolated Piperlonguminine from *P. longum* which, prohibit melanin assembly in melanoma B16 cells and enthused by means of alpha-melanocyteenhancing hormone, 3-isobutyl-1-methylxanthine or protoporphyrin IX, where the complex showed powerfull de-pigmenting efficiency. These outcomes have be recognized to the inhibitory action of piperlonguminine on alpha melanocyte stimulating hormones signaling throughout the C-AMP to the C-AMP-respective component holding protein and also turned ianimator of tyrosinase appearance. Like this the enzyme is repressed inside, in that method abolishing the creation of melanin (Kim, Kim, Eom, Lee, Min, & Kim, 2006).

#### 4.8.23 RADIOPROTECTIVE ACTIVITY

The ethanol extract of *P. longum* fruits was reported the radioprotective property in Swiss rats. The extract reduced the prominent quantity of alkaline phosphatase, lipid peroxidation as along with glutathione pyruvate transaminase in the liver in addition to serum of radiation-applied animals. Besides, the extract renewed glutathione manufacturing to offer radio fortification (Sunila & Kuttan, 2005).

#### 4.9 PHARMACOLOGICAL REPORT

*P. longum* carry a huge quantity of biological activities which have been assessed in the course of different *in vivo* and *in vitro* researches. This chapter presents hug quantity of publications observing different pharma-cological properties of *P. longum* shows in Figure 4.3. It have been reported that diverse actions of amidst. So many research articles are associated to its activity on central nervous system. Moreover, the popular studies relating to *P. longum* were regulated by researcher groups situated in China and India. This is possibly connected to the geographic portion of *P. longum*, because a huge amount of different species have been reported in China and India. However, the below-cited text determines the pharmacological activity of *P. longum* Linn. extract. The diverse performance are accessible in Table 4.6.

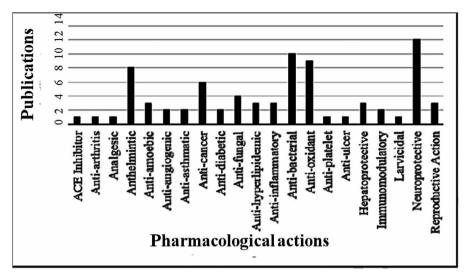


FIGURE 4.3 Most important pharmacological effects of Piper longum Linn.

# 4.10 MEDICINAL USES OF *P. LONGUM* IN AYURVEDIC MEDICINE SYSTEM

Generally in Ayurveda, Unani, and Siddha systems of medicines are used P. longum, mainly in favor of different respiratory tract diseases. The dehydrated female spikes varieties are utilized in the Ayurvedic requirements such as Pipplayasava, Panchakola Pipalarishta, Pippalaya-diluha, and Lavanabhaskar churnam. This is the most important ingredient of an Ayurvedic concocction 'Triaktu' either is taken frequently for diverse health related issues. Generally roots are intended for stomachache, bronchitis, tumors, and various spleen diseases. It enhances hunger too. The mixtures of roots are given later than parturition to encourage the placenta expulsion. Moreover, the roots and spikes are taken in gout and lumbago problems. In the Ayurveda, the combination is used as a carminative, antidepressant, aphrodisiac, and agent as well as superficially works as medications. Mixture of honey and long pepper powdered used with cold, cough, asthma gruffness and glitch. Long pepper fruits, roots, and gingers combination powder having in equal parts which is called "Chuturushana churnam" is helpful in cough, flatulence, and colic as well coryza. A combine powder of five peppery named Panchakola churna having, roots, and fruit of long pepper, arid ginger and stalk of pepper plant along with chitraka is a excellent first course. The Astakatvara taila of chakradatta having of mustard, ginger, butter, long pepper, curd, milk, boiled

Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
Analgesics	Rats, 200, 400, and 800 mg/kg, p.o.	Aqueous	Roots	Acetic acid Induced and writhing test Rat tail flick method	Reduction in number of writhes and Reaction time for thermal stimulus.	Stoppage in response time to thermal spur was 6% even as 50% defense was accessible alongside.	Vedhanayaki, Shastri, & Kuruvilla (2003)
Anti-inflammatory	2.5 to 17.5 μg/ml	Chloroform	Fruits	<i>In vitro</i> , human Endothelial cells from umbilical vein	TNF- $\alpha$ -induced appearance of CAM	Adhesion of neutrophils inhibits to endothelial monolayer, chunk the TNF- $\alpha$ -induced expression of CAM, peroxidation.	Singh, Kumar, & Tandan (2008)
	Rats - 300 mg/ kg, p.o.	Methanol	Leaves	Edima in rat paw induce by carrageenan, edema in rat paw induce by Dextran paw, granuloma in rat induced by cotton pellet-induced.	Percentage reticence in inflammation.	Even as 66.51% reticence in dextran induce paw edema was examined with cure, 38.89% inhibition in carrageenan induced edema.	Vaghasiya, Nair, & Chanda (2007)
	Rats – 0.5 to 1 ml/kg, p.o.	Oil	Fruits	Carrageenan-induced right posterior paw edema replica.	Decrease in paw edema.	72% reduction of edema prompted by carrageenan.	Kumar et al.( 2009)
-	Rats - 200 mg/ kg, p.o.	Ethanol	Fruits	Carrageenan-prompted paw edema and formaldehyde prompted paw edema.	Reduction in paw edema.	28.1% reticence in inflammation of paw prompted by carrageenan, 46.1% inhibition in formaldehyde prompted paw edema.	Kumari, Ashok, Ravishankar, Pandya, & Acharya (2012)

# **TABLE 4.6** Pharmacological Performance of *Piper longum* Linn.

Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
Antimicrobial	MIC = 25 mg/mL 400 μg	Methanol Acetone and ethanol.	Fruits	Agar well dispersal process.	Zone of inhibition	Curing was especially useful for <i>C.</i> <i>albicans</i> (Standard drug – Amphotericin-B and Ciprofloxacin.	Aneja, Joshi, Sharma, & Aneja (2010)
	400 µg	Methanol petroleum ether, chloroform, and ethyl acetate	Entire plant	Agar well dispersal process	Zone of inhibition	Treatment was effectual (Standard drug Kanamycin and Clotrimazole).	Ali, Alam, Yeasmin, Khan, Sayeed, & Rao (2007)
	1, 5, 25, 50, and 100 µg/ml	Methanol	Leaves	Agar well dispersal	Zone of inhibition	Treatment was effectual alongside these bacterial strains (standard drug-cefotaxime).	Vaghasiya, Nair, & Chanda (2007)
	1, 5, 25 to 100 μg/ml	Benzene, CCl <sub>4</sub> , ethyl acetate, chloroform acetone, ethanol, aqueous	Fruit	Agar well dispersal	Zone of inhibition	Treatment was efficient as antibacterial.	Khan & Siddiqui (2007)
	MIC = 41.60 and 83.90 mg/ml	Ethanol	Pods	Agar well dispersal	Zone of inhibition	Treatment was effective (standard drug-gentamicin).	Arambewela & Wijesundera (1999)
Antiarthritis	Rats, 200 and 400 mg/kg, p.o.	Aqueous	Seed	Freund's entire adjuvant induced arthritis rat.	Decrease in paw edema by plethysmometer also radiographic image.	Abridged paw inflam- mation (standard drug – diclofenac).	Yende, Sannapuri, Vyawahare, & Harle (2010)
Antioxidant	Rats, 300.0 mg/ kg, p.o., 20 days	Ethanol	Fruits	Monosodiumglutamate induced toxicity.	AST, ALT, GSH, TG, CH, urea, histological experiments	Offered defense to liver also kidney from oxida- tive stress of monosodium glutamate.	Thomas, Sujatha, & George (2009)

**TABLE 4.6** (Continued)

Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
	Rats, 250, 500 mg/kg, p.o. 21 days	Ethanol	Fruits	Adriamycin induced cardiotoxicity.	Alanine and aspartate transaminase, GSH, lactate dehydrogenase, CAT, creatine kinase and SOD.	Defensed alongside ADR prompted oxidative pressure and decrease the cardiotoxicity because of anti-oxidant potential.	Wakade, Shah, Kulkarni, & Juvekar (2008)
	Rats, 100.0 mg/ kg, p.o., 7 days	Petrolem ether	Roots	Myocardium ischemia induced by isoproterenol	DPPH, glutathione lipid and peroxide.	Probable anti-oxidant activity with defense in myocardial ischemic.	Jagdale et al. (2009)
	Rats, 50.0 mg/kg p.o., 14 days	Ethanol	Seed	Carbontetra chloride induced hepatotoxicity.	Whole antioxidant action, inhibitory effects against protein oxidation, ALP, ACP, SGOT SGPT and LDH.	Hepatoprotective cause is probably connected to its marked antioxidant action (standard drug-silymarin).	
	50, 100, 250, and 500 µg/ml.	Acetate, ethyl hydro and alcoholic butanol	Fruits	-	DPPH action	Antioxidant action was assessed.	Chaudhary, Mukherjee, Maiti, De, Bhadra, & Saha (2013)
-	10, 20, 40, and 50 μg/ml	Methanol, hexane, and ethanol	Fruits	3T3L1 (Mouse pre-adipocytes) and L6 (mouse myoblast)	Flavonoid content, DPPH, TPC, scavenging action, hydroxyl radical scavenging action, $\alpha$ -glucosidase analyze and $\alpha$ -amylase analyze.	Treatment gives a natural source of antioxidants amid antidiabetic and antiobesity prospective.	Krishna, Joy, & Sundaresan (2015)
Antidiabetic	Abino rat, Male Wisters 200 mg/ kg, 30 days.	Aqueous	Root	Diabetes induced by streptozotocin	Fasting blood glucose and glycosylated hemoglobin.		Nabi, Kasetti, Sirasanagandla, Tilak, Kumar, & Rao (2013)

TABLE 4.6	(Continued)
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Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
	Albino rats, 100.0 and 200.0 mg/kg, 27 days	Essential oil	Fruit	Diabetes induced by streptozotocin	Insulin level, glycogen content in liver Glycosylated hemoglobin and blood glucose level.	Treatment results in anti-diabetic activity.	Kumar, Sharma, & Vasudeva (2013)
Anthelmintic	0.1, 0.2, 0.3, 1.0–3.0 mg/ml	Oil	Fruits	Baseline, amplitude, tension, and occurrence of unstructured muscular activity.	Helminthic species – Fasciola gigantica	Permanent paralytic outcome was reported.	Singh, Kumar, Tandan, & Mishra (2009)
	1:1,000 v/v dilutions of oil.	Oil	Fruits	Baseline tension, amplitude, and regularity of impulsive muscular action.	Helminthic species – Ascaris lumbricoides	Repressed rhythmic activities of ascaris (standard drug tetramisole).	Kokate, Chaudhari, & Nimbkar (1980)
	100, 300, 1,000, and 3,000 µg/ml	Alcohol	Fruits	Glucose uptake and motility	Helminthic species $-F$ . gigantic along with G. explanatum.	Paralyzing outcome was reported (standard drug-oxyclozanide).	Singh, Kumar, Gupta, & Tandan (2007)
	100.0, 300.0, 1000.0, and 3000.0 μg/ml	Alcohol	Fruits	Baseline tension, amplitude, and regularity of impulsive muscular activity of the amphistome.	Helminthic species – Gigantocotyle explanatum	Paralytic reason was reported	Singh, Kumar, Singh, Raj, Prasad, Parmar, & Ghosh, (2008)
	90.0, 120.0, 150.0, 200.0 mg/ml	Chloroform, ether, acetone, ethanol	Fruits	Anti-larvicidal	I. exustsus	Molluscicides against the snail <i>I. exustus</i>	Pandey & Singh (2009)

**TABLE 4.6** (Continued)

Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
	1.95, 3.91, 7.81, 15.63, 500, 250, 125, 62.5, 31.25 mg/mL	Methanol, fraction, hexane, chloroform fraction	Fruits	Egg hatch analyze, larval motility assess, adulticidal assay on amphistomes larval migration nhibition assay.	Mature Amphistomes	Portion of chloroform from <i>P. longum</i> obsessed utmost broad-spectrum anthelmintic action analogous to controls (standard drug-ivermectin and thiabendazole).	Koorse et al. (2018)
Hepatoprotective	Rats, 50 and 100 mg/kg, p.o.	Ethanol	Fruits	CCl <sub>4</sub> enhanced liver fibrosis.	ALT, ALP, liver hydroxyl proline, serum AST, body weight, TBL	Anti fibrotic activity was pragmatic due to the flavonoid pleased of <i>Pipper</i> .	Christina et al. (2006)
	Rats, 200 mg/kg, 21 days	Aqueous	Fruits	AICl <sub>3</sub> enhanced hepatotoxicity	SGPT, SGOT, ALP, bilirubin, and creatinine.	Reduce levels of serum biochemical markers.	Sharma, Kumar, Chashoo, Saxena, & Pandey (2014)
Anti-asthmatic	Rats, 200.0 mg/ kg, p.o., For 14 days	Ethanol	Fruits	-	Mast cell establishing, histopathology, mast cell staining	Antiasthmatic activity was noticed (standard drug-prednisolone)	Choudhary (2006)
Antiulcer	Rats, 50.0 mg/kg, 1 hour prior to testing p.o.	Ethanol	Fruits	Cold restraint stress, pyloric ligation induced ulcer, aspirin induced gastric ulcer, gastric secretion study.	Severity per stomach, ulcer per stomach, ulcer index, DNA, pepsin, total hexose, protein, hexamine, fucose, sialic acid, amount of acid, total carbohydrate.	Stability linking violent and suspicious factors of ulcer creation was achieved by action.	Agrawal, Rao, Sairam, Joshi, & Goel (2000)

Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
Antifertility	Female rat, 200 mg/kg from days 1–7 post coital, p.o	Ethanol	Fruits	Antifertility bioassay process	% Anti-implantation activity	Infertility was examined	Lakshmi, Kumar, Agarwal, & Dhar (2006)
	Rats, 150.0 and 250.0 mg/kg, p.o. for 30 days.	Hexane	Fruits	_	Histological variations in ovary and uterus estrous cycle, hormonal level in the blood serum, antioxi- dant enzymes, quantity of cytokines, 2 expression, anti-implantation, and antifertility action.	Treatment reduced LH, FSH, COX-2 IL-1 $\beta$ , expression, actions of CAT, GPx, SOD, GST, and GR levels, TNF- $\alpha$ level was improved.	Sarwar, Sharma, Arif, Thakur, Athar, Khillare, & Thakur (2014)
	20 mg/ml	Hexane	Fruits	-	Hypo-osmotic swelling, sperm immobilization.	<i>In vitro</i> activity of contraceptive spermicidal by the treatment demonstrate.	Sarwar, Nirala, Arif, Khillare, & Thakur (2015)
Anti-amoebic	Mice, 125.0, 250.0, 500.0, 1000.0 mg/kg, five days, p.o	Ethanol	Fruits	Experimental production of caecal amoebiasis in rat.	Percent caecal amoebiasis of rats cleared, average caecal store in wall.	Harshness of caecal walls were condensed in mice which inward the extract.	Sawangjaroen, Sawangjaroen, & Poonpanang (2004)
	1,000 μg/ml <i>in</i> <i>vitro</i> , 900 mg/kg for Rats, 5 days, p.o.	Ethanol	Fruits	<i>In vitro</i> screening, investigational invention of amoebiasis in rat caecal.	Percent caecal amoe- biasis of rats cleared and average caecal store in wall and contents.	Plants may have assured compounds erstwhile piperine, that have accountable for improved efficiency.	Ghoshal, Prasad, & Lakshmi (1996)

Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
	1000.0 μg/ml <i>in</i> <i>vitro</i> , 900.0 mg/ kg for five days <i>in vivo</i> .	Ethanol	Root	Production of caecal amoebiasis in rats <i>in</i> <i>vitro</i> .	Percent caecal amoe- biasis of rats cleared and average caecal store in wall and contents.	Treatment have low <i>in vitro</i> activity, exhibited demonstrable <i>in vivo</i> .	Ghoshal & Lakshmi (2002)
Antiplatelet	1, 5, 25, 50 g/ml	Butanol and aqueous ethanol	Fruits	Rabbit platelet aggregation	Measurement of inositol phosphates, reason of platelet aggregation, receptor binding analyze.	Plant haves a ingredient that reduced aggregation of platelets.	Iwashita, Saito, Yamaguchi, Takagaki, & Nakahata (2007)
Antiparkinsonian	Rat, 50.0 and 75.0 mg/kg, p.o.	Ethanol	Fruits	Dopaminergic neuronal damage rat induced by lipopolysacch aride	Dopamine, open-field test, rotational behavior, IL-1β, IL-6, TNF-α, ROS, and NO action were resoluted	Over activated microglial cells were dormant, IL-1β, IL-6, ROS TNF-α, and no levels were decreased, enhanced behavioral dysfunction improved the levels of DOPAC and DA	He, Guo, Xu, Chen, Zhang, Wu, & Wang (2016)
	30.0, 60.0 and 120.0 mg/kg for 1 week in C57BL/6 mice	Ethanol	Seeds	MPTP induced mouses	Dopaminergic neurons, Antioxidant enzymatic level tyrosine and hydroxylase immuno- histoc hemistry analyze	Treatment demonstrate ameliorative properties in dopaminergic neurons.	Bi et al. (2015)
	Mice, 45 days, 50.0 mg/kg, p.o	Ethanol	Fruits	6-OHDA-induced Parkinson's disease	Rotary rod tests, apomorphine, TH, induced rotation, SOD, GSH-Px, MDA, CAT, GSH, NO, and NOS level were determined.	Treatment enhanced behavioral defect, enhance the figure of TH-cells, regulate the actions of SOD, GSH-Px, CAT, GSH, reduce the content of NOS, NO.	Zheng et al. (2014)

Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
	Rats, 100 and 200 mg/kg, p.o. anti- hyperlipidemia	Oil	Fruits	Streptozotocin-induced diabetic	Insulin level, glucose level, liver glycogen content, glycosylated hemoglobin, entirety plasma cholesterol, triglyceride, and anti-oxidant parameter.	Liver glycogen content, cure show raise in weight, plasma insulin, and high-density lipoprotein, triglyceride, and entire plasma cholesterol (standard drug-glibenclamide).	Kumar, Sharma, & Vasudeva (2013)
ACE-inhibitor	0.5, 1.0, 1.5, 2.0, 2.5 mg/ml	Butanol, ethyl acetate	Fruits	-	Hippurul-L-histidyl-L- leucine as a substrates	Antihypertensive activity was examined.	Chaudhary, Mukherjee, Maiti De, Bhadra, & Saha (2013)
Mosquito larvicidal	25 mg/L	Methanol	Fruits	Bioassay was performed for larvicidal action for <i>Aedes aegypti</i>	Death rate	Replant towards insects can provide by <i>P. longum</i> .	Yang, Lee, Lee, Kim, Lee, & Lee (2002)
Antistress	Rats, 100, 200 and 300 mg/kg, p.o.	Alcoholic	Fruits	Strained swimming stress	5-HIAA, HVA, and urinary VMA	The levels of VMA and 5HIAA were reduced while HVA levels show increase in mice urine.	Kilari, Rao, Sreemanthula, & Kola (2015)
	Rats, 250.0 mg/ kg and 500.0 mg/ kg, p.o., 20 days	Aqueous	Fruits	Chronic cold self-control stress	Total proteins, plasma levels of glucose, corti- costerone, triglycerides, and cholesterol	Adaptogenic activity was observed.	Juvekar, Kulkarni & Juvekar (2008)

Activity	Animal, Dose, Duration, Route of Administration	Extract	Part	Models	Various Evaluating Parameters	Foremost Findings	References
Anti angiogenic	C57BL/6 mice at 10 mg/animals	Ethanol	Fruits	B16F-16 melanoma cell-induced capillary preparation in mice and VEGF-induced vessel sprouting in ratarotic ring analyze.	Appraisal of tumor- directed capillaries, measurement of IL-6, IL-2IL-1β, TNF-α, VEGF, GM-CSF, TIMP-1, VEGF-induced capillary-like tube, proliferation.	The number of tumor-directed capillaries has been reduced. enhanced IL-1, IL-6, IL-2, GM- CSF, TNF-, VEG, TIMP-1 levels VEGF-induced vascular sprouting, propagation, cell migration, and capillary-like tube forma- tion were all inhibited.	Sunila & Kuttan (2006)
	Mices, 7 days	Aqueous Fruit	Fruits	Seizures induced by	Accessible protection against 4 aminopyridine along with convulsion and PTZ.	Anticonvulsant activity validated in this study.	Juvekar, Kulkarni, & Juvekar (2008)
	250.0 mg/kg and 500.0 mg/kg, p.o.			strychnine. PTZ and 4-aminopyridine.			
Anticancer	In vitro	Benzene, hexane, chloroform, alcohol, ethyl acetate, aqueous	Fruits	Lung (A549), Prostate (DU 145), ovary (IGR-OVI-1) leukemia (THP-1), breast (MCF-7) and cancer cell line	Cell cycle investigation, cytotoxic assay, purpose of lipid and flavonoid peroxidation reticence analyze	Cytotoxicity with effect on sub G1 phase	Sharma, Kumar, Chashoo, Saxena, & Pandey (2014)
Nootropic	Mice, 100, 200 Alcoholic Fruits Scopolamine-induced and 300 mg/kg, memory impairment, p.o. Y-maze model	,,	1	memory impairment,	Reduce scopolamine- induced memory.	<i>P. longum</i> may serve as good nootropic cause.	Kilari, Rao, Sreemanthula, &
		Y-maze model	Interruption and raise cognitive activity and effective remembrance in the Y-maze.		Kola (2015)		

**TABLE 4.6** (Continued)

Activity	Animal, Dose, Extract Duration, Route of Administration	Part	Models	Various Evaluating Parameters	Foremost Findings	References
	Mice, 250 mg/kg Aqueous	Fruits	Elevated plus maze	Improved step-down-	It may be provide as	Juvekar, Kulkarni,
	And 500 mg/kg, 7 days, p.o.		model and passive evasion model	latency and summarized the shift latency.	a excellent nootropic mediator.	& Juvekar (2008)

*Abbreviations:* Alanine transaminase (ALT); Adriamycin (ADR); Alkaline phosphatase (ALP); Aspartate aminotransferase (AST); Cholesterol (CH); Cyclooxygenase (COX-2); Catalase (CAT); 3,4-Dihydroxyphenylacetic acid (DOPAC); Dopamine (DA); Dalton's lymphoma ascites (DLA); Granulocyte-macrophage colonystimulating factor (GM-CSF); Glutathione peroxidase (GSH-Px); Glutathione (GSH); 5-hydroxyindoleacetic acid (5-HIAA); Ehrlich aascites carcinoma (EAC) cell line, High density lipoproteins (HDL); Homo vanillic acid (HVA); Interleukin-2 (IL-2); Interleukin-6 (IL-6); Interleukin-1 $\beta$  (IL-1 $\beta$ ); Lactate dehydrogenase (LDH); Low density lipoproteins (LDL); Minimum inhibitory concentration (MIC); Methylenedioxyamphetamine (MDA); Serum glutamic oxaloacetic transaminase (SGOT); Nitric oxide (NO); 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP); 3,4-Nitric oxide synthases (NOS); Nicotinamide adenine dinucleotide phosphate (NADPH); Pentylenetetrazol (PTZ); Serum glutamic-pyruvic transaminase (SGPT); Superoxide dismutase (SOD); Total protein content (TPC); Tissue inhibitor of metalloproteinases (TIMP-1); Tumor necrosis factor alpha (TNF- $\alpha$ ); Triglyceride (TG); Tyrosine hydroxylase (TH); Vascular endothelial growth factor (VEGF); and Vanillyl mandellic acid (VMA). collectively in the common method, which is useful in paraplegia and also sciatica. A brief uses of *P. longum* known as Ayurvedic medicine.

## 4.10.1 USES OF DRY FRUIT OF P. LONGUM IN AYURVEDIC MEDICINE

- Amanut: Useful in indigestion
- Anushna: Not very hot
- Arshahara: Useful in piles
- Deepani: Improves digestion strength
- Gulmahara: Useful in abdominal tumor
- Kapha Vataghni: Balance kapha and vata dosha
- Kasahara: Relives cough, cold
- Katu: Pungent taste
- Kshayapaha: Relieves chronic lung disorders
- Kushtahara: Useful in skin diseases
- Pitta Avirodhini: Dose not increase Pitta to a large extent
- Pramehahara: Useful in diabetes
- Rasayani: Antiaging, rejuvenative
- Rechani: Causes purgation
- Shwasahara: Useful in asthma,
- Snigdha: Unctuous, oily
- Udarahara: Useful in ascites
- Ushna: Hot
- Vrushya: Aphrodisiac

## 4.10.2 PREPARATIONS OF P. LONGUM FOR MARKET USE

- Ayurvedic Articulation: Trikattu Churna (Shailajan, Sayed, Joshi, & Tiwary, 2011; Vyas et al., 2011), Pippli Churna (Gupta & Jain, 2011), Sitopaladichurna (STPLC) (Gupta & Jain, 2011), Ajmodadichurna (AJC) (Gupta & Jain, 2011; Sarkar, Tiwari, Patel, & Dubey, 2011), Triphalaguggulu (Patel, Patel, & Patel, 2012), Eladi Gutika (Rode, Bhujbal, & Sandhya, 2013), LasunadiVati, Marichyadivati, and Kaphaketu rasa (Rout, Rout, & Mishra, 2007). Unani formulation: Hab-e-Azarakhi (Jain & Dashora, 2012) dosage forms.
- 2. Vista Nutrition Curcumin with Piperine (pill) Explanation: Piperine with vista nutrition's curcumin capsules has anti-inflammatory, anti-oxidant effects. It contains piperine and curcumin that

restrains the enzyme called CYP3A4 to boost the oral bioavailability of compounds.

**3. Zenith Nutrition Curcumin with Piperine (Pills) Explanation:** Zenith Nutrition Curcumin with Piperine having piperine and curcumin. The dynamic part of turmeric is responsible for its prosperous color and possible health reimbursement. The influential antioxidant helps in controlling a well inflammatory reply, in defense of cells from free radical damage, guard of brain cells, in controlling healthy liver still beneath demanding conditions.

## 4.10.3 FOLK USES OF P. LONGUM

- 1. Asthma: Pepper-pea size tablets, prepared from *P. longum* fruit and *Calotropis gigantia* flowers in identical amount is given two times every day awaiting cure. Pippali is very useful for asthma because it has bronchodilator, decongestant, and expectorant activities. Thus, *P. longum* having Kapha balancing property.
- 2. Diarrhea: Powder of *P. longum* helps to control diarrhea because of it have Vata balancing qualities. It also enhanced the stomach fire because of its appetizer qualities which helps to manage abnormal motion incidences.
- **3. Menstrual Disorders:** *P. longum* helps to control menstrual cycle problems for example dysmenorrhea. In Ayurvedic medicines, menstruation is managed and governs by Vata dosha. *P. longum* has Vata consideration property and provides support in dysmenorrhea.
- 4. Cough: Mixture of long pepper and *Adhatoda vasica* leaves, which is given two teaspoons to thrice in every day until make well.
- 5. Hair Tonic: Paste made with *P. longum* and leaves of neem mixed with gingely oil and cow's milk and are useful to the scalp everywhere there are hair loss.

#### 4.11 MARKET TRADE AND ITS APPLICATION

Current market situation and export potential cultivation of medicinal and aromatic plants is fast replacing the cultivation of conventional crops in India. The country is expected to be the main player in the global market for herbal product-based medicines. The export of herbal materials and medicines is estimated to be around 500 crores. *P. longum* is being frequently

exported in huge quantities to countries such as Austria, Australia, USA, Russia, Canada, Philippines, Hungary, Europe, South East Asian countries such as Singapore, Africa, and Japan and Pakistan, etc. The price varies in diverse countries ranging from Rs. 350 to Rs. 3,000 or more per Kg. Some of the companies that sell long pipper in India and also export are – M/S World Wide Enterprise (West Bengal), Sathva bioactives Pvt Ltd (Karnataka), Mother Herbs Pvt Ltd (Delhi), ARAMACS (Delhi), Nutramine Life Sciences (Delhi), Alchemy Ghemicals (Madhya Pradesh), Suyash Herbs Export Pvt Ltd (Gujrat), Genia nature Herbs Private Ltd (Tamil Nadu), Enjay Marketing Services Pvt Ltd (Maharashtra), Mazzy Exports (Chennai), Jairmdass Khushiram (Maharashtra), BIOPREX/LABS (Maharashtra) and R P Enterprises (Andhra Pradesh), etc. These companies buy long pipper from the cultivators, clean, dry in their dispensation unit and pack in 1, 5, or 25 Kg bags for export.

#### 4.11.1 SPICES INDUSTRY

*P. longum* may be establish in the multifaceted spice combinations of Morocco and also, it is an significant component in Ethiopia cuisine, anywhere *P. longum* is generally establish in the conventional meat stews collectively among nut meg, clove, black pepper, turmeric, and bereberes, a conventional Ethiopian spice combination, which simulate to Indian masale, are use to flavor mutton dishes. Moreover, hot, and spicy taste of *P. longum*, while it gets a sweet flavor.

#### 4.11.2 BIOTECHNOLOGY ASPECTS

*P. longum* has considered as industrially valued medicinal aromatic plant that presently gained it's require because of the occurrence of essential oils, alkaloids, fatty acid, flavonoids, and glycosides. It is mainly employed in flavoring, fragrance, and pharmaceutical based industries. Tissue culture technique might be used to generate huge number of clonal propagules. Thus, clonal propagation technique is fairly required to have homogeneous *P. longum* plants obtained from germplasm to recover the improved global demand. The information regarding plant genetics, their phytochemistry, and other applicable technologies provide more resources to produce commercial crop.

# 4.11.3 INSECT AND PESTS REPELLENT

Piperoctadecalidine and pipernonaline amides were obtained from fruits of *P. longum,* however plant have been reported to containing several insecticidal effects that might be useful to manage insect and pests control in agricultural fields.

# 4.11.4 IMMUNITY BUSTER PRODUCTS

Piperine has derived from fruits of *P. longum*, which have Immunity buster activity. Hence it is a safe and good alternative Immunity buster product.

# 4.11.5 ANTI-VENOM PRODUCT

Fruits of *P. longum* have been conventionally used against snakebites in southern and north-eastern areas of India. This plant having excellent anti-snake venom properties, on the other hands piperines are one of the components which are reliable for the efficient venom neutralizing capacity of the *P. longum* plant.

# 4.12 INTERNATIONAL PATENT OF P. LONGUM LINN.

Few international patent of *P. longum* showing in Table 4.7.

SI. No.	Publication Number	Publication Date	Title
1.	CN103202898B *	2014-04-16	Traditionally Chinese medicine composition and formulation method thereof.
2.	CN104232312A *	2014-12-24	Long pepper extort and formulation method thereof.
3.	CN102649784A *	2012-08-29	Extracting method of piperlonguminine from <i>Piper longum</i> thereof.
4.	CN102389388A *	2012-03-28	Toothpaste preparation by <i>Piper longum</i> and formulation.
5.	CN101791336B *	2011-09-21	<i>Piper longum</i> extract preparation, method, and applications thereof.
6.	CN1322543A*	2001-11-21	Preparation of antilipemic medicine.

**TABLE 4.7** Some International Patent of P. longum

#### 4.13 CONCLUSION AND FUTURE ASPECTS

*P. longum* L. possesses medicinal appositeness from ancient time, but now days requires to build up up to date drugs with efficient widespread examination for its bioactivity, method, toxicity, and also pharmacotherapeutics, as well as after suitable consistency and clinical cases. The researchers of all about the universally in the structural change and fusion of novel related to privileged molecules of major phytochemical of *P. longum*. Phytochemical are accredited to the broad array of biological performance. It possesses numerous remedies as well as industrially helpful planning have been marketed, which give rise to support amongst scientists in analyzing this medicinal significant affiliation. While, it is extremely fine proof from the literatures that major phytochemicals have got amazing probability, hence the suitable change in the molecule and combination of it's idiomatic to assuage the toxicity with improved economic asset and with high-quality beneficial uses.

#### **KEYWORDS**

- ayurvedic medicine
- pharmacology
- phytochemistry
- Piper longum
- piperaceae
- piperine
- spices industry
- traditional evidence

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# Pomegranate (*Punica granatum*): A Medicinal and Commercial Perspective

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#### ABSTRACT

Pomegranate is considered as one of the primitive and most important nutritive plant cited in Ayurveda, Unani, and other traditional systems of medical practice. Pomegranate fruit is considered a good astringent, exhilarant, antifatigue, and appetizer. In this chapter, we compile the medicinal perspectives of pomegranate, cited in the different systems of medicine. This also includes edible and other medicinal properties, cultivation, propagation, harvesting, processing, grading, storage, value addition, marketing, and trade along with recommendations and future vision for research and development.

#### 5.1 INCEPTION

It is most extensively used, nutritive and ancient edible juicy plant of human civilization which has been used as medicine by humans. Due to its usefulness, pomegranate is considered a unique and most fascinating fruit plant which occupies a top position amongst the angiosperms in the plant kingdom, primarily due to its characteristic features and medicinal properties. Economically, the pomegranate plant is important as far as the needs of human beings are concerned as it provides juice, oil, tan, dye, and medicine

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(Kumari et al., 2012). It is a popular fruit and is estimated 20% part of total fresh fruits. Several types of pomegranates are found in the world which is distinguished by the shape, color with variation in size and thickness of the rind in cultivated and wild species. Similarly color variation is found in different cultivars. Seeds size and juice content also varies among different varieties. Pulp color ranges from white to transparent in few varieties and pink and blood red is found in other better quality fruits. Taste of pulp is also showing significant variation from sweet and aromatic to sour and insipid. Seed coat shows variation in hardness, some are soft seeded to seedless. This absence of seeds is due to the lignifications of testa. Different varieties of pomegranate are growing with different varietal names (Table 5.1).

Pomegranate is considered as natural source of both ascorbic and folic acid. Its cultivation also contributes to the economic uplifting of rural and ethnic people living in the vicinity of forests. Bearing in mind the economical potential benefits, the Centre of Research in Ethnomedicobotany in Pilibhit has selected this popular fruit plant among the tribal and rural people for their socio-economic uplifting through large scale pomegranate cultivation. People were given in house and field training from cultivation to harvesting, which is necessary for the varietal selection of the Genus.

#### 5.2 ORIGIN AND DISTRIBUTION

As far as the origin of pomegranate is concerned, it is considered as Iranian fruit where it is naturally growing in the wild state. As a highly valuable fruit it has been cultivated in the Mediterranean region and Southern Asia for more than 5,000 years ago. It was also reported from the Hanging Gardens of Babylon. Wild species still exist in certain places of Syria, in Gilead and Mount Carmel. As far as the origin of this fruit is concerned there was some confusion as De Candosle Vavilov believed that it originated in Iran and South West Asia. According to some religious beliefs, during the time of the Prophet Moses, high quality pomegranate was cultivated throughout Palestine, Lebanon, Turkey, and Syria. A good quality pomegranate was also cultivated in the city of Rimmon hence known as Rummon. In India and other Mediterranean and eastern countries, it was introduced after the invasion of Mughals. From here visitors, religious leaders, emperors spread its cultivation to neighboring countries. In Britain and other European countries, it was found after the influence of Islam around the 13th century. Traders from European countries and Spain took the fruit plant to countries like Mexico and onwards to the USA for cultivation. Therefore, its widespread cultivation gradually reaches other countries and now widely spread to different climatic zones and available globally. However, the best quality of pomegranate comes from Turkey, Iran, Morocco, Afghanistan, and Spain. India also produces the Khandari and Sholapur pomegranate varieties which are considered a high quality fruit. Pomegranate cultivation provides an economic base to ethnic, rural, and tribal people.

#### 5.3 TAXONOMY

Botanical characteristics are presented as follows:

- Botanical Name: Punica granatum L.
- Family: Punicaceae (Lytheraceae).
- Vernacular Name: Anaar.
- Trade Name: Pomegranate.

Pomegranate is a perennial, deciduous small tree or shrub of about 5-10 meters height with profuse branching with minute spines erected on the stem.

#### 5.4 MORPHOLOGY

The plant is branched with spiny covering on it. The stem bark is smooth and dark gray and leaves are oblong or ob-ovate with shining surfaces and opposite or whorled. The scarlet red flowers are borne solitary or in groups of 2–4. Sepals are thick and fleshy and 5–8 in number. The fruit is balausta (a multilocular, multiseed fruit) and crowned with persistent calyx where pericarp is leathery and tough with two whorls of carpel one above the other, bearing seeds irregularly. A radial elongation of the outer integument of the seeds constitutes the juicy edible part of the fruits. The interior part of the fruits is separated into compartments by white membranous walls filled with angular seeds. The seeds weight represents more than 50% in most fruits.

## 5.5 PHENOLOGY

The phenology of pomegranate:

- i. Leaf Fall: December to February.
- ii. Leafing: January to February.

- iii. Flowering: February to March; June to July and September.
- iv. Fruiting: March to April; August to November.

The phenological occurrence of the fruit plant depends mainly on the climatic conditions of the different regions where pomegranates are grown. In southern and central parts of India, it flowers throughout the year; however the rainy season is most suitable for flowering in most of Punica cultivars. Artificial induction of flowering has been done for early flowers in the monsoon season. Spring flowering gives lower quality fruits in summer. Hence artificial flowering induction is a must like that of Citrus. For this particular purpose, manuring, and irrigation are completed in May before the ripening of the fruits in November and December.

#### 5.6 CHARACTERISTIC FEATURES OF THE FRUIT

Fruits are borne terminally on short spurs arising from old shoots. Fruit shapes are globose, ob-ovate, crowned by persistent calyx with a tough woody rind. The number and position of the fruits varies from species to species. Similarly, the color of fruits also differs with the stage of development and display green color while young and pink to red at maturity.

#### 5.7 AREAS AND PRODUCTION

Geographically, pomegranate limits its natural distribution between 400 N and 260 N latitude from North to South. Pomegranate is considered as one of the native plant of Iran to north India. It is widely cultivated in the Asia; Middle East, USA to Arizona. Pomegranate is extensively grown in all parts of India, Malaya, and Africa. In India, total pomegranate cultivation area is 107,000 hectare and production is approximately 743,000 tons. Maharashtra state of India is the leading pomegranate producer with 78% of total land area and approx 84% of the total production in India; this is followed by Karnataka, Andhra Pradesh, Gujarat, and Tamil Nadu states.

#### 5.8 UNIVERSAL DISTRIBUTION

Natural populations of pomegranate have been found in Asian countries like Iran, Israel, Japan, and Jordan. The countries where the plant has been

naturalized and is now cultivated are Afghanistan, Armenia, Azerbaijan, Bangladesh, China, Cyprus, Georgia, India, Myanmar, Pakistan, Syria, Turkey, and Turkmenistan in Asia; in Africa, Cape Verde, Egypt, Kenya, Morocco, and Tunisia; Mexico and the USA in North America; Argentina, Brazil; and Chile in South America.

#### 5.9 AGRO-ECOLOGICAL REGIONS

Pomegranate is found cultivated in most agro-ecological regions. The most important regions are:

- i. Semi-arid eco-region with alluvium derived soil.
- ii. Semi-arid eco-region with medium deep soil.
- iii. Semi-arid eco-region with shallow medium soil.
- iv. Semi-arid eco-region with red soil.
- v. Semi-arid eco-region with alluvial soil.
- vi. Semi-arid eco-region with black soil.
- vii. Semi-arid eco-region with lateritic soil.

viii. Semi-arid eco-region with red lateritic and alluvium derived soil.

## 5.9.1 ECO-FLORISTIC REGIONS

- i. North-west Himalayas with a similar climate to the Mediterranean region.
- ii. Western Himalayas including parts of Jammu, Himachal Pradesh and Uttarakhand under tropical rainy seasons.
- iii. Wet evergreen forests of West Bengal including Western Ghats.
- iv. Deccan desert from Bijapur to Malegaon, North Gujarat plains and the piedmonts of Aravalli hill ranges.

## 5.9.2 TYPES OF FOREST

- i. Moist deciduous forests.
- ii. Dry deciduous forests.
- iii. Semi evergreen forests.
- iv. Pine forests.
- v. Broad leaved hill forests.

#### 5.9.3 HABITAT AND VULNERABILITY

Naturally pomegranate grows extensively both in cultivated and in the wild. Hence, the plant is not included in the list of vulnerable plants and is widely available in cultivated areas.

#### 5.10 COMPOSITION AND USES

The plant and plant parts display important therapeutic properties which are used for various human conditions and activities.

#### 5.10.1 PLANT PARTS USED

- 1. **Bark:** The dried barks have been used for the expulsion of tape worms in human beings. It is used in the form of decoction. Antibiotic properties are found in the extracts of different parts of plant and used widely in ethno-medicine.
- **2.** Flower: Fresh flowers yield a red dye which is often used to dye clothes.
- **3.** Fruit: Ripen fruits are peeled off using a knife and clusters of fruits are ready to consume or to make juice.
- 4. **Rind:** It is considered as a good source of yellow dye for the textile industries. Due to the presence of some alkaloids, it is often used as an astringent.
- 5. Seed: The seeds oil contains pucinic acid. Chemically, it possesses antibacterial properties and is used as a stomachic and condiment.

## 5.10.2 JUICE

The plants are extensively cultivated for the juice and for various purposes. The fresh juice is often used as cooling and refrigerant mixture in medicine to treat dyspepsia and directly consumed as hemoglobin enhancer in anemic patients. Due to the acidic nature found in the juice, it blends easily with other juices. Pomegranate juice may be converted as high quality syrup for future use. It is also used in the preparation of grenadine, commonly used

224

non-alcoholic syrup which is characterized by tart and sweet flavor and a deep red color. It is also used as a topping on ice creams. In places like in Eastern Europe, Middle East, and Asia, the juice has been used to prepare of wine after the process of fermentation which contains high amounts of antioxidants used for many health benefits; anti aging, artery problems and lowering cholesterol level.

## 5.10.3 POMEGRANATE SAUCE

In some rural parts of India, people prepare a sauce or ketchup made with pomegranate juice by adding equal amount of sugar and heated to make it thick and viscous.

#### 5.10.4 MEDICINAL USES

Medicinally, pomegranate is extensively used in the treatment of various human and veterinary ailments around the world. The juice of wild pomegranate is often used to produce citric acid and sodium citrate for different pharmacological uses. It is considered a useful in the treatment of dyspepsia and leprosy. The juice from the young fruits and leaves is mildly laxative and very useful as a cooling agent for stomach complaints and in cardiac pain. In some parts of the world, flower buds are used in bronchitis and whooping cough. The extracts of the bark, leaves, unripe fruits, fruit rind contain high amount of tannin and it is recommended as an astringent to stop diarrhea, dysentery, and hemorrhages. The leaves, roots, bark, and seeds have anti-inflammatory, antispasmodic, and anthelmintic properties (Aziz et al., 2018).

#### 5.10.5 TANNING

Most parts of the plants have tanning properties but the fruits rind, stem bark, root bark, and leaves possess large amount of tanning alkaloids (Zhang et al., 2010). From ancient times in Mediterranean and east countries, roots, and stem bark are extensively used in the production of tanning materials (Dwivedi, 1993). The bark of tree has been used in the production of Moroccan leather.

Plant Parts	Tannin Content (%)	
Bark of stem	23	
Bark of roots	28	
Green leaves	10	
Fruit rind	26	

**TABLE 5.1** Plant Parts with Tannin Contents

#### 5.10.6 COMMERCIAL DYE

The rind from the ripen fruits and stem bark are commonly used for the production of dveing materials for different industries. From the age of early human civilization as evidenced from Mesopotamia (2000 BC) and from Egypt (1500 BC), a yellow dye has been extracted from the fruit rind and the stems of barks and used to dye different textile products (Rajappa et al., 1995). Similarly, a red dye has been obtained from the red flowers and the rind from unripe fruits. A red or black dye can be used as an ink for various purposes. It is coppery brown in color and does not require any mordant (a dye fixative substance used to set dyes on fabrics that forms a coordination complex with the dye) because it contains natural mordant like tannic acid. In parts of India, a yellow dye is obtained from the rind of dried fruits and often used for hair coloring and for dveing clothes. To produce an olive, pond green to yellow ochre color, the rind extracts of pomegranate is mixed with turmeric, indigo, or iron sulfate and alum; while straw color is obtained by mixing the extract of the outer pod of the fruit with alum and caustic soda (Rajappa et al., 1995). The dyeing process runs as follows: pomegranate pods are grounded to powder; the powder is mixed with a gallon of water and the mixture allowed boiling. After boiling the container is removed from the heat; the cloth material is kept in the water for approx 20 minutes and then washed to reveal the yellow color in the textile fibers. Dwivedi (1993) prepared a color chart obtainable from different parts of pomegranate (Table 5.2).

Plant Parts	Color/Dye
Rind of fruits	Yellow and black
Stem bark	Yellow
Roots	Yellow/red
Flowers	Red

**TABLE 5.2** Color Dye Yielded by Different Parts of the Plant

Similarly, crimson red dye is obtained from the pomegranate flowers and used extensively for dyeing Kashmiri shawls and traditional carpets.

#### 5.10.7 CHEMICAL COMPOSITION AND FOOD VALUE

Pomegranate fruits contain sugar, vitamin C, high amount of Fe and Ca in lesser quantity along with other valuable compounds (*see* Table 5.3). They contain pectin as calcium pectate. The seeds without juicy coat contain moisture, fatty, and nitrogenous substances, starch, fibers, and ash. The seeds are a good source for drying oil (oil that hardens on exposure to air). The fresh rind of the fruits contain 0.8% wax, 4.5% resin, 1.8% mannitol along with sugars, gums, insulin, mucilage, tannin, gallic acid, and calcium oxalate. Tannin is found in almost every part of the tree, like the dried rind, stem bark, root bark and leaves. Different alkaloids are pelletierine ( $C_8H_{15}ON$ ), isopelletierine { $\alpha$ -(2-piperidyl)-propane- $\beta$ -one) ( $C_8H_{15}ON$ ), pseudo-pelletierine ( $C_9H_{15}ON$ ) and methyl pelletierine ( $C_8H_{17}ON$ ).

Calorific value	65–75 kcal
Moisture content	72.6–86.4
Protein	0.05–1.6 gm
Fat	Trace to 0.5 gm
Carbohydrates	15.4–20.0 gm
Fiber content	3.5 to 4.5 gm
Ash	0.35–0.70 gm
Calcium	3–12 mg
Phosphorus	8–37 mg
Iron	0.3–1.2 mg
Sodium	3 mg
Potassium	259 mg
Carotene	NT
Thiamine	0.003 mg
Riboflavin	0.012–0.03 mg
Niacin	0.180–0.03 mg
Ascorbic acid	4–4.2 mg
Citric acid	0.50–3.5 mg
Boric acid	0.005 mg

**TABLE 5.3** Food Value of 100 Gram of Edible Part of Pomegranate

#### 5.10.8 TOXICITY

Although the presence of tannin in the edible part in less than 0.25%, it is nonetheless considerable. Bassiri-Jahromi et al. (2015) have shown that the presence of tannin is carcinogenic to humans and prolonged consumption may leads to health problems. Although the bark of the roots and the stem contain the alkaloid isopelletierine, an effective anthelmintic for tapeworms, an overdose produces emetic, purgative symptoms and causes pupils' dilation, sight dimness, muscular weakness and paralysis (Patel et al., 2008).

#### 5.10.9 ADULTERATION

Substitute adulterants have not been identified so far.

#### 5.11 SOIL AND CLIMATE

Climatic conditions are equally important factor in successful cultivation of pomegranate. Main climatic conditions required are as in subsections (Table 5.4).

Altitude range	500–2,000 meter
Annual rain	700–2,500 mm
Climate season	Winter, bimodal
Duration of summers	3 month
Average annual temperature	24–40°C
Mean maximum temperature	36–45°C
Mean minimum temperature	3–12°C
Absolute minimum temperature	>-10°C

**TABLE 5.4** Climatic Conditions for Pomegranate Cultivation

## 5.11.1 SOIL FACTORS

The soil's conditions are the basic requirement for the optimum growth and development of pomegranate plants; calcareous, alkaline soils, deep acidic, loamy, and broad range of soils are the most suitable types of soils. It is commonly believed that pomegranate thrives well on poor soils, too, where other fruit plants are unable to flourish. In some places in India, it grows fairly well on rock-strew gravel type of soil. However, the most suitable types of soil factors are:

- Texture: Fine
- Drainage: Proper
- Nature: Basic
- Tolerance: Infertile

## 5.12 VARIETIES AND CULTIVARS

Pomegranate prefers to grow in cool as well as summer climatic conditions. However, while the plant tolerates well frosty climate and desert conditions, it prefers well irrigated soil that also resists drought conditions. Pomegranate plants are permitted to grow straight by main trunk while regular cutting of small branches, limiting the pruning requirements. The growth of new shoots is encouraged while shoot sapping should be removed regularly to allow a better growth.

#### 5.12.1 CULTIVARS AND SUB-SPECIES

Taxonomically, *Punica granatum* has two sub species which are based on contained pigments. Both these pomegranate species are found distributed in separate localities as *chlorocarpa* and mainly reported in plants growing in the trans Caucasus region while *porphyrocarpa* is found in plants growing in central Asian countries.

In the Asian subcontinent regions like those of India, several cultivars of pomegranate are common, i.e., with white flowers, ornamental, and with double flowers. The white flower variety has a poor quality of fruits. Cultivated species contains 12–16% of sugar with an acidity varying from 1.5 to 2.5%. Farmers prefer to grow fleshy, juicy pulped seeded varieties. Soft seeded varieties are considered as seedless varieties (Table 5.5).

TADLE 5.5 CO.	minori Types of Pornegranate varieties
Musket Red	Fruit small to medium in size, rind is thick, Testa fleshy with sweet juice, seeds are soft.
Paper Shell	Fruit round, fruit size medium to large, rind comparatively thin, fleshy Testa red to pink in color with sweet juice, seeds are soft.
Spanish Rubi	Fruit round, red colored with thin covering, Testa is rose colored, pulp is aromatic and sweet.
Musket White	Fruit is large, creamy white, rind is thin, pulp fleshy cream colored, pulp is sweet, and seeds are hard.
Wonderful	Fruit is ovate, big size, red colored, rind thick, medium hard seeded.

TABLE 5.5 Common Types of Pomegranate Varieties

#### 5.13 GENETICS

Pomegranate (*Punica granatum* L.) is a common angiosperm fruit plant, genetically diploid (2n = 16) with little variation from wild species of western Himalayas. Meiotic studies of hilly cultivars show the presence of 'B' chromosomes instead of 8 bivalents at diakinesis and metaphase I. Sheidai et al. (2012) studied a few pollen mother cells and confirmed the presence of 8 bivalents Number of 'B' chromosomes ranging from 0 to 4 which showed 100% pollen fertility. Diploid varieties from Mussoorie hills with 2n = 14, 16, or 18 have also been reported (Gill et al., 1984).

#### 5.14 PROPAGATION AND ROOTSTOCK

In most cases, seeds naturally propagate pomegranate. In some places of Maharashtra state, it is also vegetative propagated by stem cuttings. Natural dispersal of seeds is done by particular fruit eating bats or other wild animals that eat ripe fruits. Seeds on the soil germinate just after the onset of the monsoon, and some seeds become dormant due to the presence of inhibitory compounds and unsuitable ecological condition, while these beneath the soil germinate better and faster. Seedlings exhibit good competitive ability with surrounding weeds and grasses.

#### 5.14.1 VEGETATIVE PROPAGATIONS

Vegetative propagation is one of the most important methods for reproducing pomegranate plants worldwide. This method tends to be more reliable for preserving and multiplying selected varieties. Vegetative propagation of selected cultivars has been facilitated with 25–50 cm long stem cuttings. Application of growth promoting hormone IBA is quite suitable for the proper development of roots and for better survival rate. These cuttings are planted in nurseries for growth and development under controlled conditions. The following year, the rooted cuttings with suitable growth are transplanted to the orchard.

For the cultivation of good quality pomegranate plants, fruit growers and farmers frequently used budding and grafting practices to develop the best cultivars.

#### 5.14.2 MICROPROPAGATION

To maintain genetic fidelity of pomegranate, clonal propagation is the only option for biotechnologists. Similarly, *in vitro* propagation technique is found highly effective for the production of quality seedlings. Gorad et al. (2018) suggested *in vitro* propagation of young leaves and petals selected from explants and placed in the MS medium (Murashige & Skoog, 1971). White pH (WPH medium) is often used for callus induction with different levels of hormones like 2, 4-D, and NAA. Sub-culture of callus is prepared for shoot initiation and NAA useful for root induction process in pomegranate.

#### 5.14.3 SEEDS SOWING

Direct sowing of mature seeds is completed during the months of July to September depending on the climatic and soil conditions of the locality.

#### 5.15 PLANTING, IRRIGATION, AND NUTRIENT MANAGEMENT

Development of a good nursery involves several steps:

- 1. Sowing: With this popular method, mature fruits are selected and their pulp is completely removed from seeds. Sun dried seeds are sown in the soil filled with poly bags and allowed to germinate. The germination occurs after 20–22 days of sowing. Proper watering and care is required for proper growth and the development during transplantation. The well developed two months old seedlings are transplanted in a suitable soil. Seedlings are planted in the prepared pits of 60×60×60 cm which are filled with a mixture of farmyard manure and soil. The transplantation nursery phase is over in 3 to 4 months. The sowing of seeds to the planting of seedlings process should be completed between August to December.
- 2. Culture: Well rooted seedlings are transplanted in pre-fertilized pits and separated 4 to 5 m apart depending the nature and fertility of the soil in the field. Young plants are cut back to 65–75 cm following the transplantation and followed by the branching, pruning of lower branches to clear the main stem. The plant produces fruits only at the tips of new branches. It is therefore recommended that branches be pruned regularly in the first three years to maximize the number

of new shoots in all directions. This process allows a strong, well framed plant that can yield better fruiting. After three years of plant pruning and shaping, only the dead branches and shoot should be removed as needed.

- 3. Manuring: The process of manuring usually starts before the transplantation at the rate of 20 kg per seedling. Calculated amount of cattle manure is applied yearly as monsoon breaks. It is advised to apply 2 kg of groundnut cake to further enhance the fruit development. In addition, ammonium sulfate  $(NH_4)_2$  SO<sub>4</sub>, wood ash, lime, and farmyard manure is added as it effectively improve the quality of the fruit.
- 4. Watering: Irrigating at regular intervals is required for maximizing the plant growth, the flowering and the fruiting of pomegranate. During and following the flowering and the fruiting season, regular, and abundant watering is necessary so that the fruits can reach a descent shape and size which prevent them from cracking due to moisture conditions.

## 5.16 TRAINING AND PRUNING

Training and pruning is one of the most important steps for the overall development of plants to get the desired yield. In this process, the side branches are removed regularly with a sharp tool. Fruits are produced terminally on the branches arising from the main stem. Pruning is performed yearly to allow the emergence of new shoots from all sides of the tree. This process allows for a successful flowering and fruiting.

#### 5.17 PEST AND DISEASE MANAGEMENT

#### 5.17.1 MAJOR DISEASES

Like other fruit plants, pomegranate also faces a serious problem from different pests and diseases. However, the major problem is fruit splitting and cracking. This may occur due to the hardening of the fruit skin because of a shortage of moisture content and the sudden expansion of the inner part of the fruit after rain or watering of the field. Sometimes, more than 50% of the fruits become affected and finally crack. To avoid this cracking

condition, premature harvesting is recommended; however, the quality of fruits is below standard. To overcome the cracking problem of the fruits, regular manuring followed by watering is necessary to maintain moisture in the fruits. In Uttar Pradesh for instance where pomegranate is cultivated, growers spread brick powder around the soil of the tree based on the belief that the fruit color and taste will be improved; however, this practice is not scientifically proven. Nonetheless, it probably induces the moisture retention capacity of the soil which in turn reduces the cracking of fruits.

The pomegranate butterfly (*Virochola isocrates*) also damages pomegranate plants by laying eggs on the floral buds and on the calyx of developing fruits. Caterpillars emerge from the eggs and enter the fruits through the calyx and imposes heavy loss due to rotting inside the fruits. To protect the fruits from this infestation, a spray of calcium arsenate is applied after the fruit set. Similarly, dusting of a mixture of Paris green (9lb), lime (5lb), talc (15lb) and flour (4oz) is also effective to control the fruit borers. However, the dusting of DDT (Di Chloro Di Ethyl Tri Chloro Ethane) and BHC (Benzene Hexa Chloride) is not effective.

Another serious issue for the pomegranate is twig dieback caused by *Pleuro placonema* or *Ceuthospora phyllosticta*. The fungus *Aspergillus castaneus* is responsible for the discoloration of the fruits and seeds to a great extent. *Sphaceloma punicae* causes the disfiguring of fruits during the development process. *Phomopsis sps.* or *Zythia versoniana* is mainly responsible for the dry rot on pomegranate crop and causes up to 80% of pomegranate loss in the field. Another fungus *Alternaria solani* causes post harvest rot and cracking of the fruits. A bark eating caterpillar, *Aleurodes sps.* has also been reported during crop infestation.

#### 5.17.2 MINOR DISEASES

Pomegranate plants are also affected to an extent by fungal leaf and fruit spot diseases. Other damages are caused due to white flies, thrips, mealy bugs, and scale insects. Similarly, *Euproctis sps.* and *Archyophora dentula* are responsible for defoliation. In North India, termites may also infest tree trunks.

Farmers often apply conventional and innovative practices like enveloping ripen fruits with old newspapers for the sustainable management of various insects and pests, learnt from experience transmitted through generations. Heavy rain at the time of ripening of the fruit may cause soft rot in the fruits.

#### 5.18 HARVESTING AND YIELD

#### 5.18.1 HARVESTING TIME

According to seasonal changes, harvesting time has been divided into three flowering seasons viz. winter, summers, and autumn. In most parts of India, harvesting time is between November and December.

#### 5.18.2 MATURITY INDICES AND HARVESTING METHODS

Mature and slightly dark colored fruits are plucked from the plants once they are hard and are properly shaped and a good size. Good varieties of pomegranate start fruiting in approx four years after their transplantation. Average ripening time of fruit varies from cultivar to cultivar, however in most cases the fruits are ready between 6 and 7 months after flowering. Treatment of ethylene is not effective in the ripening of the fruit. Fruits are ready to harvest when the skin of the fruit becomes slightly yellow. In ideal conditions, fruits are picked before over maturity to avoid the cracking of the fruit. In natural conditions of seed dispersal, ultimate splitting of ripen fruit occurs frequently.

## 5.18.3 MECHANIZATION AND YIELD

Hand picking or other mechanical methods have been frequently applied to pull off the ripe fruit for further processing. Selected fruits are picked in such a way that shoots are not left, to avoid any possible further damage during packing and handling processes. It is essential that the collected fruits are not exposed under the sun light as it may produce wrinkles and dull coloration of rind. Yield of pomegranate varies from cultivar to cultivar and ranges from 70 to 180 fruit per tree.

#### 5.19 POST-HARVEST HANDLING AND STORAGE

## 5.19.1 SORTING, GRADES, AND GRADING

Grading and sorting of ripen fruits is done according to the fruits' size, appearance, color, as fruit may be red, pink, white, and black. Red colored fruits are of a superior quality. Similarly, the size of fruit also plays an important role in sorting and grading of the fruits. Variety of pomegranate is a key factor in post harvest handling. Though there are no definite criteria or prescribed and recorded standards of grading and sorting of the ripe fruit, these practices are mainly based on the experience of generations of growers. Based on these practices, at least three grades of fruits have been maintained as local, fine, and of export quality.

#### 5.19.2 STORAGE: DURATION AND BIOCHEMICAL CHANGE

The harvested fruits can be stored for a long period of time to increase the flavor, juice, and quality. Because of the hard and tough rind, they can be stored for a long time. In cold storage conditions, the fruit stays fresh for a long time at a temperature of  $10^{\circ}$ C; however, it starts shrinking and deteriorating after a few hours of being removed from cold storage. For long storage duration,  $0-4^{\circ}$ C temperatures, 80% relative humidity is required to keep the fruit intact and unspoiled for 7 to 8 months. As and when needed, the fruits are stored in well aerated places with controlled temperature facilities. The prolonged keeping of fruit leads to internal breakdown, fading, streaky pulp and low flavor.

#### 5.19.3 PACKING

After harvesting, the ripe fruits are graded for different sizes and grades. They are packed separately accordingly. Usually, the fruits are packed in Corrugated Fiber Board (CFB) carton to carry 5 kg. Fruits are arranged in circular rows and separated from each other with separators. The fruits are wrapped in dry papers and stored inside the carton with 40–50 fruits. Fruits are kept in layers in cardboard boxes each containing approx 20 kilograms and stored at 0°C and 80% humidity for bulk storage. Thin cardboard sheets are used as separator. The packing of the fruits is an important step for marketing. Producers bring ripen fruits in bags to the buyers and wholesalers repack them in specific fruit cartons.

#### 5.19.4 COLLECTION AND PROCESSING OF POMEGRANATE

The delivery of high quality fruits from growers is a main motivation for buyers. In the state of Mharastra, the horticulture and agriculture department provided a local unit for pomegranate growers. They are promoted to cultivate

improved varieties and receive guidance from scientists for cultivation to harvesting. Once the fruit growers receive a good income from buyers, they train other villagers to follow the initiative. The Government of India has encouraged the development of cold storage spaces for safe and long duration storage of ripen fruits in and around the pomegranate producing areas. This may be governed by co-operative societies or through public private partnership (PPP). Furthermore, the grading of fruit should be based on quality control that is scientifically proven. Similarly, the grinding process and transportation systems are under mechanical operation for quick and time bound extraction. The horticulture departments of different states of India are advised to conduct disease and pest control practices under pathological observations. In addition, efforts have been made to cultivate the latest varieties, using non-chemical practices for sustainable, environment friendly and cost effective production of pomegranate. Bearing in mind that the packaged fruits are transported rapidly from one location to another, it is recommended that machine operated systems should be used as much as possible to fasten the processing of fruit and also to avoid unnecessary touching.

#### 5.19.5 ADDED VALUE

Pomegranate fruits are mainly consumed as value added fruit juice and dehydrated high quality products for their sensory and nutritional aspects. Arils are highly perishable with shelf life of less than 20 hours. Hence, the use of low temperature storage under radiation processing to increase the shelf life of fresh juice is vital. Fresh juice extracted from mature fruit is sweet in tasty with a rich flavor. The extracted juice from the whole fresh fruit contains high amount of tannin from the rind which can be separated out by gelatin processes. Filtration of fresh juice is performed and preserved either through pasteurization or by adding some preservatives such as sodium benzoate before bottling. Fruit juices proved as very good anti oxidant lowers high blood pressure and rejuvenate damaged liver. To minimize the adverse effects of chemical preservatives, minimum processed products from pomegranate are produced commercially.

#### 5.20 CHARACTERIZATION OF PHYTOCONSTITUENTS

Regarding the physico-chemical characterization of pomegranate, the fruit contains some steroid hormones which have antioxidant properties. The chemical composition of the fruit varies according to the cultivar, growing area and growing season, cultivation practices, harvesting, climatic conditions and storage. The rind which represents half of the total weight of the fruit has many important bioactive compounds; polysaccharides, minerals like calcium, potassium, nitrogen, phosphorus, sodium, and magnesium. Edible part of the fruit consists of juicy aril (a specialized outgrowth from a seed that completely covers the seed) and seeds in 40% and 10% respectively. The aril usually contains 85% water, 10% sugars (glucose and fructose), pectic substances, acidic contents as ascorbic acid, citric acid, and malic acid, along with phenolics and flavonoids. The seeds contain oil mainly composed of triacylglycerols. Pomegranate contains a diverse range of chemical substances which include phenols, sugars, fats, flavoring compounds, different amino acids, terpentines, and alkaloids. These bioactive components have anticancer and strong antioxidant activity as they contain ellagitannins, anthocyanins, and hydrolysable tannins.

## 5.21 **BIOPROSPECTS**

The bioprospects of pomegranate are broad and used in Traditional, Chinese, Avurvedic, Unani systems of medicine; for instance in herbal medicines as appetizer, hematanic syrup, and antioxidant agent. Regarding the bioprospects of pomegranate, the juice from extracted from the arils stands as one of the important methods. Fresh juice is frequently used domestically in the preparation of squash, syrup, nectar, jelly, concentrate, jams, and sauces. They are known for their potential health benefits due to the high anti-oxidants, mutagenic, hypertension, and liver disorder corrections. Besides, the juice has powerful control over inflammation and osteoarthritis, cancerous tumors, HIV-I, and heart disease. Pomegranate is currently considered as one of the most nutritive and productive fruit as it reduces hypertension, mutagenecity, and toxicants from the human body due to the presence of anthocyanins. Several value added products of pomegranate have been made which includes syrup, candied arils, arils in brandy, vinegar, and pomegranate wine (Hill, 1990). Further processed products like anardana juice, concentrate, syrup, and jelly were also prepared from fresh juice. Consumers tend to prefer these products over the whole fruits for the bio properties of the fruit. Its juice can be further utilized as flavoring and coloring agents and for dietetic and prophylactic treatments. Albrecht et al. (2004); and Zarfeshany et al. (2014) have reported the negative correlation of pomegranate juice with proliferation and angiogenicity. Tannins of the fruit have high anti-oxidant value and fruit exceeds the *in-vitro* anti-oxidant potential (Seeram et al., 2008).

## 5.22 AVAILABLE COMMERCIAL PRODUCTS

Due to the rich source of minerals, vitamins, and nutrients, pomegranate is used widely in traditional *Ayurveda* and *Unani* systems of medicine. Many herbal drugs have been prepared from the plant to cure dysentery, diarrhea, stomachache, inflammations, dyspepsia, bronchitis, and intestinal worms. Fresh juice is recommended to patients with gastric problems. Beverages made from pomegranate are highly nutritive and refreshing. It is regarded as a "super food" due to its protective action against several diseases. In laboratory tests, it displays antiviral, antibacterial, and antioxidant properties. In folk and traditional remedies, the fruit and the seeds are commonly used as an alternative to synthetic drugs as they contain 124 different phytochemicals; some of them being effective in human diseases in clinical trials. Commercial products have been made from whole pomegranate fruit juice and used for decades in our age old cultures for health benefits. These trade products as herbal drugs have become popular in western countries as an alternative to synthetic and allopathic medicines.

#### 5.23 TECHNOLOGY TRANSFER/PATENTS OF POMEGRANATE

Germplasm collection and the establishment of a field gene bank of pomegranate was the main motivation of the National Research Center on Pomegranate. Different methods and selection have been identified for the bacterial blight disease tolerant genotypes. For the purpose of crop production, wedge grafting techniques are useful for the multiplication of grafted plants. Sustainable crop production has been possible under the Integrated Plant Nutrient Management (IPNM) system. Tissue culture and stool layering techniques are effective for fast multiplication of planting material. Orchard Health Management (OHM) schedules have been developed for the effective management of bacterial blight and other diseases and insect-pests associated with pomegranate. New chemical molecules, bio-agents, and botanicals have been evaluated for the effective management of bacterial blight and other common diseases and insect-pests.

Regarding technology transfer, most patents for machinery or technology transfer are based on the medicinal and pharmaceutical values of pomegranate. However, there is a growing concern for the patenting and the machinery related to pomegranate processing as several discoveries have been made worldwide, most patents issued from the United States. Important titles of invention that relates to methods for the treatment of hypertension, complications of atherosclerotic in diabetes, regression in lesions, dermatological disorders, hair health, antiviral or antifungal compositions, breast enlargement system, dietary supplements, neurodegenerative disorders, skin lightening effect, bone health, skin sores and lesions treatment, antioxidants extraction, anticoagulant and anti-platelet aggregation, anti-angiogenic extracts, influenza treatment, erectile dysfunction treatment have been granted. Patent of machinery tools include apparatus for separating pomegranate seeds, scanning apparatus, hand tool for easy separation of arils, juice extractor, apparatus for extracting pomegranate seeds, pomegranate processing machine, harvesting machine. Patents are also granted for post harvesting technology which includes method for producing fruit juice, application of breathable surface coating on fruits for extended life, making digestive products, method for producing deodorized pomegranate seed oil and process of alcohol production.

#### **KEYWORDS**

- Asia
- biochemical change
- commercial
- flavonoids
- fruit
- maturity indices
- medicinal uses
- phytoconstituents

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# Rhubarb (*Rheum* sp.): A Rare and Endangered Medicinal Plant of the Himalayas

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# ABSTRACT

Rheum species belong to the Polygonaceae family and are found in the temperate and subtropical regions of Asia continent. The species belonging to the genus Rheum are known as Rhubarb. Indian or Himalayan Rhubarb includes Rheum australe, R. webbianum, R. moorcroftianum, and R. spiciforme. These species are found at altitudes that range from 3,000 to 5,200 m above sea level (asl). Rheum species are increasingly threatened and different agencies such as IUCN, WWF, and UNEP have included them into endangered plants lists. Rheum species have been used in traditional medicine due to their various properties such as astringent, antiseptic, antidiabetic, antiviral, antimicrobial, antitumor, anti-inflammatory, cytotoxic, diuretic, liver stimulant, nephroprotective, purgative, stomachic, anti-cancerous, and tonic. These species are also used for the treatment of boils, wounds, bone fractures, backache, joint, and rheumatic pains. They are also used in cosmetics, textile, and food industry as colorant. Leaves of Rheum species are cooked and eaten as vegetable. In India, annual trade of R. australe rhizomes is estimated to about 100-200 MT. The therapeutic properties of *Rheum* species are mainly attributed to the presence various derivatives of anthraquinones (emodin, emodin glycoside, aloe-emodin, chrysophanol, chrysophanol glycoside, rhein, and physcion); stilbenoids

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(resveratrol, piceatannol, and their glycosides); anthocyanins; flavonoids; anthraglycosides and polyphenols. This chapter covers the various aspects of high value medicinal plant – Himalayan Rhubarb that are important for their conservation.

## 6.1 GENERAL INTRODUCTION

Rheum (family Polygonaceae) commonly called Rhubarb, includes approximately 60 species which are mainly distributed in the temperate and subtropical parts of Asia (Li, 1998; Anjen et al., 2003; Cao et al., 2017). In the Indian subcontinent 10 species of Rheum have been recorded (Ganie, 2014). The name Rhubarb is originated from the Latin word *rha* which means "river" and *barb* which means "barbarian land." In ancient times, the Romans imported the roots of Rhubarb from barbarian lands which are situated outside the Volga or Rha river. According to Lindley's Treasury of Botany, the word 'Rhubarb' is originated from the Greek word rheo which means "to flow." Rhubarbs are mainly of three types - Chinese, Indian or Himalayan, and Rhapontic. The Chinese Rhubarb consists of the roots and rhizomes of R. officinale and R. palmatum. The Indian Rhubarb constitutes the rhizomes of *R. australe*, *R. webbianum*, *R. moorcroftianum* and *R. spici*forme. Rheum rhaponticum is the Rhapontic Rhubarb (Khare, 2007). Table 6.1 represents the Indian Rheum species along with their common names, habitat, and medicinal properties.

*Rheum australe* D. Don. (*Rheum emodi* Wall. Ex. Meissn.) is commonly known as Himalayan Rhubarb or red-veined pie plant. This plant is found in the temperate and subtropical areas of the Himalaya from Kashmir to Assam, at elevations ranging from 3,300 to 5,200 m asl (Pandith et al., 2014). It is indigenous to India, Nepal, Myanmar, and Pakistan. It is widely used in traditional medical practices including Ayurveda, homeopathy, Chinese, Unani, and Tibetan systems (Bhatia et al., 2011). Additionally, it is used as a natural colorant in cosmetics, textiles, and food (Malik et al., 2009). *Rheum moorcroftianum* Royle is also found in the Himalaya at altitudes of 3,000 to 5,200 m asl, mainly in Garhwal and Kumaon. *Rheum webbianum* Royle is found in the western and central Himalaya at altitudes of 3,000 to 5,000 m asl (Khare, 2007). *Rheum spiciforme* Royle is present in Kashmir and in the drier areas of Sikkim and Kumaon at altitudes ranging from 1,700 to 5,500 m asl.

*Rheum* is a perennial herbaceous genus with valuable medicinal properties which is being used in traditional medicine since ancient times (Saggoo & Farooq, 2011). The rhizomes and roots of *Rheum* are often used as

<b>Botanical Name</b>	Common Name	Habitat	Properties
Rheum australe D. Don [Rheum emodi Wall. ex Meissn.]	Indian Rhubarb, Himalayan Rhubarb	Sub-alpine Himalaya covering Kashmir to Sikkim with altitude ranging from 3,300–5,200 m asl.	Purgative, astringent, and aperient. Used for atonic dyspepsia. However, it is not advised for patients of rheumatism, gout, and epilepsy.
Rheum moorcroftianum Royle	Archa, Chukri, Chuchi, Lechu, Revandchini, Rhubarb, Usharerevand	Kashmir, Garhwal, and Kumaon at altitudes of 3,000–5,200 m asl.	Exhibits properties similar to those of <i>R</i> . <i>australe</i> .
<i>Rheum webbianum</i> Royle	Archa	Western and central Himalaya at altitudes of 3,000–5,000 m asl.	Antispasmodic, muscle relaxant and antiseptic.
Rheum spiciforme Royle	Spiked Rhubarb	Kashmir, Kumaon, and Sikkim at altitudes of 2,700–4,800 m asl.	Purgative.

**TABLE 6.1** Rheum Species Present in the Indian Subcontinent

Source: Adapted from: Khare (2007).

purgative, laxative, and tonic. The dried root powder is also used for quick healing of ulcers and wounds and for cleaning teeth (Kaul, 1997). Its small dosage is given for treating indigestion and stomach disorders (Ballah & Churasia, 2009). *Rheum* rhizomes have also been reported as a potent source of anticancer drug (Rajkumar et al., 2010). Extracts prepared from its roots, bark, and leaves have been used to treat constipation since very old times and are still used in different herbal preparations (Wang et al., 2007).

The biological activities of *Rheum* species is attributed to the presence of numerous phytoconstituents such as essential oil, anthraquinones (emodin, aloe-emodin, chrysophanol, rhein, physcion), dianthrones, stilbenes, anthocyanins, flavonoids, anthraglycosides, polyphenols, chromene, chromanone, chromone glycosides and vitamins (Agarwal et al., 2001; Singh et al., 2010). These phytochemicals of Rhubarb exhibit various bioactivities such as cytotoxic, antioxidant, antimicrobial, antifungal, antitumor, antidiabetic, anti-proliferative, and immune-stimulating properties (Matsuda et al., 2001; Babu et al., 2003; Radika et al., 2010; Zargar et al., 2011).

# 6.2 AREA AND PRODUCTION

*Rheum* species particularly from Kashmir Himalaya are threatened of extinction due to the commercial exploitation. These are incorporated in the lists of endangered plants by various agencies like IUCN, WWF, and UNEP (Kabir et al., 2015). For trading *Rheum* internationally, either a 'certificate of cultivation' or a 'legal procurement certificate' is required from the designated authorities of the forest (Nautiyal et al., 2002). The Government of India has also setup various schemes that encourage farmers to cultivate *Rheum* species. In Indian market, the dried roots of *Rheum* species are sold at 50–55 INR per kg. Under the National Ayush Mission of National Medicinal Plant Board, 50% subsidy is allocated for the cultivation of *Rheum australe*. For the year 2016–2017, the cost of cultivation of *R. australe* was 1,18.592 INR per acre (National Medicinal Plant Board, Ministry of Ayush, GOI).

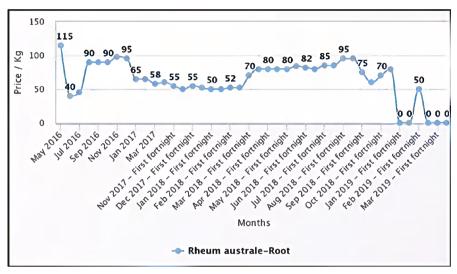
## 6.3 MARKETING AND TRADE

In modern medicine, medicinal plants are used directly or indirectly as a source of bioactive compounds for the synthesis of drugs or as markers to discover novel bioactive constituents. This involves a new approach of integrated drug discovery in which traditional knowledge synergizes with extraction of crude drug from plant sources (Katiyar et al., 2012). As per the World Health Organization estimation, the yearly demand for medicinal plant based raw material for drug preparation is approximately 14 billion USD with an annual increase 15 to 25%. India stands in second position (next to China) as largest supplier of raw material of medicinal plants. In India, the estimated trade of medicinal plants is 1 billion USD per year (Kala et al., 2006). Table 6.2 shows the estimated annual trade of *Rheum* species in India. In India, the roots of *R. australe* are mainly traded with an estimated annual trade of 100–200 MT. Figure 6.1 depicts the market price of *R. australe* roots in New Delhi, India.

Botanical Name	Trade Name	Part Used	Estimated Annual Trade (MT)	Market Rate (INR)
Rheum australe	Revan Chini/ Dolu/Archa	Root (rhizome)	100–200	Dried roots: 55/– per kg Extract: 750/– per kg
Rheum moorcroftianum	Revand-chini/ Amlaparni	Root (rhizome)	<10	Dried roots: 50–55/– per kg Extract: 750/– per kg
Rheum webbianum	Revand-chini	Root (rhizome)	<10	Dried roots: 50–55/– per kg Extract: 750/– per kg

TABLE 6.2 Estimated Annual Trade of Rheum Species in India

Source: Anonymous (2021).



**FIGURE 6.1** Market price of *Rheum australe* roots in New Delhi, India. *Source:* Anonymous (2020).

#### 6.4 COMPOSITION AND USES

*Rheum australe* is used in various traditional systems of medicine to treat numerous ailments related to the circulation, digestion, endocrine, respiratory, and skeletal systems. It is also used to treat various bacterial infections (Keser et al., 2019). These medicinal properties are due to the presence of bioactive compounds such as anthraquinones (emodin, aloe-emodin, rhein, physcion, chrysophanol, and their glycosides) and stilbenoids (piceatannol, resveratrol, and their glycosides) in addition to dietary flavonoids which are recognized for their potential health uses (Rehman et al., 2014; Pandith et al., 2018). Rhizomes of *R. moorcroftianum* contain emodin, emodin-8-O- $\beta$ -Dglucosides, chrysophanol, chrysophanol-8-O- $\beta$ -D-glucosides and physcion (Rawat et al., 1989) which are responsible for various pharmacological properties like antifungal, immune-modulatory, antioxidant, nephroprotective, and hepatoprotective activities (Zargar et al., 2011).

*Rheum webbianum* also possesses various biological activities. The roots and stems of the species are rich in anthraquinones, such as emodin (1,3,8-trihydroxy-6-methyl-9,10-anthraquinone) and rhein which are responsible for cathartic and laxative effects. Due to the presence of these bioactive compounds, Rhubarb is used sporadically as a slimming agent. Various *in vitro and in vivo* studies have proved that the rhizomes of *R. webbianum* 

contain a bioactive compound - desoxyrhapontigenin that exhibits smooth muscle relaxant activity. The paste of fresh rhizomes is applied on burns, blisters, and boils to check the formation of scars (Khare, 2007). Roots are eaten as vegetable; dried powdered flowers and seeds are used to treat urine blockage, kidney stones and indigestion (Thakur et al., 2020). The roots contain two new stilbene glycosides, i.e., 4'-O-methylpiceid and rhapontin that exhibit moderate a-glucosidase inhibitory activity. Anthraquinone glucoside (pulmatin), chrysophanein, and physcionin isolated from the roots exhibit cytotoxic activity against various cancer cells (Srinivas et al., 2007). Various polysaccharides are present in the roots and rhizomes of R. webbianum including lyxose, glucose, galactose, xylose, rhamnose, mannose, and ribose (Khare, 2007). This species is used for treating boils, wounds, and abdominal disorders including indigestion and flatulence (Chaurausia & Ballabh, 2009). It also acts as memory enhancer in senile patients (Tayade et al., 2012). Rheum spiciforme is rich in emodin, aloe-emodin, and rhein (Tabin et al., 2016a). Roots of R. spiciforme are used to treat wounds, inflammation, bone fracture, backache, stomachache, joint pain, rheumatic pain, intestinal infections and dysentery (Ganie et al., 2014). Leaves are cooked as vegetable (Miao, 2001; Khan et al., 2016).

#### 6.5 ORIGIN AND DISTRIBUTION

Rheum species are herbaceous plants distributed in the Himalaya from Kashmir to Sikkim. In Himachal Pradesh, it grows in Chhota Bhangal and Bara Bhangal of Kangra district, Pangi-Bharmour of Chamba district, Parvati valley of Kullu district, Dodra Kawar, Khashadhar, and Rohru ranges of Shimla district, Kinnaur district, and in Lahaul and Spiti district. Rheum australe is distributed in the temperate and alpine zones of the Himalaya from Kashmir to Sikkim. The plant is a fleshy, branched, and erect herb with large petiolated leaves and bears dark purple flowers (Lee et al., 2017). Originally, the plant was cultivated for a long time in the mountainous region of North-West provinces of Tibet and China. In China, the therapeutic properties of Rheum are well-known since 2700 BC (Dymock et al., 1890), and its documentation was first of all done in the earliest global book on Materia Medica, 'Shen Nong Ben Cao Jing' in 270 BC (Fang et al., 2011; Xiang et al., 2020). Rheum australe is presently reported endemic to the Himalayan region at elevations ranging from 3,300 to 5,200 m asl and covers the areas of India, Pakistan, Nepal, Bhutan, China, and Myanmar. The distribution of *R. australe* varies widely due to its inhabitation in grassy or rocky slopes, forests areas, crevices, and moraines, between boulders and near streams of the specific regions.

The first record of *Rheum moorcroftianum* was found in the Himalayan region of Kashmir. It is widely distributed in Garhwal and Kumaon at altitudes of 3,000 to 5,200 m asl. *Rheum webbianum* is a perennial herb with 80 to 90 cm tall stem, large petiolated leaves and small light yellow flowers. It is widely distributed in the Himalayan region covering Nepal to Kashmir at an altitude of 3,000 to 5,000 m asl (Saggoo & Farooq, 2011). *Rheum spiciforme* is distributed in Kashmir, Kumaon, and Sikkim Himalaya at an altitude of 1,700 m to 5,500 m asl (Tabin et al., 2016b).

#### 6.6 BOTANY AND TAXONOMY

*Rheum* species are robust, perennial herbaceous plants with thick and long roots. The stem is stout, and is marked with green and brown colored streaks. Leaves are large, and shiny. The leaves are round in structure with diameter ranging from 20 to 60 cm. The flowering stem is quite distinctive, bearing red to purple colored numerous flowers on a single spike. The fruits are purple with narrow wings, a rounded heart-shaped base and notched apex.

Rheum australe is 1.5 to 3 m tall, robust, broad leaved perennial herb with fleshy rhizomes. The stem is hairless containing green and brown streaks with a shade of purple to red. Rhizomes are 6 to 12 inches long and their surface is dull orange to yellowish brown, less scented, coarser, and untrimmed. Roots and rhizomes are mainly used as raw material for drug preparation and are harvested between October and November. Leaves are round, heartshaped, thick, rough, generally ovate, base cordate, subscaberulous from the above and papillose from the below, entire margin and sinuolate with a flat apex. The roots are laxative, tonic, and astringent, while tuber is pungent and bitter. The upper leaves are small in size, while basal leaves are large (up to 60 cm). Flowering of R. australe occurs from June to August, while fruiting takes place from July to September (Li et al., 2003). Flowers are dark reddish-purple or yellowish arranged in dense branched clusters in 1 ft long inflorescence. The size of perianth is 3 to 3.5 mm with small oblong-elliptic outer parts. The filaments are subulate. The stigma is muricate and oblate, the ovary is rhomboid-obovoid. The fruit is ovoid-ellipsoid, large (0.5 to 1.5 cm), oblong with purple coloration and possess wings at its sides. Plants can be propagated either by seeds or by rootstock.

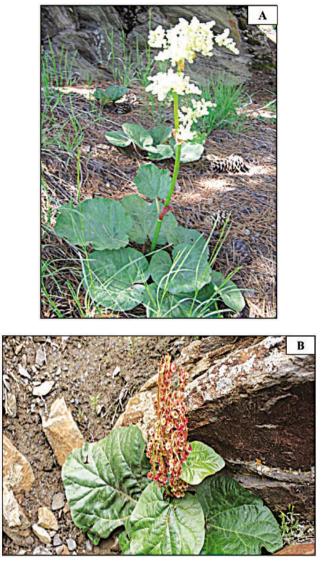
*Rheum moorcroftianum* is a fleshy, perennial herb that varies in height from 0.3 to 1.8 m. The stem bears radical leaves with diameter 10 to 60 cm. The leaves are thick, leathery, puberulous underneath with cordate base and undulate margins. The flowers are light yellow in color that arises in fleshy, glabrous racemes from the center of the large and stout radical leaves. The fruit is broadly oblong or orbicular in appearance and pink-purple in color (Chauhan, 1999).

*Rheum webbianum* possess basal and cauline leaves. Basal leaves are orbicular or broadly ovate, large (30 to 60 cm in length), pubescent abaxially, papilliferous or muricate adaxially, with subcordate base, deeply palmately 5-lobed, central 3 lobes pinnatisect and narrow acute apex. The stem leaves are few and smaller as compared to the basal leaves which possess a large panicle and articulated pedicels and nine stamens. The ovary is broadly ovoid. The root is fleshy, straight, dark brownish from outside and yellowish or reddish-yellow from inside with 30 cm x 10 cm length and diameter, respectively. The stem is hollow, fleshy, finely sulcate, and non hairy. Leaves are large, triangular in shape, with long and thick petioles. The flowers are small, white to red, and grouped to form large compound leafy inflorescences (Tabin et al., 2016c).

Equally, *R. spiciforme* is a stout herb. Petiole of basal leaf 3 to 10 cm long, purplish red, subterete, stout, glabrous or papilliferous; leaf blade dark-green or yellow-green adaxially, purple-red abaxially, ovate or broadly ovate elliptic, coriaceous, papilliferous or glabrous abaxially and adaxially, rounded subcordate or base, margins slightly sinuolate, and the apex obtuse. The leaves are radical (15 to 30 cm long), brownish-red in color with 7 to 15 cm long petiole, hard, glabrous, coriaceous, orbicular, broadly ovate or cordate. The inflorescence is pedunculate, glabrous, 10 to 30 cm long spike-like raceme. The flowers are small (2 to 3 mm), bracteate, ovate, and scarious with 2 to 7 mm long pedicel. The perianth segments are small, entire, obtuse, 2 to 3 mm long, yellowish or reddish in color. Fruit are ellipsoid or oblong with 0.5 to 1.5 cm length, rounded at the apex and contain membranous wings (Tabin et al., 2016c).

#### 6.7 VARIETIES AND CULTIVARS

Various varieties of cultivated Rhubarb which are usually grown for food are recognized as *Rheum* x *hybridum* in the Royal Horticultural Society's list of recognized plant names (Clementi & Misiti, 2010). Figure 6.2 illustrates the *Rheum* species of Lahaul and Spiti (H.P.), India.



**FIGURE 6.2** *Rheum* species – (A) *Rheum australe*; and (B) *Rheum webbianum* of Lahaul and Spiti (H.P.), India.

## 6.8 SOIL AND CLIMATE

*Rheum* species are found abundantly in the western and central Himalaya, at altitudes ranging from 2,700 to 5,200 m asl, on well-drained, alpine slopes and on rocky outcrops. Generally, *Rheum* is found in moist type of climate

where there is no dearth of moisture or near water streams, on the banks of rivulets. However, in the two districts of Himachal Pradesh, it is found in different climatic conditions. In Spiti for instance, it is found in a comparatively dry type of climate, compared to Kinnaur where rain precipitation is more abundant with a soil type that is better and more suitable for cultivation.

## 6.9 PROPAGATION AND ROOTSTOCK

Rheum can be propagated through seeds and top rhizome segments from mother plants. In the months of September to October, collection of the seeds is done. The rate of seed germination is very high and requires no specific pretreatment. Rheum australe is prevalent to the Himalavan region and is distributed in grassy or rocky slopes and in forest areas at an altitude of 3,300 to 5,200 m asl (Li et al., 2003). Rootstocks or seeds are used for propagation. On planting in soil, the pieces of the rootstocks sprout successfully during May to June. Seeds get mature at the end of October and show successful germination when sown in their natural habitat or in cultivated land at the start of November (Nautiyal et al., 2002), or during February to April (Bhattarai & Ghimire, 2006). After sowing, germination starts after 7 to 10 days and continues for about one month. Better germination is achieved by soaking seeds in water for 10 to 12 hours prior to sowing (Bhattarai & Ghimire, 2006). Seedlings should be maintained in the same sown area for one year and transplanted to another place in March or April. Propagation is successful in well-drained, porous, sandy, and humus rich soil. Crop is ready for harvesting after a year in which one can get petioles rich in active ingredients. However, good rootstocks rich in bioactive constituents are harvested four to five years after germination (Nautiyal et al., 2002). In vitro techniques have also been developed for the mass propagation of R. australe from various parts including shoot tips, stem segments, leaves (Lal & Ahuja, 1993) or rhizome buds (Pandey et al., 2008; Malik et al., 2009).

#### 6.10 PLANTING, IRRIGATION, AND NUTRIENT MANAGEMENT

1. Raising Propagules: In open sites, seedlings of *R. australe* are grown in March, whereas in sheltered places grown in February. Sowing of the seeds is done in lines with 50 cm spacing. In temperate regions, the sowing is done in the spring season, i.e., March to early April. Seeds take one month to germinate completely.

- 2. Propagule Rate: For raising a nursery in 1 hectare of land with a spacing of 50 cm x 50 cm, there is a requisite of approximately 600 g of seeds.
- 3. Planting in the Field:
  - i. Preparation of Land and Application of Fertilizer: The land is prepared by ploughing to get fine tilt and proper aeration. Basal dose of farmyard manure (FYM) at the rate of 10 tones/ hectare may be applied for soil containing 1–1.5% of organic carbon.
  - **ii. Transplanting and Optimum Spacing:** Plants are transplanted in May at the age of three months. One hectare of land can accommodate a a crop stand of 40,000 plants with the optimum spacing of 50 cm x 50 cm.
  - iii. Intercropping System: Intercropping is not possible with *Rheum australe* after second year as it occupies most of the soil surface. However, during the first year annual vegetable crops or other medicinal plants can be intercropped with *Rheum australe*. Intercropping is also successful with *R. moorcroftianum* which possess similar medicinal properties like *R. australe*.
  - **iv. Interculture and Maintenance Practices:** Before transplantation, half dose of nitrogen and full dose of potash and phosphorus are recommended along with the basal dose of FYM (10 tones/hectare). Remaining nitrogen is applied as top dressing six to eight weeks after transplanting *Rheum*.
  - v. Irrigation Practices: Irrigation is done immediately after transplanting the propagules followed by light irrigation at four-week intervals. During summers, light sprinkler irrigation is useful for the growth of the crop. However, excessive watering during winters may decay underground rhizomes.
  - vi. Weed Control: During the initial growth period of the crop, regular weeding should be done at an interval of 15 to 30 days. Weeding during monsoons has also proved to enhance plant growth.

# 6.11 DEADHEADING

Deadheading of spikes is done after flowering. Deadheading is done to remove flowers from plants when these are fading or dead. It is done to encourage more blooms on plants.

# 6.12 PEST AND DISEASE MANAGEMENT

The crop is often infected with *Fusarium* species. As a control measure, soil drenching is done with recommended dose of Carbendazim 50, i.e., at the rate of 2 g/liter of water. To prevent the attack of insects and aphids in early stage of the plant growth, spray with Ecalux at the rate of 0.5% is recommended twice at an interval of 10-15 days.

# 6.13 HARVESTING AND YIELD

- 1. Crop Maturity and Harvesting Time: Crop rose from seedlings get mature within four to five years. However, when grown from rhizome segments *Rheum* takes two to three years to get mature. After one year, flowering occurs in the months of May and June. The plants get ready for harvesting after the onset of fruiting in September and October. The plants reach maturity within four growing seasons.
- 2. Post-Harvest Management Practices: Cleaning of the root and rhizome is done followed by washing, chopping, and drying in shade. Packing of the dried crude drug material is done in airtight and moisture free containers.
- **3.** Yield and Cost of Cultivation: After three years, the expected yield ranges from 37 quintals to 66 quintals/hectare. For 2016 and 2017, the cost of cultivation of *R. australe* was calculated at 1,18,592 INR per acre (Anonymous, 2021).

# 6.14 POST-HARVEST HANDLING AND STORAGE

To increase the shelf life of the plant material during storage, the essential guidelines are followed after harvesting the *Rheum* species. These are given as follows:

- i. Only mature parts are collected.
- ii. After collection, the material is immediately shade dried.
- iii. Plant parts are dried completely before packaging and storage.
- iv. The plant material is properly stored to minimize losses.

The following steps are involved in the post harvest handling and storage of *Rheum* species:

1. Cleaning, Sorting, and Grading: Cleaning and sorting is done to remove infested, immature, and undesirable matter such as dust, sand, stone, etc., from the plant material. Grading is done after

cleaning and sorting. The plant material is graded on the basis of its quality according to the market potential.

- 2. **Packaging:** To protect the plant material from extraneous matter or to prevent loses during storage, handling or shipment; the packaging is done in air tight containers.
- **3. Storage:** The plant material is stored in cool and dry place to avoid contamination and deterioration. Low storage temperature is preferred to avoid breakdown of phytoconstituents or quality loss. Desiccant is used to maintain low humidity in the containers.

# 6.15 PROCESSING OF RHEUM SPECIES

Figure 6.3 depicts the schematic procedures for extraction, isolation, identification, and characterization of phytoconstituents in *Rheum* species. Roots of *Rheum* are first grounded to a fine powder. Powdered roots are then subjected to cold maceration process using various organic solvents of increasing polarity. Crude extract of *Rheum* roots is thus obtained containing

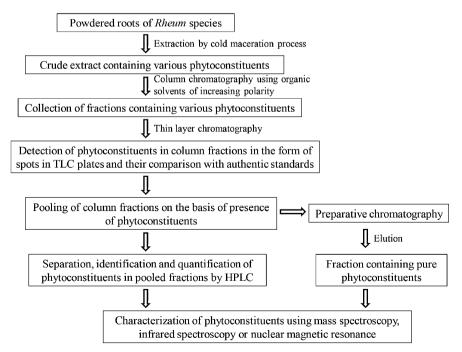


FIGURE 6.3 Extraction isolation and identification of phytoconstituents from Rheum species.

various phytoconstituents. The extract is further fractionated using column chromatography. Elution of various fractions is done using various solvent mixtures. The fractions thus obtained are checked for various phytoconstituents using thin layer chromatography. Authentic standards are used to detect and confirm the presence of phytoconstituents in fractions of *Rheum* root extract. Column fractions are pooled on the basis of the presence of phytoconstituents. The collected fractions can further be subjected to HPLC for further separation, identification, and quantification of phytoconstituents. Preparative chromatography is employed to purify the individual phytoconstituents can be done by mass spectroscopy (MS), infrared (IR) spectroscopy or nuclear magnetic resonance (NMR).

## 6.16 CHARACTERIZATION OF PHYTOCONSTITUENTS

More than 250 anthraquinones have been isolated from different parts of *Rheum* species by various researchers (Zargar et al., 2011; Rokayaa et al., 2012). Emodin is an important anthraquinone present in *Rheum*. Fang et al. (2011) reported five free anthraquinone derivatives (emodin, aloe-emodin, rhein, chrysophanol, and physcion) from *Rheum* species which account for their purgative (Sun, 1992), antimicrobial (Wang et al., 2010) and hepatoprotective (Ho, 1996; Wang et al., 2009; Zhao et al., 2009) properties. Among phenolic compounds, hydroxyanthraquinones, phenolic acids, stilbenes, flavonoids, and tannins are mainly present in *Rheum*. Table 6.3 illustrates a list of phytoconstituents isolated and characterized from various *Rheum* species.

## 6.17 VALUE ADDITION OF RHEUM SPECIES

Value addition of medicinal plants is essential for enhancing commercial and therapeutic value of the crude drugs. Value addition is important either due to low quality of the authentic plant material or their active constituents may not meet to the pharmacopeia standards or to the requirement of the industry or consumer. There may be the chance that the crude drug get rejected or accepted at a low price. This is likely to affect the cultivators or collectors who may lose economically and also undermine the potency and efficacy of the raw drug for therapeutic applications. Value addition of *Rheum* can be achieved by quality enhancement of the collected plant material by semi-processing that ensure that the plant material stands as a value added product. The following steps are essential to add value to *Rheum* species:

Sl. No.	Plant Species	Plant Part	Isolated and Characterized Phytoconstituents	References
1.	R. australe	Roots	Emodin 8-O-β-D-glucopyranosyl- 6-O-sulfate, carpusin, maesopsin, 10-hydroxycascaroside C, 10-hydroxycascaroside D, 10R-chrysaloin 1-omicron-β-D- glucopyranoside and 8-omicron-β-D- (6'-omicron-acetyl) glucopyranosyl chrysophanol, flavonoids, chromones, anthrones, stilbenes, oxanthrone ethers and esters, lignans, carbohy- drates, phenols, and sterols.	Krenn et al. (2003, 2004); Pandith et al. (2018)
		Rhizomes	Rheinal, rhein-11-O-β-D- glucoside, 1,8-dihydroxy anthraquinone (6-methyl-rhein-1, 6-methyl-aloe-emodin)	Agarwal et al. (1999); Mohib & Shingare (2004); Singh et al. (2005)
2.	R. moorcroftianum	Rhizomes	Emodin, chrysophanol, emodin-8-O- β-D-glucosides, chrysophanol-8-O-β- D-glucosides, physcion	Rawat et al. (1989)
3.	R. webbianum	Whole plant	3',5'-Dihydroxy-4-methoxystilbene (desoxyrhapontigenin)	Chaudhari et al. (1983)
		Roots	1,8-dihydroxy-3-methyl- anthraquinone, 1,6,8-trihydroxy- 3-methyl-anthraquinone and 1,8-dihydroxy-3-hydroxymethyl- anthraquinone.	Khetwal & Pathak (1988)
4.	R. spiciforme	Roots	Emodin, aloe-emodin, and rhein	Tabin et al. (2016a)

TABLE 6.3 Phytoconstituents Isolated and Characterized from Rheum Species

- 1. Collection in the Proper Seasons: The plant material is collected in the appropriate season, because of the concentration of phytochemicals in plants varies according to the seasons. Accordingly, the efficacy and the potency of the crude drugs are affected as they do not contain the same phytochemicals all-year around or at the various stages of plant growth. This needs to be considered while harvesting.
- 2. Purity Assessment by Quality Testing: Quality testing is done for the physico-chemical properties (proximate composition, secondary metabolites, etc.).

- **3.** Semi-Processing of Rheum Species to Add Value to the Products: Following value added products are formed from *Rheum* species:
  - **i. Powder:** The plant material is cleaned thoroughly, dried, and finally pulverized to powdered form. The powder is sieved to get a homogenous powder.
  - **ii. Tablets/Capsules:** The homogenous powder is converted into more compact form of a tablet or its desired dose is packed into a capsule by mixing with a suitable binding agent.
  - iii. Extracts: The semi-processing of the medicinal plants in the form of extracts considerably enhance their value. Extracts can be prepared by extracting the dried and powdered plant material with suitable solvents of various polarities (hexane, petroleum ether, chloroform, ethyl acetate, acetone, methanol or water) via cold maceration process or hot extraction in a soxhlet extractor. The solvents are then distilled off under reduced pressure using rotary evaporator maintained at 40°C to obtain the crude extract. These crude extracts are then concentrated by lyophilization. Further standardization is done to achieve a desired concentration of the bioactive compounds present in the extracts.

## 6.18 BIO-PROSPECTION OF RHEUM SPECIES

Rheum species are potent in curing various diseases. Rheum australe is purgative, antioxidant (Yen et al., 2000; Kuo et al., 2002; Cai et al., 2004; Ganie et al., 2014), astringent, cytotoxic (Kubo et al., 1992), antiviral (Semple et al., 2001), nephroprotective (Kala, 2002; Rajkumar et al., 2010), antimicrobial, antitumor (Akhtar et al., 2009), anti-inflammatory (Malik et al., 2009), hepatoprotective (Ho et al., 2007; Radhika et al., 2010), antidiabetic (Alam et al., 2005), and effective for curing stomachache and skin diseases (Kapoor, 1990; Prasad & Purohit, 2001). Moreover, it is used in textile dyeing (Srivastava et al., 2015), in cosmetics and to impart color to the food (Kong et al., 2004). Rhizomes of R. moorcroftianum have purgative effect and are also used to dye wool. The long and fleshy petioles are consumed either raw or in cooked form (Peigen et al., 1984). Rheum webbianum is used to treat wounds, boils, and stomach disorders like indigestion and flatulence (Chaurasia & Ballabh, 2009). It is also helpful in treating cancers (Srinivas et al., 2007). Rheum spiciforme is used to treat rheumatic pain, bone fractures, backache, joints pain, boils, and wounds (Hesse, 1895).

# 6.19 COMMERCIAL/PHARMACEUTICAL PRODUCTS AVAILABLE

Various *Rheum* commercial products are available in market in the form of capsules, powder, oil, etc.

# 6.20 TECHNOLOGY TRANSFER/PATENTS

Some patents relating to the use of *Rheum* species as herbal drugs are available:

- 1. **DE102006015575A1:** Roots of *Rheum* species extracted with methanol followed by separation of lipophilic material and condensation of the fraction containing active ingredient which further used to prepare skin care cosmetics.
- 2. US20110274635A1: Extracts of *Oldenlandia diffusa*, *Rheum undulatum*, and *Broussonetia kazinoki* used to prepare whitening composition for applying in the external skin.

# **KEYWORDS**

- anthraquinones
- bio-prospection
- deadheading
- Himalaya
- nutrient management
- post-harvest handling
- rheum
- rhizome
- stilbenoids

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# **CHAPTER 7**

# Rose (*Rosa* sp.) More Than Just Beautiful: Exploring the Therapeutic Properties of the Rose Species

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#### ABSTRACT

Rose is one of the most ancient and popular flowers grown around the world over millions of years, embedded in the long history of human development and traditional practices. Rose, a well-known symbolic representation of love and beauty are found in various traditional cultures. According to fossil evidence, rose is at least 35 million years old with historical use dating back to ancient Persia. Genus Rosa, belonging to the Rosaceae family, contains approximately 200-350 recognized species and 18,000-30,000 cultivars acknowledged in 'The Plant List' of the Royal Botanic Gardens, Kew, and the Missouri Botanical Garden. In nature, rose species are grown widely in the Northern hemisphere, in places like Asia, Europe, North America, and northern Africa. The beauty of roses and their fragrance have led to its enormous production as ornamentals in various countries; rose flowers are currently one of the most important global commodity. Both rose flowers and fruit are harvested and have been used medicinally around the world for thousands of years. While the horticulture industry has developed numerous species and cultivars for the beauty aspect of rose, so far, rose natural and medicinal products with high medicinal potency are made according to traditional practices and traditional medicinal products are made from the same species which were used in ancient time. However, in recent years, rose medicinal products (rose flower water, rose essential oils, rose concrete

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(solvent extraction of fresh rose flower, non-steamed, and external moisture free), rose absolute (solvent extracted rose essential oil) have become popular and the demand for different rose cultivars has grown exponentially. In addition, the trade of rose hip, and rose tea has increased because of the rich pharmacological and therapeutic properties. Curative properties include: anti-inflammatory, pain-relief, anti-HIV, antioxidant, antibacterial, antitussive, anti-diabetic, hypnotic, and a relaxant effect on tracheal chains. Moreover, the symbolic feature of rose is embedded in love, romance, motherhood, and beauty, while the rich compounds support widely people's health. Rose thus is portrayed as one of the most essential plants in the world.

#### 7.1 GENERAL INTRODUCTION

Roses, important species of the Rosaceae family, and are popular as ornamental plants, commonly called "king or queen of flowers" (Nikbakht, Kafi, Mirmasoumi, & Babalar, 2005; Cai, Xing, Sun, Zhan, & Corke, 2005). The existence of roses have been found in fossils in Oregon, Colorado, Montana, and China (Haghighi, Tehranifar, Nikbakht, & Kafi, 2006; Becker, 1963). Dating back to 30–35 million years ago. The literature on the fragrance of roses is mentioned in ancient Sanskrit, Chinese, and the Assyrian records. Rose and petrified rose wreaths have been preserved in Ancient Egyptian tombs (Yaniv & Dudai, 2014; Shakywar, Tomar, & Patidar, 2016). Moreover, Cleopatra, the famous Egyptian beauty queen was well-known for her love for roses and their fragrances (Yaniv & Dudai, 2014).

In ancient lore, the Greek and Roman mythologies also described roses as the symbol of 'Venus,' referring to a deity of love and peace (Shakywar, Tomar, & Patidar, 2016). Rose oil and rose water were used in their religious ceremonies. Around 1,000 years ago, Avicenna (AD 980 to 1037) described the medicinal benefits of the damask rose, for cosmetic, gastrointestinal, and cardiac tonic use, and as an astringent on mucosal lesions, and for its anti-nociceptive and anti-inflammatory effects (Nayebi, Khalili, Kamalinejad, & Emtiazy, 2017). In ancient times, rose water was extracted by immersing flowers of the roses in warm water or macerated with olive oil (Yaniv & Dudai, 2014). Although distillation is a modern technique, the earliest record referring with rose oil is found in the works of Ibn Haldun (1332 to 1406). In the eighth and ninth centuries of India and China, Hardun further mentions that distilling rose flowers to produce rose oil was traded (Yaniv & Dudai, 2014).

Records show that the distillation of rose was already practiced in the Ottoman era. In the Ottoman palace and hospital in Edirne, Turkey, 15<sup>th</sup> century Gülhane rose works or rose distillation facilities were found. Gülhane

#### Rose (Rosa sp.) More Than Beautiful

(Giilhane) was also built in Istanbul between 1460 and 1478, where over 300 shops sold rose water in the 17<sup>th</sup> century (Yaniv & Dudai, 2014). In the mid-1600s, Culpeper wrote in his book 'The Complete Herbal' (1653) that roses were used in water or wine to cure headaches, eyes, ears, throat, heart, and stomach pains, and gums, and regulated menstruation (Potterton, 1983). Aghili Shrazi (AD 1670 to 1747) described how the medicinal properties of roses were used as a brain tonic and analgesic for various diseases (Nayebi, Khalili, Kamalinejad, & Emtiazy, 2017). In the 18<sup>th</sup> century, Bulgaria was highly productive in cultivating rose for producing rose oil and water, as the main supplier for the Ottomans (Yaniv & Dudai, 2014). Thus, the extended history between rose and humans shows that rose was one of the most attractive flowers for human beings, symbolizing love, passion, and romance for many (Shakywar, Tomar, & Patidar, 2016) (Figure 7.1).



FIGURE 7.1 Rosa spp., Japan (© Naoko Takahashi).

# 7.2 AREA AND PRODUCTION

Roses are one of the most produced and traded plants globally. The vast volume of the plant is traded as ornamental crops. The production of rose cut stems is estimated at 60 to 80 million per year and 220 million grown for landscape purposes (Debener & Byrne, 2014).

# 7.2.1 ROSE PRODUCTION

In 2012–2013, the main countries in the world producing cut roses were India, China, Ecuador, Colombia, Kenya, Mexico, Italy, Thailand, Japan, and the Netherlands (Bhagat, Badgujar, Bhosale, & Supe, 2019). India alone contributed 47% of the global share of cut flowers between 2012 and 2013 (Table 7.1).

Exporting Countries	Area (ha)	Share (%)
India	28,130	46.54
China	14,316	23.68
Ecuador	4,073	6.74
Columbia	2,588	4.28
Kenya	2,164	3.58
Mexico	1,421	2.35
Italy	1,221	2.02
Thailand	439	0.73
Japan	409	0.68
Netherlands	384	0.64

**TABLE 7.1** Cut Rose Production per Country between 2012 and 2013 (Bhagat et al., 2019)

## 7.2.2 ROSE EXPORT

According to the Agri Exchange of the Agricultural and Processed Food Products Export Development Authority of the Government of India (APEDA, 2019), Royal Flora Holland was the key global trader in importing and re-exporting 40% of rose flowers globally. However, over time, countries like Kenya and India have led. The global exporters depend on the expert destination (Table 7.2).

Exporting Countries	Export Destination Countries				
	USA	Germany	UK	France	
1.	Columbia	Netherlands	Netherlands	Kenya	
2.	Ecuador	Kenya	Kenya	Ethiopia	
3.	Netherlands	Italy	Italy	Ecuador	
4.	Canada	Ecuador	Ecuador	Belgium	

**TABLE 7.2** Export Destinations of Rose Cut Flowers in 2018 (APEDA, 2019)

## 7.2.3 ROSE IMPORT

According to the Agri Exchange of the Agricultural and Processed Food Products Export Development Authority of the Government of India (Agri-Exchange 2020), the USA was the largest importer in 2018 with a market valued at USD 636.54 million, followed by the Netherlands (USD 562.83 million), UK (USD 229.53 million), Russia (USD 192.34 million), Belarus (USD 177.15 million), and France (USD 157.26 million) (Table 7.3).

Importing	2016		2017		2018	
Countries	Qty (kg)	Value	Qty (kg)	Value	Qty (kg)	Value
USA	0	569.57	0	580.86	74,819,045	636.54
Netherlands	2,88,805,645	656.83	2,39,477,361	635.85	1,80,940,572	562.83
United Kingdom	29,663,580	215.97	29,013,201	210.04	51,608,703	229.53
Russia	24,684,406	180.68	28,929,348	179.83	41,851,469	192.34
Belarus	5,953,710	43.85	16,549,862	107.02	22,315,875	177.15
France	23,495,333	156.53	23,023,682	155.54	18,484,896	157.26
Belgium	0	41.66	40,852,254	42.93	21,818,271	103.55
Italy	11,733,055	77.52	11,410,699	75.33	12,538,894	83.03
Poland	4,868,452	29.64	8,839,399	48.19	13,220,414	75.82
Canada	0	54.16	0	58.68	0	59.64

**TABLE 7.3** Value of Rose Import per Country

Source: APEDA Agri-Exchange - March 2020.

However, the regions for rose oil production are more limited compared to the countries producing garden roses. It is mainly produced in Turkey, Bulgaria, Iran, Russia, Morocco, India, and China (Yaniv & Dudai, 2014). In Turkey for instance, 80% of rose cultivation for the rose oil production is located in the region of Isparta (Yaniv & Dudai, 2014). In Bulgaria, roses are mainly produced in the region of Kazanlik.

# 7.3 MARKETING AND TRADE

Roses are the largest traded flowers in the world (Bhattacharjee & Banerji, 2010), and among the most important economically viable genus of horticultural, aromatic, and medicinal plants. Roses are cultivated globally for ornamental purposes, perfumery industries, and medicinal properties (Hassanein, 2010). The flowers are largely traded for ornamental plants for parks, houses, and gardens. Rose medicinal products are also largely traded, as rose essential oils, rose waters and dried petals (Bhattacharjee & Banerji, 2010; Boskabady, Shafei, Saberi, & Amini, 2011; Jabbarzadeh & Khosh-Khui, 2005).

# 7.3.1 CUT FLOWERS

In the 1990s, the global cut and garden rose market was estimated to value approximately 11.7 billion USD per year; however, in 2008, the global rose

market production grew to approximately USD 28 billion (Jabbarzadeh & Khosh-Khui, 2005). The trade of rose cut flowers has grown exponentially in the world, and currently stands as the main trade on the European market (Center for the Promotion of Imports from Developing Countries (CBI), 2017a). Between 2011 and 2015, fresh cut rose imports increased from 588€ to 718€ million and are expected to further increase (CBI, 2017b). The market for Dutch rose cut flowers in 2018 was estimated at 10 billion USD (Ahmad, Dole, Khan, Qasim, Ahmad, & Khan, 2010) and the North American market was estimated at 1 billion USD (Debener & Byrne, 2014) in 2013. This reveals that the rose industry is a lucrative business worldwide worth 10 billions of dollars (Debener & Byrne, 2014). The main importers in Europe are the Netherlands, Germany, and the UK, which together constitutes approximately 70% of cut rose imports in the EU (CBI, 2017b). Furthermore, according to the CBI Market International Database 2017 (2017b), Germany was the largest importer of rose cut flowers in the world, increasing its import from €272 million to €309 million (approximately 322 to 365 million USD) between 2011 and 2015. The consumption of cut flowers per capita in Western and Southern European countries ranges from €20 to €50 annually, compared with Central and Eastern European countries and Spain which are relatively lower ranging from  $\in 10$  and  $\in 20$  per capita annually (CBI, 2017c). However, the highest consumption of rose cut flowers per capita are in Norway and Switzerland, over €80 per capita annually (CBI, 2017a).

Imports of cut roses within EU countries are mainly distributed from the Netherlands (40%) (CBI, 2017a). Other supplying countries of rose cut flowers out of Europe are Kenya, Ethiopia, and Ecuador, €343 million, €157 million, €132 million, respectively. Zimbabwe, Israel, and Columbia follows. The growing market demand is leading to the development of new rose industries, such as those produced in India, Malawi, Nigeria, Swaziland, Uganda, and Tanzania, which also export to Europe. Previously, the Netherlands was the primary producer of rose cut flowers; however, the export volume has gradually fallen due to the emergence of competitive countries; in particular, the sweetheart rose production has largely shifted to East Africa. The Dutch production therefore decreased from 932 hectares in 2000 to 280 hectares in 2015 (CBI, 2017a). However, the Dutch roses still maintain a prime position on the world market of rose cut flowers' ability to provide various varieties.

However, with the recent increased supply of cut flowers from developing countries, the sustainability and the ethical aspects of flower production have come to the forefront. Scientists have pointed to numerous unethical practices, such as environmental exploitation, basic rights violation especially of the vulnerable producers, and growing inequality between the Global North and South (Gichure, 2010; Raynolds, 2012). While the Southern countries provide the scarce land and water for the business, cheap labor is often exposed to toxic chemicals (Maharaj, 1995). In line with European legislation, EU retailers are therefore increasingly demanding responsible and environmental friendly certificates. They require that the suppliers comply with production standards-MPS-ABC, Global G.A.P., and Fairtrade and Ethical Trading Initiative (ETI) (CBI, 2017a). Thus, Southern countries are compelled to develop new standards and codes of practices; for instance, the Kenya Flower Council (KFC) has adopted the 'KFC Code of Practice,' and 'the Code of Practice for Sustainable Flower Production,' certificates which the Ethiopian Horticulture Producer and Exporters Association (EHPEA) (Rikken, 2010) deliver.

#### 7.3.2 COSMETIC, MEDICINAL, AND PERFUMERY INDUSTRIES

Turkey and Bulgaria are well known for their rose essential oil production which supplies mainly the cosmetic and perfumery industries and largely destined for export (Kovacheva, Rusanov, & Atanassov, 2010). Bulgaria and Turkey supply 80 to 90% of the global rose oil market (Gunes, 2005; Ucar, Kazaz, Eraslan, & Baydar, 2016); however, Bulgarian rose oil is mainly exported to France, Germany, the USA and Japan (Kovacheva, Rusanov, & Atanassov, 2010; Chalova, Manolov, & Manolova, 2017). The annual production of rose oil in 2014 was estimated at 15,000 kg worldwide, out of which Bulgaria and Turkey accounted for 85% of the rose oil demand worldwide (Kovacheva, Rusanov, & Atanassov, 2010; Ucar, Kazaz, Eraslan, & Baydar, 2016). The average price also increased from 3,217 Euros/kg in 2001 to 4,600 Euros/kg in 2008 for Bulgarian rose oil (Kovacheva, Rusanov, & Atanassov, 2010). Overall, the global rose oil market is forecasted to increase to USD 442.0 million by 2025, compared to USD 278.7 million in 2018., According to Grand View Research, Inc. (2019), this represents an increase of 6.8% for the period 2019–2025. Per usage, the fragrance and cosmetics application dominates with an overall share of 71% in 2018 for the global rose oil market. Furthermore, organic farming is generating additional benefits, and pushes the price of rose oil to approx 20% higher compared to rose grown in regular agricultural practices (Kovacheva, Rusanov, & Atanassov, 2010). Besides Bulgaria and Turkey, roses for producing rose oil are also cultivated in Iran, Morocco, India, Afghanistan, Pakistan, France, Saudi Arabia, and China. While the production of rose oils from Turkey and Bulgaria is mainly for export purposes, rose oils from other countries are mainly sold for domestic use.

Iran has grown roses for medicinal and perfumery products throughout history. The flowers are cultivated on approximately 1,610 ha, and produces 5,483 tons of flowers, with an average yield of 3,410 kg/ha. Roses are mainly produced in the Isfahan and Azerbaijan western regions and in eastern Azerbaijan, Horasan, Kashan, Semnan, Gilan, Merkezi, and Yazd provinces. In Iran, Isfahan, and western Azerbaijan regions hold 90% of the rose farms and 92% of the production. While Iran produce rose flower as almost as high as Turkey and Bulgaria, its global trade is limited; rose water is more common in the country and mainly used for domestic purpose. In Morocco, French companies like *L'Oreal* and *Beyond Beauty* cultivate rose flowers which are processed in the factories and finally exported to France. In India, China, and Saudi Arabia, most of the rose oils are produced for domestic use (Yaniv & Dudai, 2014).

## 7.4 COMPOSITION AND USES

Numerous species in the genus Rosa have medicinal properties, and a wide spectrum of identified phyto-chemicals; these include sugars, organic acids, terpenoids, flavonoids, cyanogenic glycosides, pholoretin, tannins, vitamin A, B, C, E, K, and minerals, and particularly potassium and iron. The phytochemicals holds diverse medicinal properties: astringent, antiseptic, diuretic, anti-hemorrhoidal, anti-flammatory, relaxation, and anti-anxiety, antidepressant, anti-phlogistic, antispasmodic, anti-viral, aphrodisiac, and tonic properties (Grand View Research Inc., 2019; Alamgir, 2017). Edible use of rose hips has also been featured for its antioxidant activity and anti-inflammatory properties (Uggla, Gao, & Werlemark, 2003). The dominant species globally used for rose oil and water production are: Rosa damascena Herrm., Rosa gallica L., Rosa centifolia L., Rosa alba L., Rosa moschata Mill., and Rosa bourboniana L. (Edward rose) (Yaniv & Dudai, 2014). Rose damascena is known as the preferred species for essential oil distillation among other rose species, particularly for its yield and oil quality (Yaniv & Dudai, 2014; Pati, Sharma, Sood, & Ahuja, 2005; Baydar, 2006).

#### 7.4.1 WIDESPREAD USES OF ROSE

Humans have been using rose for multiple purposes; due to the rich chemical composition of roses and other attractive features and scent, they are used for decoration; medicinal purpose, essential oil, rose flower water, and herbal

tea, food, and cooking; and for perfume and cosmetic use. For instance, rose oil relaxes the mind and depression, stress, and tention (Haghighi, Tehranifar, Nikbakht, & Kafi, 2006; Boskabady, Shafei, Saberi, & Amini, 2011). In herbal medicine, it relieves digestive system problems, reduces thirst, relieves colds and supports the respiratory system (healing old cough), supports women's health, skin health and wound healing (Haghighi, Tehranifar, Nikbakht, & Kafi, 2006). Rose oil vapor thermal therapy relieves some allergies, headaches, migraine (Zargari, 1982). Rose oil (*Rosa damascena*) has been reported to exert anti-HIV properties which binds various proteins and inhibit HIV-infections (Mahmood et al., 1996).

The consumption of roses is also common and traditional in many countries; it is drunk as a tea for medicinal purposes, and consumed as jam and fruit juice (Uggla, Gao, & Werlemark, 2003). For instance, in Turkey, several traditional products – rose hip jam, rose hip pestil (a Turkish traditional sweet), rose hip syrup, and rose hip tea made with both fruit and roots – are widely available (Ercisli, 2007). Rose hips with their high content of vitamin C (300–4,000 mg/100 g) are well known (Uggla, Gao, & Werlemark, 2003; Ercisli, 2007). Moreover, rose hips contains other rich vitamins and minerals, and various phyto-chemicals (Ercisli, 2007).

## 7.4.2 TRADITIONAL THERAPEUTIC AND NUTRACEUTICAL VALUE

The nutritional and therapeutic effects of rose are relatively safe and used traditionally worldwide. In *Traditional Chinese Medicine* (TCM), roses are warming and bitter, supporting the stomach, liver, and spleen channels, balancing blood coagulation and moving the Qi, to break stagnation, relieve breast tenderness, premenstrual syndrome (PMS), balance emotions, belching, and poor appetite (He et al., 2018; https://yinyanghouse.com/). In *Ayurvedic medicine*, rose is considered to be sweet, bitter, pungent, astringent, and cooling. It balances the three doshas (namely, kapha, pitta, and vata); however, it increases kapha if taken excessively. Similarly to TMC, Ayurvedic practitioners and western herbalism also use rose for female reproductive system, the circulatory system and for the nervous system (Frawley & Lad, 2001).

## 7.5 ORIGIN AND DISTRIBUTION

Rose primarily originates from Iran and the Middle East region (Boskabady, Shafei, Saberi, & Amini, 2011; Brichet, 2003). Fossil records suggest that rose already existed in the last 35 million years (Yaniv & Dudai, 2014).

Due to popular human use and its resilience to various climate conditions, rose species spread across the northern hemisphere (Brichet, 2003). Globally, a large number of native rose species are found in China: 48 endemic species; 42 endemic species in the rest of Asia; 32 native species in Europe; six endemic species in the Middle East; seven in North Africa; and 26 endemic species in North America (Harkness, 2003). Nowadays, it is widely distributed across the Middle East, North Africa, Mediterranean, Europe, Asia, and North America. In Europe, it spreads down to the Arctic Circle; in North America, it is mainly located in Canada, the USA and Mexico; in the Mediterranean basin, its location occurs in the coastline; in Asia, it spreads widely west-to-east as far as India and Japan excluding desert and tropical areas (Brichet, 2003).

### 7.6 BOTANY AND TAXONOMY

According to the Plant List (2013), 366 species are recognized under the genus Rosa (The Plant List Version 1.1, 2013); however, due to its widespread, the genus Rosa species differs largely. Scientists estimate of the number of rose species varies from 100 species (Ercisli, 2007; Guner, Ozhatay, Ekim, Husnu, & Base, 2001; Rehder, 1940), 150 species (Takeuchi, Nomura, Uchiyama, & Yoneda, 2000), and up to 200–250 species (Yaniv & Dudai, 2014; Mahboubi, 2016). Furthermore, there are more than 18,000–30,000 cultivars (Cai, Xing, Sun, Zhan, & Corke, 2005; Shakywar, Tomar, & Patidar, 2016; Boskabady, Shafei, Saberi, & Amini, 2011) in the world which vary in form, shape, size, color, fragrance, and flowering habit in cultivation.

Rose grows as a perennial bush, spreading for ground cover and vertical for ornament. The stems are typically covered with thorns, and compound leaf composed of five to seven leaflets. Inflorescences are determinant, varying in panicle, corymb, or solitary. Typical flowers have five sepals and at least one five-petal layer, and numerous stamens (Mierow & Shestha, 1987). Color of the flower ranges from pink, red, white, yellow, orange, and combinations of these; however, most herbalists use red and pink flowers. The fruit of the flowers are termed 'hip' and contain high amount of vitamin A, B, and C (Shakywar, Tomar, & Patidar, 2016). Key Characteristics for rosa identification, are the prickly stems, alternate leaf arrangement, odd pinnate compound leaves, and the presence of hips (Harkness, 2003).

John Lindley (1799–1865), a pioneer of rose classification mostly classified the members of the genus *Rosa* (Harkness, 2003). At a later date, Alfred Rehder (1949) from the Arnold Arboretum in Boston (Rehder, 1940) divided

the botanical order of rose species. He grouped the species into four subfamilies (subgenera), three of which were monotypic (Takeuchi, Nomura, Uchiyama, & Yoneda, 2000). The four subgenera are Hulthemose (Harkness, 2003) or Hulthemia (Dumort) (Yaniv & Dudai, 2014) (also known as Simplicifolia) which means single leaves. Hesperhodos meaning 'western roses' in Greek, *Platvrhodon* referring to 'flaky roses' due to their bark peels, Eurosa (Harkness, 2003) derived from the Latin ura meaning east also found in wide areas of the northern hemisphere from Japan to North America (Harkness, 2003). All of the subgenus are monotypic, and composed of to one or two species except Eurosa; however Rehder (Takeuchi, Nomura, Uchiyama, & Yoneda, 2000) further divided into 10 sections. Detailed species names of each section are shown in Table 7.4 (Yaniv & Dudai, 2014: Matsumoto, Kouchi, Yabuki, & Kusunoki, 1998). Scientists have conducted molecular classification of the genus Rosa, which conformed to the traditional botanical classifications, and limited number of species were reported to belong to another large clade (Matsumoto, Kouchi, Yabuki, & Kusunoki, 1998). Popular rose species for a range of uses are shown in Table 7.5.

Subgenus	Section	Species
Eurosa	Pimpinellifoliae	<i>R. hugonis</i> Hemsl., <i>R. spinosisima</i> L. var. <i>lutea</i> Bean, R.fetida Herrm. var. <i>bicolor</i> (Jacq.) Willmott.
	Gallicanae	R. gallica L., R. centifolia L., R. damascena Herrm.
	Caninae	R. canina L., R. pomifera Herm., R. rubrifolia Vill., R. eglanteria L., R. glauca Pourr.
	Carolinae	<i>R. Carolina</i> L., <i>R. foliorosa</i> Nutt., <i>R. nitida</i> Willd., R. <i>virginiana</i> Mill.
	Cinnamomeae (Rosa)	R. rugosa Thumb., R. willmottiae Hemsl.
	Synstylae	R. moschata Herm., R. multiflora Thumb.
	Indicae	R. chinensis Jacq., R. gigantea Collett.
	Banksianae	R. banksiae Ait.
	Laevigatae	R. laevigata Michx.
	Bracteae	R. bracteate Wendl.
Platyrhodon	_	R. roxburghii Tratt.
Hulthemia	-	R. persica Michx.
Hesperhodos	_	R. stellata Woot. var. mirifica Cockerell

**TABLE 7.4** Commonly Used Species of the Genus Rosa and Subgenera

Source: Takeuchi, Nomura, Uchiyama, & Yoneda (2000); Matsumoto, Kouchi, Yabuki, & Kusunoki (1998)

Uses	Commonly Used Species
Essential oil (Özel, Göğüş, & Lewis, 2006)	Rosa damascena Herrm, Rosa gallica L., Rosa centifolia L., and Rosa moshata Herrm. (The main rose oil producer Turkey uses Rosa damascena Herrm.)
Flower water (Haghighi, Tehranifar, Nikbakht, & Kafi, 2006)	Rosa damascena Herrm.
Food and tea (Rosehip) (Uggla, Gao, & Werlemark, 2003)	Rosa moschata Herm., Rosa rubiginosa L., and Rosa canina L.

TABLE 7.5 Commonly Used Rose Species for Each Use

#### 7.7 VARIETIES AND CULTIVARS

Although wild roses extend throughout the northern hemisphere, the development of the species into cultivated forms was mainly confined to two regions divided along East and West lines. In the rose evolutionary history, East takes garden practices from China, Korea, Japan, and nearby regions, while the West embraces practices from the Himalayas to the Atlantic, including the shoreline of North Africa (Harkness, 2003). With gardening practices for instance, the popularity of Rosa species promulgated the development of numerous cultivations from diverse origins (Bhattacharjee & Banerji, 2010; Brichet, 2003). Given the wide spread of rose cultivation throughout the world, it is hardly possible to define the number of cultivars (European Medicines Agency, 2013); however, scientists estimate there are more than 18,000-30,000 rose cultivars worldwide (Cai, Xing, Sun, Zhan, & Corke, 2005; Yaniv & Dudai, 2014; Shakywar, Tomar, & Patidar, 2016; Boskabady, Shafei, Saberi, & Amini, 2011; Nazari, Khosh-Khui, & Salehi, 2008). Thousands of cultivars were extensively hybridized among Chinese, European, and Middle-Eastern roses mainly and limited wild species, and shaped the genetic basis of "modern rose cultivars," namely Rosa hybrida L. (Nazari, Khosh-Khui, & Salehi, 2008; Qi et al., 2018). Rosa hybrida L. refers up to 30,000 to 35,000 rose cultivars (Qi et al., 2018). Rosa hybrida includes various valuable species cultivated as ornamental, food, and medicinal crop worldwide. Given the popularity of rose cultivation, many rose amateur growers cultivate roses from diverse origin of the world (Bhattacharjee & Banerji, 2010; Brichet, 2003).

The most renowned European rose collections are the  $L'Hay\dot{E}$  – les-Roses in France, *Cavriglia* in Italy, and *Sangerhausen* in Germany (Brichet, 2003). North America has also numerous collections, while the Southern hemisphere (e.g., Australia) has been relatively slow in developing rose collections. In the Southern hemisphere, native wild roses have been removed by humans in recent years and have not been found so far (Harkness, 2003; Kogan, Schaefer, Erdman, & Schoenfeld-Tacher, 2016). However, Southern Australia, South Africa, New Zealand and Uruguay have rich collections despite dissimilar climate conditions. In addition, Chile, Zimbabwe, and East African countries grow large amount of commercial cut-flower varieties to provide the rose flowers of northern markets during the winter season (Brichet, 2003). In the Middle-Eastern region, the *Flora of Turkey and the Eat Aegean Islands* identifies 24 rosa cultivars which exist in the region, including eight as native (Yaniv & Dudai, 2014; Guner, Ozhatay, Ekim, Husnu, & Base, 2001).

Rose cultivars which are used for essential oil distillation and flower water do not equal the garden cultivars, as the industry still use the traditional species, such as *Rosa damascena* Herrm., *Rosa gallica* L., for these purposes.

# 7.8 SOIL AND CLIMATE

#### 7.8.1 SOIL REQUIREMENTS

Roses grow in all types of garden soils. Nevertheless, they thrive better in sticky, well drained, fresh, loamy, and salt-free soil rich in organic matter with an ideal pH of six to eight. Because of their highly sensitive root systems, extreme soil conditions are not suitable for growing rose, i.e., clayish, sandy or extremely calcareous (Yaniv & Dudai, 2014). However, roses grow well in slight sandy soil, deep, and soft soils with rich organic matters, that benefit from slight amount of iron salts and moisture. In ideal soil conditions, a rose plantation can produce flowers for 20 to 25 years, especially with regular pruning every 7 to 12 years.

#### 7.8.2 CLIMATE REQUIREMENTS

The wide spread distribution of roses indicates their adaptability to various climates except in areas with harsh unsuitable climatic conditions. Roses can be found in the chilly conditions of the Arctic tundra, in the dry arid mountains of New Mexico, in wetlands of Indian marshlands and in the high-altitude regions of Yemen highlands, and in the humid Burmese forests and windy Hebridean islands. Most rose species prefer a temperate climate, adequate water supply, frequent morning dew, especially during drought and

spring frost season, flowering period, and are often found in upland areas where other plants do not block the sunlight (Yaniv & Dudai, 2014; Harkness, 2003). However, this does not mean that the distribution is limited; rather, the tolerance of rose species to various climate conditions enable the spread from sea level to altitudes as high as 3,000 m (Ercisli, 2007).

While roses are resilient to various climatic conditions, the temperature and moisture largely determines the flowering period. Climate conditions during the flowering period, such as high temperature and droughts, result in the decreased concentration of rose oils in the petals. To maximize the oil yields, a temperature between 10°C and 15°C during the flowering period is preferred. Night temperature under 5C and day temperature above 20°C decrease the oil yield and 60–70% of humidity is ideal, as it promotes the accumulation of oil in flowers during the spring time. However, heavy rain and storms damage the buds and flowers which affect negatively the yield (Yaniv & Dudai, 2014).

# 7.9 PROPAGATION AND ROOTSTOCK

The common propagation for rose is performed by using asexual methods, such as cuttings, layering, sucker, budding, grafting, and cutting-grafting (stenting) (Jabbarzadeh & Khosh-Khui, 2005; Pati, Sharma, Sood, & Ahuja, 2005; Nazari, Khosh-Khui, & Salehi, 2008). Seeds are occasionally used for new cultivar propagation and rootstock production (Pati, Sharma, Sood, & Ahuja, 2005).

Traditionally, cutting is the most common propagation technique. Rose pruning are usually conducted during 7 to 12 years old rose plants for rejuvenation. For instance, to cultivate 1,000 m (Cai, Xing, Sun, Zhan, & Corke, 2005) rose plantations, 400 kg (1,200–1,400 cuttings) rose cuttings are required. Ideally, these should be cut at four to five cm from the soil level and pruned to 100–150 cm long. The propagation rate of this technique is 1:8–1:10. Processing the cutting is important to avoid the risk of transferring diseases. Layering is also a common technique following cutting and involves burying one branch of the stand in the soil to initiate rooting. However, the propagation rate of this layering method is lower than if cutting at 1:10–1:15. Grafting is also used to generate rose rootstocks, particularly for *Rosa canina* L. The propagation rate for grafting is high, which also enables the maintenance of high-grade roses. Additionally, green cuttings and rooted wood are also practiced for propagation but to a lesser extent (Yaniv & Dudai, 2014).

Previous research conducted on rose propagation shows that stenting offers the quickest propagation (Nazari, Khosh-Khui, & Salehi, 2008; Van De, & Breukelaar, 1982; Ohkawa, 1980). The stenting method is a quick propagation technique that involves cutting and grafting in just one step: it involves simultaneously grafting a cut scion onto a non-rooted rootstock. The method was developed in the Netherlands and further improved by grafting a scion onto an internode without buds onto the rootstock (Van De, & Breukelaar, 1982). This method decreased the wild suckering issue, and improved the simultaneous occurrence of the graft union and the adventitious roots formation which can be performed all year around (Van De, & Breukelaar, 1982). Recent research reported that grafting scion onto the rootstock rather than onto the plant's own roots improved both the quality and quantity of the production (Nazari, Khosh-Khui, & Salehi, 2008). Production parameters include the fresh weight and diameters of the flowering stem, the flowers' weight (both fresh and dry), diameter, and length of the flower, number of petals, and the chlorophyll content of the leaf. The quality index was also reported higher in grafted roses compared to the cutting technique (Nazari, Khosh-Khui, & Salehi, 2008). Other research reported that regardless of rootstock type, the three Rosa hybrida cultivars, namely First Red, Versilia, and Virginia rootstock combinations, are reported superior to the own-rooted roses for both re-blooming time and yield (Safi, 2005).

In addition, the propagation method differs from one country to another. In the Netherlands, cutting, and cutting–grafting (stenting) are the most common technique. In Iran however, rose growers prefer propagating plants with stenting rather than cutting (Nazari, Khosh-Khui, & Salehi, 2008). For rose micro-propagation, agar-gelled medium is the most common technique (Pati, Sharma, Sood, & Ahuja, 2005). Research also reported that the use of liquid media demonstrated successful multiplication for *Rosa damascena* and *Rosa bourboniana* which introduces *in vitro* propagation and improves the tissue culture protocols. Furthermore, the value of osmotic potential was reported to improve rooting development and growth compared to agargelled cultures (Pati, Sharma, Sood, & Ahuja, 2005).

### 7.10 PLANTING, IRRIGATION, AND NUTRIENT MANAGEMENT

#### 7.10.1 PLANTING SYSTEM

In the Middle-East region, common rose species such as *Rosa damascena* is generally planted in autumn. Around 15 to 20 days prior to planning ditches of width and depth 40 cm and 50 cm respectively, deep are dug out parallel

to each other. The ditches are made perpendicular to the untidy fields' slope, and facing North-South side on a flat surface. Occasionally, top soil of 10 to 15 cm is added to fill the ditches. Rose cuttings are then placed in the ditches and covered with 10 cm of soil combined with organic manures. Following the germination in the spring time, weeds are removed and extra top soil is added until the filled ditches level with the ground (Yaniv & Dudai, 2014).

# 7.10.2 IRRIGATION AND NUTRIENT MANAGEMENT

In Turkey, Ucar et al. (2016) studied the irrigated water and nitrogen impacts on *Rosa damascena*. The results showed that increasing the amount of irrigation water and doses of nitrogen significantly increases the flower yield. However, the results also showed a strong correlation between water irrigation and flower yield compared to the nitrogen application in semi-arid climate characteristics. In Turkey, the most adequate amount of irrigation water and nitrogen doses for growing the highest flower yield was obtained from the 113.3 mm, 160 kg/ha and 113.3 mm, 240 kg/ha treatment, respectively (Ucar, Kazaz, Eraslan, & Baydar, 2016).

# 7.11 TRAINING AND PRUNING

Conventional training methods for rose plants are pruning and bending in June and July (Nimura, Yamaguchi, & Itoh, 1997). However, autumn pruning is also commonly practiced for winter flowers to improve the quantity and quality of flowers (Hassanein, 2010; Zieslin, Hurwitz, & Halevy, 1975). Research has further shown that pruning promotes a higher light photosynthetic impact, and stimulates Nitrate reductase activity and reduce  $NH_4^+$  concentration, a phenomenon that relates to a high turnover to amino acids (Calatayud, Roca, Gorbe, & Martínez, 2008). Further research (Zieslin, Hurwitz, & Halevy, 1975) has also shown that pruning in spring decreased the starch content, and delays the time of maximum accumulation. Pruning is therefore useful to program the production cycles of rose flowers, and also to obtain a high photosynthetic activity by removing the old parts. In Egypt, pruning at the beginning of the end of September or in the following three weeks produced the fastest and longest winter flowering (Hassanein, 2010). Pruning of the plants in the autumn is believed to increase two to three more flowers per plant and to maintain the good root condition (Larson, 1992). Training roses with bending is also a common practice. Tjosvold (2001) tested the effectiveness of the bending method on five cultivars; he concluded that bending alone increased the production and the quality for many of the rose cultivars (Tjosvold, 2001).

# 7.12 PEST AND DISEASE MANAGEMENT

Various biotic, meso-biotic, and abiotic factors, such as pathogenic fungi and bacteria, viruses, nematodes, and pests often attack roses, which are vulnerable to major diseases and pests (Chalova, Manolov, & Manolova, 2017; Kovatcheva, Zheljazkov, & Astatkie, 2011). In conventional systems of production, chemical treatments are used to control diseases and pests; however, rose pathogens negatively impact the flower yield and the quality which are crucial for rose producers who may otherwise encounter financial loss. However, this differs for rose essential oil producers who prefer organic farming, for instance in Bulgaria. The general cost for controlling diseases and pest either with organic or conventional means costs 32,000 USD/ha per year (Debener & Byrne, 2014). Beside minor pathogens that attack the roots systems of rose plants, the main expenditure is linked to the treatment of pathogens that invade the flowers and leaves of the plants; however, if left untreated, the income of growers is jeopardized. As the appearance of the flower is key to the economic viability, higher levels of disease/pest control are required.

# 7.12.1 MAJOR FUNGAL AND BACTERIAL DISEASES

Fungal diseases typically seen on roses include black spots, powdery mildew, botrytis, and downy mildew (Debener & Byrne, 2014; Chalova, Manolov, & Manolova, 2017). Black spots (*Diplocarpon rosae* Wolf) are the most common rose fungi pathogens characterized by leaf spotting and defoliation. Rust (*Phragmidium* spp.), cercospora (*Cercospora puderii* B.H. Davis and *Cercospors rosicola* Pass.), and anthracnose (*Sphaceloma rosarum* (Pass.) Jenkins) also affect the leaves in a similar way (Debener & Byrne, 2014). Black spots spread the disease widely and reach an epidemic level during the rainy and warm spring season (Chalova, Manolov, & Manolova, 2017). The initial symptoms of black spots eventually lead to the loss of leaves during the summer season. In addition, powdery mildew (*Podosphaera pannosa* (Wallr.) de Bary) and downy mildew (*Peronospora sparsa* Berk.) may spread more widely on the roses in the greenhouse than when in the field;

rose powdery mildew is the most serious disease for the rose cut-flower producers (Kakade, Gurav, Singh, & Nimbalker, 2006; Nicetic, Watson, & Beattie, 2002). Research shows that controlling powdery mildew under commercial greenhouse conditions requires using more than 20 fungicide sprays per year, increasing the high economic cost for the producers in order to prevent the occurrence (Nicetic, Watson, & Beattie, 2002).

Furthermore, cool, and humid conditions facilitate the spread of the pathogen. Mild temperatures  $(15^{\circ}C)$  and high humidity (90-99%) at night time and high temperatures  $(26-27^{\circ}C)$  with low humidity (35-70%) favor spore maturation and release (Chalova, Manolov, & Manolova, 2017; Larson, 1992). The affected bud formation which in turn affects the low development of roses impacts the quality of the flowers which requires an additional input of approx 40% of pesticides to address this particular pathogen (Debener & Byrne, 2014).

Fungus botrytis (*Botrytis cinerea* Pers. Ex Nocca and Balb.) is a major rose post harvest pathogen, commonly affecting stored plants and flowers (Larson, 1992). Spore germination requires continuous 12 hours of independent water on the plant surfaces (Larson, 1992). For the production of cut roses, the costs of controlling this particular disease are similar to those spent on controlling powdery mildew; however, the resistance of the rose plant to botrytis is still unknown (Debener & Byrne, 2014). Symptoms display small and light-colored spots surrounded by red colored holes on the petals, which may develop into a major blotches (Chalova, Manolov, & Manolova, 2017).

Other common rose pathogens are crown galls (*Agrobacterium tumefaciens* Conn.), a bacterial disease which sometimes promotes the production of aerial galls on the stem where flowers have been removed. According to Smith and Townsend (Boelema, 1969), *Agrobacterium tumefaciens* Conn. is responsible for this occurrence, which was reported as early as 1892 (Boelema, 1969). Colonies generally vary, from white, convex, circular, to glistening and translucent features. The bacteria's optimum temperature of 27°C spreads through the infected plants and the contaminated soils. It survives many years in the soil and can be transported with water and the infected plant parts (Shakywar, Tomar, & Patidar, 2016). Effective control of Crown galls often involves heating the soil at 60°C for 30 minutes, washing, and disinfecting the tools, cutting out the galls and dipping the roots and lower stem for two hours in 500 ppm of Streptomycin before planting.

Overall, the mentioned bacterial and fungal diseases are generally managed by applying preventive fungicidal and bactericidal treatments, coupled with environmental management (i.e., greenhouse production), sanitation (potential sources of inoculum reduction), and exclusion which involves to limit using the clean stocks and resistant cultivars (Debener & Byrne, 2014). In addition, new roses with improved resistance to diseases such as black spots are being developed; however, this practice is still limited.

# 7.12.2 VIRAL DISEASES

Various viruses for roses have been reported and include – prunus necrotic ring spot virus (PNRS), arabis mosaic virus (ArMV), apple mosaic virus (ApMV), tobacco streak virus (TSM), tobacco ring spot virus (TRSV), strawberry latent ring spot (SLRS), tomato ring spot virus (TomRSV), rose yellow vein virus, rose cryptic virus-1 (RCV-1), and rose rosette virus (Debener & Byrne, 2014). The frequency and the symptoms vary with each virus and the area of production. The rate of virus infection varies largely from 1% of the cut rose plants in Europe, up to 65% of the tested roses in New Zealand (Debener & Byrne, 2014). Most viruses are not lethal; however, they negatively influence the production of flowers and their quality. Yet, some viruses like the rose rosette virus can kill the plants. The symptoms vary by the cultivar, and commonly include shoot proliferation, unusual thorniness, reddening shoots, and distorted flowers leading to stunting, defoliation, and occasionally eventually plant deaths.

The management of rose viral diseases is crucial, the main approach is to avoid the virus from spreading; certification programs that guarantee that all materials for planting are virus indexed. Recent research conducted at the Foundation Plant Services at the University of California provides thermotherapy which has the capability of eliminating the viruses altogether (Debener & Byrne, 2014).

# 7.12.3 ORGANIC FARMING

While conventional farming system is more common, some countries have banned the use of chemical treatments in rose organic farming. Due to the lack of resistance of many rose species, rose organic farming is still a challenge. In Bulgaria, rose disease and pest control are not sufficiently researched to produce organic commercial goods, but rather use the products avalaible (Chalova, Manolov, & Manolova, 2017). Trifolio S-forte, Botrysec, and products based from copper and sulfur are examples of commercial products which organic rose oil growers currently used in Bulgaria. In addition, green manure application including animal manure and compost is a typical organic practice that increases soil fertility and disease control. Mixtures of cereals and legumes (pea with barley, vetch with rye/ wheat) are also sown in the autumn between rows of the rose plants and plowed in the spring; this adds natural nitrogen and other nutrients (Chalova, Manolov, & Manolova, 2017). Moreover, intercropping is also a successful organic farming approach as it stimulates biodiversity and promotes the health of the agro-ecosystem. Some farmers plant *Rosa damascena* with potato and maize, cowpea, and maize, and geranium and maize, and which were reported to have increased the economic profit (Yaseen, Kothari, Singh, Singh, & Sattar, 2001).

#### 7.13 HARVESTING AND YIELD

#### 7.13.1 HARVESTING

The general common practice to harvest roses is to cut above the five-leaflet leaf, second from the base (Zieslin, Hurwitz, & Halevy, 1975). In a dry morning, roses is cut with shears or a sharp knife; the flowers are at the pick of fragrance after blooming. The best harvest stages vary largely with each cultivar; however, generally, it is cut at a stage that allow the flowers to develop further for the final consumer (Larson, 1992). The rose is usually cut when still tight in the bud, so that it readily opens in plain water (Larson, 1992). The cutting position on the shoot varies by the height of the plant and the needs of the markets. Generally, it is cut on the first five-leaflets leaf just above the flowering origin of the stem. Rose hips can be harvested in autumn when their color turns to red or orange.

# 7.13.2 YIELD

In Turkey and Bulgaria, most of the harvested rose are used for rose essential oil largely destined for export. Turkey cultivated roses on 1,900 ha with a flower yield of 3,430 kg/ha in 2012 (Yaniv & Dudai, 2014) . However, the yield of rose flowers varied largely in 2010 and 2011, and ranged from 2,339–3,046 kg/ha and 2,215–6,373 kg/ha respectively (Ucar, Kazaz, Eraslan, & Baydar, 2016). Gunes (2005) reported that the production of 10 g of rose essential oil, required approx 100 kg of fresh rose flowers. On average, one kg of rose oil is extracted from three to five tons of rose flowers (Gunes,

2005). In Turkey, the yield of rose essential oil was approx 1.10–1.66 kg/ha in 2010, 1.13–3.42 kg/ha in 2011, and 0.72–2.89 kg/ha in 2012 (Ucar, Kazaz, Eraslan, & Baydar, 2016). Annual rose products, such as rose essential oil, rose concrete, rose absolute production in Turkey represents 1.2–1.8 tons for rose essential oil, 6–9 tons for rose concrete, and 2–2.5 tons for rose absolute production, with high exporting qualities (Baydar & Baydar, 2013).

In Bulgaria, the total area cultivated for rose oil in 2013 was 3,800 ha, of which 1,588 ha (42%) were grown organically (Chalova, Manolov, & Manolova, 2017). Approximately 90% of the rose flowers were processed for rose oil, and 5 to 6% for rose concrete and 3 to 4% of rose water, and remaining were used to make edible products like jams and liqueurs (Kovacheva, Rusanov, & Atanassov, 2010).

#### 7.14 POST-HARVEST HANDLING AND STORAGE

Post harvesting techniques are generally required in the cut flower industry. To avoid the wilting of flowers and to prevent a high intake of water, cut flowers are usually hydrated in a deep, acidified water to solve these issues. A traditional common acidifier is citric acid and is administered around 350 ppm (Larson, 1992). Following the hydration, cut rose flowers are preserved using clean containers and clean water to which chlorine compounds are added or just simply replacing the water frequently. Sugar containing preservatives are not ideal at this stage, while preservatives are recommended when the storage temperatures exceed  $3-5^{\circ}$ C.

The cut flowers are graded to different lengths ranging from 30–75 cm, depending on the market requirements (Larson, 1992). Grading is usually done with machinery, and the roses are packed in bundles of 20–25 flowers. These are loosely wrapped so that they can be cut again when delivered. The bunches of cut flowers are then deposited in boxes that usually accommodate 400 to 600 flowers ready to be dispatched to market without delay. Some shippers use sealed ice or thermal gels. The lifespan of the flowers significantly improve when they are stored in dry storage for several days, by which time they may have fully opened. Dried stored roses are more difficult to re-hydrate; however, this can be restored by placing the flowers under water and removing the old stem base. When the stems are already cut, they should be immersed with warm water of 25–30 cm (40°C) containing citric acid (Larson, 1992).

#### 7.15 PROCESSING OF MEDICINAL AND AROMATIC PLANTS

Most rose flowers (97%) are processed for medicinal and aromatic purposes (Gunes, 2005). Historical account has shown that humans have developed various techniques to extract rose essential oils for aromatic purpose; these include: hydro distillation (water distillation), steam distillation, solvent extraction, super critical fluid extraction, high-pressure CO<sub>2</sub> extraction, cold, and hot pressing (Özel, Göğüş, & Lewis, 2006; Younis, Riaz, Khan, Khan, & Pervez, 2008). Traditionally, rose oil production was made from rose flowers water distillate water to the still after separation of the oil so that it can be re-boiled (repeated distillation process), thereby minimizing the loss of oxygenated components like phenols. The final product of the process is called Rose Otto or Attar (Kovacheva, Rusanov, & Atanassov, 2010). Otto or Attar is one of the most renowned scents among the perfume industry (Younis, Riaz, Khan, Khan, & Pervez, 2008). Attar processing needs to unfold in remote places as the flowers are treated quickly after collection.

In the largest producing country Turkey, two method of rose oil distillation are prevalent: traditional distillations type using long-fired crude copper within the village called *Imbeks*, and the modern distillation type using hydro-steam called *Kanas*. Both extraction methods provide similar quality of rose oil; however the traditional method is slowly disappearing (Gunes, 2005). Common species to extract rose essential oils are *Rosa damascena* Herrm., *Rosa gallica* L. and *Rosa centifolia* L., while producers in Turkey and Bulgaria usually grows *Rosa damascena* Herrm.

Nowadays, solvent extraction and steam distillation (Younis, Riaz, Khan, Khan, & Pervez, 2008) are commonly used for the extraction of rose oils. Steam distillation uses the fresh or occasionally dried rose flowers to set into the distillation chamber, and steam generated with pressure from an another chamber is circulated through the flowers. The heated steam opens up the intercellular spaces containing the essential oils and the compounds will be captured in the steam. The heat is then cooled down and the essential oil and flower water extracted. Alongside distillation, rose scent can be extracted by using solvent extraction producing a wax-like mass known as Concrete which is re-extracted with alcohol, the final product known as Rose Absolute (Kovacheva, Rusanov, & Atanassov, 2010).

Younis et al. (2008) compared the different methods of extraction and examined the differences in the oil contents using four common rose species (*Rosa damascena*, *Rosa centifolia*, *Rosa borboniana* and *Rosa 'Gruss an* 

*Teplitz*'). They concluded that the yield of solvent extraction produced more absolute oil (0.11%) compared to the steam distillation (0.075%) (Younis, Riaz, Khan, Khan, & Pervez, 2008). Among the extraction methods, hexane had the highest dissolve ability of the odoriferous principles, and able to distil without treating in higher temperature (Younis, Riaz, Khan, Khan, & Pervez, 2008). However, hexane has relatively high solvent loss in the process.

# 7.16 CHARACTERIZATION OF PHYTOCONSTITUENTS

Various secondary metabolites are contained in the genus *Rosa* plants, such as phenolic acids (e.g., acid, gallic acid, and chlorogenic), fatty acids, flavonoids (e.g., quercetin, flavonols, anthocyanins), fragrant components (volatile oils, e.g., sesquiterpenes, monoterpenes), hydrolyzable, and tannins condensed (e.g., procyanidins, rugosins), vitamin C, and bitter principles (Cai, Xing, Sun, Zhan, & Corke, 2005; Boskabady, Shafei, Saberi, & Amini, 2011).

Characteristic components of rose oil, one of the major distillation product, are acyclic monoterpene primary alcohol, including geraniol (75%), citronellol (20%), linalool, nerol (20%) and up to 10% of long-chain hydrocarbons like nonadecane or heneicosane (Gunes, 2005; Özel, Göğüş, & Lewis, 2006). These components contribute mainly to the rich fragrance of roses. The rose oil's dominant trace mineral is  $\beta$ -damascenone regardless of its lower concentration (0.01%). The pleasant fragrance of rose water is attributed to the 2-phenylethanol which accumulates in the water (Gunes, 2005). In addition, small amounts of hydrocarbons are also found in rose, mainly: dodecane, tridecane, tetradecane, 1-nonadecene, nonadecane, heneicosane, docasane, and octacosine (Özel, Göğüş, & Lewis, 2006).

# 7.17 VALUE ADDITIONS OF MEDICINAL AND AROMATIC PLANTS

Various rose flowers and rosehips products are currently commercialized. These products include:

# 7.17.1 ROSE ESSENTIAL OIL

Rose oil production is believed that the crude distillation process originated in the Persia era in the late AD seventh century, which eventually extended to the Ottoman Empire in the 14<sup>th</sup> century (Boskabady, Shafei, Saberi, & Amini, 2011). Rose essential oil is a yellow pale essential oil, usually more expensive compared to other essential oils, mainly used for medicinal and cosmetic purposes. Morocco also produces rose essential oil; however, Bulgaria, Turkey are the main producers (Mahboubi, 2016). Out of the genus Rosa species 100 to 200, only few of them are suitable for essential oil distilation. *Rosadamascena* Herrm. is considered to have a superior quality of the distilled rose essential oil (Kovacheva, Rusanov, & Atanassov, 2010; Mahboubi, 2016), with a weight varying from 0.032 to 0.049% (w/w).

# 7.17.2 ROSE WATER

Rose water is usually transparent which can be collected from the distillation process of the rose essential oil production. It contains approx. 0.075% of essential oil and 3.81% of ethyl alcohol (Haghighi, Tehranifar, Nikbakht, & Kafi, 2006). Rose water can be used as a toning product and for gentle cleansing for all types of skin. It balances the pH of the skin, stimulates the reparative processes, and calms the acne and sunburns (Haghighi, Tehranifar, Nikbakht, & Kafi, 2006). The calming and relaxing properties of rose water is also traditionally used in various religious ceremonies, such as the washing of wash God House (Kaaba) in Mecca, Saudi Arabia (Mahboubi, 2016). This is done yearly using a special rose water produced in Kashan, Iran (Haghighi, Tehranifar, Nikbakht, & Kafi, 2006). In Iran, rose water is produced from *Rosa damascena* Herrm. and a product commonly used for religious ceremonies for its calming and relaxing properties. It is also used to flavor certain food dishes in Iran.

# 7.17.3 DRIED FLOWERS

Dried rose flowers are commonly used and exported when fresh plants are scarce or in poor condition, especially during the winter period. Dried rose flowers are used in arrangements, bouquets, for decoration purposes, potpourris, and dried rose petals are edible as and used as herbal tea. Several methods for drying the flowers are used, and the dried flowers can be stored in a damp free atmosphere for several months, or even years if dried correctly. Drying methods include: air drying method, glycol preservation, solvent dehydration and freeze-drying (Kumari & Peiris, 2000). In Iran, rose flowers, buds, and petals are dried and sold as a flavoring agent and in laxative

286

formulae (Mahboubi, 2016). Iranian people also consume dried rose petals daily mixed with yogurt, a combination known to solve digestive problems. In North Africa, the traditional spice mixture *Ras El Hanout* contains dried flower buds and petals (Nasery, Hassanzadeh, Najaran, & Emami, 2016).

# 7.17.4 ROSEHIPS

Rosehips are traditionally used as a vitamin supplement, herbal tea, and as a food product in various European and middle-east countries (Gao, Bjork, Trajkovski, & Uggla, 2000). Rosehips, berry-like fruit of rose flowers, are formed after the success of flower pollination. The process starts in late spring or early summer, and ripens between later summer and autumn. Rosehips are known for their high content of vitamins, minerals, and fatty acids, in particular its content of vitamin C which is higher than other citrus fruits (300–4,000 mg/100 g (Leahu, Damian, Oroian, Ropciuc, & Rotaru, 2014)). Vitamin C supplements which can be found widely in health food stores throughout European countries (Strålsjö, Alklint, Olsson, & Sjöhol, 2003). The hips are usually collected from Rosa canina L. and Rosa damascena Herrm. They are usually consumed in the dried form rather than fresh. Many European countries use the dried rosehips to make tea. Recent studies show that rosehips are also rich in other compounds, and have high anti-oxidative and anti-mutagenic properties (Strålsjö, Alklint, Olsson, & Sjöhol, 2003). Traditionally, rosehips are used for the common cold and various infections, and is used as a diuretic agent and for treating inflammatory diseases (Leahu, Damian, Oroian, Ropciuc, & Rotaru, 2014). In Iran, rosehips are used daily, both dried and fresh, processed, and raw (Haghighi, Tehranifar, Nikbakht, & Kafi, 2006). In Turkey, rosehips are also used as a traditional remedy to treat diabetes (Orhan, Aslan, Hoşbaş, & Deliorman, 2009).

# 7.17.5 ROSE CONCRETE

Rose concrete is obtained from the solvent extraction, and looks similar to Vaseline cream with a red orange tint (Mahboubi, 2016). Rose concrete is made by shaking the rose flowers in a vat containing an hexane solvent for instance, which then extracts the aromatic compounds of the flowers, and evaporating the solvent in vacuum (Kurkcuoglu & Baser, 2003). In Bulgaria, petroleum ether is used as a solvent for rose concrete (Kurkcuoglu & Baser, 2003). Rose concrete has soft texture as wax, with scent of rose-like and a

pink/red rose color (Aydinli & Tutaş, 2003). Rose concrete is used as a raw material for rose absolute production, produced by dissolving the concrete in hot ethanol. Approximately 375 to 400 kg rose petals are needed to obtain one kg of rose concrete (Baydar, 2006).

# 7.17.6 ROSE ABSOLUTE

Rose absolute is product processed from the rose concrete; it is first dissolved with ethanol (to reach 96% purity) with approx. 10 times of its own respective weight (Aydinli & Tutas, 2003). The alcoholic treated compound containing undissolved stearoptens is then chilled at -25°C and the crystalized stearoptens filtered latterly in vacuum by black band strainer paper. The separated stearoptens are washed with ethanol to collect the liquid filtrates ready for chilling. The obtained alcoholic extracts are then further concentrated with rotary evaporation to extracted the water and alcohol. The remaining concentrate from the distillation is known as the rose absolute in a liquid form (Aydinli & Tutas, 2003). The rose absolute has an orange-red liquid with rose aroma (Mahboubi, 2016). The benefits of producing the rose absolute is that it extracts the phenylethyl alcohol (60 to 75%), the dominant constituent of rose absolute. Because phenylethyl alcohol is only dissolved in water, its extraction by distillation using steam or heat is difficult as it renders the phenylethyl alcohol content in rose oil generally low (1.2–2.2%) (Kurkcuoglu & Baser, 2003). The perfume industry uses rose absolute more than the essential oils due to its high constituent of phenylethyl, while rose essential oil is more common for aromatherapeutic usage.

# 7.18 BIO-PROSPECTION OF MEDICINAL AND AROMATIC PLANTS

Much of the research done on rose species is still in the pre-clinical phase (Boskabady, Shafei, Saberi, & Amini, 2011; Mahboubi, 2016; Schriner et al., 2012); *Rosa damascena* has been largely studied for its traditional therapeutic uses and its high-value essential oils, recognized for its antioxidant and antibacterial effect (Özcan, 2002). Boskabady (2011) reported the rich pharmacological effects of *Rosa damoscena*, i.e., antibacterial, anti-HIV, antitussive, antioxidant, antidiabetic, hypnotic, and a calming effect on the tracheal chains (Boskabady, Shafei, Saberi, & Amini, 2011). Schriner et al. (2012) reported the action of *Rosa damoscena* on fruit flies (*Drosophila melanogaster*) and the decreased mortality without impairing the reproductive

fitness or metabolic rate (Schriner et al., 2012). Nayebi et al. (2017) who conducted a systematic review on *Rosa damascene* of its efficacy and safety, confirmed its positive effects on depression, sexual function, relaxation, and respiration (Nayebi, Khalili, Kamalinejad, & Emtiazy, 2017). Recent studies demonstrated the significant pain relief, anti-inflammatory, and analgesic effects of *Rosa damascene* (Nayebi, Khalili, Kamalinejad, & Emtiazy, 2017; Bani et al., 2014; Christensen, Bartels, Altman, Astrup, & Bliddal, 2008).

Several researchers have conducted clinical tests on the pharmacological effects of Rosa damascena. To study its anti-inflammatory and pain-relief constitutes, Hoseinpour et al. (2011) conducted double-blind, randomized, placebo-controlled investigation with 50 patients; they concluded that an aqueous extract of rose containing mouthwash was more effective than the placebo used in the treatment of recurrent aphthous stomatitis (Hoseinpour et al., 2011). For anti-oxidative effect, Kalim et al. (2010) evaluated the effects of 10 herbs (Rosa damascena, Gardenia gummifera, Cleome icosandra, Abies pindrow, Cyperus scariosus, Valeriana wallichii, Anacyclus pyrethrum, *Holarrhena antidysenterica, Asphodelus tenuifolius and Cyperus scariosus)* commonly used in the Unani (traditional medicinal system of the Middle East and South Asia); they concluded that Rosa damascena has remarkable potential for decreasing oxidative DNA damage and radical scavenging activity (Kalim, Bhattacharyya, Banerjee, & Chattopadhyay, 2010). For primary dysmenorrhea (menstrual cramps without organic diseases), Bani et al. (2014) conducted a cross-over clinical trial on dysmenorrheal pain intensity of Rosa damascena with 92 women aged between 18 and 24 in Iran. They compared the effect of Rosa damascena extract capsule (200 mg every 6 hours) with Mefenamic acid (conventional prescription) on primary dysmenorrheal; they concluded that Mefenamic acid and Rosa damascena had alike effects on primary dysmenorrhea pain intensity. Therefore, Rosa damascena, which does not have the chemical side effects of conventional medicine, can be treated for patients with primary dysmenorrhea (Bani et al., 2014).

Rose hips are also investigated on their pharmacological activities. *Rosa canina* L. contains high sources of polyphenols and vitamin C (Fan, Pacier, & Martirosyan, 2014; Winther, Campbell-Tofte, & Vinther, 2016). Rose hips are high in vitamin C, and contain nearly 200% of the daily value per ounce (28 grams) of fresh wild rose hips. The vitamin C content of rose hips within the Rosa genus ranges from 140–1,100 mg/100 ml, while *Rosa canina* contained one of the highest, 880 mg/100 ml (Ercisli, 2007; Gao, Bjork, Trajkovski, & Uggla, 2000; Fan, Pacier, & Martirosyan, 2014). In

addition, studies demonstrated that these particular rose hips exhibited antiinflammatory, antioxidant, and anti-obesogenic activities (Fan, Pacier, & Martirosyan, 2014). Andersson et al. (2012) conducted clinical test on 31 obese individuals over six weeks to investigate the effect of rose hip drunk daily (a drink made out of 40 g of powdered rose hips) for its metabolic effects. They concluded that the cardiovascular risk was significantly reduced because the systolic blood pressure and plasma cholesterol levels decreased (Andersson et al., 2012). For pain reducing effects, three studies of meta-analysis were conducted with 287 patients and a median trialduration of three months to observe the activity of Rosa canina powder from to reduce osteo-arthritic pain. Although the clinical data were limited, the analysis concluded that rosehip powder had reduced the pain considerably (Christensen, Bartels, Altman, Astrup, & Bliddal, 2008). Other Species like Rosa centifolia L. are also used for rose essential oil distillation, and Rosa gallica L. is mostly used for its astringent effect on the skin, while Rosa chinensis Jacq. flowers are commonly used in traditional Chinese medicine and exhibit radical scavenging and antioxidant activities (Cai, Xing, Sun, Zhan, & Corke, 2005; Alamgir, 2017; Özcan, 2002; Winther, Campbell-Tofte, & Vinther, 2016; Foster, 2012).

# 7.19 AVAILABLE COMMERCIAL AND PHARMACEUTICAL PRODUCTS

The fragrance component of rose oil is used essentially in pharmaceutical purposes, and also substantially as an astringent and fragrance component in creams, perfumes, and soaps (Nayebi, Khalili, Kamalinejad, & Emtiazy, 2017; Younis, Riaz, Khan, Khan, & Pervez, 2008). In addition, the pharmaceutical industry has shown an increasing interest in natural products with bioactive substances. This is mainly due to the potential adverse effects that synthetic antioxidants can cause, and the increasing facilities for screening plants and their antioxidant properties worldwide. Vinokur et al. (2006) conducted research on the antiradical and antioxidant properties of roses. They analyzed the hot water infusion of dried rose petals (rose tea) and demonstrated that the tea had high antioxidant activity, similar with green tea. They further reported that *Rosa damascena* showed the highest antioxidant activity, and concluded that antioxidant-rich caffeine-free beverages could be prepared from dried rose petals (Vinokur, Rodov, Reznick et al., 2006). Other researchers reported that rose essential contains a high

content of citronellol (34.2%), the main compound of rose oil showing high antioxidant activity. Thus, the result showed the high antioxidant capacity (50%), which was also found at the low concentration of 50 g/ml (Wei & Shibamoto, 2007).

Additionally, rose essential oil is recognized for its high antioxidant, analgesic, antimicrobial, anti-inflammatory, anti-depressant, and anti-diabetic properties. However, the lack of clinical studies and relatively high cost of rose oil are limiting its usage for therapeutic applications. Furthermore, rose essential oil and its therapeutic applications are not listed in the Pharmacopeia of most countries. Beside the 1983 British Herbal Pharmacopoeia that acknowledges rosehips for gastritis, polydipsia, and avitaminosis C (Tobyn, Denham, & Whitelegg, 2011), rose oil does has no entry in the pharmacopeia.).

However, two countries have registered rose within their Pharmacopoeia: The French Pharmacopoeia contains two monographs of *Rosa gallica* L. (Rose Rouge, 1989) and *Rosa centifolia* L. (Rose Pâle, 1989; European Medicines Agency, 2014). The US Pharmacopoeia also registered *Rosa gallica* L., *Rosa damascena*, and *Rosa centifolia* L. These official registration initiatives contribute to the expanding pharmaceutical health products industry on rose, enhancing the role of roses as efficient alternatives to synthetic medication. In addition to the pharmaceutical industry, rose is also commonly used in food, in the perfume and cosmetic industries. A wide range of products is available worldwide, as essential oil, rose concrete, rose water (rose hydrosol), rose syrup, rose jam, rose buds dried, and cosmetics made from rose essential oil.

#### 7.20 TECHNOLOGY TRANSFER/PATENTS

Rose has the large record of plant patents in the world. The first U.S. Plant Patent Number 1 was issued to a climbing rose "New Dawn" in 1931, for its everblooming characteristics (Bosenberg, 1931). Since then, thousands of rose patents worldwide have been registered on every step, i.e., seedling generation, planting method, processing, extraction, preparation methods for unique products, product composition. Currently, there are over hundreds of patents registered each year, a testimony to the popularity of rose species, embedded in many societies and cultures, traditionally, and also in modern days. Recent examples of rose patents in 2019 relate to cosmetics (extraction method and mixture for face whitening, moisturizing, and anti-aging) (符 春丽, 田伟兰, 汪垠明 et al., 2020; 石毛, 林志隆, 2020); tea (decoction

method, particular tea formula for particular effect), food, and beverage (processing for beverage (Furusho & Hiramoto, 2016), rose wine, and health food) and other compositions (hand disinfection sanitizer (Mehmet, 2019), and new *Rosa damascena* cultivar (Majed, 2017)). Growing number of patents have shown that various technologies are increasingly available worldwide for rose products, the high market value of roses.

# **KEYWORDS**

- patents
- pharmacological properties
- post-harvest handling
- Rosa canina
- Rosa damascene
- rose
- rose essential oil
- rose products
- technology transfer

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# Safed Musli: A Critical Review on its Bioactive Compounds, Medicinal Properties, and Biological Activity

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# ABSTRACT

*Chlorophytum borivilianum* belongs to Liliaceae or Asparagaceae family is a conventional scarce Indian therapeutic herb generally utilized for treating numerous clinical states. It's a significant medication usually versed as 'Safed Musli.' It offers extremely broad spectrum of medicinal properties in the systems of Homeopath, Ayurveda, Unani, and Allopathy. Within the Ayurvedic erudition, *C. borivilianum* is commended as a Divya Aushadhi with incomparable therapeutic benefits. It's a main element for preparing over 100 Ayurvedic preparations. *Chlorophytum borivilianum* is broadly propagated all through India. Significant phytoconstituents revealed from *C. borivilianum* roots incorporate essentially fructans and fructoligosaccharides (FOS), steroidal saponins, phenolics, proteins, and acetylated mannans. In this chapter, the botanical descriptions, ethno-medicinal uses, phytoconstituents, and biologic activities of the different plant parts, along with the

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molecular targets of *C. borivilianum* are included which may play a suitable base for additional research on this plant.

# 8.1 INTRODUCTION

Nature has offered us with an enormous number of various kinds of herbs, which appear as wild in various portions of India. It's assessed that around 3.6 lakh herb species over on globe and from which 40% is attainable in the Indian subcontinent. Mentioning of around 67 plants, 81 plants and 290 plants have been indicated in Rigveda, Yarjurveda, and Atharvanaveda respectively. Correspondingly, Charak Samhita and Sushrut Samhita depicted the qualities and functions of 1,100 and 1,270 trees, respectively. In pursuance of the World Health Organization, >80% of the world's demography in developing nations relies essentially upon herbal medication for basic healthcare needs (Banerjee et al., 2020). The utilization of herbal medications in developed nations is additionally rising regularly.

Chlorophytum borivilianum also called safed musli is a herb, belonging to the Liliaceae/Asparagaceae family, was basically propagated in Indian thick timberlands. Around 300 species are dispersed all through the subtropical and tropical places in the globe. Sub-tropical as well as tropical regions of Africa are the possible spots where this genus originated. There're 17 kinds of Chlorophytum species had been present in India (Oudhia, 2001). All vary in therapeutic actions however because of absence of relevant data, all of those are designated as safed musli. It's deliberated as a significant therapeutic herb in India, while in different portions of the earth it's being utilized for ornamented herb. The tuberous roots are plentiful in saponins, minerals, alkaloids, proteins, steroids, carbohydrates, vitamins as well as polysaccharides. It's having different therapeutical actions like total rejuvenator, immunomodulator, and antioxidant. It's being utilized as an anticancer and antiarthritic agent. Because of the aphrodisial properties, it is frequently known to as 'Herbal viagra.' It's located in the tropical wet as well as dry deciduous timberlands (Thakur et al., 2009a). It's broadly dispersed in India predominantly in North Gujarat, Western Madhya Pradesh, Southern Rajasthan, and several portions of Karnataka. However, ceaseless investigation has diminished its distribution, frequency as well as the quality. The complex of 'Safed Musli' is usually presumed to comprise of C. borivilianum, C. tuberosum, C. arundinaceum, and Asparagus adscendens. Among these variants, C. borivilianum is growing at a larger scale in numerous portions of the region since it delivers the maximal production and most noteworthy saponins, is utilized as Safed Musli. Albeit timberlands are affluent in 'Safed musli' in India, and its demands are expanding quickly in India as well as worldwide medicine markets. As per a statement in 2005–2006, the requirement for dried Safed musli is in the range of 35,000 tons/year, with a supply of 5,000 tons/annum (Singh et al., 2012). Henceforth there's a solid requirement to comprehend the present situation of its propagation, depiction, and its new therapeutic benefits.

# 8.2 AREA AND PRODUCTION

In India, it's propagated in portions of Rajasthan, Gujarat, Uttar Pradesh, Madhya Pradesh, Maharashtra, Chhattisgarh, Karnataka, and Haryana into subtropical as well as tropical weathers with altitude up to 1,500 m. It's being developed on a territory in excess of 400 hectare for its root tubers and furthermore naturally grown in utmost timberlands of central India with suitable climate (Kothari et al., 2003). Safed musli grows in both tropical and sub-tropical areas around the globe. It's discovered basically in the India, Australia, and America. It's found naturally in the woodlands of Rajasthan, Gujarat, Maharashtra, and Madhya Pradesh in India. It's habitant in wider extent of territories from the open, stony lands to shadowy and exceptionally humus richer soils of the forest. Commercially propagation of safed musli began in Central India and has now spread throughout the nation. Its propagation has become exoteric within the cultivators of Madhya Pradesh, Maharashtra, Gujarat, Rajasthan, West Bengal, Bihar, Uttar Pradesh, Andhra Pradesh, Kerala, and Tamil Nadu.

# 8.3 MARKETING AND TRADE

As of now, the assessed worldwide marketed demands and productions respectively is around 35,000 and 5,000 tons per year that meet under 15% of the requisite demands. Another statistic puts the global demands for root is near 50,000 tons/year (Somanath, 2008). Over 95% of the attainable quantity of safed musli comes from wild reaping, which is specifically forbidden by the different governments of the states. The present worldwide trading in therapeutic spices is >60 billion dollar, that's expected to grow by about five trillion dollar by 2050 with outgrowth rates of 7% per year. India's present potency in worldwide trading is ₹4.36 billion which is desired to uprising to ₹222 billion by 2050.

The investigation of previously reported data shows the higher economical significance of *C. borivilianum* both in domestically and abroad markets; thus, its trading might be elevated by the governments to bring higher foreign profits. There's a requirement of wider acknowledgment within the cultivators, producers, traders, and medication specialists who may work in congruity to realize the entire mercantile benefits.

# 8.4 COMPOSITION AND USES

The key bio-chemical components of safed musli are carbohydrates (42%), fibers (20–30%), alkaloids (15–25%), protein (10%), and saponins (2–17%). Mainly alkaloids and saponins exhibit therapeutic values. It's a richer well-spring of more than 25 kinds of saponins, alkaloids, carbohydrates, vitamins, proteins, steroids, potassium, magnesium, resins, phenol, polysaccharides, mucilage, and calcium, and additionally comprises of higher amount of sugars, essentially galactose, fructose, sucrose, glucose, xylose, and mannose.

Genus Chlorophytum shows extensive decent variety in leaves, roots, inflorescence length, flower size and shape. Four kinds of roots are seen in the Indian Chlorophytum viz., C. arundinaceum kind where roots are fibrous with ellipsoid tubers; C. malabaricum kind of fasciculated uniformly thick small non tuberous roots; C. borivilianum kind of short, fasciculated, sessile, large, and thick roots and C. bharuchae kind where roots are long, uniformly thick and fleshy. Similarly, tuber size and shape are additionally variable and relies upon the age and size of the plants. Chlorophytum laxum R. Br. is the species with small plants in the genus while C. nimmonii Dalzell has largest plants. Chlorophytum glaucum Dalzell and C. nepalense (Lind.) Baker likewise have large plants when contrasted with other species in India. It has been perceived 3 kinds of leaves in the genus Viz. Canna like (distinctly petiolate, elliptic leaves), Anthericum like (sessile, linear lanceolate leaves) and Juncus like (narrow linear, leaves). Third type of leaves (Juncus type) are not announced in any Indian species. The smallest scapes ware found in C. laxum followed by C. heynei while biggest in C. nepalense followed by C. nimmonii. Largest flowers were seen in C. nepalense followed by C. gothanense while smallest flowers in C. laxum followed by C. kolhapurense. Stamen filament texture is found to be species specific in Indian Chlorophytums. There are 3 kinds of filament textures Viz., C. laxum type (smooth filaments, no ornamentation); C. heynei (papillose filament) and C. bharuchae type (warty or wrinkled filaments). Comparative chart of taxonomically significant morphological characters is reported in Table 8.1.

SL. No.	Morphological Characters	C. attenuatum	C. bharuchae	C. arundinaceum	C. belgaumense	C. borivilianum	C. breviscapum	C. glaucum	C. glaucoides	C. indicum	C. heynei	C. gothanense	C. kolhapurense	C. malabaricum	C. laxum	C. nepalense	C. nimmonii	C. sharmae	C. tuberosum	C. comosum	C. filipendulum subsp. amaniense
1.	Root fibrous, tuberous			$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$			$\checkmark$				$\checkmark$	$\checkmark$	
2.	Roots fasiculated	$\checkmark$									$\checkmark$			$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$
3.	Roots long or short, uniformly thickened		$\checkmark$			$\checkmark$							$\checkmark$								
4.	Leaves sessile, linear to lanceolate	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	
5.	Leaves pseudo-petiolate, lanceolate to elliptic			$\checkmark$				$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$						$\checkmark$				$\checkmark$
6.	Scape branched		$\checkmark$										$\checkmark$			$\checkmark$	$\checkmark$			$\checkmark$	
7.	Scape not branched or rarely branched	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$			$\checkmark$	$\checkmark$		$\checkmark$
8.	Scape without sterile bract	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$
9.	Scape with sterile bracts							$\checkmark$	$\checkmark$				$\checkmark$					$\checkmark$			
10.	Pedicel other than white, usually brown, cylindrical		$\checkmark$										$\checkmark$		$\checkmark$						
11.	Pedicel white, greenish white, cylindrical to trigonous	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
12.	Pedicel articulation at base of flower		$\checkmark$						$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$							$\checkmark$
13.	Pedicel articulation at the middle or below middle	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$					$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	
14.	Perianth lobes greenish white throughout or at least at the middle		$\checkmark$								$\checkmark$		$\checkmark$		$\checkmark$					$\checkmark$	$\checkmark$

**TABLE 8.1**Significant Morphological Characters and Somatic Chromosome Number (2n) in Chlorophytum Species Found in India

303

<b>TABLE 8.1</b> (	<i>Continued</i> )
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SL. No.	Morphological Characters	C. attenuatum	C. bharuchae	C. arundinaceum	C. belgaumense	C. borivilianum	C. breviscapum	C. glaucum	C. glaucoides	C. indicum	C. heynei	C. gothanense	C. kolhapurense	C. malabaricum	C. laxum	C. nepalense	C. nimmonii	C. sharmae	C. tuberosum	C. comosum	C. filipendulum subsp. amaniense
15.	Anther up to 3 mm long, very short as compared to filament length	$\checkmark$	$\checkmark$						$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$					$\checkmark$	$\checkmark$
16.	Anthers longer or at least half the length of the filament			$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$				$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		
17.	Filament smooth			$\checkmark$		$\checkmark$						$\checkmark$			$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	
18.	Filament Warty		$\checkmark$										$\checkmark$								
19.	Filament papillose	$\checkmark$			$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$			$\checkmark$				$\checkmark$
20.	Style declinate			$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$				$\checkmark$	$\checkmark$		$\checkmark$		
21.	Style straight	$\checkmark$	$\checkmark$						$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$		$\checkmark$	$\checkmark$
22.	Capsule triquetrous and elongated										$\checkmark$				$\checkmark$				$\checkmark$		$\checkmark$
23.	Vessels element with spiral thickening														$\checkmark$				$\checkmark$		
24.	Amphistomatic														$\checkmark$				$\checkmark$		
25.	Intraseptal nectaries absent																		$\checkmark$		
26.	Flowers sweet scented																		$\checkmark$		
27.	Chromosome number	42	16	42	42	28	42	42	42	84	14	42	16	42	16	56	42	42	16	28	14

Advances in Medicinal and Aromatic Plants, Volume 2

In India the *C. borivilianum* tuberous root are utilized as indigenous medication for various disorders and hygiene related issues from  $11^{\text{th}}$  century A. D. The ancient Indian medicinal system, Ayurveda retains an extremely eminent rank for *C. borivilianum* and related with 'Caitha' as one of the providential herb. It's additionally deliberated 'divya aushadhi' in Ayurvedic medicinal systems. Ayurveda depicts the characteristics of *C. borivilianum* as sweet, unctuous (Guna), bitter (Rasa), moist, sweet post-digestive effects (Vipaka) and cold (heavy Virya) (Toth et al., 1994; Paques et al., 1987).

The *C. borivilianum* has been used for approximately 4,000 years, as per the Hindu epic "Srimad Bhagawatam." It's part of the "Vajikaran Rasayana" group of Ayurvedic herbs, which are used for rejuvenating and renewing characteristics in order to improve sexual aspects and alleviate sexual dysfunctions (Puri, 2003; Triveni, 1977). This's additionally the premise of medications suggested in Kamasutra (Hooper, 2002). According to Hindu scripture, a celestial physician Ashwini Kumar, made the 'chyawanprash' from safed musli for Chyavanrishi, who wedded at 80, to improve sexual wellness. Safed musli was portrayed as 'Vajikaran,' likewise in different antiquated Ayurveda treatise, for example, Rasendra Sarsangrah, Bhavaprakash, and Raja Ballabh Nighantu. The distinctive Siddha and Ayurvedic treatise referenced 30 to 35 therapeutic herbs having 'Rasayana' benefits inclusive of *C. borivilianum*. Shushruta characterized 'Rasayana' as a treatment which captures aging (Vayasthapam), increment lifespan (Ayushkaram), strength (Bala) and intelligence (Medha) (Pushpangadan et al., 2012).

Conventionally, roots of C. borivilianum are notable to treat oligospermia, male impotency, and are an especial kind of immunomodulator (Kirtikar et al., 1956; Mishra, 2005; Kothari et al., 2004; Puri, 2003; Singh et al., 2012; Sharma et al., 1999; Tandon et al., 1995; Triveni, 1977). They've anti-pyretic, carminative, astringent, and diuretic actions (Kirtikar et al., 1975). Tuberous roots are likewise utilized as galactogogue as well as stomachic agent (Chetty et al., 1989; Bhandary et al., 1995). In certain locales of Mewar, India, tuberous roots have been utilized for treating erectile dysfunctionality (Deshwal et al., 2011). The activity of C. borivilianum tuberous roots over central nervous system is alike Ginseng and is typically called 'Indian Ginseng' because of the exceptional therapeutical potency (Singhania, 2003). It not just revitalizes the reproductive systems, however that additionally prevents prematurely ejaculations and improves the overall immune system of the body. It's known for promoting strengths and endurance while countering general body weakness, increasing muscular mass, and assisting in the recovery from physical stress and lethargy. The roots

are also utilized for energy boosting in asthma patients. The powdered root alongside other natural ingredients have been used in formulation of a few Unani as well as Ayurvedic health tonic (Haque et al., 2011).

In West-India, particularly Guirat, expending a spatula of C. borivilianum along with milk two times a day is an element of a daily healthcare regimen. The leaves are chewed as an expectorant in the homespun people of India's West Ghats (Sebastian et al., 1988). During pregnancy, roots are applied as a nutritional excitant for both the pregnant woman and the fetus, and they are also utilized to replace body fluid during postpartum period (Singh et al., 2013). The corpulent tuber of C. borivilianum is one of the components in formulation of a unique kind of laddoo (ball-formed sweet) that women consume as an invigorating meal latterly giving birth. The arid roots powder additionally elevates lactations in nursing moms and breastfeeding garget, and is utilized for manage a wide range of gynecological problems, including leucorrhea, gonorrhea, and post- and pre-natal symptoms (Deshwal et al., 2011). Furthermore, safed musli tuberous root are utilized to treat rheumatism, arthritis, and joint pains (Singh et al., 2013). It's perceived to remove the knee torment inside 7 days whenever devoured everyday with milk (Singhania, 2003; Elizabeth, 2001; Singh et al., 2003a). In India the C. borivilianum tubers have efficiently been utilized by Meena society of Rajasthan, to treat bone fractures, leukorrhea, and male tonic (Meena et al., 2010). Rai et al. (2011) recently introduced an investigation of 302 herbs of 96 families utilized for medication by local Mizo and other societies in North-Eastern India more than a decennial ago. Within those herbs, safed musli has been identified as a primary resource of metabolic enhancing and aphrodisiac properties. The Bharia along with other societies of Patalkot valley adjacent Madhya Pradesh's district Chindwara utilize C. borivilianum for a regional therapy in medical purposes, particularly to boost immunity. The indigenous healers namely Bhumkas or certain older individuals are familiar with the healing system. According to an ethnobotanical investigation done in Korkudominated forest areas of Madhya Pradesh, the Korku community utilized 38 plant species for food, feed, oil, and medication inclusive of C. borivilianum. The conventional utilizations of tubers of C. borivilianum against different ailments like dysuria, diabetes mellitus, dysentery, and diarrhea are all around recorded in the literatures (Dabur et al., 2007; Purohit et al., 2003; Negi, 1993; Oudhia, 2001a). In the event of throat and mouth aphthae, the powdered root roasted in ghee is consumed; it is also used orally as a subsidiary treatment for blood cleansing and abeyance in aging process. It's additionally deliberated as a viable medication for controlling and preventing Safed Musli: A Critical Review on Bioactive Compounds, Medicinal Properties

obeseness and its adverse impacts. The roots have likewise been reported for cosmetic uses. A root's paste combined of goat milk or honey might be implemented topically to the face for brightening the dermal tone (Singh et al., 2013). Despite the fact that safed musli exhibits no side impacts however in case of overdosing it might result in gastrointestinal ailments. Aside from folklore, *C. borivilianum* leaves are utilized as vegetable in several culinary formulations in Goa and Maharashtra (Patil, 2000; Vartak, 1981).

# 8.5 ORIGIN AND DISTRIBUTION

The genus Chlorophytum is said to have originated in tropical and sub-tropical Africa, thereafter it has transported to India. The *C. borivilianum* herb appeared in the noticeable quality about late 1980's. The Chlorophytum genus is illustrated by roughly 300 rhizomatous plant species, dispersed mostly in tropical and sub-tropical timberlands in the nature up to 1,500 meters altitude (Nayar et al., 1988; Chakraborthy et al., 2009; Raghavendra et al., 2005; Oudhia, 2001a). According to Genera Plantarum it's been described that 40 Chlorophytum species are dispersed in tropical Africa, Asia, Australia, and America (Bentham et al., 1880). Around 13 chlorophytum species have been described to present in India, between which 6 to 7 species are utilized in local medicinal systems (Sheriff et al., 1972; Nair, 1974). Whilst a few types of Chlorophytum species are propagated for exhibiting attractive benefits (Bordia et al., 1995).

# 8.6 BOTANY AND TAXONOMY

*C. borivilianum* Santapau and Fernandes is member of Liliaceae or Asparagaceae family, and Anthericoideae subfamily (Santapau et al., 1955; Govaerts, 1999) and having 6 to 16 radical of (13 to  $23 \times 1$  to 2.5) cm sized, sessile, imbricated spirally at base, lineal ovate leaves; smaller, whitish, bracteate, pedicellate with joint, zygomorphic blooms placed in alternative fascicles; brownish to blackish barked, whitish after scrapping, tasteless, characteristic odor, fleshy, 3 to 20 in number, 8 to 25 cm longer roots; greenish-yellow colored, triquetrous, loculicidal capsule, bears 3 to 12 seeds inside every fruits and black, smaller, angular shaped, endospermical seeds (Mandal et al., 2012; Singh et al., 2004a, b, 2012; Paturde et al., 2000).

The herbaceous plant *C. borivilianum* has a compact stem disc from where a leaf whorl originates. Sessile leaves are of 10 to 40 cm long and 0.6 to 4.0 cm wide. The blooms are pendicellate with having joints, smaller,

whitish, bracteated, and zygomorphical, and the inflorescence is racemes. The perianth comprises of 6 tepals organized into 2 heteromorphous series each with three tepals. The 6 stamens are arranged opposite the tepals in the androecium. Filaments are shorter than anthers. Stigma is placed off from the stamens, and the pistil appears larger than the stamen. On the upper portion of the scape, the flower clusters are dense, the purple bracts are papery, linear, and 10–105 mm length, as well as the whiter pedicel measures 6–10 mm longer. It produces greenish to yellowish fruits whose length and width is identical. Endospermic seeds are onion-alike in appearance, blackish in color, and angular shaped. The fruit is a trilobed capsule with 3 to 12 seeds. The black seeds are flat. The plant's roots (fibrous) are transformed in the fleshy roots (fascicular roots), which are the financially valuable component. Its tuberous fleshy root is fascicle-like and originating direct from the disc of the stems without any fibrous structures. They're tubular and 5 to 20 in numeral. It's having 6 to 13 leaves which is radical, spirally imbricated at base, sessile natured, ovate or linear shaped with acute apex and somewhat constricted at the base. Smooth surfaces, wavy borders, and parallel venation characterize the leaves, which spread horizontally.

Safed musli is a little annual plant, grown enough in subtropical and tropical weathers with altitude up to 1,500 m. It grown up to a maximal of 1.5 feet height. Tubers could grown up to a depth of 10 inches.

#### 8.6.1 ROOT

The light brown to whitish colored root has a characteristic odor and have no taste. Tuberous fleshy root is fascicle-like and originating direct from the disc of the stems without having fibrous structures and separated it from Chlorophytum genus's different species. Tubers are tubular in shape, with an average length of eight centimeters with 0.9 cm diameter. The quantity of tubers alters according on the herb, but on average there are 5–30 tubers per herb, slightly tapering towards the lower side and like a pestle.

#### 8.6.2 LEAVES

It is having 6 to 13 basal leaves that are spirally imbricated at base, sessile, lanceolate, and ovate or linear with sharp apex and somewhat constricted at base and < 30 cm longer. The horizontally spread leaves are having smoother surfaces, wavy margins as well as paralleled venation.

# 8.6.3 FLOWERS AND FRUIT

Tiny, white, pedicillate, bracteate, zygomorphical *C. borivilianum* flowers are organized in alternative clusters, every cluster containing three flowers. The above portion of the scape flower clusters, are thick; purple bracts are papery, linear, and 1.0 to 10.5 cm longer; pedicle is whitish and 6 to 10 mm longer. It produces greenish to yellowish fruits that is about identical in width and length. The seed is tiny, blackish, and completely encased in the perforations. There are around 10 to 12 seeds in each hole. Endospermic seeds are onion-like in appearance, black in color, and angular in shape.

*C. borivilianum* has a variety of common names relying on the language spoken in a particular locality. Table 8.2 lists the names utilized in several languages in India and other countries.

Country	Vernacular Names (Language)
India	Swetha musli (Sanskrit); Hazarmuli, Safed musli, Satmuli (Hindi); Dholi musali, Ujlimusli (Gujrati); Shedheveli, Shedeveli (Malyalam); Kuli, Sufed musli (Marathi); Tannirvittan-Kizhangu, Tannirvittang, Taniravi thang, Vipurutti (Tamil); Tsallogadda (Telgu); Mushali, Hirtha-wariya (Sinhalese); Jhirna (Garhwali); Khairuwa (Bhojpuri).
Saudia Arabia	Shaqaqule-hindi, Shaqaqule (Arabic).
England, USA	Spider plant, Indian spider plant, White musale (English).
France	Chlorophytum medicinal (French).

**TABLE 8.2** C. borivilianum's Vernacular Names (Khanam et al., 2013)

The taxonomic data of C. borivilianum includes:

- Kingdom: Plantae.
- Phylum: Tracheophyta.
- Class: Liliopsida.
- Order: Asparagales.
- Family: Liliaceae/Asparagaceae.
- Genus: Chlorophytum.
- Species: Chlorophytum borivilianum.

# 8.7 VARIETIES AND CULTIVARS

Some global crop varieties are there which includes:

1. Chlorophytum arundinaceum Baker: Portions of central India, districts of Chhota Nagpur, foothills of north-east Himalayan in

Assam, Bihar, and West Bengal (Singh, 1974; Haines, 1961; Chadha et al., 1980).

- **2.** C. attentuatum **Baker:** From Karnataka to Coimbatore, the Western Ghats stretch southward (Hooker, 1894).
- **3.** C. breviscapum **Dalz.:** Sikkim Himalya, West Pennisula and Belgaum (Verma et al., 2020).
- 4. C. borivilianum Sant and Fern.: Timberlands of north Gujarat, west Madhya Pradesh, subtropical Himalayas, south Rajasthan, from Khasia hills to Kanyakumari, Kumaon, Assam, Bengal, Kokan, West peninsula, Kanara, and Chennai (Sriram et al., 2012; Santapau et al., 1955; Purohit et al., 1994).
- 5. C. glaucum **Dalz.,** C. orcbidastrum **Lindley:** Western India's Sahyadris mountain ranges (Verma et al., 2020).
- 6. C. undulatum Wall. Syn., C. kbasianum Hooker, C. nepalense (Lindley) Baker: India's eastern regions (Verma et al., 2020).
- 7. C. laxum **R.Br.:** Deccan Peninsula, Kakti Hills, Dharwar, Belgaum (Verma et al., 2020).
- **8.** C. malabaricum **Baker:** Western Ghats as well as Nilgiris (Verma et al., 2020).
- **9.** C. tuberosum **Baker:** Travancore to Konkan regions of Kerla, the East Himalayan, West Bengal and Bihar (Verma et al., 2020).
- 10. C. kolhapurense: Sutagatti ghat, Karnataka (Verma et al., 2020).
- 11. C. glaucoides: Tillari, Kolhapur (Verma et al., 2020).
- 12. C. bharuchae: Adi chikkodi, Karnataka (Verma et al., 2020).
- 13. C. heynei: Anamalai Hills, Tamilnandu (Verma et al., 2020).
- 14. C. indicum: Nandi hills, Sultanpeth, Karnataka (Verma et al., 2020).
- **15.** C. gothanense (Gothane plateau): Sangali, Gothane Plateau (Verma et al., 2020).
- 16. C. tuberosum: Ratnagir, Maharashtra (Verma et al., 2020).
- 17. C. gothanense (Kondushi plateau): Kondushi Gargoti (Verma et al., 2020).
- 18. C. sharmae: Munnar, Kerala (Verma et al., 2020).

## 8.8 SOIL AND CLIMATE

For optimal plant growths and tuber developments, *C. borivilianum* requires a warm, humid weather. Areas with annual rainfall of 50 to 150 cm (July to October) are deemed appropriate for cultivations (Kharif crops). Temperatures above 35°C are not conducive to growth, and a permeable soil with a higher organic material contents aids in the formation of mushy tubers (Singh et al., 2003). The pH of 5 to 8 is excellent for safed musli, according to Paturde et al. (2000). The availability of macro- and micro-nutrients in *C. borivilianum* is greatly influenced by soil pH over 8 (Singh et al., 2001b; Bordia et al., 1995).

# 8.9 PROPAGATION

C. Borivilianum is mainly produced by vegetative methods rather than seed germination since seeds have a low germination rate (5 to 13%), although strongly linked species C. tuberosum germinating was found to be between 28% and 62% (Jat, 1993; Trivedi et al., 1989; Satyabrata et al., 2005; Shrivastava et al., 2001; Dalal et al., 1987). The germination rate of seeds procured from nature has been predicted should between 11% and 24% (Bordia et al., 1990). Every seedling generates just 1 unit of plantation material and sprouts and establishes faster than tubers (95-100%). C. borivilianum is vegetatively propagated by separating discs from past year's output, every disc or sprout having 1 to 3 mushy tubers and weighing around five grams (Bordia et al., 1995). Because tuber cultivation is expensive and time-consuming, Kaur et al. (2009) predicted that steroidal hormones (cholesterol, testosterone) and plant growing regulators (kinetin, 24-epibrassinolide and indole butyric acid) would have a positive effect on the C. borivilianum seed's germinating rate. During some other study, rather than employing costlier hormones, tuberous roots of propagule have been pre-treated using K<sup>+</sup> salts to boost tuber's productivity (Tyagi et al., 2011).

# 8.10 PLANTING, IRRIGATION, AND NUTRIENT MANAGEMENT

Safed musli is a kharif crop that is sown in June following the first monsoon rainfall. About 400–500 kg seed tubers or nearly 30,000-35,000 fingers are required per acre (wet tuber with crown). *C. borivilianum* usually cultivated either earlier or afterwards the raining season has started, and the optimal time to sow differs depending on location. Chandra et al. (2007); Dalal et al. (1987); and Bordia et al. (1995) uncovered that depending on the soil quality and amount of rainfall, various kinds of beds (flat, furrow, and ridge, double, and triple rows raising beds) plus plants spacing effectively contributes to *C. borivilianum* productivity. For maximal fresh root productivity (3.46 tons/ha), Chandra et al. used a spacing  $10 \times 30$  cm by means of 3,33,000 plants population per hector in a hot semi-arid ecoregion (Chandra et al.,

2007). The other workers recommended planting 15 x 30 cm or 10 x 30 cm apart (Dalal, 1987; Bordia et al., 1995). One gram (350-kilogram tubers per hector) and 12.5 gram per unit were identified as the ideal planting material weights (Kothari et al., 2003, 2001; Paturde et al., 2000). Using 75% PAR (photosynthetically active radiation), the maximum arid root production of safed musli was reported by Yaseen et al. (2013). The musli tubers are properly cleansed in fresh water after getting pulled out from the ground. The tubers are divided into large and healthy fingers, with the little tubers being set aside for planting material the following seasons. The larger fingers are considered for processing. With a stainless-steel knife, the outer brownish skin is carefully peeled off then aired with in sunlight over 3–4 days. Those dried fingers are wrapped and sold in plastic bags.

Cow peas, pigeon pea, black gram, lablab bean, maize, sweet basil, bottle gourd, okra, mustard, and sacred basil are some of the intercrop's varieties of *C. borivilianum* (Chouhan et al., 2000; Bordia et al., 1995). Bottle gourd and Pigeon pea intercropping with safed musli offers high cumulative yield, financial profit, land equivalent ratio (LER), and economical returns. Those combinations increased bottle gourd and pigeon pea yields by 49.82 and 6.51 ton/ha, respectively, without lowering *C. borivilianum* root yields appreciably (Singh et al., 2011).

Rainy season grasses are the most common invaders of *C. borivilianum*, with *Cyperus rotundus* L. accounting for 62% of the overall weed populations, following by 33.9% of another kinds. The significant grass species inclusive of *Digitaria sanguinalis* (L.) Scope, *Dactyloctenium aegyptium* (L.) Beauv., *Eleusine indica* (L.) Gaertn, and *Phyllanthus amarus* Schum and Thonn, the only dicot species available by monsoon season. The wintery seasons weed includes *Gnaphalium indicum* L. and *Elitolus indica*, comprises of 17.4% and 82.6% of the weed growth, respectively. *Argemone maximana* L. (Satyanasi), *Parthenium hysterophorous* L. (Parthenium), *C. scariosus* (Nagarmotha), and Lantanas are immensely competitive and common weeds of the musli (Oudhia, 1996; Singh et al., 2003). After 15 to 20, 25 to 30, and 50 to 55 days following planting, manually weeding is particularly successful in lowering the weeds populations (Singh et al., 2001b).

The nutrient needs to grow safed musli, especially potassium (K), phosphorus (P), and nitrogen (N), are quite lower, according to research directed at Central Institute of Medicinal and Aromatic Plants. For increased tuber yields, multiple researchers suggested applying N,  $P_2O_5$ , and  $K_2O$  at rates of 25 to 75, 60 to 65, and 2 to 30 kg/ha, respectively (Wankhade et al., 2004; Kothari et al., 2003; Singh et al., 2004a; Paturde et al., 2000). According

to the studies, applying NPK in ratios of 25:25:25, 45:60:60, and 50:50:50 kilogram per hector, or farmyard manure (FYM) (10 to 40 tons/hector) alone or in conjunction with NPK, considerably increases fresh tuber output (Chouhan et al., 2002; Ingle et al., 2004; Paturde et al., 2002; Kothari et al., 2003; Singh et al., 2001a; Chauhan et al., 2005; Wankhade et al., 2004). The intake of primary nutrients (NPK), the secondary nutrients (Fe, S, Mg, Ca), and the micro-nutrients (Mn, Zn, Cu) in safed musli is also influenced by the presence of water within the fields (Vijaya et al., 2009a).

# 8.11 PRUNINGS

Unnecessary branches, leaves, and stems must be eliminated to allow for air penetration within the crop.

# 8.12 PEST AND DISEASE MANAGEMENT

Safed musli is generally invaded by leaf blight, leaf spots, tuber rot, collar rot, and rust disorders (Table 8.3). Application of tridemorph (0.1%), propiconazole (0.1%), dithane M-45 (0.25%), Zineb + hexaconazole (0.2%) exhibited higher inhibitions in *Alternaria alternate* and *Colletotrichum dematium* growths (Tekade et al., 2009).

Diseases	Causative Agents	References
Rust	Uromyces clignii and U. loculiformis	Mukherji et al. (1986)
Leaf spots	Colletotrichum dematium, C. chlorophyti	Mukherji et al. (1986)
Tuber rot	Rhizoctonia bataticola, Fusarium solani	Tekade et al. (2009); Raghavendra et al. (2005)
Collar rot	Corticum rolfsii	Singh et al. (2001a)
Leaf blights	Colletotrichum dematium, C. capsici, Alternaria alternate	Tekade et al. (2009); Sattar et al. (2006)
Tuber infections by roundworms	Tylenchorhynchus brevilineatus, Helicotylenchus indicus, and Meloidogyne incognita	Singh et al. (2004a)
Tuber infections by insecta	Zonabris pustulata	Oudhia (2000c)
Spoilage of tubers when storing	Fusarium spp., Aspergillus spp.	Bordia et al. (1995)

**TABLE 8.3**Diseases in C. borivilianum

In brief, attempts should be made to standardize safed musli farming procedures. There's a wide opportunity to investigate the various growing parameters, based on the geographic region; in order to optimize maximal yield. The use of hormones in propagating might be a cause of concernment; as there might be probability of hormone accumulation in roots and examination may be essential prior to utilizing it as a medication. There's a call for broad exploration to discover economic and environment friendly substitute to promote cultivation by seeds. According to the literature, FYM can also considerably boost tuber yield, thus organic farming must be encouraged even if synthetic fertilizers are used. To get the most out of 2 crops in the same growing season, current farming practices such as intercropping should be used. To prevent the product from being lost, weeding, and pest control treatment must be done on a regular basis.

#### 8.13 HARVESTING AND YIELD

The harvested bunch of tuberous roots is collected with sand stick, then heaped inside the shading. That heaped agitated usually in every 3 to 4 days for the following 8–12 days. Then tuber bunches are removed from sand and washed with water. Corpulent root usually detached out the bundle by exerting moderate force of the fingers and thumb on each root which leads to separation of the roots from the main bunch. Tuberous musli typically stored in vented racks in regulable temperatures of 25–31C and at 50–65% relative humidity and these tubers are used as a planting material for the next year (Manjunatha et al., 2004).

Average Safed musli yield is around 6–8 times of the planting material utilized. Around 80,000 plants are seeded each acre, with >70,000 plants survive up to harvest. If a plant's tuber weighs approximately 30 grams, an one acre field can provide 2,100 kg (21 quintal) fresh tubers and 400–500 kg of dry musli (Manjunatha et al., 2004). Tiwari (2006) conducted field experiment to evaluate yield and quality of *C. borivilianum* at Mandsaur (M. P.). Fresh fasciculated roots of Safed musli var. MCB-412 have been reported to have significantly greater yield characteristics and yield (3,980 kg ha<sup>-1</sup>). After application of 2 doses of NPK fertilizers, N45:P60:K60 followed by N30:P40:K40 production climbed to 3,640 kg per hectare. In another study, organically fertilizing with FYM with an extent of 10 to 20 tons per hector or vermicompost at a rate of 5 tons per hector were shown to be effective beneficial in elevating production of freshly fasciculated roots (2,036 kilogram–2,127 kilogram per hector, as compared to control 1,873 kilogram per hector). The 30 x 10 cm plant spacing also resulting in a much higher roots yield of 2,299 kg ha<sup>-1</sup>.

# 8.14 POST-HARVEST HANDLING AND STORAGE

As indicated by Manjunatha et al. processing is a part of marketing service. For small scale cultivation manual processing is carried out while large scale cultivation demands semi-automized or fully automized technology.

The musli tubers are properly cleansed in fresh water after being plucked outside the ground. The tubers are taken from the large and healthy fingers, and the little ones are set aside to utilized as planting materials for the following seasons. The larger fingers are considered for processing (Manjunatha et al., 2004). Musli tubers typically processed in the following stages:

- 1. **Peeling:** To save the tubers' most valuable component, the skin of cleansed and healthy tubers is painstakingly removed with special blades. A special skill is needful for this process.
- 2. Drying: The peeled fingers are wet and removal of moisture is necessary. To obtain better quality dry musli, they are dried in greenhouses with regulated sunlight. This process is carried out for 7 days to ensure complete drying. The moisture percentage of the dried tubers is diminished by around 20–25% of the moisture percentage of the wet tubers.
- **3.** Grading: These of fully dried fingers in 'A,' 'B' and 'C' categories is carried out on the basis of their color and size.
- **4. Packing:** Musli tubers being wrapped using hermetic polythene bags for preventing the entry of moisture.

CSIR institutes (most notably the Central Institute of Medicinal and Aromatic plants, Regional Research Laboratory, Jammu) and ICAR Institutions based on their All India Coordinated trials have standardized Agrotechnology Packages for various Indian medicinal species and *Chlorophytum borivilianum* and *C. tuberosum* have been, included in the list of such species (Natesh, 2001).

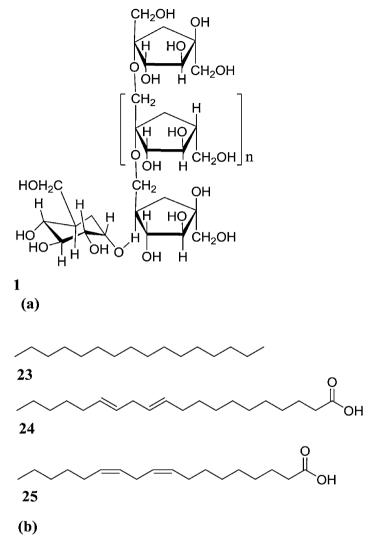
# 8.15 PROCESSING AND CHARACTERIZATION OF PHYTOCONSTITUENTS

Safed musli comprises wider range of phytoconstituents, for example, alkaloids, saponins, phenolic acids and flavonoids (Visavadiya et al., 2010). *C. borivilianum* roots contain 42% glucose, 8 to 9% proteins, 3 to 4% fiber, and 2 to 17% saponins (Wagle et al., 2000; Bordia et al., 1995). According to another researchers, the root contains roughly 30% alkaloids,

10 to 20% saponins, 40 to 45% polysaccharides (mucilage), and 5 to 7% proteins (Mayank et al., 2008; Deore et al., 2009a; Tandon et al., 1992). Moreover, musli additionally comprises of steroid, triterpenoids, vitamins, calcium, magnesium, potassium, gallo-tannins, rarer components like Cu, P, Zn, resins, and higher amount of sugars particularly glucose, fructose, galactose, sucrose, xylose, and mannose (Singh et al., 2012; Thakur et al., 2005; Kokate et al., 2004).

Despite the facts that there has been no specific scientific study on Chlorophytum species yet at the same time a few sapogenins, saponins, furostane as well as spirostane glycosides have already been procured in latest times (Kaushik, 2005). Howsoever, research on C. borivilianum secondary metabolites is limited. All things considered, there're a few literatures on qualitative phytochemical screenings in C. borivilianum. The composition of fasciculated safed musli root extracts was qualitatively investigated by research teams from the National Research Centre for Medicinal and Aromatic Plants in Boriavi, India, through contrasting identic C. aurundinaceum species' high performance thin layer chromatography (HPTLC) chromatograms. The majority of their peaks were comparable in both species (Phurailatpam et al., 2009). Kothari et al. (2004) were the first to reveal relative reverse phase high-pressure anion exchange (RP-HPAE) chromatography to identify inulin type  $2 \rightarrow 1$  linked fructans. Later on Narasimhan et al. (2006) have effectively seperated the fructo-oligosaccharide from safed musli for the first time and named it  $O-\beta$ -D-fructofuranosyl- $(2\rightarrow 1)-(\beta$ -D-fructofuranosyl)<sub>n</sub>- $(2\rightarrow 1)-\alpha$ -D-glucopyranoside (n=5-30) (1) utilizing HPAE chromatography (Figure 8.1(a)). The extract and inulin type  $2 \rightarrow 1$  related fructans displayed significant anti-diabetic potential in streptozotocin-induced diabetic rats. On the 21<sup>st</sup> day after the extract along with the compound (at 10 mg per kg dosing) administering, male albino rats revealed a significant drop in blood sugar levels (118.32  $\pm$  3.56 mg/dL, 110.21  $\pm$  4.22 mg/dL) when comparing with control ( $231.25 \pm 3.03 \text{ mg/dL}$ ).

*C. borivilianum* is broadly utilized as a raw medication and there're various investigations have been conducted only on the plant's extracts. Their high saponin concentration is responsible for several vital biological functions (Liu et al., 2002; Estrada et al., 2000), ginseng root (*Panax ginseng*) is primarily found in underground tubers (Pullaiah, 2002; Marker et al., 1943); well known as an aphrodisiac and immunomodulator in ancient oriental therapy and throughout the world (Fukuda et al., 2000). *C. borivilianum*'s roots contain the most saponins of any Chlorophytum species found in India, and it's the most preferred species for treating sexual issues in male (Bordia



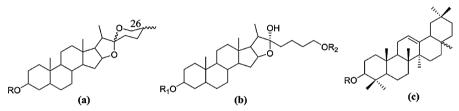
**FIGURE 8.1** Miscellaneous components procured from *C. borivilianum* (a) Fructans (n = 5-30) (compound 1). (b) Longer chain hydrocarbon (compound 23) and fatty acids (compounds 24 and 25).

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et al., 1995). In the context of quest for new saponins from musli, Acharya et al. (2008a, b, 2009) has undertaken substantial study, that is detailed in the ensuing texts.

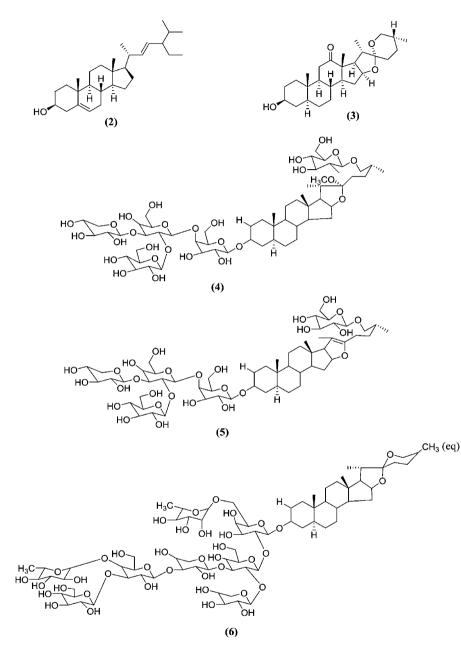
#### 8.15.1 SAPONINS

Many herbal remedies and folk treatments are said to include saponins, which are responsible for a variety of pharmacological effects (Sparg et al., 2004; Estrada et al., 2000). Saponins are distinguished from other glycosides by their surface-active characteristics (Tyler et al., 1981). So far, saponins of the steroidal furostane and spirostane kinds have been found in *C. borivilianum* (Bruneton, 1995) (Figure 8.2).

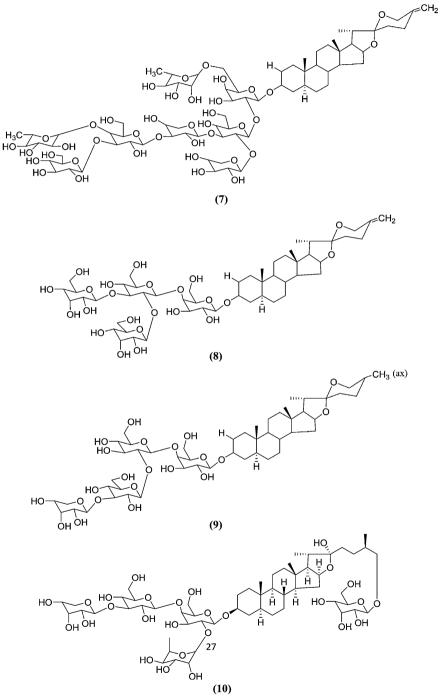


**FIGURE 8.2** Saponin's algycone skeletons. (a) spirostane (steroidal); (b) furostanes (steroidal); and (c) triterpenoid saponins [R: sugar moiety]. *Source*: Reprinted with permission from Khanam et al., 2013. Copyright 2013. Elsevier

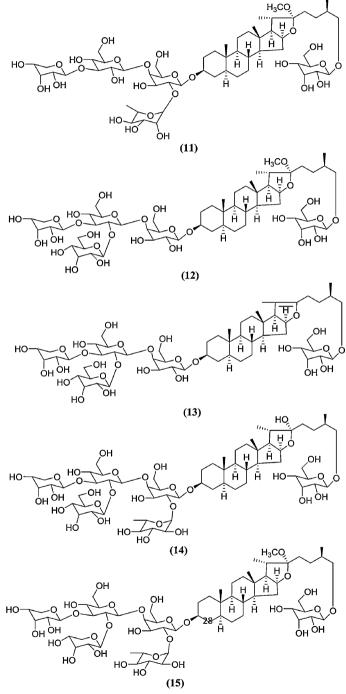
Saponins, stigmasterol (2), and hecogenin (3) (Figure 8.3) are C. borivilianum's primary secondary metabolites, which have antioxidant, aphrodisiac, anticancer, and immune-boosting properties (Patel et al., 2011; Kumar et al., 2011). Stigmasterol has a structure comparable to testosterone and is principally responsible for safed musli's aphrodisiac action. Hacogenin, on the other hand, aids in the generation of anabolic hormones. C. borivilianum's cultures have been shown to enhance the synthesis of medicinally relevant stigmasterol and hacogenin in vitro (Bathoju et al., 2012a, b). For qualitative investigation of saponins and to standardize the sapogenin and saponins of musli, scientists utilized high performance liquid chromatography and HPTLC (Joshi et al., 2000; Thakur et al., 2009a; Govindarajan et al., 2005). Sharma et al. (2012) have published an enhanced saponin detecting methodology. TLC plates were developed using a suspension of sheep erythrocytes, with saponin appearing as whiter spots against a pinkish background. Barve et al. (2010) determined the most effective conditions for extracting saponins from C. borivilianum tubers. According to this investigation, after 4 hours of extraction using water or methanol at 60°C having 30/60 mesh size, the saponin yield was appreciable.



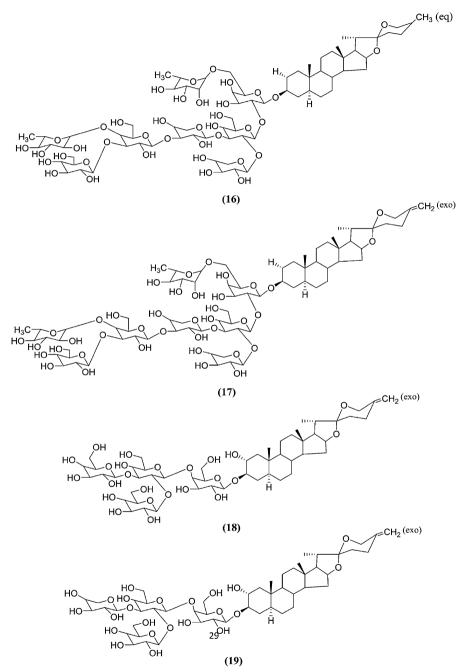
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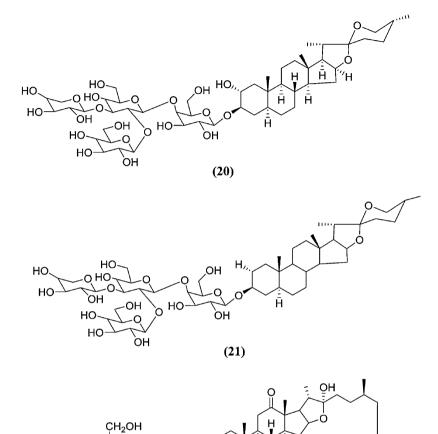
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(22)

CH<sub>2</sub>OH

ÓН

ЮH

ÓН

**FIGURE 8.3** Chemical structures of saponins procured from *C. borivilianum* (compounds 2–22). *Source:* Reprinted with permission from Khanam et al., 2013. Copyright 2013. Elsevier

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ÇH₂OH

ÒН

Only a few investigations on systematic saponin isolation have been documented to yet. Lately, Acharya et al. (2008a, b, 2009) recently resulted in the isolation of more than twenty steroidal saponins from the butanol (n-BuOH) fraction of an ethanolic roots extract (Table 8.4). Such saponins were seperated and characterized utilizing various chromatographical steps

(MPLC, VLV, and FLASH) with RP-18 and silica gel columns, as well as different spectral techniques. Acharya et al. (2007) procured 4 new cytotoxic and insecticidal furostane saponins for the first time. Further research of the n-BuOH fraction of C. borivilianum root ethanolic extract resulted in isolating 6 newer steroidal saponins (Acharya et al., 2008a). Amongst those 6 newer steroidal saponins, 2 seemed to be furostanol (4, 5) and 4 seemed to be steroidal spirostanol saponins (6-9). The furostanol saponins isolated seemed to be structurally analogs with almost the same sugar pattern. On human colon cancer HT-29 and HCT-116 cells, MTT (methyl thiazolyldiphenyl-tetrazolium bromide) cytotoxic assays of those components revealed modest cytotoxicity throughout the range of 9 to 85 µg per ml toward paclitaxel as control group. Such components were indeed moderately insecticidal against Helicoverpa armigera. In another survey conducted the same year by Acharya et al. (2008b), borivillianoside A-D (10-13), four novel furastanol saponins (steroidal), as well as 2 known compounds, were discovered (14, 15). The sugar moiety in borivillianosides A and B has been recognized as rhamnose, galactose, as well as glucose. Whilst also borivillianosides C and D contained xylose, galactose, and glucose. The exact structures of the sugar moieties were confirmed by GC assessments and were D for xylose, galactose, glucose, and L as rhamnose. Acharya et al. (2009) recently procured 4 newer spirostane kind saponins, borivillianosides E-H (16-19) using ethanolic root's extracts together with two existing steroid saponins (20, 21). In the MTT assay, borivillianoside H demonstrated strong toxic effects toward HT 29 (2.6  $\mu$ M) and HCT 116 (0.38  $\mu$ M) cell lines. Paclitaxel has been utilized as standard in the study, with IC<sub>50</sub> values of 3.6 nM for HT-29 and 1.1 nM for HCT 116 cell lines.

#### 8.16 BIOLOGICAL ACTIVITIES OF C. BORIVILIANUM

Table 8.5 summarizes *in vitro* as well as *in vivo* pharmacologic benefits of extracts of *C. borivilianum* and components procured from it.

#### 8.16.1 APHRODISIAC ACTIVITY

Thakur et al. published the first animal study on musli's aphrodisiac potential (Table 8.6) (Thakur et al., 2006). That research has been one of the first to provide scientific support for the usance of musli conventionally as an aphrodisiacal. The impact of ethanol extracts and sapogenin from roots of musli onto spermatogenesis and sexual behavior in albino rats was studied at 2 distinct doses, 100 mg as well as 200 mg per kilogram body weight (b.w.) (Thakur

Safed Musli: A Critical Review on Bioactive Compounds, Medicinal Properties 325

Compound Name	Activity	References
3β-hydroxy-24-ethyl 5,22-cholestadiene/ Stigmasterol ( <b>2</b> )	-	Deore et al. (2010)
$5\alpha$ -Spirostan- $3\beta$ -ol-12-one/Hacogenin (3)	_	Bathoju et al. (2012a, b)
(25R)- $3\beta$ , $5\alpha$ , $22\alpha$ - $22$ -methoxyfurostan- 3,22,26-triol 3- $O$ - $\beta$ -D-xylopyranosyl- (1 $\rightarrow$ 3)-[ $\beta$ -D-glucopyranosyl-(1 $\rightarrow$ 2)]- $\beta$ - Dglucopyranosyl-(1 $\rightarrow$ 4)- $\beta$ -Dgalactopyranosyl 26- $O$ - $\beta$ -D-glucopyranoside ( <b>4</b> )	Cytotoxic activity (9–85 µg/ml), insecticidal activity (moderate)	Acharya et al. (2008a)
(25R)-3 $\beta$ ,5 $\alpha$ ,furost-en-20(22)-3,26-diol 3- <i>O</i> - $\beta$ -D- xylopyranosyl-(1 $\rightarrow$ 3)-[ $\beta$ -D-glucopyranosyl- (1 $\rightarrow$ 2)]- $\beta$ -Dglucopyranosyl-(1 $\rightarrow$ 4)- $\beta$ -D- galactopyranosyl-26- <i>O</i> - $\beta$ -D-glucopyranoside ( <b>5</b> )	Cytotoxic activity (9–85 µg/ml), insecticidal activity (moderate)	Acharya et al. (2008a)
$\begin{array}{l} (25\text{R})-3\beta-5\alpha\text{-spirostan-3-ol}\ 3-O-\alpha\text{-L-}\\ \text{rhamnopyranosyl}\ (1\rightarrow4)-[\beta\text{-D-glucopyranosyl-}\\ (1\rightarrow3)]-\beta\text{-D-glucopyranosyl-}(1\rightarrow3)-\alpha\text{-}\\ \text{Larabinopyranosyl-}(1\rightarrow3)-[\beta\text{-D-xylopyranosyl-}\\ (1\rightarrow2)]-\beta\text{-D-glucopyranosyl-}(1\rightarrow2)-[\alpha\text{-L-rhamn-}\\ \text{opyranosyl-}(1\rightarrow6)-\beta\text{-D-galactopyranoside}\ (6) \end{array}$	Cytotoxic activity (9–85 µg/ml), insecticidal activity (moderate)	Acharya et al. (2008a)
$3\beta$ - $5\alpha$ -spirost-ene-25(27)-3-ol $3$ -O- $\alpha$ -Lrhamnopyranosyl(1 $\rightarrow$ 4)-[ $\beta$ -D- glucopyranosyl-(1 $\rightarrow$ 3)]- $\beta$ -D-glucopyranosyl- (1 $\rightarrow$ 3)- $\alpha$ -L-arabinopyranosyl-(1 $\rightarrow$ 3)-[ $\beta$ -D- xylopyranosyl-(1 $\rightarrow$ 2)]- $\beta$ -D-glucopyranosyl- (1 $\rightarrow$ 2)-[ $\alpha$ -L-rhamnopyranosyl-(1 $\rightarrow$ 6)]- $\beta$ -D- galactopyranoside (7)	Cytotoxic activity (9–85 µg/ml), insecticidal activity (moderate)	Acharya et al. (2008a)
$3\beta$ - $5\alpha$ -spirost-ene-25(27)-2,3-diol 3- $O$ - $\beta$ -D-glucopyranosyl- $(1\rightarrow 3)$ - $[\beta$ -D- glucopyranosyl- $(1\rightarrow 2)$ ]- $\beta$ -D-glucopyranosyl- $(1\rightarrow 4)$ - $\beta$ -D-galactopyranoside ( <b>8</b> )	Cytotoxic activity (9–85 µg/ml), insecticidal activity (moderate)	Acharya et al. (2008a)
(25S)- $3\beta$ - $5\alpha$ -spirostane- $3$ -ol $3$ - $O$ - $\beta$ -D- xylopyranosyl- $(1\rightarrow 3)$ - $\beta$ -D-glucopyranosyl- $(1\rightarrow 2)$ - $\beta$ -D-glucopyranosyl- $(1\rightarrow 4)$ - $\beta$ -D-galactopyranoside (9)	Cytotoxic activity (9–85 µg/ml), insecticidal activity (moderate)	Acharya et al. (2008a)
Borivilianoside A (10)	Negative cytotoxic activity against HCT 116 and HT 29 cell lines	Acharya et al. (2008b, 2009)
Borivilianoside B (11)	_	Acharya et al. (2008b)

**TABLE 8.4** Saponins Separated from C. borivilianum Roots (Khanam et al., 2013)

Compound Name	Activity	References
Borivilianoside C (12)	Negative cytotoxic activity against HCT 116 and HT 29 cell lines	Acharya et al. (2008b, 2009)
Borivilianoside D (13)	-	Acharya et al. (2008b)
Tribuluside A (14)	_	Acharya et al. (2008b)
$(3\beta,5\alpha,22R,25R)-26-(\beta-glucopyranosyloxy)-22-$ methoxyfurostan-3-yl O- $\beta$ -D-xylopyranosyl- $(1\rightarrow 2)-O-[\beta$ -D-xylopyranosyl- $(1\rightarrow 3)$ ]-O- $\beta$ -D- glucopyranosyl- $(1\rightarrow 4)-O-[\alpha$ -L-rhamnopyranosyl- $(1\rightarrow 2)]-\beta$ -D-galactopyranoside ( <b>15</b> )	_	Acharya et al. (2008b)
Borivilianoside E (16) Borivilianoside F (17)	<ul> <li>Negative cytotoxic activity against</li> <li>HCT 116 and HT</li> <li>29 cell lines</li> </ul>	Acharya et al. (2009)
Borivilianoside G (18)	Negative cytotoxic activity against HCT 116 and HT 29 cell lines	Acharya et al. (2009)
Borivilianoside H (19)	Cytotoxic activity ( $0.38 \mu$ M in HCT 116 cell lines and 2.6 $\mu$ M in HT 29 cell lines.	Acharya et al. (2009)
Gitogenin 3- $O$ - $\beta$ -D-glucopyranosyl- $(1 \rightarrow 3)$ - $[\beta$ -D-glucopyranosyl- $(1 \rightarrow 2)$ ]- $\beta$ -D-glucopyranosyl- $(1 \rightarrow 4)$ - $\beta$ -D-galactopyranoside ( <b>20</b> )	_	Acharya et al. (2009)
25( <i>R</i> , <i>S</i> )-5 <i>a</i> -spirostan-3 <i>β</i> -ol 3- <i>O</i> - <i>β</i> -D-xylopyranosyl- $(1\rightarrow 3)$ -[ <i>β</i> -D-glucopyranosyl- $(1\rightarrow 2)$ ]- <i>β</i> -D-glucopyranosyl- $(1\rightarrow 4)$ - <i>β</i> -D-galactopyranoside ( <b>21</b> ).	Negative cytotoxic activity against HCT 116 and HT 29 cell lines.	Acharya et al. (2009)
Chlorophytoside-I (22)	_	Deore et al. (2010)

**TABLE 8.4** (Continued)

*Source:* Deore et al. (2010) procured a newer furostanol saponin, chlorophytoside-I (**22**), from methanol roots extract of safed musli. Furthermore, methanol root extracts produced stigmasterol (**2**) (Figure 8.3), a longer chain hydrocarbon, hexadecane (**23**), and 2 longer-chain fatty acids, 11,14 eicosadienoic acid (**24**) and linoleic acid (**25**) (Figure 8.1(b)).

et al., 2006). The increment in body as well as reproductive organ weight, as well as histological actions, reported a significant spermatogenic and anabolic effects in treated animals. There's a drastic reduction in mount, ejaculation, post-ejaculatory, and intromission latency, as well as an increment in mount frequency and affection to females, indicating improved sexual behavior. Thakur et al. (2007) investigated the pedunculation actions and sperm counts of istar strain male albino rats exposed to 'Vajikaran' plants like *Curculigo orchioides* Gaertn., *Asparagus racemosus* Willd., *Orchis latifolia* Linn., *Dactylorhiza hatagirea* (D. Don) Soo, and *C. borivilianum* (200 mg per kg b.w.). After 14 days of administering drugs, these 'Vajikaran' trees markedly increased *in vitro* sperm counts and pedenculatory behavior, as per their studies.

Thakur et al. (2008, 2009b, c, 2011a, b) researched various prospectus of sexual behavior and variables to assess the approdisiac potency of roots of C. borivilianum in the subsequent decade. Thakur et al. (2008) expanded on their past research on 'Vajikaran' trees and identified that those trees could prevent testicular damages caused by heat by dipping male scrotal sacs in steam baths (40°C ±2, daily 15 minutes for 2 weeks). When rats were menifested to heat following by extract treatment, the intromission, mount, as well as ejaculation latency have been down regulated, whereas the frequencies for the same parameters were substantially restored while contrasted to the heat expositioned control group alone. Thakur et al. (2009c) observed comparative aphrodisiac actions of the trees (C. orchioides and A. racemosus) inclusive of C. borivilianum as a result of ongoing investigation on 'Vajikaran Rasayana' trees. Administering 200 mg per kg b.w. root water extracts resulted in a significant anabolic impact in treated albino male rats, as evaluated by weight gaining in the body along with reproduction system. The testosterone-alike impacts caused a major variation in animal sexual behavior. Thakur et al. (2011b) recently investigated the aphrodisiac impact of the same 'Vajikaran Rasayana' trees (C. orchioides, A. racemosus, C. borivilianum, and D. hatagirea) on various many sexual parameters at 100 mg per kg b.w. Visual observation was used to determine the sperm counts and penile erection index; spectrophotometric assay has been utilized to assess nitric oxide (NO) release and seminal fructose concentration in mouse macrophage RAW264.7 cells by respectively utilizing a reagent resorcinol and a commercially available Griess reagents kit. The C. borivilianum demonstrated a massively important response in all analyzed factors in both in vivo as well as in vitro investigations, i.e., specifically linked to erectile function.

Another study, Kenjale et al. (2008) found that aqueous *C. borivilianum* root extract had spermatogenic and aphrodisiac potential when compared to

the standard aphrodisiac drug, sildenafil citrate, also referred as Viagra<sup>®</sup>. This aphrodisiac analysis seemed to be truly revolutionary to include a comparative analysis with a positive control group. *C. borivilianum* at lesser dosage (125 mg per kg) increased libido, sexual vigor and sexual arousal (1, 7, 14, 21, and 28 days after treating). At a high dosage (250 mg per kg), all variables of sexual behavior improved on days 1, 7, and 14, but reached saturation after 2 weeks of treating. After 60 days of drug administering, the sperm counts risen exponentially at both dosages. As a result of those discoveries, it's determined that *C. borivilianum* could be used to treat specified types of sexual inadequacies, including oligospermia and premature ejaculation.

Thakur et al. (2009b) explored sexual dysfunctions throughout hyperglycemic albino male rats triggered by alloxan (100 mg per kg) or streptozotocin (50 mg per kg) in another study. The male diabetic rats were given C. borivilianum extract (200 mg per kg) and were tested for sexual behavior in the context of female rats, as well as other sexual variables. C. borivilianum extract treated animals showed enhanced diabetes-induced sexual dysfunctions. Howsoever, when compared to the normo-glycemic control group, the alloxan and streptozotocin treated groups had substantially lower sexual behaviors (P < 0.05). Recently, the effectiveness of herbal compositions of medicinal herbs, which have conventionally been regarded to have aphrodisiac potency, was tested using barren male (Mahajan et al., 2012). After 40 and 90 days of treating, the herbal formulations of Eulophia campestris (Wall), Mucuna pruriens (Linn.), and C. borivilianum, improved significantly sperm quality and motility in oligozoospermic patients. In a recent report, methanol, and successive water extracts of 30 plant species, including C. borivilianum, were evaluated for their ability to inhibit Rho-kinase 2 (ROCK-II) (Goswami et al., 2012). ROCK-II inhibiting action of extracts was determined utilizing HTRFK in the EASETM STK S2 Kit (Cisbio Bioassays), with Y-27632 serving as the standard inhibitor. The methanolic C. borivilianum fraction exhibited considerable inhibition (4.11%), whereas the subsequent aqueous extract found substantial percentage inhibition (40.50%). As a result, plant extracts that inhibit the ROCK-II enzyme could be used to treat of erectile dysfunctions (ED) via relaxation of corpora cavernosa. The researchers noted that the C. borivilianum aqueous extract's moderate action was because of the existence of steroidal saponins. C. borivilianum's dual mechanisms of androgen synthesizing and ROCK-II inhibitory demonstrates its significance as a 'Vajikaran' herb (Thakur et al., 2009b; Goswami et al., 2012).

Basis of the observations of the preceding investigations, this is feasible to conclude that the study results on *C. borivilianum* provide an evidence base for its presumed conventional use as a 'Vajikaran Rasayana' tree. As a result

of the findings, the plant could even act as an aphrodisiac, and its use in Indian traditional medicinal system is reasonable. Only ethanol and aqua extracts of the root have been studied *in vivo* thus far, and there's scope to investigate numerous other extracts of the herb for aphrodisiac potency. The minimal tested dosing of crude extracts as well as fractions on animal models at 100, 125, 200, and 250 mg per kg body weight, are a major source of concern.

#### 8.16.2 IMMUNOMODULATORY ACTIVITY

Immunomodulators are synthetic or biological chemicals that have the ability for inducing, suppressing, or modulating different immune system aspects (Kumar et al., 2012), inclusive of both the innate and adaptive arms. Those trees classified as 'Rasayanas' are rejuvenators, nutraceuticals, and have high antioxidant activity. They have the inverse effects on oxidative stressors, causing the formations of various free radicals. They're primarily utilized to counter the issue of atherosclerosis, aging, rheumatoid arthritis, cancer, diabetes. Parkinson's disease, and autoimmune disease. The 'Rasayana' trees appear to have immunoadjuvant, immunostimulant, and immunosuppressive properties. The mechanism of immunomodulatory action occurs primarily through phagocytosis stimulations, then activation of macrophages (Kumar et al., 2012), then immunostimulatory effects on peritoneal macrophages, after that lymphoid cell stimulations, then cellular immune functions enhancing and non-specific cellular immune system effects, afterward antigen-specific immunoglobulin (IgG) productions, then increment in non-specific immunity factors along with NK cells, afterward reduction in chemotherapy-induced leukopenia, and lastly increment in interleukin-2 level along with circulatory total white cells (Chulet et al., 2010).

Thakur et al. (2007) investigated the immunomodulatory effects of safed musli root ethanol and sapogenin extracts (Table 8.6). The immunomodulatory action was determined by stimulating myelosuppresion with azathioprine and measuring hematological and serological parameters after extract administering. When contrasted to sapogenins, the ethanol extract demonstrated greater activities. Extract therapies greatly improved survival over *Candida albicans* infections, with ethanol extracts achieving 94  $\pm$  5.56% survival and sapogenin extracts achieving 88.86%  $\pm$  5.6 survivability. In sapogenins and ethanol extract-treated groups, the increased sheep red blood cell (SRBC) mediated delayed-type hypersensitivity response (DTH), percent neutrophil adhesion, and *in vivo* phagocytosis with phagocytic indexes of around 20% (1.2  $\pm$  0.03) and 25% (1.36  $\pm$  0.51), respectively. The immunomodulatory action of safed musli's aqueous extract,

non-polysaccharide, and polysaccharide fractions were tested (Thakur et al., 2011a). The comprehensive phytochemical analysis of C. borivilianum hot water-soluble root extracts resulted in the quantifying of approximately 60% w/w polysaccharides, primarily 31% inulin-kind fructans along with acetylated mannans (25%) (Thakur et al., 2009b). The effect of those extracts over natural killer (NK) cellular function was studied in vitro. Human PBMCs (peripheral blood mononuclear cells) isolates obtained out of total blood on a Ficoll-Hypaque density gradient have been evaluated for modulating of NK cell cytotoxicity against K562 cells upon absence or presence of varying quantities of every C. borivilianum fractions. In comparison with control, the polysaccharide fraction had a considerably higher influence (P < 0.01) in enhancing NK cellular activities (98  $\pm$  2.5% at 5 µg per ml). Despite the fact that the aquatic extraction significantly activated NK cells (P < 0.05), the majority of the effects emerged to be attributed by the polysaccharide fraction without one. The polysaccharide fraction (IC<sub>50</sub> = 722.31 gm per ml) and aqueous extract (IC<sub>50</sub> = 1219.67 gm per ml) were found to be non-toxic against sensitive mouse leukemia P388 cell lines in the study. Markedly increased HA titer readings of approximately 169.2, 174.3, and 168.6 have been obtained against control (147.6) in polysaccharide fraction and aqueous extract at 50 and 100 µg per ml, respectively. Moreover, an *in vivo* study utilizing Wistar rats to evaluate humoral response to SRBCs and IgG-levels using enzyme linked immunosorbent assay (ELISA) revealed that aqueous C. borivilianum extract was beneficial in enhancing immune function. Aqueous extract administering resulted in a substantial increment (P < 0.01) for IgG levels (1.93), trailed by polysaccharide fraction at 50 mg per kg b.w.  $(1.81 \pm 0.04)$  and at 100 mg per kg b.w.  $(1.85 \pm 0.01)$  (Thakur et al., 2011a).

In concluding, the accessible knowledge suggests that *C. borivilianum* could be utilized as an immunostimulant, and further research on different fractions of diverse extracts is meant to investigate the most effective immunostimulating fraction one. As of now, ethanol and aqua fractions at 50 mg, 100 mg, and 200 mg per kilogram b.w. have been studied, but more research on effective dosage is needed. Furthermore, previous research has validated the plant's conventional usage as a "Rasayana" in the Ayurvedic medicinal system.

#### 8.16.3 ANTICANCER ACTIVITY

Jamal reported on the effects of *C. borivilianum* on apoptosis and cell kinetics in human breast cancer cell lines (Jamal, 2005). Deore et al. tested the antiproliferative action of *C. borivilianum*'s methanol extract as well as

crude saponin fraction (Deore et al., 2010b). MTT and SRB assays were used in the study, accompanied by a DNA fragmentation assay. The study's finding suggests that crude C. borivilianum saponin fraction shows powerful anti-cancer potential exhibiting very higher percent inhibitions. Kumar et al. (2010) discovered antimutagenic, chemomodulatory, and antitumor action in C. borivilianum aqueous root extracts (Table 8.6). In preliminary investigations, mice were given different doses of root's fractions (100 mg, 400 mg, and 800 mg per kilogram b.w. daily) and no toxicity was noticed. The huge reduction in lipid per oxidation (LPO) levels as well as substantial increment in CAT (catalases), SOD (superoxide dismutase), and GSH (reduced glutathione) level contrasted to control was used to select a dose for anti-tumor studies. For seven days, 100 µl of root extract (800 mg per kg b.w.) was administered orally every day at the pre-, peri-, and post-initiation stages of papillomagenesis. 7,12-dimethylbenz-(a)-anthracene (DMBA) carcinogen accompanied by croton oil (promoter) was used to induce papilloma in animals. When comparing with control (100%,  $43\pm0.94$ ), the extract significantly reduced cumulative number of papillomas (22, P < 0.001) and tumor occurrence (56.7%, P < 0.001). In an antimutagenic investigation, treated animals with C. borivilianum's root fraction during the peri-, post-, and preinitiation periods of papillomagenesis had a substantial decrease in overall chromosome deformities (46.11, P < 0.001) as in context of chromosome and chromatid breaks, exchanges, dicentrics, centric rings, acentric fragments, pulverized cells, and polyploids when contrasted to controls  $(153.14 \pm 1.05)$ . In addition, the micronuclei analysis demonstrated a remarkable decrease in micronuclei quantity (14.91, P < 0.001) when contrasted with control (25.43  $\pm$  0.89). When the anticancer actions described in the literature are summarized, it's clear that the work done is somewhat preliminary and limited. Acharya et al. (2008a, 2009) conducted a few in vitro cytotoxic studies upon human colon carcinoma cells, and these studies could be expanded to include other cancer cell lines. The in vitro investigations are limited because they were conducted only on methanol extract and only few pure saponins separated from roots, which permit at least preliminary study toward diverse plant extracts. As a whole, rather than directly evaluating in animal models, the promising results from in vitro studies must be selected before conducting in vivo studies. As of now, only one in vivo experiment has been reported, with an efficient dose of 800 mg per kg b.w. and toxicological evidence suggesting the safety of the tested aqua extract at this concentration. Since there is little data on *in vivo* anticancer investigations, additional thorough

studies should be conducted in the future.

#### 8.16.4 ANTIOXIDANT ACTIVITY

The antioxidant properties of *C. borivilianum* aqueous extract (250 mg per kg for 1 week) was investigated using the lipid peroxidation assay and 1,1-diphenyl-2-picrylhydrazyl (DPPH) free radical scavenging test. In a dose-depending basis, the musli aquatic extraction (250 mg per kilogram b.w. for 7 days) diminishes the level of DPPH free radicals as well as thiobarbituric acid reactive constituents (Kenjale et al., 2007).

The antioxidant potential of safed musli root extract was demonstrated once more utilizing chemicals/metals-mediated oxidation. While utilized in graded-dose (25–1,000 µg/ml), aqua extract possesses quite good antioxidant efficacy as indicated by powerful superoxide, hydroxyl, nitric oxide, DPPH, and ABTS [2,20-azinobis (3-ethylbenzothiazoline-6-sulfonic acid)] radicals scavenging action, as well as reducing capability (ferricyanide couple assays), metal chelating capability, and substantially suppressed the lipid peroxidation in mitochondrial fractions. Furthermore, water extract considerably lowered (P <0.05) copper-mediated human serum and LDL oxidation kinetics (Visavadiya et al., 2010).

When 100, 400, and 800 mg/kg body weight of safed musli root extract was contrasted to the control value, there was a considerable rise (p<0.05 to p<0.001) in the action of reduced catalase, glutathione, and superoxide dismutase, as well as a marked decline in the hepatic malondialdehyde level (Kumar et al., 2010).

## 8.16.5 ANTIULCER ACTIVITY

The alcoholic *C. borivilianum* extract has found to have ulcer-healing properties. To assess antiulcer action, a cold stress-mediated gastric ulceration model was used. The action of a single oral administration of alcohol extracts at a dosage of 200 mg per kg greatly decreases the ulcer index (p < 0.001) when compared with the control group (Deore et al., 2009b).

#### 8.16.6 ANTISTRESS ACTIVITY

The chronic cold restraint stress rat model was used for this action. In comparison to controls, chronic stress results in a considerable increment in plasma cholesterol, plasma glucose, triglyceride level, adrenal gland weight, and serum corticosterone level. Pre-treatment with aqua extract of safed musli at both dose levels (125 and 250 mg per kg) drastically decreased the emergence in plasma glucose levels, plasma cholesterol levels, implying

adaptogenic potency, triglyceride levels, adrenal gland hypertrophy, and serum corticosterone levels (Kenjale et al., 2007).

# 8.16.7 ANTIDIABETIC ACTIVITY

In streptozotocin-mediated diabetic animals, a fructo-oligosaccharide extracted from *C. borivilianum* extract was exhibited to have the greatest antidiabetic action, including blood glucose concentration of approximately 118.32 and 110.21, respectively, when contrasted with the control value of  $231.25 \pm 3.03$ , as well as modest antioxidant action (Narasimhan et al., 2006).

# 8.16.8 ANTIMICROBIAL ACTIVITY

Using the microbroth dilution assay, the antimicrobial potential of *C. borivilianum* was tested toward 8 bacteria and four pathogenic fungi. The extract's minimum inhibitory concentration was determined to be the minimum concentration that inhibited any visible microbial growth after treating with *p*-iodo-nitrotetrazolium violet. Aqueous *C. borivilianum* extract demonstrated antimicrobial action in the 75–1,200 gm per ml range (Dabur et al., 2007).

# 8.16.8 LARVICIDAL ACTIVITY

On the basis of  $LC_{50}$  and  $EC_{50}$  values, the larvicidal features of *C. borivilia*num's saponin fractions (crude saponin, purified saponin, and methanolic) were investigated for the mosquito species *Culex quinquefasciatus, Aedes aegypti*, and *Anopheles stephensi*. All extracts have been reported to have larvicidal action, with the purified saponin fraction being the most efficient (Deore et al., 2009b). Another recently reported effect is the antiviral action (BHV-1 virus) of *C. borivilianum* extract, which demonstrates potential antiviral action (Goel et al., 2011). Utilizing the cup diffusion method, various extracts of *C. borivilianum* were tested for antibacterial action toward four bacteria: *E. coli, Staphylococcus aureus, Bacillus substalis* and *Pseudomonas aeruginosa*. Acetic acid extract has antibacterial activity against *Staphylococcus aureus*, *Pseudomonas aeruginosa*, *E. coli*, and *Bacillus substalis* in the order of sensitivity (Sundaran et al., 2011).

# 8.17 PRECLINICAL AND CLINICAL TRIALS

Many preclinical examinations have been performed on the sweet flag plant from a long period of time. The performed experiments for preclinical trials are described in Table 8.6.

Plant Part	Extract	Dose	Activity	Observations/Effects	In Vitro Models/ Control/Standard	References
Roots	Ethanolic extract	100 µg/ml	Antioxidant activity	Scavenge DPPH (84.51%), hydroxyl radical (48.95%), ferryl bi-pyridyl complex (84.53%) along with the inhibition of lipid peroxidation (67.17%).	DPPH, ferryl bi-pyridyl complex and lipid peroxidation assay.	Govindarajan et al. (2005)
	Acetone and methanol extract	75–1,200 μg/ml	Antimicrobial activity	Strong activity with minimum inhibition concentration (MIC) was observed at 1,200 µg/ml and 600 µg/ml of acetone and methanol extract, respectively against <i>Staphylococcus</i> <i>aureus</i> . MIC of methanol extract reported at 600 µg/ml concentration against <i>Escherichia coli</i> , <i>Pseudomonas aerugenosa</i> .	Microbroth dilution assay.	Dabur et al. (2007)
	Aqueous extract	500–2,500 mcg/ml and 100–600 mcg/ ml (dose-dependent manner) (P< 0.05)	Antioxidant activity	Low levels of DPPH free radicals (maximum 92% inhibition, $IC_{50} = 1,200 \text{ mcg}$ ) and thiobarbituric acid reactive substances (maximum 66.91% inhibition, $IC_{50} = 300 \text{ mcg}$ ).	DPPH and lipid peroxidation assay	Kenjale et al. (2007)
	Hydro-alcoholic, methanolic, and chloroform extract.	Not specified	Antimicrobial activity	Moderate activity against S. aureus, Proteus vulgaris, E. coli, P. aerugenosa, Shigella sonni, Aspergillus niger, Candida albicans.	Well diffusion method	Deore et al. (2007)
	Methanolic, hydroalcoholic, and aqueous extract	100, 250, 500, 1,000 μg/ml	Antioxidant activity	Methanolic extract showed good activity, while hydroalcoholic extract exhibited moderate and aqueous extract showed poor activity in both types of method.	DPPH and nitric oxide assay, without test sample as control, butylated hydroxyl anisole (BHA) as standard.	Deore et al. (2008)

## **TABLE 8.5** Pharmacologic Activities of C. borivilianum In Vitro (Khanam et al., 2013)

ant rt	Extract	Dose	Activity	Observations/Effects	In Vitro Models/ Control/Standard	References
	Methanolic extract, crude saponin extract and purified saponin fractions	Not specified	Larvicidal activity	All extracts are found to be larvicidal but purified saponin fractions were found more effective with $LC_{50}$ 4,000–3,850 ppm and $EC_{50}$ 4,872–2,290 ppm. Standard exhibited $LC_{50}$ 0.00547–0.00477 ppm and $EC_{50}$ 0.0036–0.0022 ppm.	Against mosquito species Anopheles stephensi, Culex quinquefasciatus, and Aedes aegypti, malathion as standard.	Deore et al. (2009b)
	Methanolic extract and crude saponin fraction	Not specified	Antiproliferative activity	Crude saponin extract of <i>C. borivilianum</i> has potential MTT and SRB assay, anticancer activity.	DNA fragmentation assay	Deore et al. (2010b)
	Aqueous and ethanolic extracts	25–1,000 μg/ml	Antioxidant activity	Aqueous extract exhibited high antioxidant activity evidenced by nitric oxide, superoxide, hydroxyl, DPPH, ABTS radical scavenging activity, reducing capacity, metal chelating ability, and suppressed lipid peroxidation in mitochondrial fractions as compared to ethanolic extract. Copper-mediated human serum and kinetics of LDL oxidation has been significantly decreased ( $P < 0.05$ ) by aqueous extract.	DPPH, ABTS, FRAP assays	Vijaya et al (2009a)
	Methanolic extract	10, 25, 50, and 100 mg/ml (dose dependent manner)	Anthelmintic activity	Causes paralysis as well as death.	Adult earthworms, Pherentima posthuma, 2% w/v gum acacia in distilled water as contol, piperazine citrate as standard.	Panda et al. (2011b)
	Ethanolic extract	50–200 µg/disc	Antibacterial activity	Maximum antibacterial inhibition was obtained against <i>S. aureus</i> (12 nm) followed by <i>E. coli</i> , <i>P. aeruginosa</i> and <i>B. cereus</i>	Disc-diffusion method, streptomycin (50–200 µg/ disc) as positive control	Sriram et al (2012)

#### **TABLE 8.5** (Continued)

 TABLE 8.5
 (Continued)

Plant Part	Extract	Dose	Activity	Observations/Effects	In Vitro Models/ Control/Standard	References
	Aqueous, Tris-HCl, phosphate buffer, isopropanol, butanol-water, and acetone extract.	100 μl and 200 μl	Antibacterial activity	The extracts were tested against <i>S. aureus</i> , <i>E. coli</i> , Klebsiella, Proteus, and <i>P. aeruginosa</i> . Butanol-water extract showed maximum antibacterial activity against all the organisms (10–28 nm at 100 µl and 16–34 nm at 200 µl).	Agar-diffusion method, compared with positive and negative control.	Roselin et al. (2012)
	Methanol/ aqueous extract	50 μg/ml	Rho-kinase 2 inhibitory activity (used in management of erectile dysfunction).	Mean percent inhibition of ROCKII was found to be 4.11% and 40.54% for methanolic and successive aqueous extract, respectively.	ROCK-II inhibition assay by HTRF method, Y-27632 (4 ml of 375 nM) was used as the standard inhibitor.	Goswami et al. (2012)
Leaves	Petroleum ether, ethyl acetate, methanol, and water extract.	Not specified	Antioxidant activity	Not specified	DPPH and nitric oxide radical inhibition assays, ascorbic acid and quercetin as standard.	Chakraborthy. (2008)
Leaves, nodes, and flowers	n-hexane, ethyl acetate and n-butanol fractions of methanolic extract	Not specified	Antimicrobial activity	Ethyl acetate extract of leaves showed strong activity against <i>S. aureus</i> , <i>S. epidermidis</i> , <i>A. niger</i> and <i>C. albicans</i> . Hexane and ethyl acetate extract of nodes exhibited activity against <i>Klebsiella pneumoniae</i> and <i>Microsporium</i> gypseum.	Disc diffusion method, DMSO as control, amphicillin (1 mg/ml) and voriconazole (1% w/v) as positive control.	Chakraborthy et al. (2009)

**TABLE 8.5** (Continued)

Plant Part	Extract	Dose	Activity	Observations/Effects	In Vitro Models/ Control/Standard	References
Leaves and stem	Methanolic extract	250, 500, 1,000 mg/ml	Antimicrobial activity	Leaves and stem extract displayed concentration dependent antimicrobial properties far above amphicillin, zone of inhibition ranges from 10 to >20 mm. The extracts showed activity against <i>S. aureus, E. coli, B. cereus, A. niger</i> and <i>C.</i> <i>albicans</i> .	DMSO as control, amphicillin (1 mg/ml)	
Peels of tubers	Aqueous extracts	Not specified	Antioxidant activity	Radical scavenging activity of aqueous extract was found to be dose dependent in all the assays.	DPPH, deoxyribose degradation, reducing power, ferrous ion chelation and lipid peroxidation assays	Kaur et al. (2010)
Plantlets	Water, ethanol, acetone, glacial acetic acid extract	DMSO stock concentration of 100 mg/ml	Antibacterial activity	Zone of inhibition ranges from 3–24 mm against human pathogenic bacteria, <i>S. aureus, Bacillus</i> <i>subtilis, E. coli</i> , and <i>P. aerugenosa</i> .	Agar cup diffusion method, DMSO as control.	Sundaram et al. (2011)
Leaves and roots	Saponin fraction from methanol extracts	1% w/v	Transdermal enhancer activity	Increased flux of the drug, permeability coef- ficient (Kp), cumulative amount release (Q24) and enhancement ratio (ER) against control.	Human cadaver skin, 3 ml saturated solution of pramipexole as standard.	Pawankumar et al. (2012)

Extract	Dose	Activity	Observations/Effects	<i>In Vivo</i> Models/Control/ Standard/Induction	References
Sapogenin and ethanolic extract	100 and 200 mg/kg b.w., p.o.	Androgenic activity and enhanced sexual behavior	Weight gain in the body and reproductive organs, reduction of mount, ejaculation, post ejaculatory and intromission latency. Increase in mount frequency and attraction towards female.	Male albino rats	Thakur et al. (2006)
Methanolic extract	100 and 200 mg/kg b.w., p.o.	Hypoglycemic and analgesic activity	Significant reduction of blood glucose after 2–4 hours of drug administration. Analgesic effect was observed after 45 and 30 minutes.	Normoglycemic and alloxan Induced hyperglycemic rats, tail flick and tail immersion method.	Panda et al. (2007)
Powder	0.75 and 1.5 g root powder/rat/day for 4 weeks, p.o.	Hypolipidemic and antioxidant activity.	Increase in HDL cholesterol levels and decrease in plasma and hepatic lipid profiles. Increase in SOD and ascorbic acid levels.	Hyperlipemic and hypercholesteremic male albino rats, basal diet as normal control.	Vijaya et al. (2009a)
Aqueous extract	125 and 250 mg/kg b.w. for 7 days, p.o. (dose dependent manner).	Anti-stress activity	Reverted the elevated levels of plasma glucose, triglycerides, cholesterol, and serum corticosterone. Reduction in ulcer index, adrenal gland weight.	Chronic cold restrain stress model of Wistar albino rats, diazepam (1 mg/kg b.w.) as standard.	Kenjale et al. (2007)
	200 mg/kg b.w., p.o. (P < 0.05)	Pendiculatory activity and <i>in vitro</i> sperm counts.	Significant improvement in the pendiculatory activity and <i>in-vitro</i> sperm count as compared to control group after 30 minutes of incubation.	Wistar strain male albino rats	Thakur et al. (2007)
Ethanolic and sapogenin extract	200 mg/kg (ethanolic) and 100 mg/kg b.w. (sapogenin extract), p.o. $(P < 0.05)$	Immunomodulatory activity	Improved survival against <i>C. albicans</i> infection, increase in SRBC induced delayed-type hypersensitivity response (DTH), percent neutrophil adhesion and <i>in</i> <i>vivo</i> phagocytosis.	Male albino rats, carbon clearance method, administered vehicle as control, azathioprine (100 mg/kg b.w.) induced myelosuppresion.	

#### **TABLE 8.6** Pre-Clinical Researches on C. borivilianum's Roots (Khanam et al., 2013)

Extract	Dose	Activity	Observations/Effects	<i>In Vivo</i> Models/Control/ Standard/Induction	References
Methanolic, hydroalcoholic, and aqueous extract	500 mg/kg and 700 mg/kg b.w., p.o. (P < 0.01)	Anti-inflammatory activity	After 3 hours of treatment aqueous (700 mg/kg) and methonolic extract (500 mg/kg) exhibited maximum activity with 83.33 and 80.55% inhibition, respectively. While as, hydroalcoholic extract (500 mg/kg) showed inhibition of 50.55% against standard (91.11%).	Carragenan induced rat paw edema model, 2% v/v aqueous Tween 80 as control, diclofenac (5 mg/kg b.w.) as standard.	Deore et al. (2008)
	125 and 250 mg/ kg b.w., (in a dose dependent manner), p.o.	Aphrodisiac and spermatogenic activity.	Enhanced aphrodisiac action, increased libido, sperm count, sexual vigor and sexual arousal.	Male Wistar albino rats, Viagra <sup>®</sup> (sildenafil citrate, 4 mg/kg b.w.) as standard.	Kenjale et al. (2008)
	200 mg/kg b.w., p.o.	Prevent sexual dysfunction against heat induced testicular damage.	Prevented the decrease in sperm count in rats and protected the genital organs against physical stress, i.e., heat.	Male albino rats, administered vehicle as control, i.e., double distilled water (DDW).	Thakur et al. (2008)
Alcoholic extract	200 mg/kg b.w., p.o. (p< 0.001)	Anti-stress and anti-ulcer activity.	Alcoholic extract exhibited significant increase in swimming time and reduces the ulcer index compared to that of control.	Swim endurance stress, anorexic test, despair swim test and cold stress induced gastric ulceration model in albino rats.	Deore et al. (2009a)
Aqueous extract	200 mg/kg b.w., p.o. (P< 0.05), (P< 0.01)	Prevent sexual dysfunction in hyperglycemic rats.	Very low weight loss, low latency time, mount, and intromission as well as high ejaculation frequencies in <i>C. borivilianum</i> treated animals as compared to the diabetic control.	Hyperglycemic Wistar strain male albino rats induced with streptozotocin (50 mg/kg b.w.) or alloxan (100 mg/kg b.w.), polyvinylpyrollidone solution (2%) as control.	Thakur et al. (2009b)

**TABLE 8.6** (Continued)

Extract	Dose	Activity	Observations/Effects	<i>In Vivo</i> Models/Control/ Standard/Induction	References
	200 mg/kg b.w., p.o.	Aphrodisiac activity	Weight gain in the body and reproductive organs, reduction of mount, ejaculation, post ejaculatory and intromission latency. Increase in mount frequency, enhanced penile erection and reduced hesitation time.	Male albino rats.	Thakur et al. (2009c)
	250 and 500 mg/kg b.w., p.o. (P<0.01)	Anti-diabetic activity	Dose dependent reduction of blood glucose levels particularly 6 h after treatment. Reduction of the elevated level of blood glucose from 285.56 to 221.79 at 250 mg/ kg b.w. and 281.62 to 206.82 g/dl at 500 mg/kg b.w. of extract.	Streptozotocin induced hyperglycemic Wistar rats of either sex, glibenclamide (3 mg/kg b.w.) as standard.	Mujeeb et al. (2009)
	800 mg/kg b.w./ day orally in double distilled water (P< 0.05–0.001)	Anti-tumor, antimutagenic, and chemo-modulatory activity at pre, peri, and post initiation stages of carcinogenesis.	Increase in activity of GSH, CAT, and SOD, decrease in the hepatic MDA level, decrease in cumulative numbers of papilloma, tumor incidence, tumor burden, tumor size and tumor weight. Increase in average latent period, decreased chromosomal aberration and micronuclei.	Albino mice, lipid peroxidation, SOD, CAT assay, chromosomal aberration assay, micronuclei assay, DMBA (100 µg/50 µl acetone per animal) followed by croton oil for papilloma induction.	Kumar et al. (2010)
Aqueous and alcoholic	300 and 100 mg/kg b.w., p.o. (P< 0.001)	Anti-arthritic activity	Aqueous extract (300 mg/kg b.w.) inhibited the rat paw edema by 43.61% and alcoholic extract (300 mg/kg b.w.) by 46.29% as compared to methotrexate (48.50%) after 21 days.	Freund's adjuvant induced arthritis in Wistar albino rats, DDW as control, methotrexate (0.75 mg/kg b.w.) as standard.	Deore et al. (2010c)

Extract	Dose	Activity	Observations/Effects	<i>In Vivo</i> Models/Control/ Standard/Induction	References
Polysaccharide fraction derived from hot water extraction	50 and 100 mg/kg b.w., p.o.	Immunomodulatory activity	Extract exhibited non-toxicity against P388 cells, showed stimulation of NK cell cytotoxic activity toward K562 cells, humoral response to SRBCs and enhancement of IgG level.	Wistar strain albino rats of either sex ( <i>in vivo</i> ), cellular cytotoxicity against P388 cell lines and ELISA ( <i>in</i> <i>vitro</i> ), administered vehicle as control, chlorambucil, and curcumin was used as positive controls.	Kumar et al. (2012); Thakur et al. (2011a)
Methanolic extract	200 and 400 mg/ kg b.w., p.o. (dose dependent manner)	Anti-ulcer activity	Exhibited free radical scavenging property, inhibition of acid secretory parameters and strengthening of gastric mucosa barrier.	Pyloric ligation and aspirin induced ulceration of albino rats.	Panda et al. (2011a)
Aqueous extracts	100 mg/kg b.w., p.o.	Aphrodisiac activity	Improved penile erection, sperm count, seminal fructose level ( <i>in vivo</i> ) and NO release in a mouse macrophage cell line (RAW264) ( <i>in vitro</i> ).	Wistar strain male albino rats	Thakur et al. (2011b)
Composite extracts of Glycyrrhiza glabra, Withania somnifera, A. racemosus, C. borivilianum and seeds of Sesamum indicum	5 g, administration for four weeks, p.o.	Hypolipidemic and antioxidant activity	Reduced plasma and hepatic lipid profiles. Increased fecal excretion of cholesterol, neutral sterol and bile acid. Increased hepatic HMG-CoA reductase activity, improved hepatic antioxidant status (CAT, SOD, ascorbic acid levels) and plasma antioxidant capacity with reduced hepatic lipid peroxidation.	Hypercholesterolemic rats	Vijaya et al (2009a)

#### TADIEQ6 (Continued)

Extract	Dose	Activity	Observations/Effects	<i>In Vivo</i> Models/Control/ Standard/Induction	References
Methanolic extract	200 mg/kg and 400 mg/kg b.w., p.o. (P< 0.05)	Anti-inflammatory activity	Administration of extract inhibited the edema starting from the first hour, showed a significant inhibitory effect on granuloma formation. Reduction of edema up to 35.06% and 42.8% (200 mg/kg b.w.) and 34.6% and 42.3% (400 mg/kg b.w.) after 2 and 3 h, respectively was observed. The standard drug exhibited 58.4% and 55.1% of inhibition respectively at 2 h and 3 h as compared to the control group.	Carrageenan induced rat paw edema (acute test model) and cotton pellet induced granuloma (chronic test model) in Wistar albino rats of either sex, indomethacin (10 mg/kg b.w.) as standard.	Panda et al. (2011c)
	200 and 400 mg/kg b.w., p.o. (P < 0.05)	Anti-pyretic activity	Significant dose dependent reduction in the yeast elevated rectal temperature was observed.	Fever induced Wistar strain of albino rats of either sex, paracetamol (150 mg/kg b.w.) as standard.	Panda et al. (2011d)
Aqueous extract	800 mg/kg b.w., p.o.	Hepatoprotective activity	Increased body and liver weight, increased ATPase levels and almost normal hepatoarchitecture were observed in combination group as compared to arsenic treated group.	Arsenic (NaAsO <sub>2</sub> ) intoxicated (4 mg/kg b.w.) male Swiss albino mice, reducing power assay, total ATPase assay, DDW as control.	Sharma et al. (2011)
Mixture (powder form) of <i>Mucuna</i> <i>pruriens</i> (Linn), <i>C. borivilianum</i> and <i>Eulophia</i> <i>campestris</i> (Wall)	40 days and 90 days treatment (in oligozoospermic males), o.p.	Improved semen quality and motility	Increased body weight, testis, epididymes weight, increased sperm motility, sperm density (40 days). Increased sperm density and motility in oligozoospermic patients as a result of elevation in serum testosterone levels (90 days). When compared with neem oil treated males there was significant reduction in almost all the parameters.	Neem oil treated male albino rats (infertile male).	Mahajan et al. (2012)

#### **TABLE 8.6** (Continued)

Advances in Medicinal and Aromatic Plants, Volume 2

Extract	Dose	Activity	Observations/Effects	<i>In Vivo</i> Models/Control/ Standard/Induction	References
Dietary supplement containing C. <i>borivilianum</i> and velvet bean	Subjects has consumed 1–3 capsule per day (each capsule 750 mg), for 28 days	Improves sleep quality	Improved sleep quality as evidenced by PSQI questionnaire ( $P < 0.05$ ). No adverse outcomes reported as indicated by heart beats, blood pressure and blood borne parameter.	Sleep quality of Men (n=9) and women (n=9) were assessed by Pittsburg sleep quality index (PSQI)	McCarthy et al. (2012)
Aqueous extract	800 mg/kg b.w./day for 30 days	Antioxidant and modulatory activity	Arsenic treatment of animals has increased LPO, cholesterol, acidic, and alkaline phosphatase level, significantly, and decreased sperm count, sperm motility, GSH, and serum testosterone level. Reverse trend was observed in combined treatment.	Sodium arsenite treated (4 mg/ kg b.w.) Swiss albino mice (toxicity induced by arsenic), radical scavenging activity measured by DPPH assay.	Sharma et al. (2012)

# 8.18 COMMERCIAL/PHARMACEUTICAL PRODUCTS AVAILABLE

The are many herbal drug formulations obtainable in the market, manufactured by numerous companies, which are portrayed in Table 8.7 (Thakur et al., 2009a).

Product Name	Manufacturer	Manufacturer Address
Natural Safed Musli tablets Safed Musli Powder, Eterna Safed Musli, Granule	Prakruti Bio-farms	Borivali, Mumbai
Musli Safed Capsules	Dehlvi Natural	New Delhi
Tribulus Power	Planet Ayurveda	Chandigarh
Safed Musli Power	Vadamalai Enterprises	India
Herbal Hills Safed Musli Powder	Royal Herbal Land Pvt. Ltd.	Mumbai, Maharashtra
Safed Musli	Nutriherbs	New Delhi
Musli Power-Xtra	World Viewer Dot Com Kerala Private Limited	
Safed Musli Capsule	Healthkart	Gurugram
Dabur Shilajit Gold	Dabur India	New Delhi
Swet Mushli Churna	Patanjali Ayurved	Haridwar
Safed Musli Capsules	Shudhanta Herbal	Noida, Uttar Pradesh

TABLE 8.7 List of Some Commercial Products Containing C. borivilianum in India

# 8.19 TECHNOLOGY TRANSFER/PATENTS

Two patents have been issued for the musli plant. The specifics are shown within Table 8.8.

# **KEYWORDS**

- Asparagaceae
- Chlorophytum borivilianum
- kharif crop
- larvicidal activity
- Liliaceae

- monocot
- pest and disease management
- phytoconstituents
- Safed Musli

Work Done	Special Features	Status	Inventor Name	Date of Patent	References
Nutraceutical contents and application techniques of <i>C. borivilianum</i> extracts	This patent unveils the functional ingredients of musli's extract and juice as well as technique of usage of the same as adaptogen, in strengthening or maximizing lascivious function, reduces stress, increases nitric oxide levels, antioxidant activity, sex hormone levels in male, restricting aging, and treat or prevent sexual dysfunctions.	Patented	Jayakumar Bhavanasi; Gopinathan Sinnathurai; Swati Kamlesh Kshirsagar	21/02/2008	Bhavanasi et al. (2008)
Safed Musli herbal composition in ready to drink form and process for preparation thereof	Ready-to-drink herbal safed musli formations containing musli extraction with some pharmaceutical grade additives such as sweeteners, flavors, preservatives, pH adjusting agents, viscosity adjusting agents, and distilled water, alternatively in conjunction with aloe vera syrup.	Patented	Laddha Kirti Shivchandraji; Bhavanasi Jaya Kumar; Barve Kalyani Himanshu	05/02/2010	Shivchandraji et al. (2010)

**TABLE 8.8** Patents Reported Over the Plant

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# Bishop's Weed or Ajwain (*Trachyspermum ammi* Linn.): A Traditional Medicinal Plant with Pharmacological Importance, Ethno-Botanical Uses, and Nutritional Benefits for Quality Life Improvement

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# ABSTRACT

*Trachyspermum ammi* Linn., a common aromatic medicinal and spice plant belonging to the family Apiaceae and has been widely distributed across the globe. The leaves and fruits of the plants are utilized in folk medicinal practices as well as preventive measure against painful menstruation, gastrointestinal problems, and chronic arthritis. Mature fruits and seeds of the plant are common source for different phytochemicals such as essential oil, tannins, glycosides, dietary fibers, saponins, and alkaloids. Essential oil containing major bioactive ingredients *viz.* p-cymene,  $\beta$ -phellandrene, thymol, and  $\gamma$ -terpinene possess antioxidant, antihelminthic, antispasmodic, and antiseptic properties. The essential oil and the fruit extract have been used as potent anti-cancerous agent against HepG2 cells. Bioactive components of *T. ammi* essential oil have anti-proliferative activity against hepatic carcinoma by phosphorylation and dephosphorylation of JNK/MAPK pathway. Moreover, the essential oil actively modulated the different cellular enzymes such as cytochrome oxidase, lactate dehydrogenase and pancreatic lipase

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with maximum degradation of aflatoxin  $G_1$ . Seeds have remarkable role in controlling blood pressure by modulation of  $Ca^{2+}$  ions channels. Based on the above background, the present article highlights the detailed survey of novel indigenous medicinal plant, *T. ammi* with emphasis on pharmaceutical significance, phyto-chemical profiling, nutritional benefits, and ethnomedicinal properties.

#### 9.1 INTRODUCTION

Trachyspermum ammi, commonly called Bishop's weed or Ajwain is a small herb with pungent odor and widely grown in India, Pakistan, Iraq, Iran, Afghanistan, Asia, and Central Europe (Anwar et al., 2016). The plant is quiet similar to the parsley (Petroselinum crispum), another common medicinal plant belongs to family Apiaceae. Cultivation of ajwain is commonly occurred in Uttar Pradesh, Madhya Pradesh, Bihar, West Bengal, Tamil Nadu, and Karnataka (Takeda et al., 2008). The whole plant body is medicinally important to cure a number of health disorders viz. asthma, fever, bronchitis, malaria, arthritis, and cardiovascular problems. The leaves are commonly used in salads while the seeds have been consumed worldwide as spice ingredient with a lot of functional medicinal properties (Chahal et al., 2017). The seeds have been administered against dyspepsia and cholera (Dubey & Kashyap, 2015). The aqueous extract of seeds and leaves are used against fungitoxic effects, bacterial inhibition and act as potent anti-inflammatory agent (Boskabady et al., 2014). Extracts as well as essential oil of T. ammi seeds have significant inhibitory action on cellular aflatoxin biosynthesis by modulation in metabolic process suggesting the chemo-preventive efficacy against cancer and metastatic cell proliferation (Velazhahan et al., 2010; Kedia et al., 2015; Siddiqui et al., 2019). In India and China the traditional practitioners utilized the seeds for Ayurvedic and Unani medicines as a functional remedial measure of gastric disturbances (Dwivedi et al., 2012). Seeds of ajwain are used as galactogogue and possible regulator in biosynthesis of cellular ATP (Moein et al., 2015; Paul et al., 2011). The seeds and leaves contain a number of fatty acids and essential oil bioactive ingredients necessary for functioning as carminative, diuretic, aphrodisiac, and laxative properties (Kaur & Arora, 2010). Phytochemical analysis of mature ajwain seeds exhibited carbohydrates (24%), proteins (17%), fats (21.1%), minerals (7.95) and moisture content (7.4%). Fruits of T. ammi are rich source of vitamin, phosphorus, zinc, cobalt, cadmium, aluminum, nicotinic acid and iodine. Major essential oil components of T.

*ammi* are thymol, myrcene, carvacrol, and  $\gamma$ -terpinene (Abdel-Hameed et al., 2014). The essential oil components mainly provide the antioxidant, hypotensive, antiseptic, and anti-tussive properties (Akbar, 2020). The leaf juice of the plant is antihelmintic, febrifuge, and active agent for preventing stomach troubles (Aggarwal et al., 2011). Saleem et al. (2017) demonstrated the efficacy of *T. ammi* fruits in catabolism of lipoproteins, inhibition of lysosomal hydrolylic enzymes and cellular HMG-CoA reductase enzymes. Therefore, this article is aimed to highlight the phytochemical constituents, pharmacological importance, ethnobotanical uses and commercial utilization of *T. ammi* medicinal plant with possible mechanism of action.

# 9.2 BOTANICAL DESCRIPTION

*T. ammi* is an annual, branched, and grassy herb reaching up to 65 cm in height. Stem is glabrous or somewhat pubescent with pinnately arranged leaves. The leaves are mostly decompounds and feather like much branched striations. The inflorescences are compound umbel up to 16 flowers. The flowers are bisexual, actinomorphic, pentamerous, and alternipetalous with superior ovary. Fruits are cremocarp consisting of two mericarps attached with stylopodium. Fruits are grayish brown with 5 ridges and 6 vittae in each mericarp (Rajeshwari et al., 2011).

# 9.3 PHYTOCHEMICAL CONSTITUENTS

The primary constituents of seed and fruits of *T. ammi* are fat (18.2%), proteins (15.4%), carbohydrate (38.6%), fiber (11.9%), tannin, glycosides, moistures, saponin, and flavonoids (Javed et al., 2012). Mineral content such as manganese, iron, cobalt, calcium, copper, phosphorus, and vitamins *viz*. thiamine, nicotinic acid and riboflavin are of common occurrence in seeds of ajwain (Chauhan et al., 2012). The spicy aroma of the fruits, seeds as well as in leaves are mainly contributed by the volatile fragments specially the essential oil. Approximately, 5% of essential oil has been accumulated in the seed compartments (cremocarps and mericarps). Around 3–5% of volatile essential oil and 25–30% of fatty oils contributes the major volatile fragments of ajwain seeds and fruits (Raghavan, 2007). GC-MS analysis of volatile fragments exhibited thymol as the major bioactive ingredient of ajwain essential oil. In addition,  $\alpha$ -pinene,  $\beta$ -pinene,  $\gamma$ -pinene, p-cymene, styrene,  $\beta$ -phellandrene, carvacrol, delta 3-carene and terpene-4-ol were reported as non-thymol constituents of essential oil (Shukla & Dikshit,

2011). In a study on leaf essential oil, apiole, limonene, and carvone were recognized as major components. Abdel-Hameed et al. (2015) demonstrated the bicyclic monoterpene (52.45 mg/g), phenolic monoterpene (282.41 mg/g) and monoterpene alkyl benzene (203.74 mg/g) as major aliphatic, aromatic hydrocarbons and sesquiterpenes in ajwain seed essential oil. Variation in distribution of volatile components in ajwain seeds have been reported by several researchers which possibly depend on the geographical variation, climatic factors, pollinating insects, chemotypic variation and limonene as well as terpene synthase genes involve for biosynthesis of terpenoids and sesquiterpenoids. Ishikawa et al. (2001) reported the aqueous phase of aromatic glucosides in methanolic extract of ajwain seeds. Petroselinic acid has been reported as major component of fixed oil in ajwain basically from Indian cultivation (Dwivedi et al., 2012). Extraction of dried ajwain seeds in dichloromethane exhibited cadinol, caryophyllene, limonene, eudesmol, elemene, and humuline as major component (Lockwood et al., 2002). Sayed-Ahmad et al. (2017) demonstrated that the occurrence frequency of bioactive components in essential oil depends on the sowing season, site of secondary metabolite synthesis, growth stages and plant parts used for extraction of essential oil. It has been reported that in the month of October yield of seed essential oil is much higher reaching up to 3.3% and the precursor component p-cymene and  $\gamma$ -terpene is easily converted in to thymol. Maximum yield of essential oil in October may also depend on the higher temperature and long time blossoms with excessive up-regulation of terpene biosynthesis pathway. Moreover, the development of mericarps and cremocarps is a major determinant of the yield of essential oil because the volatile components are compartmentalized in the fragments of the fruit.

In addition to essential oil, several esters *viz*. petroselinic, oleic, and palmitic acids have been as major chemical constituents of ajwain seeds and fruits (Chahal et al., 2017). Seeds and fruits also contain several water soluble glycosides, glucosides, and glucopyranosides with diversifying stereoisomers. Ishikawa et al. (2001) demonstrated the p-menth-3-ene- $1\beta$ , $2\beta$ , $5\beta$  triol as major monoterpenoid of seed extract. Aromatic glucosidic compound 3,4-dihydroxyphenyl propanol-3-O- $\beta$ -D glucopyranoside has been found associated with cellular nucleoside.

#### 9.4 PHARMACOLOGICAL SIGNIFICANCE

The whole plant of *T. ammi* has pharmacological importance against a number of health disorders and act as a major ingredient of poly-herbal formulation.

The fruits are traditionally used for remedial of diarrhea, abdominal pain, bronchitis, asthma, and atonic dyspepsia (Bairwa et al., 2012). The fruits and seeds have been utilized as antimicrobial, antihypertensive, hypolipidemic, antifungal, antihelmintic, antitussive, and antioxidants in both Ayurvedic and modern medical system. Basically, the phenolic, terpenoids, and glycoside molecules in the seed and fruit extract contribute the gastroprotective, antihypertensive, and abortifacient activities (Meena et al., 2018). The hydrophobic essential oil molecules also act as carrier for drugs and easily transmit by crossing the lipid bilayer. Thymol and carvacrol has significant activity for digestion by modulating the lactic dehydrogenase, cytochrome oxidase and acetyl choline esterase (Velazhahan et al., 2012). In this context, we have described several pharmacological effects of seeds and fruits of *T. ammi* in the section below.

### 9.4.1 CARDIOVASCULAR EFFECTS

The seeds and leaves of *T. ammi* contain phytosterol which after absorption in the cellular system improve the serum cholesterol and help to reduce the cardiovascular problems. Steroidal analysis exhibited maximum amount of sigmasterol and β-sitosterol in seeds with significant diminution properties. Moreover, the seeds have remarkable role in controlling the blood pressure by modulation of Ca<sup>2+</sup> ions channels (Aftab & Usmanghani, 1995). Kumar et al. (2010) demonstrated the positive result of seed extract on ionotropic movement and chronotropic effect on frog heart. Bioactive ingredients of ajwain seeds have exhibited the lipid-lowering activity (decrease in total cholesterol, LDL-cholesterol, and increase in HDL) which is mainly due to the lipoprotein catabolism and inhibitory action against HMG CoA reductase (Javed et al., 2006, 2009). Ramakrishna Rao et al. (2003) reported dose dependent increment in pancreatic amylase and lipase by seed extract as a potential regulator for lowering the blood pressure in rat. Haque et al. (2014) conducted an experiment on bioactive component especially the thymol of T. ammi seed and suggested the oral administration of thymol maintain the antioxidant level and decrease the serum lipid peroxidation in rat.

#### 9.4.2 GASTRO-INTESTINAL EFFECTS

The seeds of T. *ammi* potentially increase the gastric acid secretion and significant effect on reduction of ulcer index in animal model (Eftekhari et al., 2019). The fruit extract have declining effect on stomach pain due to

promising antioxidant efficacy. Gilani et al. (2005) described the improvement in CCl<sub>4</sub> and paracetamol induced liver injury by T. ammi seed extract with reduced secretion of liver enzymes (ALT, ASP, and ALP). Hejazian and coworkers (2009) demonstrated the relaxant effect of ajwain seed on smooth muscle contraction of ileum by modulation in cellular acetylcholine level. Improvement in hepatic tissue recovery and membrane stabilization has been studied by utilization of polyherbal formulation containing major portion of T. ammi seed extract (Lateef et al., 2006). Fruits of T. ammi enhanced the mucus secretion containing NSAIDs (non-steroidal anti-inflammatory drugs) viz. indomethacin by gastric mucosa and prevent the diffusion of H<sup>+</sup> ions and physical damage caused by ulcerogens (Hejazian et al., 2007). In a study conducted by Jeet et al. (2012) demonstrated different doses viz. 125, 250, 500 mg/kg of T. ammi extract for significant protection of peptic ulcer in rat by increasing the cellular aspertate transferase (AST) and alalanine transferase (ALT). Carvacrol, the major bioactive ingredient of T. ammi essential oil has anti-proliferative activity against hepatic carcinoma by phosphorylation and dephosphorylation of JNK/MAPK pathway (Yin et al., 2012).

# 9.4.3 EFFECT ON NEURAL SYSTEM

The fruits and seeds of *T. ammi* have been traditionally used against joint, rheumatic pain and headache. Extract of *T. ammi* effectively reduced the cellular reduced glutathione (GSH) and antioxidants of enzymatic origin *viz*. superoxide dismutase and catalase which bring significant improvement in rheumatoid arthritis in rat (Umar et al., 2012). Bioactive components of *T. ammi* have significant effect for progression of osteoarthritis in rabbit model with significant modulation in SGOT enzymes and alkaline phosphatase (Khan et al., 2016). Ghannadi et al. (2012) suggested the suppression in morphine withdrawal in mice model by oral administration of *T. ammi* extract which modulates the GABA receptor in neurotransmission and suppressed of nitric oxide pathway by altering cellular glutamate molecules. Rezvani et al. (2011) demonstrated the anticonvulsant, sedative, and antiepileptic property of ajwain in kindling models by dose dependent increment in GABA mediated neurotransmission in brain with effective reduction in neural activity.

# 9.4.4 ANTIBACTERIAL ACTIVITY

Thymol represents an active ingredient of Iranian *T. ammi* and displayed broad spectrum bacterial toxicity (Vitali et al., 2016). Recent finding suggested the

participation of thymol as third and fourth generation antibiotic formulation against multidrug resistant Bacillus subtilis, and Escherichia coli (Khanuja et al., 2003). In case of dysbiosis, microbial flora of intestine viz. Bifidobacterium spp., Lactobacilli, and coliform bacteria has been decreased, which can be overcome by oral administration of T. ammi seed extract (Myers et al., 2009). Hassanshahian and coworkers (2014) observed antibacterial efficacy of T. ammi essential oil against E. coli, S. aureus and Klebsiella pneumonia isolated from a patient suffered from urinary infection. T. ammi essential oil effectively inhibit the food contaminating bacteria viz. Pseudomonas aeruginosa KCTC2004, Enterobacter aerogenes KCTC2190, Salmonella typhimurium KCTC2515, Staphylococcus aureus ATCC 6538 by significant regulatory effects on membrane permeability and ATP transportation (Paul et al., 2011). Antibacterial activity of T. ammi essential oil against water borne pathogens such as E. coli, Salmonella spp., and Vibrio cholarae has been demonstrated by Shukla & Dikshit (2011). Recent report of Grădinaru et al. (2018) suggested the inhibitory efficacy of T. ammi essential oil against three important respiratory bacteria viz. Steptococcus pneumonia, Pseudomonas aeruginosa and Staphylococcus aureus by micro-dilution method.

#### 9.4.5 ANTIFUNGAL ACTIVITY

Ethanolic extract of seeds of ajwain displayed considerable antifungal effectiveness against a range of pathogenic fungi and especially suppressed the spore germination of Aspergillus ochraceus and ochratoxin production (Murthy et al., 2009). Gandomi et al. (2014) described the fungitoxic potentiality of T. ammi essential oil isolated from dried seeds against Penicillium citrinum, Aspergillus parasiticus, A. niger and P. chrysogenum by disk diffusion assay. Shokri et al. (2016) demonstrated the efficacy of ajwain seeds as antifungal drug against the infection of pathogenic fungi such as Trichophyton spp., Candida spp. and Chrysosporium spp. Kedia and coworkers (2015) showed the inhibition of growth of A. flavus and aflatoxin secretion in food commodities by T. ammi essential oil with considerable reduction in ergosterol biosynthesis and enhanced leakage of cellular cations (Ca<sup>2+</sup>, K<sup>+</sup>, and Mg<sup>2+</sup>). Sharifzadeh et al. (2015) reported the role of *T. ammi* essential oil for the treatment of osophrayngeal candiasis and suggested its prominent efficacy as effective medical measure. Ajwain seed extracts significantly inhibited the conidial germination and hyphal proliferation of Fusarium solani, an important pathogen for wilt disease (Nateqi et al., 2018). Hajare and coworkers (2005) observed the aflatoxin inhibitory efficacy of *T. ammi* seed with relative reduction of  $AFG_1 > AFG_2 > AFB_1 > AFB_2$ . Considerable reduction in aflatoxin concentration also depends on the  $\gamma$ -irradiation and alteration in temperature which inactivate the toxin biosynthesis.

#### 9.4.6 ANTI-DIARRHEAL ACTIVITY

Diarrhea is a bacterial disease characterized by abdominal pain, wet stool and enhanced bowel movement. Balaji et al. (2012) described the anti-diarrheal potency of ajwain seeds with minimum 0.1 g/kg dose in experimental rat. They reported the increased re-absorption of fluids mixed with this seed extract helped in normal function of Na<sup>+</sup> and K<sup>+</sup> ATPase in body. Volatile essential oil 2–4 drops mixed with food ingredients simply inhibited the diarrheal symptom in human body. Hassanshahian and coworkers (2014) observed potential effectiveness of ajwain essential oil against major bacteria *viz. Staphylococcus aureus, Klebsiella pneumonia* and *E. coli* causing diarrheal symptoms in human body. Fazeli-Nasab & Fooladvand (2016) demonstrated the crude extract of *T. ammi* seeds for increasing in body's resistance against diarrheal pathogens and profusely used by traditional practioners in Ayurvedic and Unani system of alternative medicines.

## 9.4.7 ANTI-INFLAMMATORY ACTIVITY

Inflammation is normal response of body for protective mechanism against any injury by secretion of kinin, serotonin, histamine, and prostaglandins. Thangam & Dhananjayam (2003) described the ability of ajwain seed extract (in water as well as in alcohol) for inhibition of inflammation with 41.11 and 38.32% at 100 mg/kg. Kazemi (2014) reported the reduced secretion of NO ( $80\pm0.50\%$ ) with major anti-inflammatory responses in addition with *T. ammi* essential oil at a dose of 45 µg/mL. Umar et al. (2012) demonstrated efficacy of *T. ammi* and thymol against collagen mediated arthritis and reduced the inflammation in rat model. Rajput and coworkers (2013) observed considerable reduction in inflammation in strychnine induced epilepsy with seizure model by *T. ammi* extract. Aggarwal et al. (2011) reported the antiinflammatory activity of *T. ammi* essential oil and suggest the importance of the major bioactive components for practical application through "bed to benchside" and "reverse pharmacology" approach in medical biology.

## 9.4.8 ANALGESIC EFFECT

Hejazian (2006, 2009) described the analgesic effect of *T. ammi* essential oil and fruit extract in mice model against chronic pain involving histamine and cholinergic mediated mode of action. Dashti-Rahmatabadi et al. (2007) conducted an analgesiometric test on thymol isolated from ajwain seed and found that significant pain relieving potentiality within 45 min of essential oil mixed drug injection. Table 9.1 represents the pharmacological and ethno-botanical use of different plant parts, extracts, and essential oil against different human disorders with effective mechanisms.

Pharmacological/ Ethnobotanical Use of <i>Trachyspermum ammi</i>	Plant Parts	Effective Mode of Action	References
Cardiovascular effects	Seeds and leaves	Effect by lowering the lipid profile.	Saleem et al. (2017)
	Seed extract	Effect on ionotropic movement and chronotropic results.	Kumar et al. (2010)
	Seed bioactive components	Lipoprotein catabolism and inhibitory action against HMG CoA reductase.	Javed et al. (2006; 2009)
	Leaves/seeds	Lowering the blood pressure by modulation in pancreatic amylase and lipase.	Ramakrishna Rao et al. (2003)
	Seed essential oil and components.	Maintaining antioxidant level and decrease the serum lipid peroxidation.	Haque et al. (2014)
	Seed aqueous extract	Maintaining the mRNA level of iNOS enzyme.	Korani & Jamshidi (2020)
Gastro-intestinal effects	Leaves and seeds	Inhibition of intestinal contraction.	Platel & Srinivasan (2001); Hejazian et al. (2007)
	Leaves	Inhibitory effect on ulver development	Komeili et al. (2012)
	Seeds	Hepatoprotective mechanism of action	Gilani et al. (2005)
	Fruits	Increment in secretion of gastric juices	Alqasoumi (2011)

**TABLE 9.1** Pharmacological and Ethno-Botanical Use of Different Plant Parts of*Trachyspermum ammi* with Respect to Their Effective Mechanism of Action

Pharmacological/ Ethnobotanical Use of <i>Trachyspermum ammi</i>	Plant Parts	Effective Mode of Action	References
	Seeds and fruits	Hepatic tissue recovery by membrane stabilization.	Lateef et al. (2006)
	Fruits	Increasing secretion of aspertate transferase (AST) and alalanine transferase (ALT) for inhibition of peptic ulcers.	Jeet et al. (2012)
	Seeds and leaves	Phosphorylation and dephosphorylation of JNK/MAPK pathway for antiproliferative action.	Yin et al. (2012)
Neural system	Leaves	Effect on cellular catalase and superoxide dismutase.	Umar et al. (2012)
	_	Modulation in SGOT enzymes and alkaline phosphatase against osteoarthritis.	Khan et al. (2016)
-	_	Reduction in numbness, allodynia, and feet burning intensity.	Petramfar et al. (2016)
	_	Action on GABA mediated neurotransmission in brain.	Rezvani et al. (2011)
	-	Effect on PMS/NADH system and NO modulation.	Bajpai & Agrawal (2015)
	_	Effect on brain acetylcholine esterase, malondialdehyde, and glutathione.	Soni & Parle (2017)
	Seeds	Proliferate favorable development of nervous system.	Pai et al. (2018)
Bacteriostatic and bactericidal potency.	Solvent extract of whole plant.	Effect on drug resistant capacity of pathogenic microbes.	Khan et al. (2010)
	_	Urine associated troubles.	Mehdi et al. (2014)
	Essential oil	Effect on plasma membrane.	Paul et al. (2011)
	_	Effect on colony growth.	Kaur & Arora (2009)

**TABLE 9.1** (Continued)

Pharmacological/ Ethnobotanical Use of <i>Trachyspermum ammi</i>	Plant Parts	Effective Mode of Action	References
	Essential oil	Antioxidant mediated inhibi- tion of bacterial growth.	Gandomi et al. (2014)
	_	Action against multidrug mechanism.	Tariq et al. (2014)
	_	pH gradient mediated bacterial inhibition.	Mehdizadeh et al. (2016)
	Polysaccharides	Oxidation and decomposition mediated bacterial inhibition.	Basu et al. (2017)
Fungitoxic and fungicidal potency	Essential oil	Effect on spore germination.	Gemeda et al. (2014)
	Essential oil	Inhibition of mycelia development as fumigant.	Kim et al. (2016)
	Essential oil	Effect on cell growth.	Shokri et al. (2016)
	Ethanolic extract of leaves.	Inhibition of mycelia growth and conidial germination.	Nateqi et al. (2018)
	Seed extract	Antioxidant based fungal inhibition.	Goswami & Chatterjee (2014)
Anti-inflammatory	Essential oil	Reduction in NO synthesis.	Kazemi (2014)
activity	Essential oil	Modulation in collagen biosynthesis.	Umar et al. (2012)
	Aqueous and alcoholic extract of seed	Secretion of histamine and prostaglandin.	Thangam & Dhananjayam (2003)
	Methanolic extract	Inhibition of epilepsy.	Rajput et al. (2013)
	Seed extract	Inhibition of inflammation based on antioxidant activity.	Kaur & Arora (2010)
	Whole plant extract	Novel delivery mechanism for anti-inflammatory activity.	Tomar & Malik (2015)
Analgesic effect	Aqueous extract of leaves and seeds	Pain relieving property based on thymol.	Dashti- Rahmatabadi et al. (2007)
	Extract as topical cream	Reduce feet burning and worst pain by essential oil.	Petramfar et al. (2016)
	Seed	By reducing chronic pain	Hejazian (2006, 2009)

**TABLE 9.1** (Continued)

#### 9.5 ETHNO-MEDICINAL USES AND COMMERCIAL IMPORTANCE

Powder of dried seeds and leaves are used as galactogue, carminative, and diuretic agent by marwar community of Jodhpur, India. Moreover, thymol, the bioactive component of T. ammi essential oil has prominent gastroprotective activities (Goyal, 2017). Bronchodilatory and anticholinergic effects of *T. ammi* essential oil have been determined by Boskabady et al. (1998). It effectively regulates the  $Ca^{2+}$  and  $K^+$  dependent aminotrasferase and alkaline transferase for significant hepatoprotective action. Ghildiyal et al. (2008) demonstrated the T. ammi seed and leaves for the treatment of eczema, ringworm, etching, hypersensitivity, leprosy, and leucoderma. Leaves of T. ammi are commonly used for different Ayurvedic formulation and helpful for treatment of toncillitis, worm infection, cough, urticaria, and digestive disorders (Asima & Satyesh, 1995). Roots of T. ammi have been used to cure abdominal pains, piles, and stomach troubles (Krishnamoorthy et al., 1999). Ajwain leaf juice critically acts as antihelmintic agent while the powder of roots are diuretic and carminative in nature (Lateef et al., 2006). The dried seeds fried with oil have been used as galactogogue. The decoction of seeds in addition to germ free water has been used against poor apetite and to cure vomiting (Shah & Rahim, 2017). Patnaik (1993) reported the utilization of T. ammi as special herbal formulation for the treatment of healing in Mudpur village. Recently, in a clinical trial it has been confirmed that, the herbal extract of the plant is a prime regulator of ophthalmic disorders and used as eye drop (Biswas et al., 2001, 2003).

Besides ethno-medicinal features, the plant is also utilized in food and agricultural industries for commercial purposes. The extract of the whole plant exhibited specific inhibitory activity against infiltration of inflammatory cells (Mahboubi & Kazempour, 2011). The crushed seeds have been used for protection of different food commodities against stored insect pests viz. Tribolium confusum and Sitophilus granarius (Ziaee & Moharramipour, 2013). Indian and Chinese traditional herbal practitioners suggested the consumption of dried seeds for significant elevation in gastric acid and digestive enzymes with increased secretion of bile salts (Vasudevan et al., 2000; Platel & Srinivasan, 2001). Callosobruchus maculatus is a cosmopolitan pest for infestation in postharvest cowpea and chickpea, the ajwain essential oil exhibited significant fumigant toxicity against larvae, egg as well as the adult insect suggesting its commercial implementation as smart food preservative in place of adverse effects of chemical preservatives. Therefore, the seeds and leaves of T. ammi have been traditionally used as grain protectant in India, China, Greece, Iran, and Egypt (Sahaf & Moharramipour, 2008).

#### 9.6 NUTRITIONAL BENEFITS

The seeds of *T. ammi* exhibits considerably higher nutritional value as compared to the other plant parts. Proximate analysis of the seeds displayed high energy content (314.55%) and rich amount of fiber, ash, protein (4.3–20.23%) and carbohydrate (~47%) (Figure 9.1). Occurrence frequency of fatty acids was in the range of 4.8-21.1% (Council of Scientific and Industrial Research, India, 1985). Higher nutritional benefits recommend its potential use as dietary supplements and active potentiality against different health disorders (Javed et al., 2012). Recent investigation of Kolbadinejad & Rezaeipour (2020) suggested the importance of *T. ammi* essential oil as growth promoter and provide innate immunity due to the supplementation of L-threonine and antioxidants with other dietary meals.

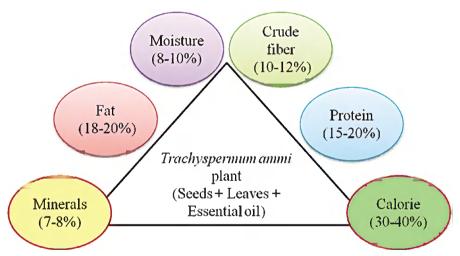
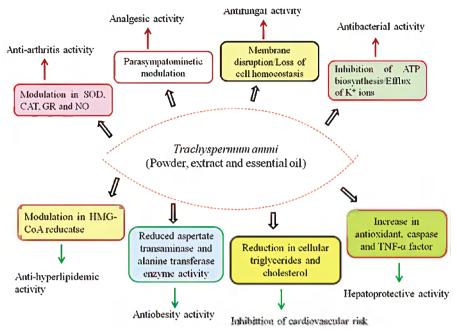


FIGURE 9.1 Nutritional components of Trachyspermum ammi.

# 9.7 MECHANISM OF ACTION

Several workers have demonstrated the pharmacological and ethnomedicinal importance of *T. ammi* seeds, leaves, and essential oil, however, the possible mechanism of action have not been described and elucidated. Here, we have highlighted several possible mechanism of antifungal, antibacterial, anti-inflammatory, antidiarrheal, hypolipidemic, antiarthritis, analgesic, antihelmintic, and mycotoxin inhibitory properties.

Alavinezhad & Boskabady (2014) described the anti-arthritis activity of ajwain seed oil and the major bioactive ingredients viz. tymol, carvacro,  $\alpha$ -pinene and  $\gamma$ -terpenene due to modulation in cellular oxidative defense enzymes such as superoxide dismutase (SOD), catalase (CAT) and secondary biomolecules viz. nitric oxide (NO) and reduced glutathione (GSH). Komeili and coworkers (2012) demonstrated the therapeutic potential of aqueous seed extract of T. ammi against peptic ulcer which has been associated with significant balance of liver enzyme Aspertate transferase and alanine transferase. Kazemi (2015) observed the fungitoxic potentiality of T. ammi essential oil due to disruption of plasma membrane and loss of cellular homoeostasis. Kedia et al. (2015) demonstrated the aflatoxin B, inhibitory mechanism of T. ammi essential oil depend on the alteration in carbohydrate catabolism and inhibition in spore germination as well as excessive lomasome formation. Alteration in membrane permeability, inhibition in cellular ATP biosynthesis and extracellular efflux of potassium and other cell constituents determined the plasma membrane as potential antibacterial target site of action of T. ammi essential oil (Paul et al., 2011). Enhancement in antibiotic potency and broken down of resistance genes have been characterized as inhibitory mechanism of T. ammi essential oil against Staphylococcus aureus (Vazirian et al., 2019). Anti-hyperlipidemic activity of T. ammi powder involves the enhanced catabolism of cellular lipoproteins and inhibition of hydrolytic enzymes as well as modulatory action of HMG-CoA reducatse (Javed et al., 2009). Antiobesity activity of thymol significantly correlated with decreased food intake by improvement in HDL, LDL cholesterol and reduced activity of aspertate transaminase and alanine transferase enzyme (Haque et al., 2014). Cardiovascular risk factors and artial fibrillation have been inhibited by application of *T. ammi* extract with alleviation of palpitation and reduction in cellular triglycerides and cholesterol (Zarshenas et al., 2016). Hepatoprotective nature of thymol (extracted and purified from T. ammi essential oil) has been contributed by significant increment in liver caspase-3 activity, TNF-a factor and modulatory activity on total antioxidant capacity (Geyikoglu et al., 2019). The anti-diarrheal, laxative, and anti-helmintic property of T. ammi extract and essential oil also depend on the alteration of membrane fluidity and dynamicity with significant disorganization in porin proteins (Khan et al., 2020). Figure 9.2 presents the possible pharmacological and ethno-botanical mechanism of action of T. ammi.



**FIGURE 9.2** Possible pharmacological and ethno-medicinal mechanism of action of *Trachyspermum ammi*.

# 9.8 PATENTING TRACHYSPERMUM AMMI: AN INITIATIVE FOR MODERN PHARMACOLOGICAL APPROACH

On the basis of pharmacological importance, ethno-medicinal value and nutritional benefits, a number of herbal formulations containing the *T. ammi* plant parts, essential oil and its bioactive component have been patented by various institutes and pharmacological companies. However, the governing authorities have filed the patent of herbal formulation based on their pharmacodynamic properties, mechanism of action and required doses by *in vivo* experimental evidences. Pruthi et al. (1999) claimed an herbal composition including thymol as active constituents of *T. ammi* for treatment of chronic viral infections including the adverse effect of Human Immunodeficiency Virus (HIV) and filed an US patent (US5980903). Sadik Tuzun et al. (2000) have filed a patent (U.S. patent WO200021364) on thymol (extracted from *T. ammi* essential oil) for its efficient utilization as natural preservative protecting against fungi, bacteria, nematode, and insect pests. Khanuja et al. (2003) have invented a patent (U.S. patent 6514541) on thymol, isolated, and characterized from *T. ammi* essential oil for developing tablet/syrup against

the treatment of bacterial infections. Kane (2004) prepared AMAVAT-20 oil from herbal mixture of different medicinal plants including T. ammi and filed a patent (U.S. patent 2004/0156920) for its utilization against chronic stomachache and loose bowels. Bhadra et al. (2004) prepared an herbal composition by mixing T. ammi, Murraya paniculata, Hibiscus abelmoschus, Euphorbia hirta and Syzygium aromaticum extract for treatment against asthma assigned by CSIR-India and filed a patent with application number US 6,746,694 B1. Silver nanoparticle containing an extract of T. ammi has been patented (U.S. Patent 11/547,886) by Paknikar (2007) for wide scale antimicrobial activity at very low effective concentrations without any cytotoxic effects. Kobayashi et al. (2010) filed a patent (U.S. Patent No. 7,754,774) on thymol, an active ingredient of T. ammi essential oil in addition with eugenol, citronellol, β-pinene, terpenyl acetate and citronellal for their effective utilization to prepare antiseptic disinfectants in food, medicines and cosmetics with improved antibacterial activities. Khan & Rosina (2012) extracted a novel compound 5,8-A-D-I-1-Propyl-1-Octahydronapthalen-1-(2H), one from T. ammi seeds and granted a patent (US patent 20120178971) for inhibitory effects against dental carries caused by Streptococcus mutans. Herbal mixture of different medicinal plants including liquid extract of T. ammi as an important tumor treating composition has been patented (U.S. patent 8722109) by Al-Shemmeri (2014). Gokaraju et al. (2018) developed an synergistic mixture comprising of T. ammi, Curcuma longa, Coleus aromaticus, Sphaeranthus indicus, Cinnamomum tamala, Citrullus lanatus, Ocimum sanctum and Garcinia mangostana and filed a patent (U.S. patent 9907825B2) for their utilization as dietary supplement with improvement in mental alertness, energy level, muscle strength and physical performance of the body. Table 9.2 presents some patents on composition of T. ammi herbal formulation with vide application number and therapeutic as well as practical applications.

#### 9.9 CONCLUSION AND FUTURE PERSPECTIVE

Current generation is paying considerable attention for utilization of plant based herbal drugs or formulations inspite of excessive chemical drugs and modern allopathic system of medicines. Strong pharmacological properties such as anti-arthitis, anti-obesity, analgesic, antibacterial, antifungal, anti-diarrheal, anti-hyperlipidemic, and protection against gastrointestinal disorders, cardiovascular problems and effect on neural system suggest the

Patent Number	Composition of <i>T. ammi</i> Herbal Formulation	Application	References
U.S. patent (US5980903)	Thymol as bioactive ingredient of <i>T. ammi</i> essential oil.	Treating against chronic viral infections including the adverse effect of Human Immunodeficiency Virus (HIV).	Pruthi et al. (1999)
U.S. patent WO2000021364	Thymol (extracted from <i>T. ammi</i> essential oil)	Utilization as natural preservative protecting against fungi, bacteria, nematode, and insect pests.	Sadik Tuzun et al. (2000)
U.S. patent 6514541	Thymol (extracted from <i>T. ammi</i> essential oil)	Treatment against bacterial infections.	Khanuja et al. (2003)
U.S. patent 2004/0156920	Powder of <i>T. ammi</i> leaves	AMAVAT-20 polyherbal preparation against chronic stomachache and loose bowels.	Kane (2004)
US patent 6,746,694 B1	Extract of T. ammi	Treatment against asthma.	Bhadra et al. (2004)
U.S. Patent 11/547,886	Extracts of T. ammi	Wide scale antimicrobial activity after incorpo- rating into silver nanoparticle.	Paknikar (2007)
U.S. Patent 7,754,774.	Thymol (extracted from <i>T. ammi</i> essential oil)	Antiseptic disinfectants in food, medicines, and cosmetics with improved antibacterial activities.	Kobayashi et al. (2010)
U.S. patent 20120178971	Novel compound 5,8-A-D-I-1- Propyl-1-Octahydronapthalen-1-(2H), one from <i>T. ammi</i> seeds	Inhibitory effects against dental carries caused by <i>Sterptococcus mutans</i> .	Khan & Rosina (2012)
U.S. patent 8722109	Extract of T. ammi	Anti-tumor herbal composition.	Al-Shemmeri (2014)
U.S. patent 9907825B2	Extract of <i>T. ammi</i>	Improving mental alertness, energy level, muscle strength and physical performance of body.	Gokaraju et al. (2018)

**TABLE 9.2** Some Patents on Composition of *T. ammi* Herbal Formulation with Vide Application Number and Practical Applications

potential application of *T. ammi* fruits, seeds as well as leaves (extracts, essential oil, powders, and formulations) for beneficial health purposes. Moreover, the nutritional benefits and possible mechanism of action against different health disorders strengthen the modern application of *T. ammi* plant parts in reverse pharmacology.

#### **KEYWORDS**

- anticancer
- antioxidant
- ethno-medicinal properties
- pharmaceutical
- phytochemicals
- Trachyspermum ammi

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# **CHAPTER 10**

# *Tinospora cordifolia* (Willd.) Miers: A Review on Medicinal Aromatic Plant with Industrial and Therapeutical Utilization

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#### ABSTRACT

*Tinospora cordifolia* (Willd.) Miers, most commonly included in Ayurvedic medicine. It has as a vernacular name of "Amrita" and "Guduchi" (Family: Menispermaceae). It has been used in the management of several diseases such as urinary problem, fever, dysentery, leprosy, diabetes, and skin disorders. Though, there are several therapeutic indications are available, where clinical research is required to examine the efficacy and quality of the plant. The plant contains various primary and secondary metabolites has been isolated and characterized as Tinosporine, Magnoflorine, Berberine, Choline, Jatrorrhizine, and many more chemical components. The current literature provides detailed valuable information regarding the occurrence and distribution, organoleptic, microscopical, phytochemistry, pharmacological, and clinical trial profile of the crude drug, *Tinospora cordifolia* (Willd.) Miers. In addition to this the commercial, as well as medicinal and therapeutical utilization of the *Tinospora cordifolia* (Willd.) Miers plant, has also been discussed in detail.

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#### **10.1 INTRODUCTION**

The herbal plant, *Tinospora cordifolia* (Willd.) Miers, "guduchi" considered as a herbaceous vine belongs to Menispermaceae family. It has many beneficiary effects in treating several ailments. Even it is a well-known herb in Ayurveda system of medicine (Preeti, 2011). Specifically, it is employed as an analgesic, antipyretic, antispasmodic, cardiotonic, expectorant, anti-inflammatory, and anti-asthmatic agent. It is used in many infective conditions such as typhoid, malaria, filariasis, and leprosy; well-known plant given in intermittent fevers. It has many more other therapeutic applications including anthelmintic properties, used in skin diseases, urinary disorders, gout, rheumatoid arthritis and eye diseases (Kokate, Purohit, & Gokhale, 2010). According to Savrikar et al. it is found that the ayurvedic preparation containing *Tinospora cordifolia* (Willd.) Miers has shown a promising effect in hypothermia and gastric ulceration (Savrikar, Dole, Ravishankar, & Shukla, 2010).

These pharmacological and therapeutical properties of the plant are due to the presence of several major secondary metabolites like steroids, glycosides, phenolic components, aliphatic compounds, diterpenoid lactones, sesquiterpenoid, essential oils, polysaccharides, and fatty acids; however present in different parts of the plant including stem, leaves, aerial whole plant and root (Meena, Singh, Panda, Mishra, & Rao, 2010; Sharma et al., 2012; Goel et al., 2004; Sinha, Mishra, Singh, & Khanuja, 2004; Upreti & Chauhan, 2018; Salkar, Chotalia, & Salvi, 2017; Khan, Dul Haque, & Chowdhury, 2016). It is a dried, matured stem part of *T. cordifolia* (Willd.) Miers (Menispermaceae). It is an annual climber and found abundantly in India. It has been collected in the month of May during summer season (Saha & Ghosh, 2012).

#### **10.2 VERNACULAR NAMES**

Sanskrit: Amrtavalli, Amrta; Hindi: Giloe, Gurcha; Bengali: Gulancha; Assamese: Siddhilata, Amarlata; Gujrati: Galac, Garo; Kashmiri: Amrita, Gilo; Kannada: Amrutaballi; Malayalam: Chittamrutu; Oriya: Guluchi; Marathi: Gulvel; Punjabi: Gilo; Telugu: Thippateega; Tamil: Seendal, Seendil kodi; Urdu: Gilo

#### **10.3 GEOGRAPHICAL SOURCE**

It grows very well as a climber on shrubs and small trees. It is indigenous to India as widely expanded in lower valleys of Garhwal and also reported to found in different places of Almora, and Kumaon region. Generally, it mainly grows over hedges; and thereby it has been called "plant of dry forest" (Kokate, Purohit, & Gokhale, 2010).

#### **10.4 MORPHOLOGICAL CHARACTERS**

This is a climbing shrub with many branches of coiled nature. The stem is a fleshy, filiform with climbing nature. The bark is of white or sometimes gray in color (Kirtikar & Basu, 1933; Upadhyay, Kumar, Kumar, & Mishra, 2010). The powdered stem is of dark brown color with bitter taste and characteristic odor. Usually, it is used in fever, dyspepsia, and even in urinary tract infections. "Guduchi-satva" is the starch found present in the stem of the plant which contains significant nutritive values and digestive properties. The leaves are simple, alternate with long-petiole of around 15 cm. The lamina region is about 10-20 cm wide with ovate (Tiwari, Nayak, Prusty, & Sahu, 2018; Arul, Miyazaki, & Dhananjayan, 2005). The flowers of the plant are unisexual, axillary position, with leaflet branches. They are greenish-yellow in color, the flowers are generally found present in cluster and some of them usually in the solitary form. Fruits are single-seeded, mainly get matured in winter. It bears flowers in summer. The seeds are in curve shaped (Spandana, Liakhat, Nirmala, Santhi, & Babu, 2013) and the entire morphological features have been depicted in Figure 10.1.

#### **10.5 MICROSCOPICAL CHARACTERS**

The histology of stem shows the cork, the outermost layer is differentiated into thick-walled, brownish colored compressed cells represented as an outer zone whereas the inner region consisted of thin-walled tangentially arranged cells which are colorless. Groups of lenticels are present within the cork region, followed by few rows of the secondary cortex. The sclereids are composed of 3–12 cells present in the secondary cortex region. The tangentially elongated collenchymatous cells are found present in the outer region of cortex. The cortical cells are polygonal-shaped, filled with starch grains that are simple, ovoid-elliptical in shape. The pericyclic fibers arelignified attached with the lumen and have a pointed ends, with fibers. The vascular bundle is composed of lignified strips of xylem, followed by a non-lignified layer ofphloem. The phloem has consisted of companion cells, phloem parenchyma and sieve tube along with calcium oxalate crystals. The cambium is composed of tangentially elongated cells present in the vascular bundles as in the layer form. The xylem has consisted of parenchyma, tracheids, fibers, sclereids, and vessels.

The pith is consisted of parenchymatous thin walled cells with starch grains (The Ayurvedic Pharmacopoeia of India, 2003) (Figure 10.2).



FIGURE 10.1 Tinospora cordifolia (Willd.) Miers.

#### **10.6 ETHNOMEDICINAL USES**

Herbal juice is used in the treatment of irregular fever. A decoction is incorporated with honey that can be taken in the morning to cure jaundice. Even the decoction preparation can also be given in vomiting. Juice of the plant is mixed with Pippali powder to be used in curing fever. Leaves of *Tinospora* 

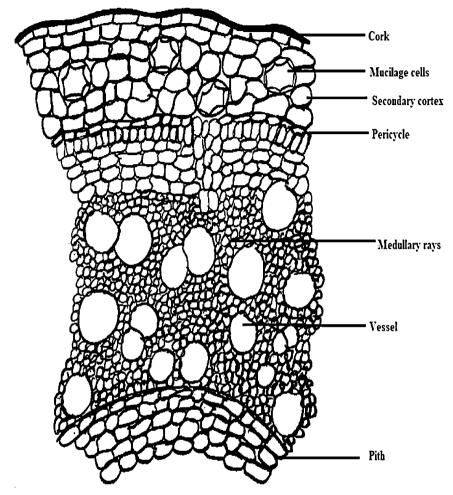


FIGURE 10.2 Microscopy of *Tinospora cordifolia* (Willd.) Miers stem.

*cordifolia* (Willd.) Miers are used to cure fever. Additionally, the continuous use of Guduchi juice mixed with oil to destroy filarial. Fresh juice of the herb admixed with turmeric powder to cure hepatitis. Juices of Guduchi, Amalaki, and Haridra, together act as synergistically in many urinary problems. The starch of Guduchi is used to reduce the burning sensation, in curing elevated body temperature and to enhance the appetite of the individual (described in Table 10.1) (Singh & Maheshwari, 1983; Shah, 1984; Bhatt & Sabnis, 1987; Joshi & Kaur, 2016).

Preparation	Composition	Ethnomedicinal Uses	References
Decoction	Tinospora cordifolia (Willd.) Miers, Terminalia chebula, Berberis aristata, Zingiber officinale	Liver abscess	Sohni & Bhatt (1996)
	Tinospora cordifolia (Willd.) Miers, Terminalia belerica, Phyllanthus niruri, Phyllanthus emblica Terminalia chebula	Hepatoprotective	Tasaduq et al. (2003)
	<i>Tinospora cordifolia</i> (Willd.) Miers	Diabetic ulcers in foot	Purandare & Supe (2007)
	<i>Tinospora cordifolia</i> (Willd.) Miers	Allergic rhinitis	Badar et al. (2005)
	<i>Tinospora cordifolia</i> (Willd.) Miers	Tuberculosis, breast cancer, obstructive jaundice and sepsis.	Panchabhai, Kulkarni, & Rege (2008)
	Terminalia chebula, Boerhavia diffusa, Zingiber officinale, Berberis aristata	Against Entamoeba histolytica	Sohni, Kaimal, & Bhatt (1995)
	Withania somnifera, Zingiber officinale , Tribulus terrestris	Arthritis	Chopra et al. (2012)
Paste	<i>Tinospora cordifolia</i> (Willd.) Miers <b>stems and Solanum</b> <b>surattense roots</b>	Fever	Joshi & Kaur (2016)
Decoction	<i>Tinospora cordifolia</i> (Willd.) Miers root and stem bark.	Dysentery and diarrhea	
Paste or juice	<i>Tinospora cordifolia</i> (Willd.) Miers leaves and <i>Brassica</i> <i>campestris</i> seed powder	Burning sensation	
Juice	<i>Tinospora cordifolia</i> (Willd.) Miers stem with honey	Asthma	

TABLE 10.1 Ethnomedicinal Uses of T. cordifolia

#### **10.7 PHYTOCHEMISTRY**

The secondary metabolites present in *Tinospora cordifolia* (Willd.) Miers are alkaloids, glycosides, polyphenolic components, steroids, polysaccharides, and aliphatic components. The leaves are found rich in calcium, phosphorus, and protein (11.2%). *Tinospora cordifolia* (Willd.) Miers stems contain furanolactone clerodane diterpene such as amritoside A, B, C, and D (Sohni & Bhatt, 1996; Tasaduq et al., 2003; Purandare & Supe, 2007; Badar et al., 2005; Panchabhai, Kulkarni, & Rege, 2008; Sohni, Kaimal, & Bhatt, 1995;

Chopra et al., 2012; Chi, She, Han, Wang, Liu, & Liu, 2016; Chaudhary, Siddiqui, & Khatoon, 2014; Maurya et al., 2004; Abhijeet & Mokat, 2018; Sumran & Aggarwal, 2019). Essential secondary metabolites are mentioned in Table 10.2 and their structure drawn in Figure 10.3.

TABLE 10.2	in T. cordifolia	
Category of Chemical Components	Secondary Metabolites	References
Terpenoid	Furanolactone clerodane diterpene, tinosporide, furanoid diterpene, tinosporaside, furanolactone diterpene, cordifolioside A-C, ecdysterone makisterone, cordifoliside D, cordioside, palmatosides C, sesquiterpene tinocordifolin, sesquiterpene glucoside tinocordifolioside, tinosponone, tinosponone tetracetate, tinocordioside, tinoscorsidic C, borapetoside B and F, tinoscrispol A, cordioside, cordioside tetracetate, tinosporaside, 8-hydroxy columbin, cordifolide A, B, and C, tinosporaclerodanoid, amritoside A, B, C, and D, tinosporaclerodanol, tinosporafurandiol, palmatosides F, tinocordioside, cordifoliside E	Sohni & Bhatt (1996); Khuda, Khaleque, & Ray (1964); Hanuman, Bhatt, & Sabata (1986); Bhatt, Hanuman, & Sabata (1988); Hanuman, Bhatt, & Sabata (1988); Bhatt & Sabata (1989); Khan, Gray, & Waterman (1989); Gangan, Arjun, Sipahimalani, & Banerji (1994); Maurya & Handa (1998); Gangan, Arjun, Sipahimalani, & Banerji (1995); Wazir, Maurya, & Kapil (1995); Gagan, Pradhan, Sipahimalan, Banerji, & Palmatosides (1996)
Alkaloid	Tinosporine, berberine, magnoflorine, choline, 1,2-substituted pyrrolidine, jatrorrhizine, tembeterine, palmatine	Sohni & Bhatt (1996); Choudhary, Siddiqui, Azmat, & Khatoon (2013); Bisset & Nwaiwu (1983); Mahajan, Jolly, & Kundnani (1985); Sharma, Khosa, Chansauria, & Ray (1995); Pathak, Agarwal, Jain, Sharma, & Howarth (1995)
Lignan	3-(α,4-dihydroxy-3-methoxybenzyl)-4-(4- hydroxy-3-methoxybenzyl)	Sohni & Bhatt (1996); Hanuman, Mishra, & Sabata (1986)
Steroid	20α-hydroxy ecdysone, β-sitosterol, giloinsterol, polypodine B 20,22-acetonide	Sohni & Bhatt (1996); Kidwai, Salooja, Sharma, & Siddiqui (1949); Khaleque, Maith, Huq, & Abul (1970); Dixit & Khosa (1971); Pathak, Jain, & Sharma (1995)
Flavonoid	(-)-Epicatechin	Sohni & Bhatt (1996)
Miscellaneous components	Tinosporan acetate, giloin, tinosporal acetate, heptacosanol, tinosporidine, octacosanol, tinosponone, sinapic acid	Khuda, Khaleque, Basar, Rouf, Khan, & Roy (1966); Khaleque, Maith, & Huq (1971); Maurya, Wazir, Tyagi, & Kapil (1995); Pradhan, Gangan, Sipahimalani, & Banerji (1997); Chintalwar et al. (1999)

 TABLE 10.2
 Essential Secondary Metabolites Present in T. cordifolia

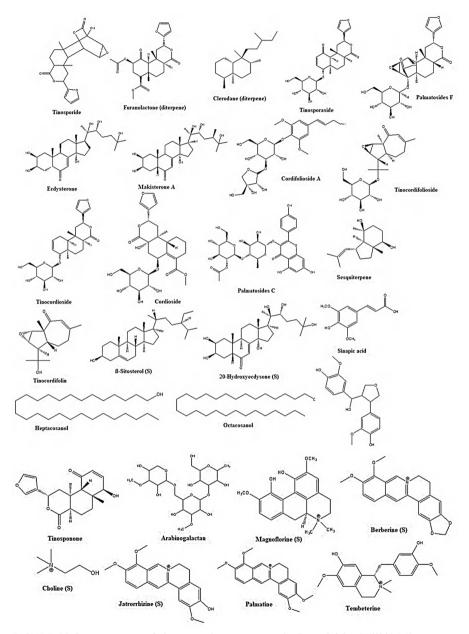


FIGURE 10.3 Structures of phytoconstituents present in T. cordifolia (Willd.) Miers.

# **10.8 PHARMACOLOGICAL ACTIVITY**

*T. cordifolia* (Willd.) Miers extracts are commonly used in several herbal formulations as anti-spasmodic, anti-periodic, anti-microbial, anti-inflammatory, anti-osteoporotic, anti-allergic, anti-diabetic, and anti-arthritic properties as discussed in Tables 10.3 and 10.4 and Figure 10.4.

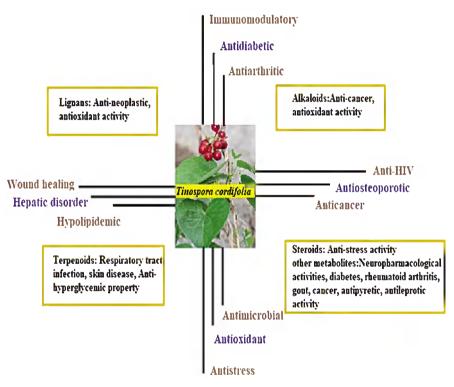


FIGURE 10.4 Pharmacological activities of *Tinospora cordifolia* (Willd.) Miers.

# **10.9 SUBSTITUENTS AND ADULTERANTS**

The plant, *Tinospora cordifolia* (Willd.) Miers is quite substituted or adulterated with some other species of Tinospora, including *Tinospora sinensis* and *Tinospora crispa*. Additionally, the histochemical characteristics present in *Tinospora sinensis* may resemble that of *Tinospora cordifolia* (Willd.) Miers, however few of the characteristics features would differ among them. Some of the distinguishing features are discussed as below: In *Tinospora cordifolia* 

Plant Part	Extract	<b>Biological Activity</b>	Experimental Model	Animal Model	References
Whole plant	Alcoholic	Cardioprotective	Calcium chloride induced arrhythmia.	Rats	Sharma et al. (2011)
Roots of <i>T. cordifolia</i> Miers and <i>Centella</i> <i>asiatica</i>	Ethanol	Antiulcer	Stress-induced ulceration	_	Sharma, Khosa, Chansauria, & Sahai (1995)
_	Aqueous	Anti-ulcer	Gastric ulcer induced by ethanol, aspirin, and pylorus ligation induced ulcer model.	Albino rats	Chandan, Deb, & Bhargavi (2013)
Stem bark	Ethanol/aqueous	Antidiarrheal	Castor oil and magnesium sulfate- induced diarrhea	Rats	Kaur, Singh, & Kumar (2014)
Capsules named as "guduchi" (Himalaya Drug Co., India)	_	Analgesic	Writhing method and hot plate method.	Albino rats	Goel, Pathak, Nim, Singh, Dixit, & Chaurasia (2014)
Stem	Water-soluble		Eddy's plate, tail-flick test and acetic acid-induced writhing.	Albino rats	Sumanlata, Suman, Sharma, Jindal, & Khan (2019)
_	Aqueous		Tail flick model	Albino rats	Mandal, Das, Mandal, & Biswas (2020)
Stem	Aqueous/hydro alcoholic	Aphrodisiac	Observing the mounting frequency, mount latency, intromission frequency, intromission latency, genital grooming and anogenital sniffing.	_	Wani, Achur, & Nema (2011)
Himalaya Drug Company, India.	_	Immunomodulatory	Effect on macrophage activation.	Macrophage J774A.1 cell line	More & Pai (2011)
_	Ethyl acetate, water fractions and hot water	_	Phagocytic function studies, chemiluminescence assay.	_	Sharma, Bala, Kumar, Singh, Munshi, & Bhalerao (2012)

# **TABLE 10.3** Pharmacological Activity of *T. cordifolia* (Willd.) Miers

Plant Part	Extract	<b>Biological Activity</b>	Experimental Model	Animal Model	References
Stem	Aqueous/ methanol	_	Broth dilution and agar well diffusion assays.	Salmonella typhimurium infected mice	Alsuhaibani & Khan (2017)
Stem	_	Antidyslipidemic	Alloxan induced diabetic	Rats	Kumar (2015)
Whole plant	Alcoholic	Neuroprotective	Lesion induced by 6-hydroxy dopamine in rats.	Rats	Kosaraju, Chinni, Roy, Kannan, Antony, & Kumar (2014)
_	Aqueous		MPTP (1-methyl-4-phenyl- 1,2,3,6-tetrahydropyridine) induced nigrostriatal dopaminergic neurodegeneration.	Parkinsonian mice	Singh, Birla, Rai, Zahra, & Singh (2018)
_	-	Analgesic, anti- inflammatory, and antipyretic.	Antipyretic: brewer's yeast-induced pyrexia method; Analgesic: writhing test, tail-flick, and hot plate test; Anti-inflammatory: carrageenan- induced inflammation model	Rats	Patgiri et al. (2014)
_	Aqueous	Anti-inflammatory and antipyretic	Anti-inflammatory: carrageenan and histamine-induced rat paw edema; antipyretic: brewer's yeast induced pyrexia model.	Albino rats	Hussain, Akash, Ain, Rehman, & Ibrahim (2015)
_	Epoxy clerodane diterpene	Gastroprotective	Indomethacin induced gastric ulcers.	Rats	Sumanlata, Suman, Sharma, & Khan (2019)
Plant	Alcoholic	Antioxidant	Liver cancer induced by N-nitrosodiethylamine.	Albino rats	Antonisamy et al. (2014

**TABLE 10.3** (Continued)

Plant Part	Extract	<b>Biological Activity</b>	Experimental Model	Animal Model	References
Stem	Alcoholic	Radioprotective and cytoprotective	γ-radiation in rats; genotoxicity induced by cyclophosphamide.	Mice	Patel, Bigoniya, Singh, & Patel (2013)
_	Chloroform	Anti-feedant	-	Insects	Sivasubramanian, Narasimha, Rathnasamy, & Campos (2013)
_	_	Ameliorative	Aflatoxin-induced nephrotoxicity	Mice	Gupta & Sharma (2011)
Stem	n-Butanolic fraction of ethanolic extract	Nootropic	Elevated plus-maze	Mice	Une, Ejaj, & Tarde (2014)
Stem	Isoquinoline alkaloid rich fraction	Hypoglycemic	In vitro and in vivo Insulin- mimicking as well as releasing property.	Rats	Patel & Mishra (2011)
Stem	-	Hypoglycemic, anti-hyperglycemic	Decreased in body sugar level in normoglycemic rodents.	Mice	Sharma, Kumar, Ashok, Galib, Prajapati, & Ravishankar (2013)
Stem	Methanol	-	Alloxan induced diabetic rats	-	Sivakumar & Rajan (2011)
_	Aqueous ethanol	Antipsychotic	Amphetamine challenged model	Mice	Jain, Jain, & Shete (2010)
Fresh leaves	_	Antidepressant	Tail suspension method and forced swim test.	Albino mice	Kalabharathi, Vaibhavi, Naidu, Pushpa, & Shruthi (2014)
Stem	70% methanolic	Antifertility	Sperm motility, sperm density.	Male rats	Gupta & Sharma (2003)
-	Alcohol	Antitumor	Tumor-associated macrophages.	Mice	Singh, Singh, & Shrivastava (2005)

**TABLE 10.3** (Continued)

Plant Part	Extract	<b>Biological Activity</b>	Experimental Model	Animal Model	References
_	50% methanolic extract of stem	_	In vitro cytotoxic activity.	Human breast cancer cell line MDA-MB-231 and normal Vero epithelial cell line.	Ahmad, Srivastava, & Khan (2015)
Stem	Ethanol	Antimalarial	Inhibited the propagation of <i>in vivo Plasmodium berghei</i> .	BALB/c mice	Singh & Banyal (2011)
-	Diterpenoid	Hepatocellular carcinoma	Diethylnitrosamine induced hepatocellular carcinoma rats.	Rats	Dhanasekaran et al. (2009)
_	100% ethanol	Antimicrobial	Brain-heart infusion agar medium.	Streptococcus mutans	Agarwal, Ramamurthy, Fernandes, Rath, & Sidhu (2019)
Stem	-	-	Disc diffusion assay.	Gram-negative, Escherichia coli; Grampositive, Staphylococcus aureus.	Singh, Singh, Katiyar, & Srivastava (2003)
Stem	Aqueous, ethanol, and chloroform	Antibacterial	Disc diffusion assay	Gram-negative and gram-positive	Jeyachandran, Xavier, & Anand (2003)
_	Petroleum ether, chloroform, methanol, and aqueous by	Antibacterial	Agar well diffusion assay	S. aureus, S. mutans, S. salivarius, Lactobacillus acidophilus, S. sanguinis	Vermani, Navneet, & Gautam (2013)

Note: -: not known.

SL. No.	Formulations	Models Used	Applications	References
1.	<i>Carica papaya</i> with <i>Tinospora cordifolia</i> (Willd.) Miers leaf extract.	Randomized with 250 patients, orally received 5 ml of syrup twice for five days.	Enhanced the platelet count in the cancer patients.	Babu et al. (2017)
2.	<i>Tinospora cordifolia</i> (Willd.) Miers	30 individuals randomly assigned into placebo, TC ( <i>Tinospora cordifolia</i> ) 150 and TC 300. Physical stressors and physical performance analyzed.	TC 300 mg group revealed deduction in BP and HR comparable with placebo.	Salve, Tripathi, Petare, Raut, & Rege (2015)
3.	<i>Tinospora cordifolia</i> (Willd.) Miers extract	Randomized double-blind placebo-controlled trial for six months; 68 HIV (human immunodeficiency virus) positive participants.	Potentially reduced the eosinophil count and hemoglobin percentage.	Kalikar et al. (2008)
4.	<i>Tinospora cordifolia</i> (Willd.) Miers lotion	Randomized, controlled, pilot clinical study of sample investigated in 66 scabies-infected patients.	Reduced the mean evalua- tion score after 28 days of treatment.	Castillo et al. (2013)
5.	<i>Tinospora cordifolia</i> (Willd.) Miers herb	Around 30 volunteers (age 18–30 years) received 500 mg of <i>T. cordifolia</i> aqueous extract for 21 days in a double-blind, randomized controlled design.	Significant (p < 0.05) increased the test scores for verbal learning and memory and logical memory.	Bairy, Rao, Das, & Kumar (2004)
6.	<i>Tinospora cordifolia</i> (Willd.) Miers herb	15 patients; 20 gms of <i>T. cordifolia</i> along with honey in tested group and Pearl millet flour with syrup in placebo group for around 8 weeks.	Significantly decreased the severity of mucositis in the tested group.	Amruthesh, Mubeen, Pramod, Venkatesh, & Ramesh (2010)
7.	<i>Tinospora cordifolia</i> (Willd.) Miers herb	30 volunteers aged 18–30 years randomized, double-blind controlled study evaluated for 21 days.	Found safe at a dose of 500 mg.	Karkal & Bairy (2007)

**TABLE 10.4** Clinical Trials of *Tinospora cordifolia* (Willd.) Miers

(Willd.) Miers, the sheath of the sclerenchymatous layer has broken into several scattered patches present in cortical regions; however, in *Tinospora sinensis*, these sheaths gets disintegrated into different regions followed by the vascular bundle and would remain till the secondary growth may occur. Calcium oxalate crystals are found absent in *Tinospora cordifolia* (Willd.) Miers whereas, in *Tinospora sinensis*, the lumen of each corks cells are present. *Tinospora cordifolia* (Willd.) Miers contains more number of mucilaginous cells when compared to *Tinospora sinensis*. The vascular strands are present in a fewer amount in *Tinospora cordifolia* (Willd.) Miers and a larger amount in *Tinospora sinensis*. In *Tinospora cordifolia* (Willd.) Miers, the vascular bundle contains well-developed xylem and it has not well developed in case of *Tinospora sinensis*. Pith consists of thin-walled narrow cells in *Tinospora cordifolia* (Willd.) Miers while in *Tinospora cordifolia* (Willd.) Miers it is in the broader form (Preeti, 2011; Sinha, Mishra, Singh, & Khanuja, 2004).

#### 10.10 CONCLUSION

*Tinospora cordifolia* (Willd.) Miers is a medicinally important herb possessing the number of secondary metabolites such as steroids, lignans, alkaloids, glycosides, and terpenoids. The current manuscript has introduced its pharmacological applications including antifungal, antioxidant, antimicrobial, antibacterial, hypolipidemic property, hepatoprotective effect, anticancer, and anti-HIV effects. The herb has employed in Ayurvedic medicine from the ancient period. Additionally, the products of this herbal drug are economically utilized for therapeutic purposes. In this regard, furthermore, studies are required to explore various secondary metabolites of *T. cordifolia* (Willd.) Miers, so therefore it could be potentially used in preventing and treating life-threatening diseases. Even the content of this chapter will be found more helpful in the management of novel drug formulation(s).

#### **KEYWORDS**

- ethnomedicinal uses
- geographical source
- Guduchi
- herbs

- hypolipidemic property
- novel drug formulation
- pharmacological applications
- phytochemical screening

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# **CHAPTER 11**

White Tea: An Emerging Gift of Nature and Bioprospection Towards the Development of Novel Herbal Formulations, Nutraceuticals, and Cosmetics

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#### ABSTRACT

Tea is a good friend of the human being as a Nutraceuticals, health drink, and medicinal agent. Different varieties of tea are derived from the pioneer herb, *Camellia sinensis*. Apart from black and green tea, the silver needle white tea, derived from young leave buds possesses amazing high quality and unique aroma. It is enriched with value-added Phyto marker compounds

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that elicit multiple health benefits including disease prevention and management of cancer, inflammation, microbial infection and immunodeficiency, and CNS disorders. This is also known as de-stress tea, an ideal drink to refresh the consumers at the optimum level. This silver needle white tea is an antioxidant powerhouse, as well. Among all tea varieties, rarest white tea is the most bioactive health beverage. However, its health benefits and pharmacological activity have not been explored properly, to date. The phytoconstituents variety found to be better than green tea in the context of polyphenols, flavonoids, and saponin contents. Moreover, the yield of bioactive condensed or non-hydrolysable tannin is higher in white tea compared to green tea aqueous extract. Its process of production from young tea leave buds is very emerging. In this regard, the current study will be explored on the importance, area of production, market value, phytopharmaceutical activity on drug and cosmetics aspect, botanical, and taxonomical characterization, production methodology, processing, etc. The study also will be focused on the impact of soil quality, the climate of the cultivating zone and its changing scenario on the production and phytoconstituents yield and composition. This review will also highlight the tissue culture prospect, planting, and nutrient management system of the cultivating area, safe pest management system, processing techniques for extraction of phytoconstituents and their phytochemical characterization by HPLC study, bioprospection of phytopharmacological investigation, pharmaceutical formation development for effective drug delivery especially emphasizing on nanotechnology. The above-cited illustrated items may guide to the researchers and academicians or commercial chemist to develop some potential Phyto pharmaceutically value-added effective herbal drugs and cosmetics formulation and nutraceuticals with great safety and eco-friendly manner.

#### **11.1 GENERAL INTRODUCTION**

Tea, a promising herb, is used extensively in daily life as a value-added health drink to supplement nutrients, boost immunity and energy. Its application for managing cancer, inflammation, arthritis, neurodegenerative disorders, cardiac disorders, obesity, and diabetes is promising. It has also been applied as health-protective nutraceuticals (Saldanha et al., 2015; Chen et al., 2015). L-theanine and other healthy nutrients amino acids, minerals may contribute to dietary supplements and nutraceuticals formulation developments. The impact of tea in the cosmetic industry as active ingredients or excipients is also remarkable (Juhász et al., 2018).

However, several varieties of tea are available, which are processed from *Camellia sinensis* leaves and early buds. They vary from each other concerning phytoconstituents and processing differences. Among 5 varieties of tea such as Black, Pu-erh, Oolong, Green, and White tea. All though, green, **and** white tea are less processed and fermented without oxidation (Hilal et al., 2007; Dai et al., 2017). They are dried quickly with steaming and withering to protect bioactive anti-oxidant polyphenols and flavonoids from polyphenol oxidase induced oxidation. White tea is manufactured by long-time withering and drying via pan-frying enriched with high yielded aromatic anti-oxidant polyphenols and flavonols among all tea varieties (Chen et al., 2019). It is regarded as de-stress tea and powerhouse of anti-oxidant molecules devoiding of caffeine, Therefore, chances of free-radical induced cardiovascular, neurodegenerative, inflammatory arthritis, nociceptive pain, and cancer would be minimized (Shahidi, 2000).

The white tea is widely cultivated and processed in Assam, China, Darjeeling, Thailand, Srilanka, Nilgiri. Reports also exists regarding the usage of white tea in ancient China, majorly in powdered form whisked in ceramic bowls via sun drying and pan-frying techniques. This process gave the tea leaves promising flavor and whitish-yellow colored delicate silver like needle crystal.

A prehistoric document of 1107 AD drafted by Chinese Emperor Huizong, known as 'Treatise on Tea' depicts the traditional tea ceremony of China. Moreover, he has cited his fondness for Bai Cha (Bai meaning white and Cha meaning tea), which is evident as possibly the first historical demonstration of an unprocessed tea that appears as white tea (www.ndtv.com).

This type of rare and value-added tea variety is processed from young tea leave buds in the autumn season. The literature supports that the conventional bioactive catechins anti-oxidant molecules are available in higher content compared to green tea due to the manufacturing process specificity of sun drying, less oxidation, fermentation. Moreover, harvesting and processing are time-consuming. Plucking of leave buds is only done in a particular seasonal time in a year. That is why the market price of this variety of tea is a little bit higher rather than green tea. However, the prime objective of the present review chapter is to explore its pharmaceutical, cosmeceuticals value, and health benefits in public attention. Herein, the scope, benefits, present status and future goal of pharmaceutical formulation development of white tea will be discussed for a better understanding of the significance of white tea will be critically evaluated. The main theme of this topic is also to highlight the importance of white tea as a key active ingredient of herbal formulation development. The review will also be forecasted by how the safe, value-added, and cost-effective low-cost white tea herbal formulation could be formulated.

#### **11.2 AREA AND PRODUCTION**

The area of harvesting and cultivation of white tea is very limited in the world. This type of tea is very rare and unique. The process of production of white tea is very sensitive. White tea is carefully produced from the young tea leave buds before growing and development of mature tea leaves. When young unopened tea leaf buds are covered with white-colored hairy trichomes, then it is usually plucked for the development of precious and unique white tea. White tea is generally cultivated from the young buds of *Camellia sinensis* medicinal plants in China, India (Assam and Darjeeling), Korea of south Asian country.

History reveals that the development of white tea custom was executed in early era of Chinese imperial dynasties (During Emperor Huizong's rule of the Song Dynasty (960–1297) between 600 and 1,300) especially when the culture of tea consumption was flourishing across the country.

This majestic tea was naturally derived from the youngest and most delicate buds of finest tea plants during spring by manual hand processing. Hence, the white tea is very famous for its delicate and soothing aroma and flavor. Gradually India, Korea, Srilanka have adopted this methodology for the production of white tea on large scale. All those country's tea manufacturers are producing this rare and valuable tea species from the native tea plant's buds and unopened tea leaves. Till this date, there are no clear reports on the country-wise annual production rate of white tea from literature and commercial sources (www.teatulia.com; www. thespruceeats.com).

#### **11.3 MARKETING AND TRADE**

To date, his variety of tea is not so much gathered public attention. The beneficial health benefit is not known to the common people. So, its public marketing and trade level production rate is unclear to us. We are trying to explore its health benefit, the methodology of production and its potential safe biomarker compounds characterization and identification in this present review, so tea industry marketing executive will come forward to convince it's beneficial and efficacy towards the common people. If the significant buyers of this tea will increase, then the production cost would be decreased for common interest for better accessibility.

#### **11.4 COMPOSITION AND USES**

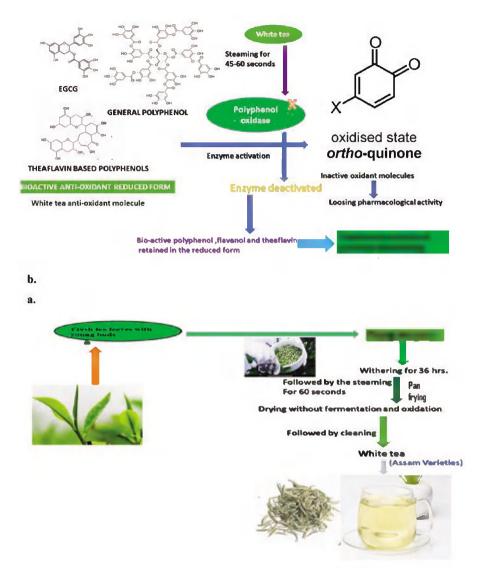
Both green and white tea consist of polyphenols and flavanols including (-)-epigallocatechin-3-gallate catechins, (EGCG), (-)-epicatechin gallate (ECG), (-)-epicatechin (EC), (-)-epigallocatechin (EGC). Health strength and profits associated with tea consumption are concerned with its potential free radical-scavenging and anti-aging action (Kilmartin & Hsu, 2003). But least processed white tea yields a higher percentage of total polyphenols and flavonoids than green tea (Dai et al., 2017). Non-hydrolysable tannin and saponin contents are present in white tea in higher yield value in comparison to green tea and other variety of tea including black tea (unpublished data). However, caffeine contents are lesser in white tea compared to green tea and black tea. Polyphenolic and flavonoid glycoside is present in higher yield in comparison to green tea (Uchenna et al., 2015). Furthermore, amino acids and essential oil-based glycosides contribute enormously to form the delicate aroma, sweetness, and flavor of white tea. Long withering and drying without fermentation and oxidation are responsible for retaining the bioactive and flavoring constituents like flavonoids, amino acids, and polyphenols and volatile oil (Chen et al., 2019). Flavonol glycoside's contents and numbers are comparatively higher in white tea rather than green tea. The total yield of bioactive marker compounds is higher in white tea rather than green tea as depicted in Table 11.1 (Hilal et al., 2007). During processing (Figure 11.1) of white tea, the application of steaming and withering inactivates the enzymatic activity of polyphenol oxidase resulting in the higher yield of flavonoids and polyphenol catechin contents as described in Figure 11.1. That is why, an earlier report (Sanlier et al., 2018) suggests that drinking of white tea significantly control and prevent disease progression and its severity.

Name of the Bio-Active Phytopharmaceuticals	Average Quantity (g/Kg) in White Tea	Quantity Average (g/Kg) in Green Tea
Total polyphenols	21.54	19.18
Catechins	13.22	12.95
Caffeine	4.85	2.90
Theogallin	1.4	1.0
Gallic acid	0.09	0.26
L-Theanine	6.56 mg/gm	6.30 mg/gm
epigallocatechin gallate	8.00	6.75
Total saponins (unpublished data)	8.5 mg/gm	7.56 mg/gm

**TABLE 11.1** Comparative Yield of Different Phyto-Pharmaceutically Bioactive SecondaryMetabolites from Reported Literatures and Undertaken Research

White tea is used as a powerhouse of anti-oxidant molecule leading to prevent overall aging by free radical induced cell damage following the DNA fragmentation to boost energy in the human body. It supplies the armaments to the human body to fight against cancer cell growth and proliferation. It also helps to lower the bad cholesterol and increase the good cholesterol to attenuate the chances of cardiac artery block. Different types of catechin derivatives present in the white tea render the anti-inflammatory property to control the inflammation and regulate the immunity to resist microbial infection. White tea also progresses digestion, particularly when people are suffering from stomach cramps or nausea. It aids to circumvent constipation. White tea comprises of a large number of polyphenols, micronutrients essential minerals manganese, zinc, and selenium which could attenuate dementia and improves concentration reducing brain fatigue. L-theanine, another important nutrient in white tea, may help to produce a feeling of alertness and augment mental alertness. This theanine shows anti-stress property by calming frayed nerve. Daily intake of white tea aqueous extract alleviates the environmental pollutants and toxin-mediated skin wrinkles, dullness, spots, and premature aging leading to revitalizing the skin (Santana et al., 2001; Cooper, 2012).

Basically, the young unopened tea leave buds and young tea leaves are usually utilized for the manufacturing of white tea needle-like crystals. But herbal medicine development from these rare parts makes the process costly. However, if the tea industry worker keeps the unopened tea leaf bud during the conventional tea leaf plucking in reserve for the preparation of white tea, then the production cost and rate would be higher in the white tea trade market.



**FIGURE 11.1** (a) Generalized methodology of white tea needle production from the garden young tea leave buds. (b) Schematic overview on the role of steaming on the antioxidant compound degrading enzyme (polyphenol oxidase) for retaining the bio-activity of white tea polyphenols.

#### 11.5 BOTANY AND TAXONOMY

White tea has a distinguishing aroma, flavor, and pleasant sweetness and astringent taste. The macroscopic investigation of the leaf comprises of botanicals characteristic observation. However, the microscopic inspection was conducted with young unopened leaf or specific part fragment of leaf powder. The objective of this finding is to study specific parts contained in the organs of plants used. Macroscopic characteristics of *white tea* displayed that the leaves are silky dark green, alternate, short-petiole, coriaceous, lanceolate or elongated-ovate, and roughly serrate. The leaf blade is 6–18 cm long, 2–6 cm wide, short-stemmed, alternate layout, stiff leaves, elongated ellipse-shaped with finely toothed edges, and pinnately netted venation. While young leaves seem silver because of the covering of short downy hair on the underside. White tea leaves are as same as the *Camellia sinensis* (L.) Kuntze leaves but rolled up, oval, like a needle with a length of 1.5–3 cm, diameter 1–2 mm, as well and the superficial is enclosed with very subtle hairs color of dried white tea leaves is silvery-white (Ekayanti et al., 2017).

#### **11.6 VARIETIES AND CULTIVARS**

Da Bai and Da Hao are the two plant varieties from which white tea was first commercially produced in China's Fujian province in the year 1700s. Loose-leaf version was developed from the young leaf buds, as because these variety of tea was negligibly processed and moreover the rare teas from the young buds were difficult in respect to logistic issues like stocking and conveyance without degradation.

Nowadays, white tea production methods have been amended, with the advent of a greater number of methods not only limited to Fujian province but also other parts of the world. Apart from China, today several of countries like India, Korea, Srilanka, etc., are also engaged in cultivation of indigenous white tea from various species of tea plants. Generally, there are 3 varieties of white tea are available as follows (www.teagalaxy.com; www. teatulia.com/tea-varieties)

#### 11.6.1 SILVER NEEDLE (BAI HAO YIN ZHEN)

One of the scariest and most exclusive tea. Traditionally Dabai cultivar Fujian, China, produces only this tea. This finest tea leaf buds are plucked to take more than 4,500 hand-sorted leaves to make a pound of this tea. It is manufactured especially from the full-grown buds with white protective silky

hairs which gives the texture of silver color, thus known as Silver Needle variety which is recognized for its powerful health assistance because the bud is where the tea plant stores most of its antioxidants and other beneficial compounds (catechins, caffeine, and l-theanine).

# 11.6.2 BAI MUDAN (WHITE PEONY)

This type of variety of white tea is cultivated in China and other countries around the world. It usually includes some buds blended with unfurled or barely opened young tea leaves. It is processed from both leaves and buds of the tea plant, generally picked later than Silver Needle. The leaves possess multiple colors in connection to varying degrees of oxidation – brown, green, gray, and silvery bud. It is very much popular as white tea blends. The flavor of this tea seems like peony flowers with a sweet taste.

# 11.6.3 SHOU MEI (CHINESE NAME: LONGEVITY EYEBROWS)

This variety of Shou Mei often has three leaves plucked, making it darker and coarser. The flavor and aroma of this tea are like a lighter oolong tea. This tea is less expensive than the other teas. Shou Mei variety contains the lowest amount of caffeine of all white teas, too.

# 11.6.4 ASSAM VARIETY

This is cultivated in India, Assam province, North-Eastern part of India from a unique environment around hillocks. The young middle un-opened leaf buds are the source of precious white tea production involving long withering, pan firing without oxidation. The saponin and condensed tannin contents are higher than the green tea.

# 11.6.5 DARJEELING WHITE TEA

This famous tea plants are native to the Darjeeling region of India. Although this variety of tea is not derived from original Chinese white tea plant, but the processing method is quite comparable to the Fujian white tea, however, the flavor seems to be much better than other species.

#### 11.7 SOIL AND CLIMATE

Soil quality such as soil composition, i.e., how much nutrients and heavy metals are present that also trigger the growth of the tea plants. Ultimately these soil constituents influence the plant phytoconstituents composition and yield by modulating the metabolic enzymes and gene in the shikimic acid and mevalonic acid pathway (Zhang et al., 2019). Cultivating soil are also the most important factor for an increasing rate of cultivation and growth for the medicinal plant (Bernath, 1997). The soil nutrients composition and groundwater content are varied by changing of cultivating zone. Therefore, the same species plant may change their phytochemical composition, anabolism, and accumulation of secondary metabolites, if it grows in different geographical cultivating zone across the world (Radusiene et al., 2012).

Therefore, bioactive secondary metabolites such as catechins, caffeine, polyphenols, flavonoids, glycosides, and saponin contents of the white tea are also influenced by the soil quality and composition. Because plants produce active metabolites in their tissues via shikimic acid and mevalonic acid pathway. In order to bio-synthesize successfully, those several bioactive metabolites via biochemical reaction, plants need to be exposed into the ideal weather condition such as favorable rainfall, humidity, air temperature, atmospheric vapor pressure, precipitation, and sunshine duration, etc. (Verma & Shukla, 2015; He et al., 2013; Liu et al., 2011). Furthermore, the weather condition of the same place nowadays has been drastically altered in comparison to the earlier time (Li et al., 2019). This phenomenon may modify the reproducibility of the percentage of total extractive matter comprising of bioactive phytoconstituents from traditional medicinal plants. That is why the same white tea species' biological and health benefits differ from Chinese, Indian, and Korean variety. Because the catechin contents of Chinese variety white tea are higher than the Sri Lankan and Korean variety. Assam variety white tea consists of a higher quantity of condensed tannins and saponin among all white tea varieties of another country. Darjeeling white tea variety contains the very delicate amount of flavoring volatile oil and bioactive amino acids compared to other country's white tea variety. In context to the above variation of the phytochemical composition in white tea, may be responsible for the variation of biological health benefits and therapeutic efficacy. Apart from this climate, the altitude of the cultivating area, rainfall is also the influencing factor for the growth of optimum white tea young leaves and unopened buds. That is why the biological effect like anticancer effect and anti-stress activity of Indian variety of white tea was found to differ from Chinese variety (Hajiaghaalipour et al., 2015; unpublished data). Therefore, we need to be careful regarding the proper standardization of the white tea samples before using as medicine, nutraceuticals, and food flavoring agent. Moreover, the white sample should be characterized by macroscopically and chemically before packaging, with respect to the origin of production of the country and native place. This standardized data should be kept as reference standard data for future assessment of quality control and assurance of white tea from different batches. Thus, the optimized white tea processed packet could be marketed for pioneer health drinks, nutraceuticals or therapeutic purposes.

#### **11.8 PROPAGATION**

A commercially very precious variety of white tea is Needle shaped crystal young teal leaf buds which are usually collected during Spring from the premature leaf. This type of silver needle white tea is very rare and produced at a low rate in a year. Therefore, its cost is so high.

So far literature concern to date, there are no reports on the tissue culture of white tea deriving tea plants. However, we need to focus on its production and regeneration from callus of young teal leaf buds through tissue culture by the cost-effective manner by standardizing the influencing growth factors and related triggering factors. This approach will reduce the cost of rare white tea enriched with bioactive antioxidant polyphenols, saponins, amino-acids, etc.

#### 11.9 PLANTING, IRRIGATION, AND NUTRIENT MANAGEMENT

In order to optimum tea crops production with high nutrients and antioxidant molecules, the irrigation system should be improved in such a way that the cultivating soil quality are fertilized with the high amount of essential nutrients, hormone. There should be applying of organic fertilizer may enhance the effective tea crops. But in connection to white tea production, there is no such scientific irrigation system and nutrient management policy reported so far. During drought, scarcity of water deficit may hamper the soil nutrients, which may indirectly hamper the tea crop quality along with potential phytoconstituents. Moreover, a heavy rainfall mediated water logging system causes Nutrients leaching and run-off via deep percolation. This deficiency may hamper the tea quality production. Therefore, the optimum nutrient composition of the soil needs to be managed by choosing a relevant cultivating place like the lap of hillocks of the valley via drip irrigation of tea plant roots, where the soil is enriched with so many essential nutrients. The rainwater is not logged surrounding soil of tea plant roots (www.indiatea.org).

# **11.10 CHARACTERIZATION OF PHYTOCONSTITUENTS OF WHITE TEA**

Irrespective of cultivating zone and climatic variation, white tea is enriched with antioxidant polyphenolic catechins and tannins. It also contains the flavonoid glycosides and saponin contents as depicted in Table 11.1 (Ekayanti et al., 2017; Hilal et al., 2007; Ning et al., 2016). These bioactive secondary metabolites are mainly responsible for pharmacological activity against different types of disorders like cancer, arthritis, diabetes, and various types of CNS disorders.

# 11.11 VALUE ADDITIONS OF WHITE TEA

The value of white tea is gradually increasing in the global market. The needle-shaped white tea derived from the young unopened teal leaves and buds are explored entire South Asia, London, and America. Their demand for global customers is uprising beyond the expectation in spite of their high-cost. This highly demanding silver needle variety of white tea is acceptable to all customer due to their value-added phytometabolites and phytonutrients (Li et al., 2020), for which can be highlighted for prophylactic and therapeutic agents for the management of various type of non-communicable lifestyle diseases (Pastoriza et al., 2017). Value of this white tea further needs to be explored as health drink may due to its nutraceutical value, taste, and aroma.

# **11.12 BIO-PROSPECTION OF WHITE TEA**

There is no doubt that white tea could be a very promising bio-prospective agent. Because it elicits a variety of bioactivity against different disease models. It is enriched with a high level of antioxidants (Espinosa et al., 2012). It improves oral Health, amps up body fat diffusion, kills cancer cells, attenuates the reproductive health, enriches brain cognitive health (Almajano et al., 2011), improves the hyperglycemic status of the diabetic patient, downregulates the bad cholesterol level of the body (Pastoriza et al., 2017). Various health-protective activity rendered by white tea may need to be further extended for the clinical level to establish its therapeutic potentiality for drug development in the market.

414

### 11.13 PROCESSING TECHNIQUES IN PHARMACEUTICALS

Till date, there are no reports on Nanotechnology-based pharmaceutical formulation or nutraceuticals development from white tea available. But the prospect of this white tea derived bioactivity guided Phyto-fractions and other potentially bioactive compounds are enormous and emerging. All those phytocompounds and nutrient molecules like amino acids could be focused against numerous lifestyle disorders and infective microbes based on *in-silico* bio-informatics based validation and evaluation. Thereafter, those fractions and nutrients and essential oil can be formulated pharmaceutically for drugs, nutraceuticals, and cosmetics development.

# **11.14 POTENTIAL BIOMOLECULES AND CLINICAL TRIALS RELATED TO WHITE TEA**

Clinical studies on anti-oxidant potentiality have been carried out with green tea (1-6 cup/day) in the human model, where plasma antioxidant capacity was found to be significant. The post-consumptions of tea blood anti-oxidant enzymatic activity was significantly increased to protect the cell DNA damage and lipid peroxidation. Thus, tea has been established as a promising anti-oxidant health drink for protection against several free radicals, toxininduced cellular damage and subsequent several inflammatory disorders. The bio-active molecules like catechins, flavonoids, condensed polyphenols (Tenore et al., 2015), L-theanine have been contributed to demonstrate such emerging anti-oxidant capacity (Rietveld, 2013). However, there are no clinical reports on the anti-oxidant activity of white tea available. We need to focus on its clinical validation against free radical, pollutants, oxidant-induced cell-damaging, anti-aging effects. This evaluation should be compared with green-tea potentiality. The saponins and glycosides and some essential oils need to be identified followed by characterization from white tea for evaluation of anti-microbial, anti-cancer, and anti-arthritic, neuroprotective activity both in preclinical and clinical level, near future.

#### 11.15 COMMERCIAL/PHARMACEUTICAL PRODUCTS AVAILABLE

Commercially Pharmaceutical formulation of white tea is not available, till now. The white tea nano formulation for efficient therapy can be processed subjected to their clinical validation.

#### 11.16 CONCLUSION

The above-cited literature-based reports suggesting that the white tea could be the emerging therapeutic, prophylactic, nutraceutical agent and cosmetics tool. The maximum content of polyphenols catechin, saponins, and condensed tannins in the white tea aqueous extract can be used for bioactive therapeutic drug formulations against numerous lifestyle disorders like stroke, cardiac disorders, cancer, and diabetes, etc. Polyphenols enriched white tea infusion may be explored for nutraceuticals development. Furthermore, many health-protective minerals and amino-acids nutrients of this variety may help to formulate the development of the promising nutraceutical. The essential volatile oils of this tea could be the potential ingredient for cosmetic formulations. The above-cited active ingredients are present in the white tea in greater amounts rather than green tea, so white tea may hold emerging promise towards the development of food, drugs, nutraceuticals, and cosmetics in an effective manner among all types of tea. However, the needle-shaped white tea production cost is high due to its scarcity of amount and manual processing. In order to circumvent such limitations and reduce the production cost, the tissue callus culture of the young unopened tea leaves and buds can be implemented. This approach may increase the quantity of white tea production in cheapest price to fulfill the demand of the customer.

#### **KEYWORDS**

- anti-inflammatory
- anti-oxidant
- bio-activity
- bio-prospection
- pharmaceutical products
- phytoconstituents
- phytopharmaceutical
- polyphenols
- white tea

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#### a

α-bulnesene, 137, 141
α-glucosidase, 146
α-guaiene, 137, 138, 141
α-humulene, 137
α-patchoulene, 137, 138, 141
α-pinene, 23, 24, 30, 357, 368
α-selinene, 137, 141
α-terpineol, 23

## β

β-caryophyllene, 23, 24, 28, 30, 138 β-elemene, 137 β-endorphin, 36 β-myrcene, 23, 27 β-patchoulene, 132, 137–139 β-phellandrene, 351, 353 β-pinene, 23, 24, 357, 370 β-sitosterol, 70, 109, 139, 359

# γ

γ-guaislene, 132 γ-patchoulene, 137 γ-pinene, 357 γ-terpene, 358 γ-terpinene, 355, 357

### δ

δ-elemene, 137, 141, 172 δ-guaiene, 137, 138, 141 δ-patchoulene, 137, 141

# A

Abdominal pain, 359, 362 Aberrant crypt foci (ACF), 97, 98 Abeyance, 306 Acaricidal activity, 37, 45 properties, 30

Acetone, 23, 32, 139, 146, 256 Acetylated mannans, 299, 330 Acre, 244, 252, 311, 314 Active ingredient (Ai), 55, 57, 65, 67, 68, 71, 75, 77, 78, 96, 99, 100, 102, 148, 250, 257, 360, 370, 404, 406, 416 Adaptogenic potency, 337 Adenosine triphosphate (ATP), 148, 356, 361.368 Adrenal gland hypertrophy, 337 weight, 336 Adriamycin, 191, 192 Adulterants, 389 Adulteration, 228 Adulticidal activity, 187 Aerial sowing methods, 83 Afforestation, 112 Agro-climates, 11 Agro-forestry, 83 Agromore foliar, 65 Agroneem, 64 Ailments, 3, 13, 14, 39, 56, 57, 225, 245, 306, 307, 382 Air penetration, 313 Ajmodadichurna (AJC), 206 Ajwain, 17, 356-363, 366, 370 essential oil, 357, 362 seeds, 352-359, 361, 362 Alalanine transferase, 360, 364 Alanine transaminase (ALT), 108, 360, 364 Alcohol, 131, 148-150, 239, 284-286, 288, 332, 362 Aliphatic components, 386 compounds, 24, 382 Alkaline phosphatase (ALP), 99, 194, 360, 364 soils, 228

Alkaloid, 23, 29, 34, 59, 95, 163, 170, 188, 189, 208, 224, 225, 227, 237, 300, 302, 315, 355, 386, 395 compounds, 29 piperine, 170 Alloxan, 109, 328 Alpha-melanocyteenhancing hormone, 194 Alternipetalous, 357 Aluminum, 352 Amino acids, 106, 237, 278, 404, 407, 412, 413, 415, 416 Amplified fragment length polymorphism (AFLP), 56, 111 Analgesic, 1, 39, 68, 73, 107, 133, 164, 165, 187, 265, 289, 291, 363, 367, 370, 382 activity, 187 effect, 363 Ancient oriental therapy, 316 Anorexia, 38, 68, 108 Ant-fertility activity, 189 Anthericum, 302 Anthers, 110, 136, 308 Anthraquinone, 241, 243, 245, 254, 257 fraction, 70 Antiaflatoxigenic activity, 36 Anti-allergic, 1, 3, 35, 105, 389 activity, 1, 3, 35, 147 Antiamoebic activity, 187 Anti-angiogenic effect, 1, 3, 35 Antiarthritic, 70, 300 properties, 389 Antiarthritis, 367 Anti-asthmatic effect, 1, 3, 34, 45 Antibacterial, 1, 3, 30-32, 37, 44, 59, 68, 70, 72, 96, 97, 109, 133, 149, 187, 224, 238, 264, 288, 333, 361, 367, 368, 370, 395 activity, 31, 96, 97, 149, 187, 333 Anticancer, 3, 34, 44, 55, 100-102, 150, 188, 237, 243, 300, 318, 331, 372, 395, 412, 415 activity, 149, 188, 330 Anti-cancerous agent, 355 impact, 98, 101 Anti-candida activity, 146 Anti-carcinogenic, 97 Anti-complement property, 68 Anticonvulsant, 360 Anti-depressant activity, 133, 147, 165, 188, 195, 270, 291

Antidiabetic activity, 104, 107, 165, 241, 243, 256, 264, 288, 291, 316, 333, 389 evaluation, 104 potential, 316 Anti-diarrheal activity, 362, 367 Antiemetic activity, 146 Antiepileptic property, 360 Antifeedant, 59, 68, 74, 75, 77, 78, 94, 106, 109 Antifertility, 68, 75, 107, 109 Antifungal activity, 1, 3, 30, 32, 33, 44, 59, 68, 70, 94, 96, 97, 107, 133, 140, 146, 155, 165, 189, 239, 243, 245, 359, 361, 367, 370, 395 Antigasteric ulcer, 70 Antigen, 99, 102, 103, 329 presenting cells (APC), 102 Anti-hyperlipidemic action, 189 activity, 189 Anti-inflammatory actions, 1, 3, 30, 33, 37, 39, 44, 68, 70, 73, 107, 109, 148, 163, 189, 206, 225, 241, 256, 264, 270, 289, 291, 356, 360, 362, 365, 367, 382, 389, 408, 416 activity, 33, 163, 189, 362, 365 agent, 33, 356 Anti-malarial properties, 68 Anti-microbial, 3, 43, 389, 415 activity, 32, 190, 370 effects, 31, 149, 190 Anti-mutagenic activity, 146, 155 effect, 97 Anti-nociceptive activity, 147 effect, 147 Antiobesity activity, 190 Antioxidant, 31, 105, 225, 239, 290, 359, 360, 367, 411, 415 activity, 1, 3, 30, 31, 44, 70, 94, 98, 99, 108, 109, 133, 148, 149, 155, 165, 190-193, 206, 207, 236-238, 243, 245, 256, 264, 270, 288, 290, 291, 300, 318, 329, 332, 333, 355, 357, 359, 360, 363, 365, 367, 372, 395, 404, 405, 408, 409, 413-416 molecules, 405 properties, 94, 236, 238, 290, 332

Antiplatelet activity, 35, 191 Antiproliferative action, 330, 364 Antiradical, 290 Anti-snake venom, 191, 209 Antistress activity, 332 effect, 36 Anti-tubercular activity, 188 Antitumor, 44, 55, 68, 70, 98, 101, 103, 107, 165, 241, 243, 256, 331 Antitussive, 264, 288, 359 properties, 357 Antiulcer, 68, 94, 107, 163, 187, 109, 191, 332 activity, 332 Antiulcerogenic actions, 36 activity, 1, 3, 35 Anti-venom product, 209 Antiviral, 30, 33, 37, 68, 72, 107, 238, 239, 241, 256, 333 activity, 33, 107, 148 agents, 72 Antonyms, 166 Aphrodisiac activity, 195, 270, 306, 316, 318, 324, 327-329, 356 analysis, 328 drug, 328 potency, 327-329 potential, 327 Apiaceae, 355, 356 Apigenin, 29, 138, 139 Apoptosis, 99-101, 103, 150, 330 Appetite, 71, 78, 271, 385 Apple mosaic virus (ApMV), 281 Aqua extract, 331, 332 Aqueous, 29, 33, 34, 43, 97, 98, 103–105, 107, 108, 146, 149, 187, 289, 327-332, 356, 358, 363, 368, 404, 408, 416 extract, 68, 330, 365 Arabis mosaic virus (ArMV), 281 Arachidonic acid metabolism, 35 metabolite thromboxane, 35 Arid forest research institute (AFRI), 62, 85, 110, 114, 115 Aroma, 9, 37, 40, 131, 132, 150, 168, 288, 357, 403, 406, 407, 410, 411, 414

Aromatherapeutic usage, 288 Aromatic, 1, 2, 9, 30, 131, 133, 135, 150, 164, 168, 207, 208, 220, 229, 267, 284, 287, 355 anti-oxidant polyphenols, 405 glucosides, 358 glucosidic compound, 358 hydrocarbons, 358 plants, 284, 285, 288 Arthritis, 33, 39, 58, 306, 329, 355, 356, 360, 362, 368, 382, 404, 405, 414 Ascorbic acid, 31, 105, 237 Asparagaceae, 299, 300, 307, 309, 344 Aspartate aminotransferase (AST), 99, 108, 360, 364 Aspergillus niger, 32, 94, 190 Asthma, 34, 38, 106, 163, 187, 195, 206, 207, 306, 356, 359, 370 Astringent, 219, 224, 225, 241, 243, 247, 256, 264, 270, 271, 290, 305, 410 Atherosclerosis, 329 Ayurveda depicts, 305 Ayurvedic erudition, 299 herbs, 305 medicinal systems, 305 medicine, 195, 206, 210, 271, 381, 395 system, 195 Azadirachta indica, 94, 105 Azadirachtin (AI/AZ), 56, 57, 63, 64, 66-69, 71, 72, 75, 77-79, 85, 87, 88, 95, 96, 99, 98, 106, 109, 113-117 treatments, 79 Azathioprine, 329

### B

Bacillus cereus, 32 subtilis, 31, 32, 95, 361 Bacterial inhibition, 356, 365 pathogens, 96 Bactericidal treatments, 280 Bark, 55, 56, 59, 63, 68–71, 76, 78, 80, 92, 99, 100, 106, 107, 221, 225–228, 233, 243, 273, 383, 386 Barring, 57, 58, 64, 67, 68, 72, 100, 108 Begomovirus disease, 19 Belixasol, 15 Biliousness, 68, 105, 108 Bioactive, 3, 20, 29, 32, 56, 133, 140, 149, 155, 237, 244-246, 250, 256, 290, 355-360, 362, 363, 366, 368, 369, 404, 405, 407, 412-416 compounds, 29, 32, 55, 56, 69, 133, 237, 244-256, 415 factors, 193 ingredients, 359 secondary metabolites, 20, 29, 412, 414 substances, 290 Bio-activity, 409, 416 Bio-adsorbent, 43 Bioavailability enhancers, 163, 187, 192 Biochemical change, 239 compounds, 30, 109, 113 constituents, 68 markers, 112 Bio-constituents, 77, 94, 108, 116 Biofuel, 44, 57 Biological activities, 30, 44, 59, 165, 170, 194, 243, 245 chemicals, 329 fitness, 79 Biomolecules menthol, 24 Biopesticides, 66 **Bio-prospectation**, 94 Bio-prospection, 256, 257, 288, 410, 412 Bioprospects, 237 Biosynthesis, 356, 358, 361, 362, 365, 368 Biotechnology, 150, 155 aspects, 208 Bisexual, 9, 82, 136, 357 Botanical, 2, 44, 133, 273, 299, 363, 368, 404 descriptions, 299 nomenclature, 133 taxonomical classification, 133, 166 Botany, 80, 247 Bowel movement, 362 Bracteate, 248, 273, 307, 309 Breeding, 70, 112, 115, 117 systems, 110 Broad exploration, 314 Butanol, 146, 187, 189, 323

#### С

Cadinol. 358 Cadmium, 356 Caffeic acid. 29 Caffeine, 290, 405, 407, 411, 412 Calcareous, 83, 228, 275 Calcium oxalate crystals, 81, 383 Callus, 231, 413, 416 Camellia sinensis, 403, 405, 406, 410 Camphor, 23, 27 Cancer cells, 101-103, 150, 246, 414 Candida albicans, 32, 70, 95, 329 Cannabis sativa, 33, 39 Carbohydrates, 34, 106, 255, 300, 302, 356 Carbon tetrachloride, 34, 193 Carcinoembryonic antigen (CEA), 102 Cardiac glycosides, 23, 29, 30 Cardio protective activity, 163, 187, 192 Cardiovascular disease, 1, 35 effects, 359 problems, 356, 359, 370 Carminative, 1, 39, 163, 195, 305, 356, 366 Carvacrol, 357, 359, 360 Carvone, 23, 24, 358 Caryophyllene, 137, 140, 358 Casual organism, 15 Catabolism, 357, 359, 363, 368 Catalase (CAT), 149, 191, 331, 332, 360, 364, 368 Catechin, 68, 107, 405, 407, 408, 411, 412, 414-416 Causal organism, 16-20, 92 Cecum amebiasis, 187 Cell kinetics, 330 Cellular acetylcholine level, 360 aflatoxin biosynthesis, 356 aspertate transferase, 360 enzymes, 355 immune functions, 329 lipoproteins, 368 nucleoside, 358 system, 359 Cellulose, 30, 44 Chemical compound, 23, 33, 36, 56, 67, 68, 95, 106, 113, 137, 146

constituents, 30, 34, 41, 65, 67, 68, 100, 114, 137, 358 moiety acts, 59 Chemomodulatory, 331 Chemo-preventive efficacy, 356 Chemotypic variation, 358 Chest pains, 1 Chick chorioallantoic membrane (CAM), 35 Chinese academy of forestry (CAF), 61 Chloroform extract, 68 Chlorophyll, 30, 277 Chlorophytum borivilianum, 299, 300, 309, 315, 344 genus, 307, 308 species, 300, 307, 316 Cholera, 97, 356 Cholesterol, 104, 225, 290, 311, 332, 359, 368, 408, 414 Cholinergic mediated mode, 363 Chromatographical steps, 323 Chromosome, 9, 331 Chronic arthritis, 355 pain, 363, 365 stress, 332 Chronotropic effect, 359 Chrysin, 29 Circulatory system, 271 Cobalt, 356, 357 Cold pressed neem oil, 65 Coleoptera, 78, 79 Column chromatography (CC), 138, 139, 146.254 Combating diseases, 95 Commercial, 3, 19, 56, 61, 63, 65, 67, 71, 77, 83, 133, 134, 138, 155, 208, 239, 243, 254, 257, 275, 280, 281, 357, 366, 381, 404, 406 dye, 226 Composition, 25, 39, 137, 209, 236, 257, 270, 291, 316, 369, 370, 404, 412, 413 Conducive climatic condition, 66 Conidia germination, 32 Contraceptive, 59, 66, 73, 108, 109 agents, 73 Cork tissues, 82 Corolla, 9, 136 Coronary vasodilation, 163, 187, 192

Corpora cavernosa, 328 Corrugated fiberboard (CFB), 235 Cortical cells, 383 Corticiumsalmonicolor, 92 Corticosterone, 36, 332, 333 Corynespora cassiicola, 16 Cosmetic, 1, 41, 43, 57, 58, 71, 73, 115, 131, 133, 164, 241, 242, 256, 257, 264, 269, 271, 286, 291, 307, 370, 404, 415, 416 industry, 43, 404 Cost-effective manner, 413 Cremocarps, 357, 358 Crop maturity, 252 protection, 13, 115 Crude distillation process, 285 Cucumber mosaic virus (CMV), 19 Culex quinquefasciatus, 193, 333 Culinary formulations, 307 Cultivar, 23, 24, 39, 110, 111, 220, 222, 229, 230, 234, 236, 248, 263, 264, 272, 274-277, 279, 281, 282, 292, 309, 410 Cultivation, 3, 5, 10, 11, 15, 19, 23, 39, 55, 61-63, 66, 67, 70, 82, 89, 111, 132, 137, 167, 207, 219-222, 228, 230, 236, 237, 244, 250, 252, 267, 272, 274, 310, 311, 314, 315, 358, 406, 410, 412 Culture, 231 Cup diffusion method, 333 Cut flowers, 265, 267, 268, 283 Cyclic trisulfide, 68 Cyclooxygenase, 35, 147, 190 Cytochrome oxidase, 355, 359 Cytotoxic T lymphocyte (CTL), 103 Cytotoxicity, 34, 102, 138, 149, 188, 324, 330 activity, 34

### D

Days after anthesis (DAA), 85 Deadheading, 251, 257 Decennial, 306 Decoction, 71, 95, 106, 189, 224, 291, 366, 384 Deep acidic, 228 eutectic solvent (DES), 29, 32 Defense Institute of Physiology and Allied Sciences (DIPAS), 73 Delayed-type hypersensitivity response (DTH), 329 Dementia, 163, 408 Dendropthoe falcata, 93 Dental health, 37 treatments, 73 Deoxyribonucleic acid (DNA) based marker, 56, 117 fragmentation assay, 331 Dephosphorylation, 355, 360, 364 Dermatological uses, 72 Deteriorate, 2, 3 Deterioration, 13, 253 Diabetes, 57, 68, 109, 206, 238, 287, 306, 328, 329, 381, 404, 414, 416 Diarrhea, 207, 362 Diarrheal symptom, 362 Dichloromethane, 146, 358 Dietary fibers, 355 meals, 367 supplements, 239, 367, 404 Digestive problems, 3, 287 Diode array detection (DAD), 30, 138, 139 Diptera, 78, 79 Disc diffusion test, 33 Disease, 1-3, 13, 14, 18, 44, 55-57, 64, 65, 73, 76, 92, 93, 95, 96, 98, 102, 105, 116, 163–165, 169, 195, 206, 232, 233, 238, 256, 265, 276, 279, 281, 287, 289, 381, 382, 395, 414 management, 13, 15-18, 20, 252, 279 Distilled biomass, 30, 44 Diterpenoids, 68, 106, 107 Diuretic properties, 68 DMBA (7,12-dimethylbenz-(a)-anthracene), 331 Dorsal surface, 81 Dorsiventral, 81 DPPH (1,1-diphenyl-2-picrylhydrazyl), 332 Drastic reduction, 19, 327 Dried flowers, 286 rose petals, 286, 287, 290 Drug metabolizing enzymes, 98 Duodenum histology, 36

Dyspepsia, 71, 224, 225, 238, 243, 356, 359, 383

### E

Eco-floristic regions, 223 Eco-geographical regions, 111, 112 Ecosystem, 58, 282 Efficacy, 31, 32, 43, 57, 68, 72-75, 97, 101, 102, 105, 108, 111, 254, 255, 289, 332, 357, 360-362, 381, 407, 412 Ejaculation, 305, 327, 328 Electrospray ionization multistage mass spectrometry (ESI-MS<sup>n</sup>), 139 Elemene, 137, 354 Ellipsoid tubers, 302 Emmenagogue, 39, 59, 70, 164 Emulsifier, 64, 147 Endospermic seeds, 308, 309 Enterococcus faecalis, 32 Environmental management, 280 Protection Act (EPA), 63, 64 Enzymatic method, 31 Enzyme-linked immunosorbent assay (ELISA), 330 Epicatechin (EC), 31, 68, 107, 387, 407 gallate (ECG), 407 Epidermal cells, 79 Epigallocatechin (EGC), 407, 408 3-gallate (EGCG), 407 Epilepsy, 243, 362, 365 Epistaxis, 68, 108 Erectile dysfunctions (ED), 33, 328 Erythrocytes, 100, 318 Escherichia coli, 31, 32, 59, 96, 190, 361 Essential oil (EO), 1–3, 9, 16–18, 20, 23, 24, 31-34, 36, 37, 39-41, 43-45, 131-133, 137, 138, 140, 147, 148, 150, 155, 164, 170, 187, 189, 208, 243, 270, 275, 283, 284, 286, 288, 291, 355-363, 365-370, 376, 382, 407, 415 constituents, 20 Ethanol, 23, 31, 32, 34, 35, 107, 146, 149, 187, 194, 288, 324, 329, 330 Ethanolic extract, 33, 68, 94, 101, 361, 365 roots, 323

Ethical trading initiative (ETI), 269

Ethiopian Horticulture Producer and Exporters Association (EHPEA), 269 Ethno botanical use, 363 medicinal properties, 356, 372 uses, 366, 384, 395 value, 369 Ethyl acetate extracts, 35 linolenate, 30 Ethylene, 234 Eudesmol, 359 Eugenol, 370 Exotic, 60, 93, 111, 114 Export potential, 67, 207

#### F

Farm forestry plantations, 84 Farmyard manure (FYM), 74, 231, 232, 251, 313, 314 Fascicle, 308 Fatty acids, 285, 287, 317, 326, 356, 367, 382 Febrifuge, 59, 357 Fecundity suppression, 79 Fermentation, 85, 225, 405, 407 Fermented M. arvensis (FMA), 36 Fertilizers, 12, 64, 66, 74, 106, 314 Fibers, 226, 227, 302, 383 Fibrous, 302, 308 Flame-ionization detection (FID), 138 Flatulence, 38, 195, 246, 256 Flavonoid, 23, 29-31, 34-36, 44, 68, 70, 94, 96, 106, 138, 139, 146, 163, 170, 190, 192, 208, 237, 239, 242, 243, 245, 254, 255, 270, 285, 315, 357, 404, 405, 407, 412, 414, 415 Flavonols, 31, 285, 405 Flavoring agent, 133, 164, 286, 413 Folic acid, 220 Free radical, 405 scavenging property, 31 Fructans, 299, 316, 334 Fructooligosaccharides (FOS), 299, 316, 333 Fructose, 237, 302, 316, 327 Fumigant, 3, 37, 75, 365, 366 Fungal ailments, 14 diseases, 280

Fungi, 2, 3, 13, 14, 44, 75, 95, 149, 189, 190, 279, 333, 361, 369
Fungicidal, 44, 189, 280, 365
Fungicides, 2, 13, 15, 16, 64, 72, 106
Fungitoxic effects, 356
Furostanol, 324, 326
saponins, 324 *Fusarium oxysporum*, 16, 32, 92, 94, 96

### G

Galactogogue, 305, 356, 366 Galactose, 246, 302, 316, 324 Gallic acid, 68, 227, 285 Gas chromatography (GC), 23, 24, 29, 30, 137, 138, 324, 357 mass spectrometry (GC-MS), 23, 24, 29, 30, 137, 357 Gastric acid, 359, 366 disturbances, 356 mucosa, 360 mucosal glycoproteins discharge, 191 problem, 1 Gastrointestinal, 264, 307, 355, 370 effects, 359 Gastro-protective activity, 146 Genetic, 155, 208, 230 variation, 112, 113 Genotype, 23 Genus, 1, 4, 5, 9, 20, 29, 43, 78, 163, 164, 241, 242, 267, 270, 272, 273, 285, 286, 289, 300, 302, 307, 308 chlorophytum, 302 Geographical areas, 11 distribution, 6, 165 source, 5, 134, 382, 395 variation, 110, 358 Germacrene-D, 23 Germination rate, 87, 311 Germplasm, 57, 208 Glabrous, 80, 248, 357 Global market, 10, 207, 414 response to DNA damage (SOS), 146 Glucose, 104, 107, 237, 246, 302, 315, 316, 324, 332, 333

Glutathione (GSH), 31, 99, 108, 149, 191, 194, 331, 332, 360, 364, 368 peroxidase, 99 Glycol preservation, 286 Glycoside, 34, 68, 96, 106, 139, 170, 208, 241–243, 245, 246, 270, 316, 318, 355, 357–359, 382, 386, 395, 407, 412, 414, 415 Gonorrhea, 71, 306 Granulocyte colony-stimulating factor

(GCSF), 98 Growth regulation, 78

Guduchi, 381, 383, 385, 395

### Η

Hamster Buccal Pouch (HBP), 98 Harvesting, 23, 85, 88, 89, 137, 219, 220, 233-237, 239, 250, 252, 255, 282, 283, 401, 402 time, 252 Health disorders, 356, 358, 367, 372 Heavy-duty hand cleanser (HDHC), 39 Hectares, 10, 11, 41, 62, 66, 132, 268 Helicoverpa armigera, 328 Hemicellulose, 30 Hemiptera, 78, 79, 91 Hepatic tissue, 360 Hepatitis, 385 Hepatoprotective, 1, 3, 34, 165, 192, 193, 245, 254, 256, 366, 395 activity, 34, 163, 187, 192, 193 Herbaceous, 9, 242, 246, 247, 307, 382 Herbal drug, 344, 395 formulations, 328, 369, 389 juice, 384 teas, 37 Herpes simplex type-1 (HSV-1), 33 Hesperidin, 29 Heteroptera, 79 Hexane fraction, 139, 187, 189 High density lipoproteins (HDL), 359, 368 performance liquid chromatography (HPLC), 29, 30, 36, 87, 138, 139, 254, 318, 404 thin layer chromatography (HPTLC), 316, 318

photosynthetic activity, 278 speed counter-current chromatography (HSCCC), 139 Higher light photosynthetic impact, 278 Himalaya, 11, 24, 40, 242, 243, 246, 247, 249.257 Histamine, 33, 35, 362, 363, 365 Hormone accumulation, 314 Horticultural, 64, 66, 70, 74, 77, 267 products, 66 Host resistance, 2, 13 Human breast cancer cell, 330 immunodeficiency virus (HIV), 70, 237, 264, 271, 288, 369, 395 infections, 271 pathogenic bacteria, 31 Humid conditions, 280 environment, 135 weather, 310 Humuline, 359 Hydrochloric acid (HCI), 35 Hydro-distillation process, 23 Hydrogen peroxide, 31, 148 Hydrolytic enzymes, 368 Hydroxyanthraquinones, 254 Hymenoptera, 79 Hypotensive, 68, 107, 357

# I

Ileum, 360 Immemorial, 55, 59, 72 Immune stimulant, 68 system, 68, 103, 107, 305, 329 Immunity, 101-103, 105, 107, 209, 306, 329, 367, 404, 408 buster products, 209 Immunoadjuvant, 329 Immunomodulator, 300, 305, 316, 329 Immunomodulatory action, 329 activity, 163, 187, 193, 329 effects, 329 Immunostimulant, 329, 330 Immunostimulatory effects, 329 Immunosuppressive properties, 329

Implementation, 2, 366 In vitro, 31, 32, 88, 97, 102, 108, 140, 149, 170, 187, 188, 193, 194, 231, 245, 277, 318, 324, 327, 330, 331 In vivo, 32, 35, 80, 97, 102, 109, 170, 187, 189, 190, 193, 194, 245, 324, 327, 329-331, 369 Indian Council for Forest Research and Education (ICFRE), 67, 113 Indo-Gangetic plains, 3, 5, 10, 19 Indomethacin (IMC), 146, 360 Inducible NO (iNO), 99 Infestation, 19, 71, 91, 92, 233, 366 Infested, 13, 252 Inflammation, 362 activity, 68 Inflammatory arthritis, 405 Inflorescence, 1, 82, 93, 135, 247, 248, 302, 312, 357 Infrared (IR), 254 Inhibitor, 43, 328 Inhibitory action, 31, 149, 194, 356, 359, 363 Insect growth regulators (IGRs), 78 Insect pests, 20, 44, 364, 369 Insecticidal, 1, 3, 30, 36, 44, 57, 67, 68, 209, 324, 325 activity, 3, 36, 44, 68, 325 Insecticide, 59, 64, 71 Insect-pest management (IPM), 77, 90 Insomnia, 1 Integrated crop management (ICM), 77 disease management, 2, 13 plant nutrient management (IPNM), 238 Intercropping, 2, 13, 282, 312, 314 system, 251 Interculture, 251 Interferons (IFN), 100, 102 Interleukin-2, 329 International neem network (INN), 111-114, 117 patent, 209 classification (IPC), 43 provenance trials, 112-114 Inter-nodal callus, 32 Intestinal worm, 68 Intracellular calcium ion level, 147 Intraplantar administration, 147 Intromission latency, 327

Invigorating meal, 306 Iodine, 352 Ionotropic movement, 355 Iron, 12, 31, 226, 270, 275, 353 Irrigation, 12, 75, 222, 231, 251, 278, 413 practices, 251 Ischemic heart disease, 35 Isolation, 88, 139, 253, 323 Iso-menthone, 23, 24

#### J

Jaundice disease, 105 Joint venture, 66 *Juncus*, 302

### K

Kenya flower council (KFC), 269 Khadi and Village Industries Commission (KVIC), 93 Kharif crop, 311, 344 Kinin, 362

#### L

Lablab bean, 312 Lactate dehydrogenase, 355 Lactations, 306 Lamiaceae, 2, 4, 9, 133–135 Lamiales, 4, 134 Lamina, 16, 81, 383 Lanceolate, 9, 80, 302, 308, 410 Land equivalent ratio (LER), 312 Large scale cultivation demands, 315 Larvicidal action, 333 activity, 104, 163, 187, 193, 333, 344 features, 333 Latency, 147, 327 Laxative, 225, 243, 245, 247, 286, 362, 368 Leaf defoliators, 90 midrib, 81 spot, 15, 40, 64, 92 Length, 81, 87, 111, 135, 248, 277, 302, 308, 309, 410 Lepidoptera, 78, 79 Leprosy, 58, 59, 68, 71, 76, 108, 109, 165, 225, 366, 381, 382

Lethargy, 305 Leucorrhea, 71, 306 Leukopenia, 329 Lignin, 30 Liliaceae, 299, 300, 307, 309, 344 Limonene, 23, 24, 40, 140, 358 Limonoids, 67, 70, 88, 100, 106 Linolenic acid, 30 Lipase, 359, 363 Lipid, 30, 64, 104, 106 Peroxidation (LPO), 99, 100, 109, 193, 194, 331, 332, 359, 363, 415 Lipopolysaccharide (LPS), 99, 148 Lipoproteins, 357, 368 Lipoxygenase, 35, 109 Liquid chromatography-mass spectrometry (LC-MS), 29, 94, 95 Liver protectant, 105 Loamy soil, 11 Lobe shape, 9 Local meteorological conditions, 112 Low-density lipoproteins (LDL), 332, 359, 368 L-threonine, 367 Lubricants, 74 Luteolin, 29, 30 Lymphoid cell stimulations, 329 Lysosomal hydrolylic enzymes, 357

### Μ

M. arvensis (MA), 1–3, 5, 6, 10–12, 16, 23, 24, 26, 29-37, 39, 40, 43, 44 Macrophages, 99, 193, 329 Macropropagation, 87 Macroscopic description, 80 Magnesium (Mg), 65 Magnoliophyta, 4, 134, 166 Magnoliopsida, 4, 134, 166 Malaria, 57, 59, 61, 73, 187, 356, 382 Maltodextrin, 147 Mammals, 91, 110 Mancozeb propiconazole, 15 Mannose, 246, 302, 316 Manuring, 232 Mass spectroscopy (MS), 23, 30, 32, 33, 94, 137, 138, 231, 254 Matrix metalloproteinase (MMP), 148 Maturity indices, 239 Medical biology, 362

Medication, 291, 299, 300, 302, 305, 306, 314.316 Medicinal, 1-3, 38, 44, 55, 56, 58-61, 106, 133, 150, 163-165, 167, 170, 207, 208, 210, 219, 238, 239, 242, 244, 245, 251, 254, 256, 263-265, 267, 269-271, 274, 284, 286, 289, 299, 305, 307, 309, 315, 328-330, 355-357, 366, 369, 370, 372, 381, 403, 406, 412 and aromatic plants (MAPs), 43 properties, 3, 59, 219, 242, 245, 251, 265, 267, 270, 299, 356 uses, 38, 44, 239, 299 Melanin-inhibiting activity, 194 Meliantriol, 67, 69 Membrane, 146, 360, 361, 364, 368 Menstrual disorders, 207 Menstruation, 207, 265, 355 Mentha, 1-7, 9-14, 17, 18, 20, 21, 24, 25, 29 - 45arvensis, 1, 4, 10, 14, 25, 32, 34, 39, 45 cultivation, 2, 11, 12 genus, 3, 5, 41, 44 oil, 1, 10, 41, 42 species, 2, 38 Menthol, 1, 3, 11, 16, 23, 24, 31–34, 36, 37, 40, 41, 43, 45 mint ethanolic extract, 30 Menthone, 23, 24, 33, 40, 43 Menthyl acetate, 23, 24 Mericarps, 357, 358 Meta-analysis, 290 Metabolic effects, 290 process, 356 Metastatic cell proliferation, 356 Methanol, 23, 29, 35, 95, 146, 189, 256, 257, 318, 326, 328, 330, 331 Methanolic extract, 29, 30, 34, 146, 358 leaf extract, 31 Methyl thiazolyldiphenyl-tetrazolium bromide (MTT), 34, 324, 331 Methylenedioxyamphetamine (MDA), 149 Micro-dilution method, 32, 361 Microflora, 74, 90 Micro-nutrients, 12, 74, 311, 313, 408 Micropropagation, 88, 231

Microscopic description, 81 Microscopical characters, 383 Midgut epithelial cells, 79 Mineral, 237, 238, 270, 271, 287, 300, 356, 404, 408, 416 constituents, 69 Minimum bactericidal concentration (MBC), 32 inhibitory concentration (MIC), 32, 33 Minor diseases, 233 Mint disease management techniques, 13 rust disease, 15 Mites, 37, 64, 75, 91, 96, 106 Modulatory action, 364 Molecular variation, 110 Mollusks, 91, 96 Monocot, 344 Monoterpene alcohol, 3, 24 Monoterpenoid, 358 Morphological characters, 383 Morphology, 9, 114, 135, 221 Mosaic disease, 19 Mosquito species, 333 Mouse macrophage, 327 Mouth aphthae, 306 Mucilage, 227, 302, 316 Multi-commodity exchange (MCX), 41 Multilayered (5-6) spongy parenchyma cells, 81 Mu-opioid receptor expression, 147 Muscular mass, 305 pain, 189 Musli's aphrodisiac potential, 324 Mycelial growth, 32, 36 Mycobacterium tuberculosis, 59, 109 Mycotoxin, 367 Myelosuppresion, 329 Myrcene, 24, 357 Mythological nymph, 5

#### N

National Commodity and Derivatives Exchange (NDEX), 41 Multi Commodity Exchange of India (NMCEIL), 41

Natural killer (NK), 103, 329, 330 Neem, 20, 55-117, 207 aura naturals, 65 cake, 57, 63-65, 74-76, 89, 96 co-operative, 65 cultivation technology, 61 extracts, 70, 72, 77, 78, 96-98, 101-106 facial moisturizing lotion, 65 fruit, 68, 71, 76, 81, 85, 88, 89, 93 extracts (NFEs), 109 herbal spray, 65 kernels, 62, 63, 69 leaf extract (NLE), 57, 65, 69, 97-99, 101, 102, 105, 107, 108 glycoprotein (NLGP), 102, 103 tea, 76 oil, 57, 58, 60, 62-74, 78, 89, 96, 97, 104-106, 109, 117 potential, 65 raw materials, 61 seed extracts (NSE), 108, 109 Nematode, 2, 3, 13, 19, 20, 64, 74, 96, 279, 369 Neomenthol, 23, 24 Neophytadiene, 30 Nervous disorders, 1 Nervous system, 194, 271, 305, 364 Network program, 114 Neural system, 360, 370 Neurodegenerative, 239, 404, 405 Nicotinic acid, 356, 357 Nimbin, 67, 70, 95 Nimbolide, 70, 100, 101, 109 Nitrate reductase activity, 278 Nitric oxide/nitrous oxide (NO), 99, 103, 146, 327, 362, 364, 365, 368 Nitrogen, 12, 70, 74, 237, 251, 278, 282, 312 Nitrous oxide scavenging, 31 Nociceptive pain, 405 Non-enzymatic method, 31 Non-hydrolysable tannin, 404 Non-specific cellular immune system, 329 Non-thymol constituents, 357 Non-toxic, 66, 75, 77, 106, 330 Non-volatile chemical constituents, 29, 138, 155

Normo-glycemic control group, 328 Norpatchoulene, 131 Norpatchoulenol, 132 Novel drug formulation, 391 Nuclear magnetic resonance (NMR), 139, 254 Numerous systems, 1 Nutraceutical, 329, 403, 404, 413, 415, 416 value, 271, 414 Nutrient, 12, 64, 79, 257, 316, 404, 409, 413, 415 management, 231, 257, 277, 404, 413 Nutritional benefits, 356, 367, 369, 372 excitant, 310

### 0

Odor, 3, 39, 43, 67, 71, 80, 81, 106, 307, 312, 356, 383 Oligospermia, 305, 328 Oligozoospermic patients, 328 Ophthalmia, 59 Oral administration, 73, 99, 108, 332, 359-361 Orchard health management (OHM), 238 Organic farming, 269, 279, 281, 282, 314 fertilizers, 66 matter, 11, 70, 168, 275 neem leaf extract, 65 Origin, 2, 55, 58, 60, 69, 111, 189, 220, 274, 282, 360, 413 Ornamental, 263 purposes, 267 Orthoptera, 78, 79 Osteo-arthritic pain, 290 Osteoarthritis, 33, 39, 237, 360, 364 Ovalbumin (OVA), 34, 147 Ovarian, 79 Ovary, 79, 110, 247, 248, 357 Ovate, 9, 80, 82, 221, 222, 229, 247, 248, 307, 308, 383, 410 Oviposition, 75, 77–79 repellency, 79 Oxidation, 98, 148, 331, 332, 401, 403, 411 Oxygenated monoterpenes, 23, 34 sesquiterpenes, 24, 137

#### Р

Paclitaxel, 324 Pain relief, 73, 264, 289 Pancreatic amylase, 359, 363 lipase, 355 Paracetamol, 108, 360 Paralleled venation, 308 Parasites, 18, 96, 108 Parasitic plants, 92 Parasiticide, 59 Parenchyma, 81, 383 Parenchymatous thin walled cells, 384 Patchouli, 131-135, 138, 140, 147, 148, 150, 155 alcohol (PA), 131, 138-140, 146-150 oil, 131, 132, 147, 149, 150, 155 Patchoulol, 132, 137-139 Patent, 39, 43, 63, 115, 150, 209, 238, 257, 291, 292, 344, 369, 370 Pathogens, 3, 14-18, 32, 44, 59, 69, 70, 96, 279, 280, 361, 362 Pedicillate, 309 Pediculicide, 59 Pediculosis, 105 Pentamerous, 82, 357 Perennial, 9, 13, 221, 242, 247, 248, 272 Perfumery industries, 132, 267, 269 Perianth, 247, 248, 308 Pericarp, 88, 221 Periongumine, 170 Peripheral blood mononuclear cells (PBMC), 100, 102, 330 Peritoneal macrophages, 329 Permeable soil, 310 Per-oxidation, 31 Pest and disease management, 2, 11, 13, 45, 340 control treatment, 314 Pesticide, 2, 13, 57, 62, 66, 67, 72, 106, 116, 280Petroleum ether, 23, 191, 256, 287 extracts, 23 Petroselinic acid, 358 Phagocytosis stimulations, 329

Pharmaceutical, 1-3, 41, 43, 44, 67, 71, 133, 150, 164, 208, 238, 290, 291, 356, 372, 404, 405, 415, 416 industry, 2, 41, 290, 291 products, 43, 133, 290, 416 Pharmacists, 2 Pharmacognosy, 132, 403 Pharmacologic benefits, 324 Pharmacological, 2, 3, 30, 38, 45, 105, 106, 140, 155, 164, 170, 187, 188, 194, 195, 225, 245, 264, 288, 289, 292, 318, 357-359, 363, 367-370, 381, 382, 395, 404, 414 activity, 30, 38, 106, 140, 187, 194, 289, 389, 404, 414 applications, 395 companies, 369 effects, 195, 288, 289, 318, 359 efficiency, 45 profile, 170 properties, 3, 194, 245, 292, 370 report, 194 Pharmacology, 45, 132, 210, 362, 372 Pharmacopeia standards, 254 Pharmacotherapeutic applicant, 188 Phenol, 302 Phenolic, 95, 237, 299 acids, 254, 285, 315 compounds, 34, 254 Phenology, 221 Phenols, 31, 44, 59, 190, 237, 255, 284 Phenomenon, 278, 412 Phenylethyl alcohol, 288 Phenylpyruvic acid, 29 Phloem, 81, 82, 379 elements, 81, 82 Phosphorous (Ph), 12, 65, 237, 251, 312, 356, 357, 386 Phosphorylation, 148, 355, 357 Photosynthetically active radiation (PAR), 312 Physico-chemical properties, 255 Phyto marker compounds, 401 Phytochemical, 23, 29, 30, 36, 37, 39, 95, 44, 55, 106, 117, 170, 188, 210, 238, 243, 255, 270, 316, 330, 355, 357, 372, 395, 404, 412 analysis, 356

components, 29, 39, 188 compounds, 30, 170 profiling, 356 screening, 95, 316, 395 Phytochemistry, 2, 20, 45, 137, 155, 208, 210, 381, 386 Phytoconstituents, 23, 106, 137, 236, 239, 243, 253, 254, 285, 299, 315, 344, 388, 404, 405, 412, 413, 416 Phytol, 30 Phytopharmaceutical, 404, 416 Phytosterol, 359 Pigeon pea, 312 Piles, 68, 71, 76, 109, 206, 366 Pinocembrin, 29 Piper longum, 163, 166-169, 171, 195, 196, 209, 210 Piperaceae, 210 Piperine, 163-165, 170, 187-189, 191-193, 206, 207, 210 Piperitone, 23, 24, 28 Piperlonguminine, 165, 170, 189, 194, 209 Pippali powder, 384 Pistil. 308 Pith, 395 Plant growth promoting rhizobacteria (PGPRs), 20 metabolites, 20 Plantae, 4, 82, 133, 166, 309 Plantation growth, 84 material, 311 Planting root-shoot cuttings, 84 system, 277 techniques, 83 Plantovax, 15 Plasma cholesterol levels, 290, 332 glucose levels, 332 Pogostemon cablin benth, 131, 133, 134, 138, 139, 143, 148, 151, 153, 155 Pogostone, 137–139, 146, 149 analogs, 146 Polarity level, 23 Poly-herbal formulation, 358, 360 Polymorpho nuclear neutrophil (PNN), 107

Polyphenol, 23, 242, 243, 289, 404, 405, 407-409, 412, 413, 415, 416 catechin, 407 oxidase, 405, 407, 409 Polyphenolic components, 386 Polypot planting, 83 Polysaccharides, 68, 100, 106, 107, 237, 246, 300, 302, 316, 330, 382, 386 Post and pre-natal symptoms, 306 ejaculatory, 327 harvest handling, 257, 283, 292 management practices, 252 Postharvest decay control, 44 Potpourris, 286 Powdery mildew, 15, 18, 40, 279, 280 Preclinical examinations, 333 trials. 333 Premature ejaculation, 328 leaf, 413 Premenstrual syndrome (PMS), 271, 364 Presumptuous, 192 Pricking, 83 Primary dysmenorrhea pain intensity, 289 Principal component analysis (PCA), 111 Production methodology, 404 Proliferating cell nuclear antigen (PCNA), 97, 98, 101, 102 Proliferation, 99-101, 103, 237, 281, 356, 361,408 Propagation, 62, 85, 87, 88, 150, 208, 219, 230, 231, 250, 276, 277, 301, 413 Propagule, 251, 311 rate, 251 Prostaglandin E2 (PGE2), 99, 362 Proteins, 106, 271, 299, 300, 302, 315, 316, 356, 357, 368 Proteus vulgaris, 31, 32 Prothoracicotropic hormone (PTTH), 78, 79 Provenance exploration, 111, 112 Proximate composition, 255 Pruning, 84, 229, 231, 232, 275, 276, 278 Prunus necrotic ring spot virus (PNRS), 281 Pseudomonas aeruginosa, 31, 59, 70, 96, 190, 333, 361

Psychological disorder, 71 Pulegone, 23, 24, 40 Pungent, 247, 271, 356 Purity assessment, 255 *Pythium aphanidermatum*, 17

# Q

Qualitative, 2, 3, 65, 66, 74, 76, 316, 318 yield, 2 Quality enhancement, 254 testing, 255 Quantitative analysis, 30, 131, 138 evaluations, 65 Quercetin, 68, 70, 107, 285

# R

Radioprotective, 3, 165, 194 activity, 194 Reactive oxygen species (ROS), 32, 99, 148 Reforestation, 112 Regional research laboratory, 5, 315 therapy, 306 Rejuvenators, 329 Reproductive biology, 112, 113 systems, 104, 305 Resin, 76, 170, 227, 302, 316 Retention index (RI), 23 Reverse peristalsis, 77 Rhamnose, 246, 324 Rheum, 257 moorcroftianum, 242-244, 247, 248 species, 241-244, 246-249, 252-257 Rheumatism, 243, 306 Rhizoctonia bataticolala, 17 solani, 15, 33, 96, 117, 189 Rhizome, 17, 244, 250, 252, 257 Riboflavin, 357 Ridge, 83, 311 furrow, 83 Rind, 224, 226 Root knot nematode, 19 lesion nematode, 20

Rootstock, 230, 247, 276, 277 Rosa canina, 274, 276, 287, 289, 290, 292 damascene, 289, 292 Rose, 229, 252, 263-292 absolute, 264, 283, 288 concrete, 263, 283, 287, 288, 291 cryptic virus-1 (RCV-1), 281 essential oil, 263, 264, 267, 269, 279, 282-286, 288, 290-292 export, 266 import, 266 oil production, 285 vapor thermal therapy, 271 production, 265, 268 products, 283, 292 water, 264, 265, 270, 283, 285, 286, 291 Rosehips, 285, 287, 291 Rosmarinic acid, 29, 30, 36, 37 Royal forest department, 114

### S

Safed musli, 299-302, 305-309, 311-316, 318, 326, 329, 332, 344 tuberous root, 306 Saponin, 23, 29, 94, 96, 300-302, 315-318, 323, 324, 326, 331, 333, 355, 357, 404, 407, 408, 411-416 Sclereids, 383 Sclerenchymatous layer, 395 Scrotal sacs, 327 Seasonal weeds, 13 Secondary metabolites, 29, 30, 59, 132, 137, 170, 255, 285, 316, 318, 381, 382, 386, 387, 395, 412 Secretion, 78, 103, 105, 107, 359-364, 366 Sedative, 360 Seed germination, 87, 250, 311 harvesting, 85 kernels, 67, 69, 85, 95, 96 physiology, 111, 113 sowing, 231 storage, 86, 113 Seizure model, 362 Senegal neem foundation [SNF], 61 Serological parameters, 329

Serotonin, 36, 362 level. 36 Serum glutamic oxaloacetic transaminase (SGOT), 360, 364 Sessile, 135, 302, 307, 308 Sexual propagation, 85 Sharp apex, 308 Sheep red blood cell (SRBC), 329 Silver needle, 410, 411 Single layered palisade cells, 81 Sitopaladichurna (STPLC), 206 Skeletal muscles, 33, 39 Skin diseases, 149, 256 Socio-economic, 220 Soil acidity neutralization, 83 conditioner, 74, 75 pathogen, 20 quality, 311, 404, 412, 413 requirements, 275 supplement, 64 Solvent dehvdration. 286 extraction, 74, 264, 284, 285, 287 Sowing, 231, 250 Spasmolytic, 39, 68, 107 Spathulenol, 23, 29 Spectrum bacterial toxicity, 360 Sperm, 79, 327, 328 Spermatogenesis, 324 Spices industry, 208, 210 Spirostane, 316, 318, 324, 325 Sprouts, 311 Stabilization, 360, 364 Stainless-steel knife, 312 Stamens, 9, 136, 168, 248, 272, 308 Staphylococcus albus, 190 aureus, 31, 32, 59, 70, 96, 149, 333, 361, 362.368 Starch grains, 383, 384 Stegomyia aegypti, 187 Stem bark, 81, 225 rot, 15, 17, 92 Sterilization, 79 Steroidal, 108, 187, 299, 311, 318, 323, 324, 328, 360 analysis, 359

furostane, 318 hormones, 311 saponins, 299, 323, 324, 328 spirostanol saponins, 324 Steroids, 23, 30, 31, 34, 55, 96, 170, 300, 302, 382, 386, 395 Stigma, 308 Stilbenes, 243, 254, 255 Stilbenoids, 241, 245, 257 Stimulant, 39, 43, 68, 107, 241 Stolon, 12, 16 rot. 17 Stomach ache, 1 Stomatal index, 81 Strawberry latent ring spot (SLRS), 281 Streptozotocin, 316, 328, 333 Strychnine, 362 Stump plantings, 83 Stylopodium, 357 Substituents, 389 Subtropical, 5, 82, 241, 242, 300, 301, 308, 310 agro-climate region, 5, 10 Sucking insects, 91 Sucrose, 302, 316 Sugar moieties, 324 Sulforhodamine blue (SRB), 34, 331 Supercritical fluid (SCF), 95 Superoxide dismutase (SOD), 99, 149, 331, 332, 360, 364, 368 Susceptible, 3, 13, 14, 44, 147, 190 Swiss albino mice model, 100, 103 mice model, 98 Symptoms, 15-20, 37, 91, 93, 104, 228, 279-281, 306, 358 Synergistic effect, 58, 70 Synonyms, 4 Syrup, 224, 225, 237, 271, 291, 369

# T

Tannins, 23, 29, 30, 34, 36, 44, 68, 76, 95, 106, 107, 237, 254, 270, 285, 316, 355, 412, 414, 416 Taxonomical characterization, 404 Taxonomy, 80, 221, 247 Tebuconazole, 15 Technology, 56, 63, 111, 113, 149, 238, 239, 292.315 transfer, 238, 257, 291, 292, 344 Tepals, 312 Terpene biosynthesis pathway, 358 Terpenoid, 23, 29, 30, 55, 59, 67, 94, 170, 270, 358, 359, 395 Testisidal cells, 79 Testosterone, 311, 318, 327 Tetra sulfide, 68 Theilavia basicola, 17 Therapeutic, 2, 45, 55-58, 70, 96, 102, 104, 116, 117, 132, 133, 155, 164, 224, 241, 246, 254, 264, 271, 288, 291, 299-302, 305, 368, 370, 381, 382, 395, 412-414, 416 applications, 133, 164, 254, 291, 382 behavioral on-site (TBOS), 56 oil, 56 potential, 45, 155, 368 properties, 57, 224, 241, 246, 264 uses, 70, 288 value, 57, 58, 254 Thin layer chromatography (TLC), 94, 138, 254, 316, 318 Throat diseases, 1 Thymol, 355, 357-363, 365, 364, 368-370 Tignic acid, 67 Timberlands, 300, 301, 307 Time-consuming, 311, 405 *Tinospora cordifolia*, 381, 382, 384–386, 389, 394, 395 Tissue culture, 87, 88, 277, 404, 413 Tobacco ring spot virus (TRSV), 281 streak virus (TSM), 281 Tolerant application, 12 Tomato ring spot virus (TomRSV), 281 Topical application, 59, 78 Toxicological risk factors, 104 Tracheal chains, 264, 288 Tracheids, 383 Trachyspermum ammi, 355, 356, 363–365, 367, 369, 372 Traditional chinese medicine (TCM), 271 evidence, 210 Trauma, 33, 39, 146

Triatomine vectors, 79 Trichophyton mentagrophytes, 32, 107 Tridemorph, 313 Triggering factors, 413 Triglyceride, 332, 333 Trilobed capsule, 308 Triterpenoids, 34, 95, 106, 109, 316 Tropical annual herb, 135 forest, 113, 117 weathers, 301, 308 Tuber developments, 310 Tuberculosis, 59, 165 Tuberous roots, 300, 302, 305, 311, 314 Tubular, 9, 308 Tumor cell growth, 100, 103 necrosis factor (TNF), 100, 146, 364 alpha (TNF-α), 100, 368 Turmeric powder, 385

### U

Ulcer index, 332, 359 Ulcerogens, 360 Ultrasound-assisted extraction (UAE), 29 Ultraviolet (UV), 94, 148, 149 Unani medicines, 356 texts, 58 Unique products, 291 Universal distribution, 222 Urea coating agent, 75 Urinary disorder, 68, 109 Urticaria, 105 Usance, 324 Utilization, 44, 132, 191, 300, 357, 360, 366, 369, 370, 381

#### V

Vaginal, 59, 109 Vascular, 81, 383, 395 bundles, 383 Vector-borne pathogens, 79 Vegetative growth, 11 propagation, 87, 169, 230 Ventral, 81 Vermicompost, 314 Vernacular names, 4, 60, 134, 166, 382 Versatility, 57, 67 Verticillasters, 9 Verticillium albo-otrum, 16 dahlia, 20 Vesicular stomatitis (VSV), 33 Vessels, 35, 383 Veterinary medicine, 58 Viral disease, 18, 96, 281 Viruses, 18 Visceral pain, 147 Visual observation, 327 Vitamin supplement, 287 Vitiligo, 104 Volatile chemical constituents, 137 constituents, 23, 140 oil, 20, 23, 31, 44, 133, 137, 138, 149, 170, 285, 407, 412, 416

### W

Wavy margins, 308 Weed, 13, 20, 74, 83, 312, 356 infestation, 13 White pH (WPH), 231 tea, 399–412 Whiter pedicel, 308 Whitish, 307-309, 405 Widespread, 16, 210, 221, 270, 272 Wide-spreading stolons, 9 Width, 80, 277, 308, 309 Wild-craft neem oil, 65 Wilt disease, 16, 17, 357 World Health Organization (WHO), 2, 55, 115, 244, 300 Worldwide, 3, 18, 60, 74, 132, 133, 150, 155, 164, 230, 238, 268, 269, 271, 274, 290-292, 301, 356 Wrapped, 235, 283, 312, 315

# Х

Xanthomonas azadirachtii, 92 Xenobiotic metabolizing, 98 Xylem, 383, 395 Xylose, 246, 302, 316, 324

# Y

Yield, 11–13, 18–20, 25, 40, 62–65, 67, 69, 70, 81, 88, 89, 102, 103, 115, 224, 232, 252, 270, 276–279, 282, 283, 285, 312, 314, 318, 358, 404, 407, 412
Young teal leaf buds, 413

# Z

Zenith nutrition curcumin, 207 Zika virus, 69 Zinc (Zn), 65, 356, 412 Zone, 31, 32, 95–97, 149, 383, 404, 412, 414 Zoonotic food, 69 Zygomorphic bilaterally symmetrical, 9 Zygomorphical, 308 *C. borivilianum* flowers, 309